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FINANCIAL DISCLOSURE REPORTS
MEMBERS OF HOUSE REPRESENTATIVES
JANUARY 1–DECEMBER 31, 2004
VOLUME 3

United States Congressional Serial Set

Serial Number 14965

United States Government Printing Office
Washington : 2006

109th Congress, 1st Session- - - - - - - - - - - - House Document 109–40

FINANCIAL DISCLOSURE
REPORTS
OF MEMBERS OF THE U.S. HOUSE OF REPRESENTATIVES

FOR THE PERIOD BETWEEN

January 1, 2004 and December 31, 2004

COMMUNICATION FROM THE CLERK OF THE HOUSE

TRANSMITTING

THE ANNUAL COMPILATION OF PERSONAL FINANCIAL DISCLOSURE STATEMENTS AND AMENDMENTS THERETO FILED WITH THE CLERK OF THE HOUSE OF REPRESENTATIVES, PURSUANT TO RULE XXVI, CLAUSE 1, OF THE HOUSE RULES

(Volume 3 of 4)

JULY 11, 2005.—Referred to the Committee on Standards of Official Conduct and ordered to be printed

U.S. GOVERNMENT PRINTING OFFICE

22–369 WASHINGTON : 2005

109th Congress, 1st Session - - - - - - - - - - - - House Document 109–40

FINANCIAL DISCLOSURE

REPORTS

OF MEMBERS OF THE U.S. HOUSE OF REPRESENTATIVES

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July 11, 2005.—Referred to the Committee on Standards of Official Conduct and ordered to be printed

U.S. GOVERNMENT PRINTING OFFICE

22-386 WASHINGTON : 2005

LETTER OF SUBMITTAL

OFFICE OF THE CLERK,
U.S. HOUSE OF REPRESENTATIVES,
Washington, DC, July 7, 2005.

Hon. J. DENNIS HASTERT,
The Speaker, The Capitol,
U.S. House of Representatives, Washington, DC.

DEAR MR. SPEAKER: I hereby submit the annual compilation of personal financial disclosure statements filed with the Clerk of the House of Representatives pursuant to the Ethics in Government Act of 1978, as amended, 5 U.S.C. app. § 101–111 (1994), and the House Rule XXVI.

This compilation contains the personal financial disclosure statements and amendments thereto required to be filed by Members of the House pursuant to the Act and House Rule XXVI by May 16, 2005. The compilation includes reported financial transactions which occurred between January 1, 2004 and December 31, 2004.

House Rule XXVI provides that the annual compilation of reports filed with the Clerk be printed as a House document by August 1 and contain all such reports filed by Members within the period beginning January 1 and ending June 15 of each year. This compilation is submitted accordingly.

With best wishes, I am

Sincerely,

JEFF TRANDAHL,
Clerk, House of Representatives.

Enclosures.

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2004

FORM A
For use by Members, officers, and employees

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7

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MC

JERROLD LEWIS NADLER
(Full Name)

315 West 70th Street, ~~New York N.Y. Apt. 3C~~
(Mailing Address)

New York, N.Y. 10023

(202) 225-5635
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Filer Status	☒ Member of the U.S. House of Representatives	State: N.Y. District: 8	☐ Officer or Employee	Employing Office
Report Type	☒ Annual (May 15)	☐ Amendment	☐ Termination	Termination Date:

A *$200 penalty* shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes ☒	No ☐
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes ☐	No ☒
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes ☒	No ☐
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes ☒	No ☐
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes ☐	No ☒
VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $285 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes ☐	No ☒
VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $285 from one source)? **If yes, complete and attach Schedule VII.**	Yes ☒	No ☐
VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes ☒	No ☐
IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes ☐	No ☒

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes ☐	No ☒
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes ☐	No ☒

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, § 104 and 18 U.S.C. § 1001)

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	Jerrold Nadler	5/14/05

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SCHEDULE I — EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

	Source	Type	Amount
Examples:	Keene State	Approved Teaching Fee	$6,000
	State of Maryland	Legislative Pension	$9,000
	Civil War Roundtable (Oct. 2nd)	Spouse Speech	$1,000
	Ontario County Board of Education	Spouse Salary	NA
	New York City Comptroller's Office	Spouse Salary	NA
	New York State	Pension	#19291

For payments to charity in lieu of honoraria, use Schedule II.

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self-directed IRA (i.e., one where you have the power to select the specific investments), provide information on *each asset* in the account that exceeds the reporting threshold, and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see the instruction booklet for the reporting year.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Asset

at close of reporting year.
If you use a valuation method other than fair market value, please specify the method used.
If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

BLOCK D — Amount of Income

For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.

BLOCK E — Transaction

Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.

	Block A: Asset and/or Income Source	Block B: Value of Asset (A None; B $1 – $1,000; C $1,001 – $15,000; D $15,001 – $50,000; E $50,001 – $100,000; F $100,001 – $250,000; G $250,001 – $500,000; H $500,001 – $1,000,000; I $1,000,001 – $5,000,000; J $5,000,001 – $25,000,000; K $25,000,001 – $50,000,000; L Over $50,000,000)	Block C: Type of Income (DIVIDENDS; RENT; INTEREST; CAPITAL GAINS; EXCEPTED TRUST; QUALIFIED BLIND TRUST; Other Type of Income (Specify: For Example, Partnership Income or Farm Income))	Block D: Amount of Income (I None; II $1 – $200; III $201 – $1,000; IV $1,001 – $2,500; V $2,501 – $5,000; VI $5,001 – $15,000; VII $15,001 – $50,000; VIII $50,001 – $100,000; IX $100,001 – $1,000,000; X $1,000,001 – $5,000,000; XI Over $5,000,000)	Block E: Transaction (P, S, E)
SP	Mega Corp. Stock	E	DIVIDENDS	X	P
DC	Simon & Schuster	Indefinite	Other Type of Income: Royalties	X	
JT	1st Bank of Paducah, KY accounts	F	INTEREST	X	
SP	Smith Barney Money Funds	D	DIVIDENDS	III	P
SP	Citibank NA Bank Deposit Program	C	INTEREST	II	P
JT	HSBC Bank USA	D	INTEREST	III	P
	U.S. Savings Bonds	C	INTEREST	I	P
DC	Amerigas Partners, LP	C	Partnership Income	III	P

For additional assets and unearned income, use next page.

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SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name Jerrold Lewis Nadler

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset: A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	BLOCK C Type of Income: DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify)	BLOCK D Amount of Income: I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	BLOCK E Transaction P, S, E
DC	Chase Bank			X												X						X										
DC	Smith Barney			X												X						X										
DC	N.Y. Series D Bond			X																	X											
DC	Histadrut Charitable Remainder Trust		X																		X											

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SCHEDULE IV — TRANSACTIONS

Name Jerrold Lewis Nadler | Page 5 of 7

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.	Type of Transaction			Date	Amount of Transaction									
SP, DC, JT — Asset	PURCHASE	SALE	EXCHANGE	(MO/DAY/YR)	B $1,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
SP Example: Mega Corporation Common Stock	X			10-12-04		X								
DC N.Y. Series D Bond	Matured			2/1/04	X									

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SCHEDULE VII —TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $285 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor.

Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

	Source	Date(s)	Point of Departure—Destination—Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Any time not at sponsor's expense
Examples:	Chicago Chamber of Commerce	Mar. 2	Wash., D.C.—Chicago—Wash., D.C.	N	N	N	N
	Roycroft Corporation	Aug. 6–11	Wash., D.C.—Los Angeles—Cleveland	Y	Y	Y	2 Days
	Conference of Presidents of Major American Jewish Organizations	Feb 15 – Feb 22, 2004	NYC - Casablanca, Morocco - Jerusalem, Israel - NYC	Y	Y	Y	N

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SCHEDULE VIII — POSITIONS

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States.

Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
National Vice President	Brai Zion Foundation
Chair	East of Hudson Rail Freight Task Force
Member, N.Y. Board of Directors	Anti-Defamation League

SCHEDULE IX — AGREEMENTS

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement

GPO: 2005 98-729 (mac)

Use additional sheets if more space is required.

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2004

FORM A
For use by Members, officers, and employees

LEGISLATIVE RESOURCE CENTER
2005 MAY 13 PM 12: 13
U.S. HOUSE OF REPRESENTATIVES 9

GRACE F. NAPOLITANO
(Full Name)

1609 LONGWORTH BLDG
(Mailing Address)

202-225-5256
Daytime Telephone

MC HAND DELIVERED
(Office Use Only)

Filer Status	[X] Member of the U.S. House of Representatives	State California District: 38	[ ] Officer or Employee	Employing Office:
Report Type	[X] Annual (May 15)	[ ] Amendment	[ ] Termination	Termination Date:

A *$200 penalty* shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes [X]	No [ ]	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $285 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes [ ]	No [X]
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes [ ]	No [X]	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $285 from one source)? **If yes, complete and attach Schedule VII.**	Yes [X]	No [ ]
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes [X]	No [ ]	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes [ ]	No [X]
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes [X]	No [ ]	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes [ ]	No [X]
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes [ ]	No [X]	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**		

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes [ ]	No [X]
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes [ ]	No [X]

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and wilfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, § 104 and 18 U.S.C. § 1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	Grace F. Napolitano	5/13/05

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SCHEDULE I — EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

	Source	Type	Amount
Examples:	Keene State	Approved Teaching Fee	$6,000
	State of Maryland	Legislative Pension	$9,000
	Civil War Roundtable (Oct 2nd)	Spouse Speech	$1,000
	Ontario County Board of Education	Spouse Salary	NA
	Ford Retirement Pension Plan	Pension	11,389.32
	State of California Retirement Public Employee	Pension	5424.80
	United States Railroad Retirement System	Pension-Spouse	N/A

For payments to charity in lieu of honoraria, use Schedule II.

SCHEDULE II — PAYMENTS IN LIEU OF HONORARIA MADE TO CHARITY

List the source, activity (i.e., speech, appearance, or article), date, and amount of any payment made by the sponsor of an event to a charitable organization in lieu of an honorarium. A separate confidential list of charities receiving such payments must be filed directly with the Committee on Standards of Official Conduct. An envelope for transmitting the list is included in each Member's filing package.

	Source	Activity	Date	Amount
Examples:	Association of American Associations, Wash., D.C.	Speech	Feb. 2, 2004	$2,000
	XYZ Magazine	Article	Aug. 13, 2004	$500
	N/A			

This page may be copied if more space is required.

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self-directed IRA (i.e., one where you have the power to select the specific investments), provide information on *each asset* in the account that exceeds the reporting threshold, and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see the instruction booklet for the reporting year

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Asset

at close of reporting year.
If you use a valuation method other than fair market value, please specify the method used
If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

BLOCK D — Amount of Income

For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income

BLOCK E — Transaction

Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.

				A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify: For Example, Partnership Income or Farm Income)	I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	P, S, E
SP,	Examples	SP	Mega Corp. Stock					X								X																			P
DC,			Simon & Schuster			Indefinite																Royalties								X				
JT			1st Bank of Paducah, KY accounts						X									X									X								
			Ford Motor Co. DEL COM (F)				X									X									X			(reinvested)							
			VISTEON CORP COM (VC)			X										X							X						(reinvested)						
			The Girls Jewelry Store-Nun				X																			X									
			Sole-Proprietorship																																
			LOAN TO NAPOLITANO for CONG.						X									X									X								

For additional assets and unearned income, use next page.

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SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name Grace F. Napolitano | Page 5 of 9

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset	BLOCK C Type of Income	BLOCK D Amount of Income	BLOCK E Transaction (P, S, E)
		A None	DIVIDENDS	I None	
		B $1 – $1,000	RENT	II $1 – $200	
		C $1,001 – $15,000	INTEREST	III $201 – $1,000	
		D $15,001 – $50,000	CAPITAL GAINS	IV $1,001 – $2,500	
		E $50,001 – $100,000	EXCEPTED TRUST	V $2,501 – $5,000	
		F $100,001 – $250,000	QUALIFIED BLIND TRUST	VI $5,001 – $15,000	
		G $250,001 – $500,000	Other Type of Income (Specify)	VII $15,001 – $50,000	
		H $500,001 – $1,000,000		VIII $50,001 – $100,000	
		I $1,000,001 – $5,000,000		IX $100,001 – $1,000,000	
		J $5,000,001 – $25,000,000		X $1,000,001 – $5,000,000	
		K $25,000,001 – $50,000,000		XI Over $5,000,000	
		L Over $50,000,000			
	NAPOLITANO FOR CONGRESS	F	INTEREST	IV	
	THE GIRLS	C	INTEREST		

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SCHEDULE IV — TRANSACTIONS

Name Grace F. Napolitano | Page 6 of 9

SP, DC, JT	Asset	Type of Transaction: PURCHASE	SALE	EXCHANGE	Date (MO/DAY/YR)	Amount of Transaction: B $1,001-$15,000	C $15,001-$50,000	D $50,001-$100,000	E $100,001-$250,000	F $250,001-$500,000	G $500,001-$1,000,000	H $1,000,001-$5,000,000	I $5,000,001-$25,000,000	J $25,000,001-$50,000,000	K Over $50,000,000
SP	*Example* Mega Corporation Common Stock	X			10-12-04		X								
	N/A														

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SCHEDULE V — LIABILITIES

Report liabilities of over $10,000 owed to any one creditor ***at any time*** during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. **Exclude:** Any mortgage on your personal residence (unless it is rented out), loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report ***revolving charge accounts*** only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability									
			B $10,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
	Example: First Bank of Wilmington, Delaware	Mortgage on 123 Main St., Dover, Del				X						
	N/A											

SCHEDULE VI — GIFTS

Report the source, a brief description, and the value of all gifts totalling more than $285 received by you, your spouse, or a dependent child from any source during the year.

Exclude: Gifts from relatives, gifts of personal hospitality of an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of $114 or less need not be added towards the $285 disclosure threshold

Note: The gift rule (House Rule 25, clause 5) prohibits acceptance of gifts except as specifically provided in the rule.

Source	Description	Value
Example: Mr. Joseph H. Smith, Anytown, Anystate	Silver Platter (determination on personal friendship received from Committee on Standards)	$290
N/A		

Use additional sheets if more space is required.

SCHEDULE VII —TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $285 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor.

Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	Point of Departure—Destination—Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Any time not at sponsor's expense
Examples: Chicago Chamber of Commerce	Mar 2	Wash., D.C.—Chicago—Wash., D.C.	N	N	N	N
Examples: Roycroft Corporation	Aug. 6–11	Wash., D.C.—Los Angeles—Cleveland	Y	Y	Y	2 Days
Alliance of Ford Motor Minority Dealers	May 26-27	L.A. - San Antonio, TX - L.A	Y	Y	N	N
NATIONAL COUNCIL OF LA RAZA	JUNE 28-29	ONTARIO, CA - Phoenix, AZ - ONTARIO, CA	Y	Y	N	N
NATIONAL ALLIANCE MENTALLY ILL and NATL. HISPANIC CAUCUS of STATE LEGISLATORS	AUG. 25-27	NORWALK, CA - LAJOLLA, CA - NORWALK, CA	Y	Y	N	N
AMERICAN BUSINESS COUNCIL INC.	SEPT. 26-29	DC - LAKE TAHOE, NV - DC	Y	Y	N	N

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SCHEDULE VIII — POSITIONS

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States.

Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
N/A	

SCHEDULE IX — AGREEMENTS

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement
	N/A	

GPO 2006 98-729 (mac)

Use additional sheets if more space is required.

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2004

FORM A
For use by Members, officers, and employees

HAND DELIVERED

LEGISLATIVE RESOURCE CENTER
2005 MAY 19 AM 10:27
U.S. HOUSE OF REPRESENTATIVES

MC

Grace Napolitano (Full Name)

1609 Longworth Bldg. (Mailing Address)

202-225-5256 Daytime Telephone:

(Office Use Only)

Filer Status	[X] Member of the U.S. House of Representatives — State: CA District: 38	[ ] Officer or Employee	Employing Office:
Report Type	[ ] Annual (May 15)	[X] Amendment	[ ] Termination — Termination Date:

A *$200 penalty* shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes [ ]	No [ ]
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes [ ]	No [ ]
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes [ ]	No [ ]
IV. Did you, your spouse, or dependent child purchase, sell or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes [ ]	No [ ]
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes [ ]	No [ ]
VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $285 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes [ ]	No [ ]
VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $285 from one source)? **If yes, complete and attach Schedule VII.**	Yes [ ]	No [ ]
VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes [ ]	No [ ]
IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes [ ]	No [ ]

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes [ ]	No [ ]
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes [ ]	No [ ]

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, § 104 and 18 U.S.C. § 1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	Grace F. Napolitano	5/19/05

Name Grace Napolitano | Page of

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self directed IRA (i.e., one where you have the power to select the specific investments), provide information on each asset in the account that exceeds the reporting threshold, and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see the instruction booklet for the reporting year.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Asset

at close of reporting year.
If you use a valuation method other than fair market value, please specify the method used.
If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

BLOCK D — Amount of Income

For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.

BLOCK E — Transaction

Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.

	Asset and/or Income Source	A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K* $25,000,001 – $50,000,000	L Over $50,000,000	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify: For Example, Partnership Income or Farm Income)	I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	Transaction P, S, E
SP, DC, JT	Examples: SP Mega Corp. Stock						X							X										X								P
	Examples: Simon & Schuster			Indefinite																Royalties									X			
	Examples: JT 1st Bank of Paducah, KY accounts							X								X									X							
	The Girls Jewelry Sales — Sole Proprietorship			X																				X								

For additional assets and unearned income, use next page.

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UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2004

FORM A
For use by Members, officers, and employees

LEGISLATIVE RESOURCE CENTER
2005 MAY 12 PM 4:42
U.S. HOUSE OF REPRESENTATIVES

HAND DELIVERED
MC (Office Use Only)

Richard E. Neal (Full Name)
2266 Rayburn House Office Building (Mailing Address)
Washington, DC 20515
(202) 225-5601 Daytime Telephone

Filer Status	[X] Member of the U.S. House of Representatives — State: MA District: Second	[ ] Officer or Employee	Employing Office
Report Type	[X] Annual (May 15)	[ ] Amendment	[ ] Termination — Termination Date:

A *$200 penalty* shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes [X]	No [ ]
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes [X]	No [ ]
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes [X]	No [ ]
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes [ ]	No [X]
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes [ ]	No [X]
VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $285 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes [ ]	No [X]
VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $285 from one source)? **If yes, complete and attach Schedule VII.**	Yes [X]	No [ ]
VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes [ ]	No [X]
IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes [ ]	No [X]

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. *Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?*	Yes [ ]	No [X]
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes [ ]	No [X]

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, § 104 and 18 U.S.C. § 1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	Richard E. Neal	5/12/05

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Name Richard E. Neal | Page 3 of 5

SCHEDULE I — EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000

	Source	Type	Amount
Examples:	Keene State	Approved Teaching Fee	$6,000
	State of Maryland	Legislative Pension	$9,000
	Civil War Roundtable (Oct. 2nd)	Spouse Speech	$1,000
	Ontario County Board of Education	Spouse Salary	NA
	Commonwealth of Massachusetts (University of Massachusetts)	Approved Teaching Fee	$19,990.00
	Commonwealth of Massachusetts	Spouse salary	NA

For payments to charity in lieu of honoraria, use Schedule II.

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Name Richard E. Neal | Page 3 of 5

SCHEDULE II — PAYMENTS IN LIEU OF HONORARIA MADE TO CHARITY

List the source, activity (i.e., speech, appearance, or article), date, and amount of any payment made by the sponsor of an event to a charitable organization in lieu of an honorarium. A separate confidential list of charities receiving such payments must be filed directly with the Committee on Standards of Official Conduct. An envelope for transmitting the list is included in each Member's filing package.

Source	Activity	Date	Amount
Examples: Association of American Associations, Wash., D.C	Speech	Feb. 2, 2004	$2,000
XYZ Magazine	Article	Aug. 13, 2004	$500
Diageo	Participation in charitable event	March 9, 2004	$500.

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Name Richard E. Neal | Page 4 of 5

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self-directed IRA (i.e., one where you have the power to select the specific investments), provide information on *each asset* in the account that exceeds the reporting threshold, and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see the instruction booklet for the reporting year.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Asset

at close of reporting year.
If you use a valuation method other than fair market value, please specify the method used.
If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

BLOCK D — Amount of Income

For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.

BLOCK E — Transaction

Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.

Block A	Block B: None	A: $1 – $1,000	B: $1,001 – $15,000	C: $15,001 – $50,000	D: $50,001 – $100,000	F: $100,001 – $250,000	F: $250,001 – $500,000	G: $500,001 – $1,000,000	H: $1,000,001 – $5,000,000	I: $5,000,001 – $25,000,000	J: $25,000,001 – $50,000,000	K: Over $50,000,000	L	Block C: DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify: For Example, Partnership Income or Farm Income)	Block D: None	I: $1 – $200	II: $201 – $1,000	III: $1,001 – $2,500	IV: $2,501 – $5,000	V: $5,001 – $15,000	VI: $15,001 – $50,000	VII: $50,001 – $100,000	VIII: $100,001 – $1,000,000	IX: $1,000,001 – $5,000,000	X: Over $5,000,000	XI	Block E: P, S, E
SP, DC, JT — *Examples:* SP Mega Corp. Stock	Indefinite					X								X						Royalties				X						X			P
SP Simon & Schuster							X									X										X							
JT 1st Bank of Paducah, KY accounts																																	
Sun America Money Market			X											X									X										
BankNorth				X												X						X											
Springfield, MA Credit Union		X														X						X											
Congressional Federal Credit Union		X														X						X											
City of Springfield Retirement Fund				X										NA							NA												

For additional assets and unearned income, use next page.

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SCHEDULE VII —TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $285 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor.

Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

	Source	Date(s)	Point of Departure—Destination—Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Any time not at sponsor's expense
Examples:	Chicago Chamber of Commerce	Mar. 2	Wash., D.C.—Chicago—Wash., D.C.	N	N	N	N
	Roycroft Corporation	Aug. 6–11	Wash., D.C.—Los Angeles—Cleveland	Y	Y	Y	2 Days
	Invest to Compete Alliance	6/30 – 7/03	Cape Cod, MA by private automobile	Y	Y	N	N

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UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2004

FORM A — For use by Members, officers, and employees

LEGISLATIVE RESOURCE CENTER
2005 MAY -9 AM 9:30
U.S. HOUSE OF REPRESENTATIVES
HC

HAND DELIVERED

(Office Use Only)

ROBERT RANDOLPH NEUGEBAUER
(Full Name)

429 CANNON HOB WASHINGTON, DC 20515
(Mailing Address)

202-225-4005
Daytime Telephone:

Filer Status	☑ Member of the U.S. House of Representatives	State: TX District: 19	☐ Officer Or Employee	Employing Office:
Report Type	☑ Annual (May 15)	☐ Amendment	☐ Termination	Termination Date:

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I.	Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? If yes, complete and attach Schedule I.	Yes ☑ No ☐	
II.	Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? If yes, complete and attach Schedule II.	Yes ☐ No ☑	
III.	Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? If yes, complete and attach Schedule III.	Yes ☑ No ☐	
IV.	Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? If yes, complete and attach Schedule IV.	Yes ☑ No ☐	
V.	Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? If yes, complete and attach Schedule V.	Yes ☑ No ☐	
VI.	Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $285 and not otherwise exempt)? If yes, complete and attach Schedule VI.	Yes ☐ No ☑	
VII.	Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $285 from one source)? If yes, complete and attach Schedule VII.	Yes ☑ No ☐	
VIII.	Did you hold any reportable positions on or before the date of filing in the current calendar year? If yes, complete and attach Schedule VIII.	Yes ☑ No ☐	
IX.	Did you have any reportable agreement or arrangement with an outside entity? If yes, complete and attach Schedule IX.	Yes ☐ No ☑	

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

Trusts-	Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes ☐	No ☑
Exemptions--	Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes ☐	No ☑

CERTIFICATION -- THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (See 5 U.S.C. app. 4, § 104 and U.S.C. § 1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	Randy Neugebauer	5-5-2005

3282

SCHEDULE I - EARNED INCOME

Name ROBERT RANDOLPH NEUGEBAUER

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totaling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

Source	Type	Amount
OLD TOWN, INC. dba PRESTIGE HOMES	SPOUSE SALARY	N/A
OLD TOWN, INC. dba PRESTIGE HOMES	CONSULTING FEE	$1,060

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name ROBERT RANDOLPH NEUGEBAUER

	BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self directed IRA (i.e., one where you have the power to select the specific investments) provide information on each asset in the account that exceeds the reporting threshold and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see instruction booklet for the reporting year. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT). In the optional column on the far left.	BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership Income or Farm Income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.	BLOCK E **Transaction** Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.
JT	STATE NATIONAL BANK, LUBBOCK, TEXAS, ACCOUNTS	$15,001 - $50,000	INTEREST	$1 - $200	
JT	PEOPLES BANK, LORENZO, TEXAS, ACCOUNTS	$15,001 - $50,000	INTEREST	$1,001 - $2,500	
JT	5725 86TH STREET, LUBBOCK, TEXAS VALUED AT PURCHASE PRICE 12/2002	$100,001 - $250,000	INVESTMENT	NONE	
SP	RETIREMENT ACCOUNT-- ING LIFE AND ANNUITY COMPANY HOLDINGS LISTED BELOW:				

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name ROBERT RANDOLPH NEUGEBAUER

	(1) FIDELITY VIP EQUITY-INCOME PORTFOLIO-108	$15,001 - $50,000	DIVIDENDS	$1,001 - $2,500	
	RETIREMENT ACCOUNT - J P MORGAN CHASE BANK HOLDINGS LISTED BELOW:				
	(1) AMERICAN CENTURY INTERNATIONAL GROWTH FUND	$1,001 - $15,000	DIVIDENDS	$1 - $200	
	(2) J P MORGAN DISCIPLINED EQUITY FUND	$100,001 - $250,000	DIVIDENDS	$1,001 - $2,500	
	(3) J P MORGAN GLOBAL 50 FUND SELECT SHARE CLASS	$1,001 - $15,000	DIVIDENDS	$1 - $200	
	(4) USA NOTES	$1,001 - $15,000	INTEREST	$201 - $1,000	
	(5) J P MORGAN PRIME MONEY MARKET PREMIER	$50,001 - $100,000	INTEREST	$1,001 - $2,500	
SP	RETIREMENT ACCOUNT - J P MORGAN CHASE BANK HOLDINGS:				
	(1) J P MORGAN PRIME MONEY MARKET PREMIER	$15,001 - $50,000	INTEREST	$201 - $1,000	
	(2) AMERICAN CENTURY INTERNATIONAL GROWTH FUND	$1,001 - $15,000	DIVIDENDS	$1 - $200	
	(3) J P MORGAN DISCIPLINED EQUITY FUND	$15,001 - $50,000	DIVIDENDS	$201 - $1,000	
	(4) J P MORGAN GLOBAL 50 FUND	$1,001 - $15,000	DIVIDENDS	$1 - $200	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name ROBERT RANDOLPH NEUGEBAUER

JT	OLD TOWN, INC., LUBBOCK, TEXAS, PROPERTY MANAGEMENT COMPANY - SEE FOOTNOTE 7 HOLDINGS:		ORDINARY INCOME	$50,001 - $100,000	
	(1) REAL ESTATE 2716 85TH, LUBBOCK, TEXAS, VALUED AT PURCHASE PRICE 02/2004	$50,001 - $100,000	RENT, CAPITAL GAINS	$5,001 - $15,000	P
	(2) PARTNERSHIP INTEREST - SKYTOP HOLDINGS, LLC, HOUSTON, TEXAS, PRIVATE EQUITY FUND	$15,001 - $50,000	RENT	$201 - $1,000	
JT	OLD TOWN PROPERTIES, LTD, LUBBOCK, TEXAS - PARTNERSHIP HOLDS RENTAL PROPERTIES: HOLDINGS				
	(1) 2013-2023 S. LOOP 289, LUBBOCK, TEXAS, VALUED AT PURCHASE PRICE 05/1984	$100,001 - $250,000	RENT	$5,001 - $15,000	
	(2) 5717 88TH STREET, LUBBOCK, TEXAS, VALUED AT PURCHASE PRICE 06/1995	$50,001 - $100,000	RENT	NONE	
	(3) 5731 90TH STREET, LUBBOCK, TEXAS, VALUED AT PURCHASE PRICE 08/1994	$50,001 - $100,000	RENT	$5,001 - $15,000	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name ROBERT RANDOLPH NEUGEBAUER

	(4) DUPLEX LOTS, MEADOWS SOUTH, L160, LUBBOCK, TEXAS, VALUED AT PURCHASE PRICE 05/1984 - SEE FOOTNOTE 1	$15,001 - $50,000	CAPITAL GAINS	$5,001 - $15,000	S, SOLD A PORTION OF LOTS
JT	KINGDOM ENTERPRISES, LTD, LUBBOCK, TEXAS - INVESTMENT PARTNERSHIP HOLDINGS:				
	(1) STATE NATIONAL BANK, LUBBOCK, TEXAS, ACCOUNTS	$250,001 - $500,000	INTEREST	$201 - $1,000	
	(2) PEOPLES BANK, LORENZO, TEXAS, ACCOUNTS	$100,001 - $250,000	INTEREST	$2,501 - $5,000	
	(3) HALE COUNTY STATE BANK, PLAINVIEW, TEXAS, ACCOUNTS	$50,001 - $100,000	INTEREST	$1,001 - $2,500	
	(4) LUBBOCK NATIONAL BANK, LUBBOCK, TEXAS, ACCOUNTS	$100,001 - $250,000	INTEREST	$2,501 - $5,000	
	(5) 1ST NATIONAL BANK OF HASKELL, TEXAS, ACCOUNTS	$100,001 - $250,000	INTEREST	$2,501 - $5,000	
	(6) 1ST NATIONAL BANK OF HEREFORD, TEXAS, ACCOUNTS	None	INTEREST	$1,001 - $2,500	Other, TIME DEPOSIT CLOSED
	(7) 1ST BANK & TRUST, TEXAS, ACCOUNTS	$100,001 - $250,000	INTEREST	$2,501 - $5,000	
	(8) CITY BANK, LUBBOCK, TEXAS, ACCOUNTS	None	INTEREST	$2,501 - $5,000	Other, TIME DEPOSIT CLOSED

	(9) PLAINS NATIONAL BANK, LUBBOCK, TEXAS, ACCOUNTS	None	INTEREST	$2,501 - $5,000	Other, TIME DEPOSIT CLOSED
	(10) U.S. TREASURY BILLS AND NOTES	$1,000,001 - $5,000,000	INTEREST	$50,001 - $100,000	
	(11) WATERHOUSE - MMF MUNIC PORTFOLIO	$1 - $1,000	INTEREST	$1 - $200	
	(12) FARMERS GROUP PREFERRED -SEE FOOTNOTE 8	None	INTEREST	NONE	S, MATURED IN 2003
	(13) INVESTMENT IN LUBBOCK LAND COMPANY FIVE, LTD, LUBBOCK, TEXAS HOLDINGS:		Other: LAND DEVELOPMENT AND SALES, ORDINARY INCOME	$100,001 - $1,000,000	
	(a) LOTS IN SOUTH POINTE ADDITION, AVENUE S TO AVENUE U, LUBBOCK, TEXAS, VALUED AT PURCHASE PRICE ON 02/1998	None		REPORTED IN TOTAL ABOVE	S
	(b) LAND - 2.02 ACRES, BLK E SEC 4, AB 490 TR D, LUBBOCK, TEXAS, VALUED AT PURCHASE PRICE ON 02/1998 - SEE FOOTNOTE 2	$1,001 - $15,000		REPORTED IN TOTAL ABOVE	Other - SEE FOOTNOTE 2
	(14) INVESTMENT IN LUBBOCK LAND COMPANY SIX, LTD, LUBBOCK, TEXAS HOLDINGS:		Other: LAND DEVELOPMENT AND SALES, ORDINARY INCOME	$100,001 - $1,000,000	

	(a) LOTS 85TH AND 86TH STREET, IOLA TO HOMESTEAD AVENUE, LUBBOCK, TEXAS, VALUED AT PURCHASE ON 08/1999	None		REPORTED IN TOTAL ABOVE	S
	(b) BLK AK SEC 28 AB 750 TR B1A B4 & B5 OF N/E3, 3.56 AC, LUBBOCK, TEXAS - VALUED AT PURCHASE ON 08/1999 - SEE FOOTNOTE 3	$1,001 - $15,000			Other, SEE FOOTNOTE 3
	(c) LOTS 83RD AND 84TH STREET, IOLA TO HOMESTEAD AVENUE, LUBBOCK, TEXAS, - VALUED AT PURCHASE IN 2004	$100,001 - $250,000		REPORTED IN TOTAL ABOVE	P, S, SOLD PORTION OF LOTS
	(15) INVESTMENT IN LUBBOCK LAND COMPANY SEVEN, LTD, LUBBOCK, TEXAS HOLDINGS:		Other: LAND DEVELOPMENT AND SALES, ORDINARY INCOME	NONE	
	(a) LOTS 106TH TO 108TH STREET, FRANKFORD TO CHICAGO AVENUE, LUBBOCK, TEXAS, VALUED AT PURCHASE IN 2003 AND DEVELOPMENT COSTS IN 2004	$500,001 - $1,000,000		NONE	Other - INCURRED ADDITIONAL DEVELOPMENT COSTS
	(b) BLK E2 SEC 25 AB 269 TR B1, 40 ACRES, LUBBOCK, TEXAS - VALUED AT PURCHASE IN 2003	None		NONE	Other - SEE FOOTNOTE 4

	(16) INVESTMENT IN LUBBOCK LAND COMPANY INV PTR, LTD, LUBBOCK, TEXAS HOLDINGS:		Other: LAND DEVELOPMENT AND SALES, ORDINARY INCOME	$100,001 - $1,000,000	Other - PTRSHP LIQUIDATED IN 2004
	(a) LAND BLK AK SEC 28 AB 750 TR B2 & B3 OF NE/3 5.4015 AC, BLK E2, SEC 15 AB 9 TR G7 2.48 AC, AND SUMMERFIELD TR 8, BOSTON AVENUE, ALL IN LUBBOCK, TEXAS - VALUED AT PURCHASE 07/1997 AND 12/2001	None		REPORTED IN TOTAL ABOVE	S
	(17) INVESTMENT IN REGAL PARK, LTD, LUBBOCK, TEXAS HOLDINGS:		Other: LAND DEVELOPMENT AND SALES, ORDINARY INCOME	$100,001 - $1,000,000	Other - PTRSHP LIQUIDATED IN 2004
	(a) BLK AK SEC 28 AB 750 TR B6 OF NE/3 8.99 ACRES, LUBBOCK, TEXAS - VALUED AT PURCHASE ON 09/1999	None		REPORTED IN TOTAL ABOVE	S
	(18) INVESTMENT IN SOUTH FRANKFORD PTR, LTD, LUBBOCK, TEXAS HOLDINGS:		Other: LAND DEVELOPMENT AND SALES, ORDINARY INCOME	NONE	
	(a) LAND BLK E2 SEC 25 AB 269 TR B2 SW/4 98.87 ACRES, LUBBOCK, TEXAS - VALUED AT PURCHASE ON 10/2000	$100,001 - $250,000		REPORTED IN TOTAL ABOVE	

	(19) LIMITED PARTNER INVESTMENT IN WINDROCK PRIVATE EQUITY, LTD, A LIMITED PARTNERSHIP LOCATED IN HOUSTON, TEXAS - THE LIMITED PARTNERSHIP IS A PRIVATE EQUITY FUND	$50,001 - $100,000	Other: PARTNERSHIP INCOME	$5,001 - $15,000	
	(20) LIMITED PARTNER INVESTMENT IN FREESTONE CHEMICAL PARTNERS, L.P., HOUSTON, TEXAS	$15,001 - $50,000	Other: PARTNERSHIP INCOME	$1 - $200	
	(21) LIMITED PARTNER INVESTMENT IN FREESTONE SAWMILL PARTNERS, LP, HOUSTON, TEXAS	$15,001 - $50,000	Other: PARTNERSHIP INCOME	NONE	
	(22) LAND BLK E2 SEC 25 AB 269 TR B SW/4 18.13 ACRES, LUBBOCK, TEXAS - VALUED AT PURCHASE PRICE 04/2002	$100,001 - $250,000	Other: FARM	$201 - $1,000	
	(23) INVESTMENTS AT JP MORGAN - CASH	$1 - $1,000	INTEREST	NONE	
	(24) INVESTMENT IN LUBBOCK LAND COMPANY EIGHT, LTD, LUBBOCK, TEXAS HOLDINGS:		Other: LAND DEVELOPMENT AND SALES, ORDINARY INCOME	NONE	P
	(a) LAND -BRYANT TRACT, 48 ACRES, SEC 28 BLK AK, LUBBOCK COUNTY, TEXAS - VALUED AT PURCHASE 2004	$100,001 - $250,000		REPORTED IN TOTAL ABOVE	P

JT	LUBBOCK LAND COMPANY S CORPORATION, LUBBOCK, TEXAS. SEE FOOTNOTE 5	$100,001 - $250,000	Other: S CORP INCOME, MARKETING FEES, ORDINARY INCOME	$15,001 - $50,000	
SP	NATIONAL INVESTOR SERVICES HOLDINGS:		DIVIDENDS/CAPITAL GAINS	$201 - $1,000	
	(1) TD WATERHOUSE MMF MUNIC PORT	$1,001 - $15,000			
	(2) ALAMOSA HOLDINGS	$15,001 - $50,000			
	(3) ECHELON CORP	$1 - $1,000			
	(4) NASDAQ 100	$1 - $1,000			
	(5) XCEL ENERGY	$1,001 - $15,000			
	(6) NUVEEN MUNI VALUE FUND	$1,001 - $15,000			
	(7) OLSTEIN FIN FUND	$1,001 - $15,000			
	(8) STRONG HIGH YIELD BOND FUND	$1,001 - $15,000			Other - SEE FOOTNOTE 6
	(9) STRONG S-T MUNI BOND FUND	$15,001 - $50,000			Other - SEE FOOTNOTE 6
	(10) TD WATERHOUSE DOW 30 FUND	$1 - $1,000			Other - SEE FOOTNOTE 6

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name ROBERT RANDOLPH NEUGEBAUER

SP	OIL AND GAS ROYALTY INTERESTS, VARIOUS HOLDINGS	$1,001 - $15,000	Other: OIL AND GAS ROYALTIES	$1,001 - $2,500	

SCHEDULE IV - TRANSACTIONS

Name ROBERT RANDOLPH NEUGEBAUER

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
JT	REAL ESTATE 2716 85TH, LUBBOCK, TEXAS, VALUED AT PURCHASE PRICE 02/2004	P	02-02-04	$50,001 - $100,000
	DUPLEX LOTS, MEADOWS SOUTH, L160, LUBBOCK, TEXAS, SOLD 1 LOT	S	12-30-04	$15,001 - $50,000
	FARMERS GROUP PREFERRED	S	11-25-03	$1,001 - $15,000
	LOTS IN SOUTH POINTE ADDITION, AVENUE S TO AVENUE U, LUBBOCK, TEXAS - SOLD NUMEROUS LOTS THROUGHOUT THE YEAR	S	01-04 TO 12-04	$500,001 - $1,000,000
	LOTS 85TH AND 86TH STREET, IOLA TO HOMESTEAD AVENUE, LUBBOCK, TEXAS - SOLD NUMEROUS LOTS THROUGHOUT THE YEAR	S	01-04 TO 12-04	$500,001 - $1,000,000
	LOTS 83RD AND 84TH STREET, IOLA TO HOMESTEAD AVENUE, LUBBOCK, TEXAS	P	01-04 TO 12-04	$100,001 - $250,000
	LOTS 83RD AND 84TH STREET, IOLA TO HOMESTEAD AVENUE, LUBBOCK, TEXAS - SOLD NUMEROUS LOTS THROUGHOUT THE YEAR	S	01-04 TO 12-04	$500,001 - $1,000,000
	LAND BLK AK SEC 28 AB 750 TR B2 & B3 OF NE/3 5.4015 AC, BLK E2, SEC 15 AB 9 TR G7 2.48 AC, AND SUMMERFIELD TR 8, BOSTON AVENUE, ALL IN LUBBOCK, TEXAS	S	09-24-04	$100,001 - $250,000
	BLK AK SEC 28 AB 750 TR B6 OF NE/3 8.99 ACRES, LUBBOCK, TEXAS - VALUED AT PURCHASE ON 09/1999	S	01-09-04	$1,000,001 - $5,000,000

SCHEDULE IV - TRANSACTIONS

Name: ROBERT RANDOLPH NEUGEBAUER

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
	INVESTMENT IN LUBBOCK LAND COMPANY EIGHT, LTD, LUBBOCK, TEXAS HOLDINGS:	P	09-08-04	$15,001 - $50,000
	LAND -BRYANT TRACT, 48 ACRES, SEC 28 BLK AK, LUBBOCK COUNTY, TEXAS - VALUED AT PURCHASE 2004	P	10-01-04	$100,001 - $250,000

SCHEDULE V - LIABILITIES

Name ROBERT RANDOLPH NEUGEBAUER

Report liabilities of over $10,000 owed to any one creditor at any time during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. Exclude: Any mortgage on your personal residence (unless all or part of it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of your or your spouse. Report "revolving charge accounts" only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability
JT	NOTE PAYABLE - KINGDOM ENTERPRISES	DEMAND NOTE	$100,001 - $250,000

SCHEDULE VII - TRAVEL PAYMENTS AND REIMBURSEMENTS

Name ROBERT RANDOLPH NEUGEBAUER

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $285 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor. Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independant of his or her relationship to you.

Source	Date(s)	Point of Departure--Destination--Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Any time not at sponsor's expense
HERITAGE FOUNDATION	JAN 21-23	WASH., D.C.--CAMBRIDGE, MD--WASH., D.C.	Y	Y	Y	N/A

SCHEDULE VIII - POSITIONS

Name ROBERT RANDOLPH NEJGEBAUER

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or any business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States. Exclude: Positions held in any religious, social, fraternal, or political entities, positions solely of an *honorary nature; and positions listed on Schedule I.*

Position	Name of Organization
PARTNER	KINGDOM ENTERPRISES, LTD
PARTNER	OLD TOWN PROPERTIES, LTD

FOOTNOTES

Number	Section / Schedule	Footnote	This note refers to the following item
1	Schedule III	This asset is not now this year. In the prior year, the lots were included with holding (1) listed previously as (1) 2013 - 2023 S Loop 289, Lubbock, Texas. The asset is shown separately this year.	DUPLEX LOTS, MEADOWS SOUTH L160
2	Schedule III	As land is developed into Lots, it is reclassified as Lot Inventory. No land was reclassified during this reporting period, i.e. there is no decrease in acreage.	LAND - 2.02 ACRES, BLK E SEC 4, AB 490 TR D
3	Schedule III	As land is developed into Lots, it is reclassified as Lot Inventory. No land was reclassified during this reporting period, i.e. there is no decrease in acreage.	BLK AK SEC 28 AB 750 TR BA1 B4 & B5 OF N/E3
4	Schedule III	As land is developed into Lots, it is reclassified as Lot Inventory. This inventory is listed as Lots 106th to 108th, Frankford to Chicago on Schedule III. This results in the decrease in amount of land from 40 acres in 2003 to 0 acres this year, i.e., the land was reclassified from Undeveloped Acreage to Lot Inventory.	BLK E2 SEC 25 AB 269 TR B1, 40 ACRES
5	Schedule III	The corporation is the general partner of Lubbock Land Company Five, Lubbock Land Company Six, Lubbock Land Company Seven, Lubbock Land Company Eight, Regal Park Investment, South Frankford Partners, and Lubbock Land Company Investment, all of which are listed on Schedule III	LUBBOCK LAND COMPANY S CORPORATION
6	Schedule III	These assets are not new this year but were inadvertently omitted from the schedule in prior years. They were originally acquired by purchase a considerable amount of time prior to the Congressman's candidacy and election to office, and have been held in this account since that time.	NATL INV SERVICES ITEMS (8), (9) & (10)
7	Schedule III	The corporation is the general partner of Old Town Properties, Ltd., which is listed on Schedule III.	OLD TOWN, INC.
8	Schedule III	This bond matured at face value in 2003, but was not shown as a maturity on the 2003 report.	FARMERS GROUP PREFERRED

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2004

FORM A
For use by Members, officers, and employees

HAND DELIVERED

LEGISLATIVE RECORDS & REPORTS
2005 MAY 13 PM 2:51
MC
U.S. HOUSE OF REPRESENTATIVES

Robert William Ney (Full Name)
2438 Rayburn HOB (Mailing Address)
Washington D.C. 20515
202-225-6265 Daytime Telephone

Filer Status	[X] Member of the U.S. House of Representatives	State: Ohio District: 18	[ ] Officer or Employee	Employing Office:
Report Type	[X] Annual (May 15)	[ ] Amendment	[ ] Termination	Termination Date:

(Office Use Only)

A *$200 penalty* shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes [X]	No [ ]	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $285 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes [ ]	No [X]
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes [ ]	No [X]	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $285 from one source)? **If yes, complete and attach Schedule VII.**	Yes [X]	No [ ]
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes [X]	No [ ]	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes [ ]	No [X]
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes [ ]	No [X]	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes [ ]	No [X]
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes [ ]	No [X]	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**		

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes [ ]	No [X]
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes [ ]	No [X]

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, § 104 and 18 U.S.C. § 1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I **CERTIFY** that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	Robert W. Ney	May 13, 2005

3300

SCHEDULE I — EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

Source	Type	Amount
Examples: Keene State	Approved Teaching Fee	$6,000
State of Maryland	Legislative Pension	$9,000
Civil War Roundtable (Oct. 2nd)	Spouse Speech	$1,000
Ontario County Board of Education	Spouse Salary	NA
Bob Ney for Congress (Spouse)	Campaign Consultant	
	Worker	
Hughes x Engraphic, Bellaire, Ohio (Spouse)	Billing Assistance	
(January Only)		

For payments to charity in lieu of honoraria, use Schedule II.

SCHEDULE II — PAYMENTS IN LIEU OF HONORARIA MADE TO CHARITY

List the source, activity (i.e., speech, appearance, or article), date, and amount of any payment made by the sponsor of an event to a charitable organization in lieu of an honorarium. A separate confidential list of charities receiving such payments must be filed directly with the Committee on Standards of Official Conduct. An envelope for transmitting the list is included in each Member's filing package

	Source	Activity	Date	Amount
Examples:	Association of American Associations, Wash., D.C.	Speech	Feb. 2, 2004	$2,000
	XYZ Magazine	Article	Aug. 13, 2004	$500
	-NONE-			

This page may be copied if more space is required.

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Name Robert W. Ney | Page 4 of 9

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self-directed IRA (i.e., one where you have the power to select the specific investments), provide information on *each asset* in the account that exceeds the reporting threshold, and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see the instruction booklet for the reporting year.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Asset

at close of reporting year.
If you use a valuation method other than fair market value, please specify the method used.
If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

BLOCK D — Amount of Income

For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.

BLOCK E — Transaction

Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.

	Asset and/or Income Source		Value of Asset (A None; B $1 – $1,000; C $1,001 – $15,000; D $15,001 – $50,000; E $50,001 – $100,000; F $100,001 – $250,000; G $250,001 – $500,000; H $500,001 – $1,000,000; I $1,000,001 – $5,000,000; J $5,000,001 – $25,000,000; K $25,000,001 – $50,000,000; L Over $50,000,000)	Type of Income (DIVIDENDS; RENT; INTEREST; CAPITAL GAINS; EXCEPTED TRUST; QUALIFIED BLIND TRUST; Other Type of Income (Specify: For Example, Partnership Income or Farm Income))	Amount of Income (I None; II $1 – $200; III $201 – $1,000; IV $1,001 – $2,500; V $2,501 – $5,000; VI $5,001 – $15,000; VII $15,001 – $50,000; VIII $50,001 – $100,000; IX $100,001 – $1,000,000; X $1,000,001 – $5,000,000; XI Over $5,000,000)	Transaction (P, S, E)
SP, DC, JT	Examples:	SP Mega Corp. Stock	E	DIVIDENDS	IV	P
		Simon & Schuster	Indefinite	Royalties	IX	
		1st Bank of Paducah, KY accounts	F	INTEREST	VI	
	Savings Account		B	INTEREST		
	Wright Patman Credit Union					
	Belmont National Bank St. Clairsville Ohio		B	INTEREST		

For additional assets and unearned income, use next page.

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name Robert W. Ney

Page 5 of 9

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset	BLOCK C Type of Income	BLOCK D Amount of Income	BLOCK E Transaction
		A None; B $1 – $1,000; C $1,001 – $15,000; D $15,001 – $50,000; E $50,001 – $100,000; F $100,001 – $250,000; G $250,001 – $500,000; H $500,001 – $1,000,000; I $1,000,001 – $5,000,000; J $5,000,001 – $25,000,000; K $25,000,001 – $50,000,000; L Over $50,000,000	DIVIDENDS; RENT; INTEREST; CAPITAL GAINS; EXCEPTED TRUST; QUALIFIED BLIND TRUST; Other Type of Income (Specify)	I None; II $1 – $200; III $201 – $1,000; IV $1,001 – $2,500; V $2,501 – $5,000; VI $5,001 – $15,000; VII $15,001 – $50,000; VIII $50,001 – $100,000; IX $100,001 – $1,000,000; X $1,000,001 – $5,000,000; XI Over $5,000,000	P, S, E
	— NONE —				

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SCHEDULE IV — TRANSACTIONS

Name ROBERT W. NEY

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction: PURCHASE	SALE	EXCHANGE	Date (MO/DAY/YR)	Amount of Transaction: B $1,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
SP	*Example.* Mega Corporation Common Stock	X			10-12-04		X								
	—NONE—														

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3305

Name ROBERT W. NEY | Page 7 of 9

SCHEDULE V — LIABILITIES

Report liabilities of over $10,000 owed to any one creditor ***at any time*** during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. **Exclude:** Any mortgage on your personal residence (unless it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report ***revolving charge accounts*** only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability									
			B $10,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
	Example: First Bank of Wilmington, Delaware	Mortgage on 123 Main St., Dover, Del.				X						
	-NONE-											

SCHEDULE VI — GIFTS

Report the source, a brief description, and the value of all gifts totalling more than $285 received by you, your spouse, or a dependent child from any source during the year.

Exclude: Gifts from relatives, gifts of personal hospitality of an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of $114 or less need not be added towards the $285 disclosure threshold.

Note: The gift rule (House Rule 25, clause 5) prohibits acceptance of gifts except as specifically provided in the rule.

Source	Description	Value
Example: Mr. Joseph H. Smith, Anytown, Anystate	Silver Platter (determination on personal friendship received from Committee on Standards)	$290
	-NONE-	

Use additional sheets if more space is required.

SCHEDULE VII —TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $285 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor.

Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

	Source	Date(s)	Point of Departure—Destination—Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Any time not at sponsor's expense
Examples:	Chicago Chamber of Commerce	Mar. 2	Wash., D.C.—Chicago—Wash., D.C.	N	N	N	N
	Roycroft Corporation	Aug. 6–11	Wash., D.C.—Los Angeles—Cleveland	Y	Y	Y	2 Days
	American Bankers Assoc	July 10-11	Pitts PA - White Sulpher Springs WV AL - Pitts PA	Y	Y	NO	NO

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SCHEDULE VIII — POSITIONS

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States.

Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
	— NONE —

SCHEDULE IX — AGREEMENTS

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement
	— NONE —	

GPO: 2005 98-729 (mac)

Use additional sheets if more space is required.

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2004

FORM A
For use by Members, officers, and employees

LEGISLATIVE RESOURCE CENTER
2005 MAY 13 AM 10: 24
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES

HAND DELIVERED
MC
(Office Use Only)

ANNE MEAGHER NORTHUP
(Full Name)

3340 LEXINGTON ROAD
(Mailing Address)

LOUISVILLE, KENTUCKY 40206

Daytime Telephone: 502-852-5129

Filer Status: [X] Member of the U.S. House of Representatives — State KENTUCKY District: 03 — [ ] Officer or Employee — Employing Office

Report Type: [X] Annual (May 15) — [ ] Amendment — [ ] Termination — Termination Date

A *$200 penalty* shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

Question	Yes	No
I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	X	
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**		X
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	X	
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	X	
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**		X
VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $285 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**		X
VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $285 from one source)? **If yes, complete and attach Schedule VII.**	X	
VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**		X
IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**		X

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

Question	Yes	No
TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?		X
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?		X

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, § 104 and 18 U.S.C. § 1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	Anne M. Northup	5-12-05

3309

Name	ANNE MEAGHER NORTHUP	Page 1 of 1

SCHEDULE I — EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totaling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000

	Source	Type	Amount
Examples	Keene State	Approved Teaching Fee	$6,000
	State of Maryland	Legislative Pension	$9,000
	Civil War Roundtable (Oct. 2nd)	Spouse Speech	$1,000
	Ontario County Board of Education	Spouse Salary	NA
	RADIO SOUND INC.	SPOUSE SALARY	N/A
	U.S. HOUSE OF REPRESENTATIVES	CONGRESSIONAL SALARY	154,898

For payments to charity in lieu of honoraria, use Schedule II.

Name	ANNE MEAGHER NORTHUP	Page 1 of 1

SCHEDULE II — PAYMENTS IN LIEU OF HONORARIA MADE TO CHARITY

List the source, activity (i.e., speech, appearance, or article), date, and amount of any payment made by the sponsor of an event to a charitable organization in lieu of an honorarium. A separate confidential list of charities receiving such payments must be filed directly with the Committee on Standards of Official Conduct. An envelope for transmitting the list is included in each Member's filing package.

Source	Activity	Date	Amount
Examples: Association of American Associations, Wash., D.C.	Speech	Feb. 2, 2004	$2,000
XYZ Magazine	Article	Aug. 13, 2004	$500
NONE TO REPORT			

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Name ANNE MEAGHER NORTHUP | Page 1 of 4

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self-directed IRA (i.e., one where you have the power to select the specific investments), provide information on *each asset* in the account that exceeds the reporting threshold, and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see the instruction booklet for the reporting year.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Asset

at close of reporting year.
If you use a valuation method other than fair market value, please specify the method used.
If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

BLOCK D — Amount of Income

For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.

BLOCK E — Transaction

Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.

	Block A	A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify: For Example, Partnership Income or Farm Income)	I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	Block E P, S, E
SP	Examples: SP Mega Corp. Stock					X								X									X								P
DC	Examples: Simon & Schuster			Indefinite															Royalties									X			
JT	Examples: 1st Bank of Paducah, KY accounts						X									X									X						
SP	AMGEN INC CS				X									X						X											
SP	ANDREW CORP CS			X										X						X											
SP	BAXTER INTL INC CS				X									X								X									
SP	BB&T CORP CS					X								X									X								
SP	BIOMET INC CS				X									X							X										
SP	BP PLC SPON ARD CS					X								X									X								

For additional assets and unearned income, use next page.

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name ANNE MEAGHER NORTHUP

SP DC JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset: A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	BLOCK C Type of Income: DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify)	BLOCK D Amount of Income: I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	BLOCK E Transaction P, S, E
SP	BRISTOL MYERS SQUIBB CO CS			X										X									X									
SP	CHEVRON TEXACO CORP CS				X									X										X								
SP	CISCO SYS INC CS				X									X							X											
SP	CITIGROUP INC CS				X									X									X									
SP	CLEAR CHANNEL COMM CS				X									X								X										
SP	COCA COLA CO CS				X									X									X									
SP	COLGATE PALMOLIVE CO CS				X									X									X									
SP	CONAGRA FOOD INC CS			X										X									X									
SP	DEVON ENERGY CORP CS					X								X									X									
SP	DIAGEO PLC CS					X								X										X								
SP	EMC CORP CS					X								X							X											
SP	EXXON MOBILE CORP CS					X								X									X									
SP	FREESCALE SEMI COND CS			X										X							X											
SP	GENERAL ELECTRIC CS				X									X									X									
SP	GLAXO SMITH KLINE CS				X									X									X									
SP	HEINZ CO CS				X									X										X								
SP	HUMANA INC CS					X								X							X											
SP	INTEL CORP CS				X									X								X										
SP	INTL GAME TECHNOLOGY				X									X								X										
SP	JDS UNIPHASE CORP CS				X									X							X											
SP	JOHNSON & JOHNSON CS				X									X									X									
SP	KELLER MFG CO CS			X										X							X											

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SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name ANNE MEAGHER NORTHUP

Page 3 of 4

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset: A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	BLOCK C Type of Income: DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify:)	BLOCK D Amount of Income: I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	BLOCK E Transaction: P, S, E
SP	KIMBERLY CLARK CORP CS				X									X									X									
SP	KRAFT FOODS INC CS				X									X									X									
SP	MAGNA ENTERTAINMENT CS				X									X							X											
SP	MEDICIS PHARMACEUTICAL CS				X									X								X										
SP	MEDTRONIC INC CS				X									X								X										
SP	MERCK & CO CS				X									X									X									
SP	MICROSOFT CORP CS					X								X										X								
SP	MOTOROLA INC CS				X									X								X										
SP	KPS GROUP INC CS				X									X							X											
SP	NATIONAL CITY CORP CS				X									X										X								
SP	NEENAH PAPER INC CS		X											X							X											
SP	NEWELL RUBBERMAID INC CS				X									X									X									
SP	NOKIA CORP CS					X								X									X									
SP	PFIZER INC CS				X									X									X									
SP	RITE AID CORP CS				X									X							X											
SP	ROYAL DUTCH PET CS					X								X										X								
SP	SY BANCORP INC CS					X								X										X								
SP	SCHERING PLOUGH CORP CS			X										X									X									
SP	SLB CS				X									X									X									
SP	SUN MICROSYSTEMS CS				X									X							X											
SP	TIME WARNER CS				X									X							X											
SP	TYCO INTL LTD CS			X										X								X										

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SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name ANNE MEAGHER NORTHUP

SP DC JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset: A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	BLOCK C Type of Income: DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify)	BLOCK D Amount of Income: I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	BLOCK E Transaction P. S. E
SP	UNILEVER PLC CS				X									X										X								
SP	VIACOM INC CS					X								X									X									
SP	WALT DISNEY CO CS				X									X								X										
SP	CEDAR FAIR CS				X									X																		
SP	AMER INTL GROUP CS			X										X							X											
SP	FIRST DATA CORP CS			X										X							X											
SP	LOWE'S COMPANIES CS				X									X							X											
SP	ST PAUL TRAVELERS CS		X											X							X											
SP	UNITED HEALTH GROUP CS			X										X							X											
SP	RADIO SOUND INC										X			X															X			
	BANK ONE CORP/JP MORGAN						X							X												X						
SP	HARLEY DAVIDSON													X								X										
JT	MERRILL LYNCH/UBS				X											X									X							
SP	WEINGARTEN FUND B IRA			X																	X											
SP	WEINGARTEN FUND B IRA			X																	X											
SP	COMMONWEALTH BANK & TR							X						X										X								
SP	BARNACLE WATER CO						X														X											
SP	AEGON				X									X									X									
SP	AM INTL				X									X								X										
JT	PFIZER			X										X								X										

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Name ANNE MEAGHER NORTHUP

Page 1 of 4

SCHEDULE IV — TRANSACTIONS

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction: PURCHASE	SALE	EXCHANGE	Date (MO/DAY/YR)	Amount of Transaction: B $1,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
SP	*Example* Mega Corporation Common Stock	X			10-12-04		X								
SP	AEGON COMMON STOCK	X			05-18-04	X									
SP	AEGON COMMON STOCK	X			09-24-04	X									
SP	AMERICAN INT'S COMMON STOCK	X			04-06-04	X									
SP	AMERICAN INT'S COMMON STOCK	X			07-21-04	X									
SP	AMERICAN INT'S COMMON STOCK	X			11-18-04		X								
SP	AMGEN COMMON STOCK	X			03-12-04	X									
SP	AMGEN COMMON STOCK	X			11-18-04		X								
SP	BAXTER INTL COMMON STOCK	X			11-18-04		X								
SP	BB&T COMMON STOCK	X			03-12-04	X									
SP	BP PLC COMMON STOCK	X			11-18-04		X								
SP	CEDAR FAIR COMMON STOCK	X			11-18-04		X								
SP	CISCO COMMON STOCK	X			05-19-04		X								
SP	CITI GROUP COMMON STOCK	X			07-21-04		X								
SP	CITI GROUP COMMON STOCK	X			11-18-04		X								
SP	CLEAR CHANNEL COMMON STOCK	X			11-18-04	X									
SP	COLGATE PALMOLIVE COMMON STOCK	X			11-18-04	X									

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SCHEDULE IV — TRANSACTIONS

Name ANNE MEAGHER NORTHUP | Page 2 of 4

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out			Type of Transaction			Date	Amount of Transaction									
SP DC, JT	Asset		PURCHASE	SALE	EXCHANGE	(MO/DAY/YR)	B $1,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
SP	*Example:*	Mega Corporation Common Stock	X			10–12–04		X								
SP	CONAGRA COMMON STOCK		X			03-12-04	X									
SP	EMC COMMON STOCK		X			05-24-04		X								
SP	EMC COMMON STOCK		X			07-21-04	X									
SP	EXXON/MOBIL COMMON STOCK		X			07-21-04		X								
SP	FIRST DATA CORPORATION COMMON STOCK		X			04-06-04	X									
SP	FREESCALE COMMON STOCK		X			07-21-04	X									
SP	GLAXO SMITH KLINE COMMON STOCK		X			03-12-04	X									
SP	GLAXO SMITH KLINE COMMON STOCK		X			05-25-04	X									
SP	HUMANA COMMON STOCK		X			05-07-04			X							
SP	INTERNATIONAL GAMING TECHNOLOGY COMMON STOCK		X			09-10-04		X								
SP	INTEL COMMON STOCK		X			07-21-04	X									
SP	JDS UNIPHASE COMMON STOCK		X			03-12-04	X									
SP	JDS INIPHASE COMMON STOCK		X			05-24-04	X									
SP	JDS UNIPHASE COMMON STOCK		X			11-18-04	X									
SP	JOHNSON & JOHNSON COMMON STOCK		X			04-06-04	X									
SP	KIMBERLY CLARK COMMON STOCK		X			11-18-04		X								

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SCHEDULE IV — TRANSACTIONS

Name ANNE MEAGHER NORTHUP

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction: PURCHASE	SALE	EXCHANGE	Date (MO/DAY/YR)	Amount of Transaction: B $1,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
SP	*Example* Mega Corporation Common Stock	X			10-12-04		X								
SP	MAGNA ENTERTAINMENT COMMON STOCK	X			03-12-04	X									
SP	MEDTRONIC COMMON STOCK	X			11-18-04		X								
SP	MERCK COMMON STOCK	X			10-12-04	X									
SP	MERCK COMMON STOCK	X			11-18-04	X									
SP	MICROSOFT COMMON STOCK	X			04-06-04	X									
SP	MICROSOFT COMMON STOCK	X			11-18-04		X								
SP	MOTOROLA COMMON STOCK	X			07-21-04		X								
SP	NATIONAL CITY COMMON STOCK	X			03-12-04	X									
SP	NATIONAL CITY COMMON STOCK	X			07-21-04		X								
SP	NEENAH PAPER COMMON STOCK	X			11-18-04	X									
SP	NOKIA COMMON STOCK	X			05-24-04		X								
SP	NOKIA COMMON STOCK	X			07-21-04	X									
SP	PFIZER COMMON STOCK	X			12-28-04		X								
SP	RITE AID COMMON STOCK	X			11-17-04		X								
SP	ROYAL DUTCH PET COMMON STOCK	X			11-18-04		X								
SP	SLB COMMON STOCK	X			07-21-04		X								

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SCHEDULE IV — TRANSACTIONS

Name ANNE MEAGHER NORTHUP

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction: PURCHASE	SALE	EXCHANGE	Date (MO/DAY/YR)	Amount of Transaction: B $1,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
SP	*Example:* Mega Corporation Common Stock	X			10-12-04		X								
SP	SLB COMMON STOCK	X			11-18-04	X									
SP	SUN MICROSYSTEM COMMON STOCK	X			03-12-04	X									
SP	SUN MICROSYSTEM COMMON STOCK	X			11-18-04	X									
SP	TIME WARNER COMMON STOCK	X			11-18-04		X								
SP	TYCO COMMON STOCK	X			03-12-04	X									
SP	UNITED HEALTH COMMON STOCK	X			04-06-04	X									
SP	VIACOM COMMON STOCK	X			03-12-04	X									
SP	VIACOM COMMON STOCK	X			05-24-04		X								
SP	BARNACLE WATER CO.	X			10-22-04				X						
SP	THERA BENSE		X		04-07-04		X								

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Name	ANNE MEAGHER NORTHUP	Page 1 of 1

SCHEDULE V — LIABILITIES

Report liabilities of over $10,000 owed to any one creditor ***at any time*** during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. **Exclude:** Any mortgage on your personal residence (unless it is rented out), loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report ***revolving charge accounts*** only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability: B $10,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
Example:	First Bank of Wilmington, Delaware	Mortgage on 123 Main St., Dover, Del				x						
	NONE TO REPORT											

SCHEDULE VI — GIFTS

Report the source, a brief description, and the value of all gifts totaling more than $285 received by you, your spouse, or a dependent child from any source during the year.

Exclude: Gifts from relatives; gifts of personal hospitality of an individual, local means; and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of $114 or less need not be added towards the $285 disclosure threshold.

Note: The gift rule (House Rule 25, clause 5) prohibits acceptance of gifts except as specifically provided in the rule.

Source	Description	Value
Example: Mr. Joseph H. Smith, Anytown, Anystate	Silver Platter (determination on personal friendship received from Committee on Standards)	$290
NONE TO REPORT		

Use additional sheets if more space is required.

Name	ANNE MEAGHER NORTHUP	Page 1 of 1

SCHEDULE VII —TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $285 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor.

Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	Point of Departure—Destination—Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Any time not at sponsor's expense
Examples: Chicago Chamber of Commerce	Mar. 2	Wash., D.C.—Chicago—Wash., D.C.	N	N	N	N
Roycroft Corporation	Aug. 6–11	Wash., D.C —Los Angeles—Cleveland	Y	Y	Y	2 Days
HARVARD NEW MEMBER/ KENNEDY SCHOOL	11/30/04-12/01/04	LOUISVILLE, KY -- BOSTON, MA -- FT. MYERS, FL	Y	Y	N	N

This page may be copied if more space is required.

Name	ANNE MEAGHER NORTHUP	Page 1 of 1

SCHEDULE VIII — POSITIONS

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States

Exclude: Positions held in any religious, social, fraternal, or political entities, positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
	NONE TO REPORT

SCHEDULE IX — AGREEMENTS

Identify the date, parties to, and general terms of any agreement or arrangement with respect to, future employment, a leave of absence during the period of government service, continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement
	NONE TO REPORT	

GPO 2005 95-729 (Web)

Use additional sheets if more space is required.

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2004

FORM A
For use by Members, officers, and employees

HAND DELIVERED

LEGISLATIVE RESOURCE CENTER
2005 MAY 12 PM 3:23
HC U.S. HOUSE OF REPRESENTATIVES
(Office Use Only)

Eleanor Holmes Norton
(Full Name)

10 Ninth Street, SE
Washington, DC 20003
(Mailing Address)

Daytime Telephone: (202) 225-8050

Filer Status	[X] Member of the U.S. House of Representatives — State: DC District:	[ ] Officer or Employee	Employing Office:
Report Type	[X] Annual (May 15)	[ ] Amendment	[ ] Termination — Termination Date:

A *$200 penalty* shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes [X]	No [ ]
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes [ ]	No [X]
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes [X]	No [ ]
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes [X]	No [ ]
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes [ ]	No [X]
VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $285 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes [ ]	No [X]
VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $285 from one source)? **If yes, complete and attach Schedule VII.**	Yes [X]	No [ ]
VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes [X]	No [ ]
IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes [X]	No [ ]

Each question in this part must be answered and the *appropriate schedule attached for each "Yes" response.*

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes [ ]	No [X]
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes [ ]	No [X]

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, § 104 and 18 U.S.C. § 1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	Eleanor Holmes Norton	05-12-05

3323

Name Eleanor Holmes Norton | Page 1 of 1

SCHEDULE I — EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

	Source	Type	Amount
Examples:	Keene State	Approved Teaching Fee	$6,000
	State of Maryland	Legislative Pension	$9,000
	Civil War Roundtable (Oct 2nd)	Spouse Speech	$1,000
	Ontario County Board of Education	Spouse Salary	NA
	Georgetown University Law Center	Approved Teaching Fee	10,200
	Pitney Bowes	Retirement Fee	12,000

For payments to charity in lieu of honoraria, use Schedule II.

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Name: Eleanor Holmes Norton

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value *exceeding $1,000 at the end of the reporting* period, and (b) any other asset or source of income which generated more than $200 in "*unearned*" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self-directed IRA (i.e., one where you have the power to select the specific investments), provide information on *each asset* in the account that exceeds the reporting threshold, and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see the instruction booklet for the reporting year.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling *$5,000 or less in personal savings accounts*; any financial interest in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an *asset or income source* is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Asset

at close of reporting year.
If you use a valuation method other than fair market value, please specify the method used.
If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

BLOCK D — Amount of Income

For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking *the appropriate box below*. Dividends, even if reinvested, should be listed as income.

BLOCK E — Transaction

Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.

	Asset and/or Income Source	Value of Asset (A–L)	Type of Income	Amount of Income (I–XI)	Transaction (P, S, E)
SP, DC, JT	*Examples.*				
SP	Mega Corp. Stock	X (E)	DIVIDENDS: X	$2,501 – $5,000: X	P
	Simon & Schuster	Indefinite	Royalties	$50,001 – $100,000: X	
	1st Bank of Paducah, KY accounts	X (F)	INTEREST: X	$5,001 – $15,000: X	
	Cong.Federal Credit Union	X (E)	INTEREST: X	$201 – $1,000: X	
	Intergen Investors, Ltd.	A: X		None: X	
	Boston Cap.Private Tax Credit	B: X	INTEREST: X	$1 – $200: X	

For additional assets and unearned income, use next page.

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name Eleanor Holmes Norton

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset												BLOCK C Type of Income							BLOCK D Amount of Income											BLOCK E Transaction
		A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify)	I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	P, S, E
	Merrill Lynch																															
	Romeo MI County Sch Dist				X															OID				X								
	Indiana Univ Revs Studnt				X															OID				X								
	Mesquite Tex Indpt Sch				X															OID				X								
	Du Page Cnty IL 1st				X															OID				X								
	Cook Co IL Chicago				X															OID				X								
	Massachusetts St CPN				X															OID			X									
	Palatine IL Corp Purp				X															OID				X								
	Western IL Univ Revs				X															OID				X								
	Southern IL Univ RVS Hsg				X															OID			X									
	Miami Beach FL				X															OID				X								
	General Electric Common Stk			X										X									X									
	Davis NY Venture						X							X										X								P
	Putnam Health Science				X												X							X								P
	Venture III Annuity					X															X											
	CMA Tax Exempt Fund			X										X								X										

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SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name Eleanor Holmes Norton

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset											BLOCK C Type of Income							BLOCK D Amount of Income											BLOCK E Transaction
		A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify)	I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	P, S, E
	Royal Alliance (IRA)																														
	Manulife N.A. Venture Annuity						X														X										
	Tech Fndg Venture Ptnrs IV			X																	X										
	Tech Fndg Venture Ptnrs V			X																	X										
	TIAA/CREF Retirement																														
	TIAA Traditional						X								X										X						
	CREF Stock						X									X									X						
	Metlife Common Stock			X									X									X									
	Metlife Annuity			X																	X										

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Name Eleanor Holmes Norton

Page 1 of 1

SCHEDULE IV — TRANSACTIONS

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction: PURCHASE	SALE	EXCHANGE	Date (MO/DAY/YR)	Amount of Transaction: B $1,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
SP	*Example:* Mega Corporation Common Stock	X			10-12-04		X								
	ML-Davis NY Venture	X			12-2-04	X									
	ML Putnam Health Sciences	X			12-14-04	X									

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Name Eleanor Holmes Norton

SCHEDULE VII —TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $285 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor.

Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

	Source	Date(s)	Point of Departure—Destination—Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Any time not at sponsor's expense
Examples:	Chicago Chamber of Commerce	Mar. 2	Wash., D.C.—Chicago—Wash., D.C.	N	N	N	N
	Roycroft Corporation	Aug. 6–11	Wash., D.C.—Los Angeles—Cleveland	Y	Y	Y	2 Days
	Antioch College	2/17-19	WDC-Athens, OH-Wilberforce, OH-Yellow Springs, OH-WDC	Y	N	N	N
	Equal Rights Advocates	6/3-7	WDC-San Fran, CA-Los Angeles, CA-Wash, DC	Y	N	N	N

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SCHEDULE VIII — POSITIONS

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States

Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
SEE ATTACHED	

SCHEDULE IX — AGREEMENTS

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement
6/ 2/04	Georgetown University Law Center	See attached approval & agreement

GPO 2006 98 729 (Rev)

Use additional sheets if more space is required.

Congresswoman Eleanor Holmes Norton
2004 Board Participation

Position	Name of Organization
Professor of Law	Georgetown University
Member	Council on Foreign Relations
Member	Citizens Commission for Civil Rights
Member of the Board	Environmental Law Institute
Member of the Board	Lawyers Committee for Civil Rights Under Law
Member of the Board	Washington Symphony
Chair	Women's Law and Public Policy Fellowship Program, Georgetown University
Member of the Board	Work in America Institute
Honorary Member of the Board	Good Samaritan Foundation

Advisory Boards

Advisory Board Member	Sewall-Belmont House
Advisory Board Member	Women & Politics Institute, American University

JOEL HEFLEY, COLORADO
CHAIRMAN
DOC HASTINGS, WASHINGTON
JUDY BIGGERT, ILLINOIS
PERRY C. HULSHOF, MISSOURI
STEVEN C. LATOURETTE, OHIO

JOHN E. VARGO,
CHIEF COUNSEL/STAFF DIRECTOR
PAUL M. LEWIS,
COUNSEL TO THE CHAIRMAN
COLLEEN McCARTY,
ASSISTANT TO THE RANKING
MINORITY MEMBER

ONE HUNDRED EIGHTH CONGRESS

U.S. House of Representatives

COMMITTEE ON STANDARDS OF
OFFICIAL CONDUCT

Washington, DC 20515–6328

ALAN B. MOLLOHAN, WEST VIRGINIA
RANKING MINORITY MEMBER

STEPHANIE TUBBS JONES, OHIO
GENE GREEN, TEXAS
LUCILLE ROYBAL-ALLARD, CALIFORNIA
MICHAEL F. DOYLE, PENNSYLVANIA

SUITE HT-2, THE CAPITOL
(202) 225-7103

June 24, 2004

The Honorable Eleanor Holmes Norton
U.S. House of Representatives
2136 Rayburn House Office Building
Washington, D.C. 20515

Dear Colleague:

This is to notify you of Committee approval of your request, dated June 22, 2004, to teach a course for compensation at Georgetown University Law Center during the 2004-2005 academic year. Your letter states that you have taught this course since 1991, and explains that no official resources will be used to meet your teaching obligation, nor will it conflict with your congressional responsibilities.

Enclosed with your letter is correspondence from the Dean of the Law Center, Judith Areen, stating that your salary for teaching the seminar will be $10,200 for the year, will come from the school's operating funds, and is an amount consistent with that paid to instructors with professional qualifications similar to yours. Ms. Areen's letter further states that the course is part of the Law Center's regular curriculum and that students will receive credit for the course.

These arrangements appear to be similar to those that the Committee has approved in each of the past several years, pursuant to the guidelines issued by the Committee regarding teaching by Members and senior staff. As noted previously, your teaching compensation is subject to the 15% outside earned income limit of House Rule 25, clauses 1-4. For 2004, that limit is $23,715. The income also must be reported on your annual Financial Disclosure Statement.

If you have any further questions, please contact the Committee's Office of Advice and Education at extension 5-7103.

Sincerely,

Joel Hefley
Chairman

Alan B. Mollohan
Ranking Minority Member

JH/ABM:ced

GEORGETOWN UNIVERSITY LAW CENTER

June 2, 2004

Office of the Dean

The Honorable Eleanor Holmes Norton
U. S. House of Representatives
2136 Rayburn House Office Building
Washington, D. C. 20515

Dear Eleanor:

I am delighted that you have agreed to teach a seminar at the Law Center during the 2004-2005 academic year. This letter confirms the terms of your faculty appointment.

Consistent with Georgetown University's faculty leave policy, your leave of absence from the full-time faculty has been extended through June 30, 2005.

Your seminar will be offered as part of the regular J.D. program of instruction at the Law Center. Students will receive regular credit toward their law degrees for their attendance and participation in your seminar.

Your salary will be $10,200, which will be paid out of Law Center operating funds. No federal funds will be devoted to your salary. The compensation you will receive does not exceed the salary the Law Center pays to those whose professional qualifications are similar to yours and who provide a comparable level of instruction and amount of work. Melvinia Towns, administrative officer in charge of Law Center payroll, will contact you regarding arrangements for payment.

Enclosed is a copy of the 2004-2005 Law Center academic calendar. If you have any questions about the scheduling of your seminar, please contact Sally McCarthy at (202) 662-9041.

You are eligible to hire a student research assistant to assist in preparation of the seminar materials. The student will be paid out of Law Center operating funds.

Sincerely,

Judith Areen
Dean

Understood and accepted.

ELEANOR HOLMES NORTON

07-13-04
Date

600 New Jersey Avenue NW Washington DC 20001-2075
(202) 662-9023 FAX (202) 662-9075

UNITED STATES HOUSE OF REPRESENTATIVES
FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2004

FORM A For use by Members, officers, and employees

INSIDE MAIL

LEGISLATIVE RESOURCE CENTER
2005 MAY 26 AM 11:35
U.S. HOUSE OF REPRESENTATIVES
(Office Use Only)

3334

Charles W Norwood
(Full Name)

P O Box 250 Evans, GA 30809
(Mailing Address)

202-225-4101
Daytime Telephone:

Filer Status	☑ Member of the U.S House of Representatives — State: GA District: 9	☐ Officer Or Employee	Employing Office:
Report Type	☑ Annual (May 15)	☐ Amendment	☐ Termination — Termination Date:

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I.	Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes ☐ No ☑	
II.	Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes ☐ No ☑	
III.	Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes ☑ No ☐	
IV.	Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes ☑ No ☐	
V.	Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes ☑ No ☐	
VI.	Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $285 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**		Yes ☐ No ☑
VII.	Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $285 from one source)? **If yes, complete and attach Schedule VII.**		Yes ☑ No ☐
VIII.	Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**		Yes ☑ No ☐
IX.	Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**		Yes ☐ No ☑

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

Trusts-	Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes ☐ No ☑
Exemptions-	Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes ☐ No ☑

CERTIFICATION -- THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and wilfully fails to file this report may be subject to civil penalties and criminal sanctions (See 5 U.S.C. app. 4 § 104 and U.S.C. § 1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.		5-23-2005

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Charles W Norwood

	BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self directed IRA (i.e., one where you have the power to select the specific investments) provide information on each asset in the account that exceeds the reporting threshold and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see instruction booklet for the reporting year. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.	BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership Income or Farm Income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.	BLOCK E **Transaction** Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.
	Congressional FCU	$1 - $1,000	Interest	NONE	
JT	Suntrust Bank	$100,001 - $250,000	Interest	$201 - $1,000	
	N/R Fred Thielke	None	Interest	$2,501 - $5,000	
	Belair Rd/Wrightsboro Rd 1/2 Interest	$250,001 - $500,000	None	NONE	
	Smith Barney Money Funds	$1 - $1,000	Dividends	NONE	
	Enamelon Inc	$1 - $1,000	Dividends	NONE	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Charles W Norwood

	Building - Walton Way West	$500,001 - $1,000,000	Rent	$50,001 - $100,000	
JT	3912 Mulliken Rd	None	Interest	NONE	S
JT	3974 Mulliken Rd	None	Interest	NONE	S
	Guardian Insurance Annuity	$1,001 - $15,000	Dividends	NONE	
	Personal Residence Excluded				

SCHEDULE IV - TRANSACTIONS

Name Charles W Norwood

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
JT	3912 Mulliken Rd	S	05-21-04	$250,001 - $500,000
JT	3974 Mulliken Rd	S	11-04-04	$250,001 - $500,000
	Personal Residence Excluded	S		

SCHEDULE V - LIABILITIES

Name Charles W Norwood

Report liabilities of over $10,000 owed to any one creditor at any time during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. Exclude: Any mortgage on your personal residence (unless all or part of it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of your or your spouse. Report "revolving charge accounts" only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability
JT	Suntrust Bank	Mortgage on Rental Property and Investments	$500,001 - $1,000,000
	Northwestern Mutual Life Ins	Personal Loan	$50,001 - $100,000

SCHEDULE VII - TRAVEL PAYMENTS AND REIMBURSEMENTS

Name Charles W Norwood

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $285 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor. Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	Point of Departure--Destination--Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Any time not at sponsor's expense
ASCO 2004 Public Service Award	June 5-7	Wash. D.C. - New Orleans - Wash. D.C.	Y	Y	Y	N/A

SCHEDULE VIII - POSITIONS

Name Charles W Norwood

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or any business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States. Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
Partner	Norwood Properties

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2004

FORM A

For use by Members, officers, and employees

2005 MAY 13 AM 9:24
U.S. HOUSE OF REPRESENTATIVES
HAND DELIVERED
(Office Use Only)

Devin Gerald Nunes
(Full Name)

1017 Longworth House Office Building Washington, DC 20515
(Mailing Address)

202-225-2523
Daytime Telephone

Filer Status ☑ Member of the U.S. House of Representative — State: CA District: 21 — ☐ Officer Or Employee — Employing Office:

Report Type ☑ Annual (May 15) ☐ Amendment ☐ Termination — Termination Date:

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? Yes ☑ No ☐
If yes, complete and attach Schedule I.

II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? Yes ☑ No ☐
If yes, complete and attach Schedule II.

III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? Yes ☑ No ☐
If yes, complete and attach Schedule III.

IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? Yes ☑ No ☐
If yes, complete and attach Schedule IV.

V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? Yes ☑ No ☐
If yes, complete and attach Schedule V.

VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $285 and not otherwise exempt)? Yes ☐ No ☑
If yes, complete and attach Schedule VI.

VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $285 from one source)? Yes ☐ No ☑
If yes, complete and attach Schedule VII.

VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? Yes ☑ No ☐
If yes, complete and attach Schedule VIII.

IX. Did you have any reportable agreement or arrangement with an outside entity? Yes ☐ No ☑
If yes, complete and attach Schedule IX.

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

Trusts- Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child? Yes ☐ No ☑

Exemptions-- Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption? Yes ☐ No ☑

CERTIFICATION -- THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and wilfully fails to file this report may be subject to civil penalties and criminal sanctions (See 5 U.S.C. app. 4, § 104 and U.S.C. § 1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	Devin Nunes	5/12/05

3341

SCHEDULE I - EARNED INCOME

Name Devin Gerald Nunes

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

Source	Type	Amount
Tulare County Office of Education	Spouse Salary	N/A

SCHEDULE II - PAYMENTS IN LIEU OF HONORARIA MADE TO CHARITY

Name Devin Gerald Nunes

List the source, activity (i.e., speech, appearance, or article), date, and amount of any payment made by the sponsor of an event to a charitable organization in lieu of an honorarium. A separate confidential list of charities receiving such payments must be filed directly with the Committee on Standards of Official Conduct. An envelope for transmitting the list is included in each Member's filing package.

Source	Activity	Date	Amount
Diageo	Appearance	March 8, 2004	$1,000

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Devin Gerald Nunes

BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self directed IRA (i.e., one where you have the power to select the specific investments) provide information on each asset in the account that exceeds the reporting threshold and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see instruction booklet for the reporting year. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.	BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership income or Farm income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.	BLOCK E **Transaction** Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.
Farm, Tulare County	$100,001 - $250,000	Farm Income	$15,001 - $50,000	

SCHEDULE IV - TRANSACTIONS

Name Devin Gerald Nunes

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
	Farmland, Tulare County (1/2 interest)	P	02-03-04	$100,001 - $250,000

SCHEDULE V - LIABILITIES

Name Devin Gerald Nunes

Report liabilities of over $10,000 owed to any one creditor at any time during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. Exclude: Any mortgage on your personal residence (unless all or part of it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of your or your spouse. Report "revolving charge accounts" only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability
	F.H.A. (1/2 interest)	Mortgage on farmland	$50,001 - $100,000

SCHEDULE VIII - POSITIONS

Name Devin Gerald Nunes

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or any business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States. Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
Owner	Farm, Tulare County

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2004

FORM A
For use by Members, officers, and employees

HAND DELIVERED

Jim Nussle
(Full Name)
303 Cannon House Office Bldg, DC 20515
(Mailing Address)
202-225-2911
Daytime Telephone

2005 MAY 16 AM 9:29 27

(Office Use Only) MC

Filer Status	[X] Member of the U.S. House of Representatives	State: Iowa District: 01	[ ] Officer or Employee	Employing Office:
Report Type	[X] Annual (May 15)	[ ] Amendment	[ ] Termination	Termination Date

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes [X]	No [ ]
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes [ ]	No [X]
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes [X]	No [ ]
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes [X]	No [ ]
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes [X]	No [ ]
VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $285 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes [ ]	No [X]
VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $285 from one source)? **If yes, complete and attach Schedule VII.**	Yes [X]	No [ ]
VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes [ ]	No [X]
IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes [ ]	No [X]

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes [ ]	No [X]
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes [ ]	No [X]

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, § 104 and 18 U.S.C. § 1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	Jim Nussle	5-16-05

3348

Name Jim Nussle | Page 2 of 6

SCHEDULE I — EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

Source	Type	Amount
Examples Keene State	Approved Teaching Fee	$6,000
State of Maryland	Legislative Pension	$9,000
Civil War Roundtable (Oct 2nd)	Spouse Speech	$1,000
Ontario County Board of Education	Spouse Salary	NA
Karen Nussle BKSH & Associates	Spouse Salary	N/a

For payments to charity in lieu of honoraria, use Schedule II.

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Name Jim Nussle

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self-directed IRA (i.e., one where you have the power to select the specific investments), provide information on *each asset* in the account that exceeds the reporting threshold, and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see the instruction booklet for the reporting year.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Asset

at close of reporting year.
If you use a valuation method other than fair market value, please specify the method used.
If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

BLOCK D — Amount of Income

For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.

BLOCK E — Transaction

Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.

	BLOCK A	A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify: For Example, Partnership Income or Farm Income)	I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	BLOCK E P, S, E
SP	SP Mega Corp. Stock					X								X										X								P
DC	*Examples.* Simon & Schuster			Indefinite																Royalties									X			
JT	1st Bank of Paducah, KY accounts						X									X									X							
JT	Thrivent Investments (see attached)						X																		X							P, S
SP	Thrivent IRA (see attached)				X																											

For additional assets and unearned income, use next page.

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SCHEDULE IV — TRANSACTIONS

Name Jim Nussle | Page 4 of 6

SP, DC, JT	Asset	Type of Transaction: PURCHASE	SALE	EXCHANGE	Date (MO/DAY/YR)	B $1,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
SP	*Example:* Mega Corporation Common Stock	X			10–12–04		X								
	See attached														

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Name Jim Nussle | Page 5 of 6

SCHEDULE V — LIABILITIES

Report liabilities of over $10,000 owed to any one creditor ***at any time*** during the reporting period by you, your spouse or dependent child. Mark the highest amount owed during the year. **Exclude:** Any mortgage on your personal residence (unless it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report ***revolving charge accounts*** only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability										
			B $10,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
Example:	First Bank of Wilmington, Delaware	Mortgage on 123 Main St., Dover, Del				X						
JT	Farmers & Merchants Savings Bank Manchester, Iowa	Mortgage on primary home (1220 N. 6th St Manchester, IA)				X						

SCHEDULE VI — GIFTS

Report the source, a brief description, and the value of all gifts totalling more than $285 received by you, your spouse, or a dependent child from any source during the year.

Exclude: Gifts from relatives, gifts of personal hospitality of an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of $114 or less need not be added towards the $285 disclosure threshold.

Note: The gift rule (House Rule 25, clause 5) prohibits acceptance of gifts except as specifically provided in the rule.

Source	Description	Value
Example: Mr. Joseph H. Smith, Anytown, Anystate	Silver Platter (determination on personal friendship received from Committee on Standards)	$290

Use additional sheets if more space is required.

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SCHEDULE VII —TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $285 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor.

Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

	Source	Date(s)	Point of Departure—Destination—Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Any time not at sponsor's expense
Examples:	Chicago Chamber of Commerce	Mar. 2	Wash., D.C.—Chicago—Wash., D.C.	N	N	N	N
	Roycroft Corporation	Aug. 6–11	Wash., D.C.—Los Angeles—Cleveland	Y	Y	Y	2 Days
	The Congressional Institute (Leadership Meeting) (No transportation expenses)	Jan. 15	DC-St. Michael's, MD-DC	Y	Y	N	N

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ASSET CHOICE
ACCOUNT STATEMENT
DECEMBER 1, 2004 – DECEMBER 31, 2004

KAREN NUSSLE 12T7
JAMES A NUSSLE LK24
JT TEN/WROS
1220 N 6TH ST
MANCHESTER IA 52057-0000

ACCOUNT VALUE SUMMARY

	THIS PERIOD	THIS YEAR
Beginning account value	$182,594.78	$164,317.84
Deposits	0.00	4,587.44
Withdrawals	0.00	-2,139.40
Taxable income	1,814.03	5,470.92
Change in asset value	2,655.74	14,827.75
Ending account value	$187,064.55	$187,064.55

YOUR INFORMATION

Joint Tenant – Rights Of Survivorship Account

Financial Consultant
Larry A Winter
Thrivent Investment Management
145 Hodencamp Road
Suite 202
Thousand Oaks CA 91360
Telephone: (805)-373-8686 or (800) 847-4836
Fax: (805)-496-7601
E-mail: larry.winter@thrivent.com

YOUR MESSAGE BOARD

Would you like a current version of the Thrivent Investment Management Inc. SEC Form ADV Part III? This contains information about our background and business practices, and is updated and filed with the SEC whenever there is a material change in our business.

If you are interested in receiving an updated copy of our part II Form ADV, please contact Brokerage Services at 1-800-847-4836, option 1, option 4.

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ASSET CHOICE
ACCOUNT STATEMENT
DECEMBER 1, 2004 – DECEMBER 31, 2004

Account number:
12T7-1240-2344

ASSET ALLOCATION SUMMARY

	CURRENT VALUE	PERCENT
Cash and money market	$4,461.69	2%
US equities	76,459.43	41%
International equities	19,788.48	10%
Mixed assets	87,334.75	47%
Current account value	$187,064.55	100%

Mutual funds are included in the above categories. Funds that invest in more than one category are reported as "Mixed Assets."

The cash and money market figure is net of debits including any margin debit, if applicable.

GAIN/LOSS SUMMARY

	THIS PERIOD	THIS YEAR
Total realized gain or loss	$0.00	$1,314.69
Short-term gain or loss	0.00	1,314.69
Long-term gain or loss	0.00	0.00
		AS OF DECEMBER 31, 2004
Unrealized gain or loss		$24,329.87

Gain/loss information is presented only for selected securities. Gain/loss information, if presented, includes only the securities for which we have original cost information. If you have the original cost of securities not purchased at RBC Dain Rauscher but included in your RBC Dain Rauscher statement under "Asset Detail" and marked as N/A, please contact your Financial Consultant. Please see "About Your Statement" on page 2 for further information.

ACTIVITY SUMMARY

Total account value last statement		$182,584.78
Cash/Money market activity		
Beginning balance	4,342.17	
Money coming into your account		
Dividends	712.06	
Capital gains	1,101.97	
Total	1,814.03	
Money going out of your account		
Funds to purchase securities	-1,675.31	
Total	-1,675.31	
Ending Balance	4,481.69	
Net change cash/money market activity		$138.72
Change in security value		
Beginning value of priced securities	178,251.81	
Securities purchased	1,675.31	
Change in value of priced securities	2,656.74	
Ending value of priced securities	182,582.86	
Net change in securities value		$4,331.05
Total account value as of December 31, 2004		$187,064.55

KAREN NUSSLE
JAMES A NUSSLE

ASSET DETAIL

** The Unrealized Gain/Loss may not reflect your investment's total return. Specifically, the net cost may include dividend and capital gains distributions which have been reinvested. Additionally, the information that appears in these columns may be based on information provided by you or at your direction. RBC Dain Rauscher has not verified such data. Please see "About Your Statement" on page 1 for further information.*

Your Financial Consultant has elected to display Asset Detail with the following options: asset purchases (tax lots) consolidated

CASH AND MONEY MARKET

DESCRIPTION	SYMBOL	QUANTITY	MARKET PRICE	CURRENT MARKET VALUE	PREVIOUS STATEMENT MARKET VALUE	YTD INCOME
TAMARACK INVT FDS PRIME	TMPX+	4,481.890	$1.000	$4,481.89	$4,343.17	$36.38

US EQUITIES

DESCRIPTION	SYMBOL	QUANTITY	MARKET PRICE	MARKET VALUE		NET COST *	UNREALIZED GAIN/LOSS *	ESTIMATED ANNUAL INCOME
CALAMOS GROWTH - A	CVGRX	189.595	$52.860	$10,044.74	Purchase Reinvest	$7,500.00 $27.06	$2,516.40 $1.26	
DODGE & COX STOCK FUND	DODGX	75.614	$130.220	$9,848.46	Purchase Reinvest	$7,500.00 $224.29	$2,090.05 $32.11	$111.15
GROWTH FUND OF AMERICA CL F	GFAFX	343.801	$27.240	$9,359.68	Purchase Reinvest	$7,500.00 $41.65	$1,816.00 $2.04	$34.36
ISHARES S&P500 BARRAGRO GROWTH INDEX FD	IVW	150.000	$57.750	$8,662.50		$7,597.50	$1,065.00	
ISHARES TR S & P MIDCAP 400 BARRA GROWTH INDEX FD	IJK	150.000	$134.400	$20,160.00		$16,284.00	$3,876.00	
STANDARD & POORS DEP REC TR UNIT SER 1 B/E	SPY	80.000	$120.870	$9,669.60		N/A	N/A	$181.60
THRIVENT LARGE CAP STOCK FUND CL A	AALGX	341.286	$25.540	$8,716.44	Purchase Reinvest	$7,800.00 $1,530.36	-$748.25 $134.34	$30.71
TOTAL US EQUITIES				**$76,459.43**		**$56,004.88**	**$10,784.95**	**$357.82**

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ASSET CHOICE
ACCOUNT STATEMENT
DECEMBER 1, 2004 – DECEMBER 31, 2004

INTERNATIONAL EQUITIES

DESCRIPTION	SYMBOL	QUANTITY	MARKET PRICE	MARKET VALUE		NET COST *	UNREALIZED GAIN/LOSS *	ESTIMATED ANNUAL INCOME
TEMPLETON GROWTH -A	TEPLX	820.816	$22.890	$18,788.48	Purchase	$14,000.00	$3,309.12	$336.53
					Reinvest	$1,284.40	$134.96	

MIXED ASSETS

DESCRIPTION	SYMBOL	QUANTITY	MARKET PRICE	MARKET VALUE		NET COST *	UNREALIZED GAIN/LOSS *	ESTIMATED ANNUAL INCOME
AMERICAN BALANCED CL F	BALFX	1,516.564	$18.000	$27,298.15	Purchase	$23,700.00	$2,264.69	$530.79
					Reinvest	$1,297.49	$35.98	
DODGE & COX BALANCED	DODBX	350.389	$79.350	$27,801.78	Purchase	$23,700.00	$3,215.60	$546.57
					Reinvest	$626.97	$59.21	
FIRST EAGLE GLOBAL FD CL A	SGENX	585.872	$38.810	$22,737.69	Purchase	$19,188.00	$3,186.32	
					Reinvest	$351.67	$11.71	
INCOME FUND OF AMERICA CL F	IFAFX	512.251	$18.540	$9,497.13	Purchase	$7,800.00	$1,243.90	$312.47
					Reinvest	$429.78	$23.45	
TOTAL MIXED ASSETS				$87,334.75		$77,293.91	$10,040.84	$1,389.83

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L WINTER
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ACTIVITY DETAIL

Realized gain/loss column includes fees and commissions. It does not include accrued interest.

Purchases, sales and other activity all represent an exchange of cash and/or money market funds for securities and, as such, do not represent deposits to or withdrawals from your account. Account value changes due to commissions, mark ups, mark downs and accrued interest are shown in the "Change in value of priced securities" box of the Account Value Summary.

** Information that appears in these columns may be based on information provided by you or at your direction; RBC Dain Rauscher has not verified such data.*
Please see "About Your Statement" on page 2 for further information.

PURCHASES

Regular purchases

DATE	DESCRIPTION	QUANTITY	PRICE	NET COST	COMMENTS
12/13/04	FIRST EAGLE GLOBAL FD CL A 2.7630 @ 37.560	2.763	$37.560	-$103.77	REINVEST
12/13/04	FIRST EAGLE GLOBAL FD CL A 6.6000 @ 37.560	6.600	$37.560	-$247.90	REINVEST
12/13/04	TEMPLETON GROWTH -A 3.5360 @ 22.130	3.536	$22.130	-$78.30	REINVEST
12/13/04	TEMPLETON GROWTH -A 12.5350 @ 22.130	12.535	$22.130	-$277.39	REINVEST
12/14/04	AMERICAN BALANCED CL F 3.4840 @ 17.750	3.484	$17.750	-$61.84	REINVEST
12/14/04	AMERICAN BALANCED CL F 7.2830 @ 17.750	7.283	$17.750	-$129.28	REINVEST
12/14/04	AMERICAN BALANCED CL F 33.3480 @ 17.750	33.348	$17.750	-$591.92	REINVEST
12/14/04	GROWTH FUND OF AMERICA CL F 1.3180 @ 26.470	1.318	$26.470	-$34.88	REINVEST
12/20/04	INCOME FUND OF AMERICA CL F .4060 @ 18.510	0.406	$18.510	-$7.56	REINVEST

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L WALTER

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ASSET CHOICE
ACCOUNT STATEMENT
DECEMBER 1, 2004 - DECEMBER 31, 2004

PURCHASES
Regular purchases

DATE	DESCRIPTION	QUANTITY	PRICE	NET COST	COMMENTS
12/28/04	INCOME FUND OF AMERICA CL F 3.6220 @ 18.510	3.622	$18.510	-$67.05	REINVEST
12/28/04	INCOME FUND OF AMERICA CL F 4.0750 @ 18.510	4.075	$18.510	-$75.42	REINVEST
Total regular purchases				**-$1,675.31**	
TOTAL PURCHASES				**-$1,675.31**	

TAXABLE INCOME

Dividends

DATE	DESCRIPTION	SYMBOL/CUSIP	AMOUNT	COMMENTS
12/02/04	STANDARD & POORS DEP REC TR UNIT SER 1 B/E DIVIDEND	SPY	$28.06	
12/13/04 as of 12/09/04	FIRST EAGLE GLOBAL FD CL A DIVIDEND	SGENX	$247.90	
12/13/04 as of 12/14/04	TEMPLETON GROWTH -A DIVIDEND	TEPLX	$76.30	
12/14/04 as of 12/13/04	AMERICAN BALANCED CL F DIVIDEND	BALFX	$129.28	
12/14/04 as of 12/13/04	GROWTH FUND OF AMERICA CL F DIVIDEND	GFAFX	$34.88	
12/28/04 as of 12/27/04	INCOME FUND OF AMERICA CL F DIVIDEND	IFAFX	$7.56	
12/28/04 as of 12/27/04	INCOME FUND OF AMERICA CL F DIVIDEND	IFAFX	$75.42	

KAREN NUSSLE
JAMES A NUSSLE

TAXABLE INCOME

Dividends

DATE	DESCRIPTION	SYMBOL/CUSIP	AMOUNT	COMMENTS
12/30/04 as of 12/31/04	ISHARES TR S & P MIDCAP 400 BARRA GROWTH INDEX FD	IJK	$26.26	
12/30/04 as of 12/31/04	ISHARES S&P500 BARRAGRO GROWTH INDEX FD DIVIDEND	IVW	$78.55	
12/31/04	TAMARACK INVT FDS PRIME DIVIDEND REINVEST		$5.83	1.46% AVERAGE YIELD FOR MONTH
Total dividends			**$712.06**	

Capital gains distributions

DATE	DESCRIPTION	SYMBOL/CUSIP	AMOUNT	COMMENTS
12/13/04 as of 12/09/04	FIRST EAGLE GLOBAL FD CL A LONG TERM CAP GAIN		$103.77	
12/13/04 as of 12/14/04	TEMPLETON GROWTH -A LONG TERM CAP GAIN		$277.39	
12/14/04 as of 12/13/04	AMERICAN BALANCED CL F LONG TERM CAP GAIN		$591.92	
12/14/04 as of 12/13/04	AMERICAN BALANCED CL F SHORT TERM CAP GAIN		$61.84	
12/28/04 as of 12/27/04	INCOME FUND OF AMERICA CL F LONG TERM CAP GAIN		$67.05	
Total capital gains distributions			**$1,101.97**	
TOTAL TAXABLE INCOME			**$1,814.03**	

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ASSET CHOICE
ACCOUNT STATEMENT
DECEMBER 1, 2004 - DECEMBER 31, 2004

OPEN ORDERS

DATE ENTERED	DESCRIPTION	SYMBOL	QUANTITY REMAINING	ORDER TYPE	PRICE LIMIT	ORDER LIFE
02/26/04	ISHARES TR S & P MIDCAP 400 BARRA GROWTH INDEX FD	IJK	150.000	SELL	$109.400	GOOD UNTIL CANCELLED
02/26/04	ISHARES TRUST S & P 500 BARRA GRTH INDX FD	IVW	150.000	SELL	$50.500	GOOD UNTIL CANCELLED
02/26/04	STANDARD & POORS DEP REC TR UNIT SER 1 B/E	SPY	80.000	SELL	$100.780	GOOD UNTIL CANCELLED

SCHEDULE OF REALIZED GAINS AND LOSSES

	PRIOR PERIOD	THIS PERIOD	ADJUSTMENTS	THIS YEAR
Total Realized Gain or Loss	1,314.69	0.00	0.00	1,314.69
Short-term	1,314.69	0.00	0.00	1,314.69

QUANTITY	DESCRIPTION	SYMBOL	OPEN DATE	NET COST	CLOSE DATE	NET PROCEEDS	REALIZED GAIN/LOSS
Short Term							
86.000	WPP GROUP PLC	WPPGY	03/17/04	0.00	04/29/04	0.00	N/A
100.000	ISHARES TR	IJR	08/19/03	11,874.90	05/10/04	13,188.69	1,314.69
Short Term Subtotal							**1,314.69**
TOTAL REALIZED GAIN OR LOSS							**1,314.69**

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KAREN NUSSLE
JAMES A NUSSLE

MONEY MARKET DETAIL

** Transaction details are only provided for Tamarack funds and Federated Funds if your account is set up for automated sweep.*

TAMARACK INVT FDS PRIME

DATE	DESCRIPTION	AMOUNT
12/01/2004	BALANCE FORWARD	4,343 17
12/06/2004	PURCHASE	28.08
12/31/2004	DIVIDEND REINVEST	6.83
12/31/2004	PURCHASE	104.81
12/31/2004	ENDING BALANCE	4,481 89

Prepared for:
KAREN NUSSLE
JAMES A NUSSLE
JT TEN/WROS
1220 N 6TH ST
MANCHESTER IA 52057-0000

Account Activity

All Tax Years
Trades & Security Activity
As of April 25, 2005
For Account: 12T7-1240-2344

Prepared by:
LARRY A WINTER

Phone 805/373-8686
Toll-Free 800/847-4836
Fax 805/496-7601

Date	Quantity	Description	Price	Source	Net Amount
04/01/05	1.9350	DODGE & COX BALANCED SYMBOL: DODBX		RINV	-150.58
04/01/05	0.9410	DODGE & COX BALANCED SYMBOL: DODBX		RINV	-73.19
04/01/05	0.0280	DODGE & COX BALANCED SYMBOL: DODBX		RINV	-2.15
04/01/05	0.3700	DODGE & COX STOCK FUND SYMBOL: DODGX		RINV	-46.96
04/01/05	0.2570	DODGE & COX STOCK FUND SYMBOL: DODGX		RINV	-32.67
04/01/05	0.0890	DODGE & COX STOCK FUND SYMBOL: DODGX		RINV	-11.36
03/29/05	4.2420	INCOME FUND OF AMERICA CL F SYMBOL: IFAFX		RINV	-75.57
02/23/05	7.8760	AMERICAN BALANCED CL F SYMBOL: BALFX		RINV	-140.74
01/03/05	5.2580	DODGE & COX BALANCED SYMBOL: DODBX		RINV	-418.94
01/03/05	1.8110	DODGE & COX BALANCED SYMBOL: DODBX		RINV	-143.65
01/03/05	1.3250	DODGE & COX BALANCED SYMBOL: DODBX		RINV	-105.11
01/03/05	1.2190	DODGE & COX STOCK FUND SYMBOL: DODGX		RINV	-158.79
01/03/05	0.7020	DODGE & COX STOCK FUND SYMBOL: DODGX		RINV	-91.49
01/03/05	0.2500	DODGE & COX STOCK FUND SYMBOL: DODGX		RINV	-32.51
01/03/05	2.0220	THRIVENT LARGE CAP STOCK FUND CL A SYMBOL: AALGX		RINV	-51.69
12/28/04	4.0750	INCOME FUND OF AMERICA CL F SYMBOL: IFAFX		RINV	-75.42

Prepared on: April 26, 2005

Account Activity

All Tax Years
Trades & Security Activity
As of April 25, 2005
For Account 12T7-1240-2344

Date	Quantity	Description	Price	Source	Net Amount
12/28/04	3.6220	INCOME FUND OF AMERICA CL F SYMBOL: IFAFX		RINV	-67.05
12/28/04	0.4080	INCOME FUND OF AMERICA CL F SYMBOL: IFAFX		RINV	-7.56
12/14/04	33.3460	AMERICAN BALANCED CL F SYMBOL: BALFX		RINV	-591.92
12/14/04	7.2830	AMERICAN BALANCED CL F SYMBOL: BALFX		RINV	-129.28
12/14/04	3.4840	AMERICAN BALANCED CL F SYMBOL: BALFX		RINV	-61.84
12/14/04	1.3180	GROWTH FUND OF AMERICA CL F SYMBOL: GFAFX		RINV	-34.88
12/13/04	6.6000	FIRST EAGLE GLOBAL FD CL A SYMBOL: SGENX		RINV	-247.00
12/13/04	2.7630	FIRST EAGLE GLOBAL FD CL A SYMBOL: SGENX		RINV	-103.77
12/13/04	12.5350	TEMPLETON GROWTH -A SYMBOL: TEPLX		RINV	-277.39
12/13/04	3.5380	TEMPLETON GROWTH -A SYMBOL: TEPLX		RINV	-78.30
11/19/04	0.5350	CALAMOS GROWTH - A SYMBOL: CVGRX		RINV	-27.08
10/25/04	14.7060	TEMPLETON GROWTH -A SYMBOL: TEPLX		RINV	-308.75
10/25/04	11.7930	TEMPLETON GROWTH -A SYMBOL: TEPLX		RINV	-247.53
10/25/04	1.3180	TEMPLETON GROWTH -A SYMBOL: TEPLX		RINV	-27.66

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Account Activity

All Tax Years
Trades & Security Activity
As of April 25, 2005
For Account: 1277-1240-2344

Date	Quantity	Description	Price	Source	Net Amount
10/01/04	1.9990	DODGE & COX BALANCED SYMBOL: DODBX		RINV	-149.80
10/01/04	0.2360	DODGE & COX STOCK FUND SYMBOL: DODGX		RINV	-27.89
09/28/04	4.2960	INCOME FUND OF AMERICA CL F SYMBOL: IFAFX		RINV	-74.79
08/17/04	7.5860	AMERICAN BALANCED CL F SYMBOL: BALFX		RINV	-129.20
07/19/04	-64.6320	AAL CAPITAL GROWTH-A XXX CUSIP: 000357103		CHG	0.00
07/19/04	64.6320	THRIVENT LARGE CAP STOCK FUND CL A SYMBOL: AALGX		CHG	0.00
07/16/04	-276.6540	AAL CAPITAL GROWTH-A XXX CUSIP: 000357103		CHG	0.00
07/16/04	64.2240	AAL CAPITAL GROWTH-A XXX CUSIP: 000357103		RINV	-1,504.77
07/16/04	0.4080	AAL CAPITAL GROWTH-A XXX CUSIP: 000357103		RINV	-9.56
07/16/04	276.6540	THRIVENT LARGE CAP STOCK FUND CL A SYMBOL: AALGX		CHG	0.00
07/01/04	1.8060	DODGE & COX BALANCED SYMBOL: DODBX		RINV	-135.16
07/01/04	0.2340	DODGE & COX STOCK FUND SYMBOL: DODGX		RINV	-27.80
06/29/04	4.3320	INCOME FUND OF AMERICA CL F SYMBOL: IFAFX		RINV	-74.29
05/25/04	7.4700	AMERICAN BALANCED CL F SYMBOL: BALFX		RINV	-127.81
05/18/04	400.6080	FIRST EAGLE GLOBAL FD CL A SYMBOL: SGENX	32.92		-13,188.00

Prepared on: April 26, 2005

Account Activity

All Tax Years
Trades & Security Activity
As of April 25, 2005
For Account: 1277-1240-2344

Date	Quantity	Description	Price	Source	Net Amount
05/10/04	-100	ISHARES TR S & P SMALL CAP 600 SYMBOL: IJR	131.89		13,185.59
05/06/04	175.9010	FIRST EAGLE GLOBAL FD CL A SYMBOL: SGENX	34.11		-6,000.00
04/29/04	-88	WPP GROUP PLC ADR NEW SYMBOL: WPPGY	49.94		4,394.81
04/01/04	1.6950	DODGE & COX BALANCED SYMBOL: DODBX		RINV	-127.28
04/01/04	0.8710	DODGE & COX BALANCED SYMBOL: DODBX		RINV	-65.36
04/01/04	0.2280	DODGE & COX STOCK FUND SYMBOL: DODGX		RINV	-26.89
04/01/04	0.2150	DODGE & COX STOCK FUND SYMBOL: DODGX		RINV	-25.40
03/30/04	4.6820	INCOME FUND OF AMERICA CL F SYMBOL: IFAFX		RINV	-83.48
03/17/04	88	WPP GROUP PLC ADR NEW SYMBOL: WPPGY		TAI	0.00
02/24/04	7.3320	AMERICAN BALANCED CL F SYMBOL: BALFX		RINV	-130.07

This report is provided as a service from your Financial Consultant. It is not, and should not be construed as, a substitute for your (or your firm's) account statements and confirmations. This report is prepared as of trade date rather than settlement date and may be prepared on a different date than your statement. Security valuations have been obtained from reliable sources but do not represent guaranteed bid, offers or markets for the securities represented. Dividend and interest calculations are approximations and are subject to change. If you find discrepancies in this report, please contact your Financial Consultant or the Branch Manager.

Thrivent Investment Management
625 Fourth Ave. S., Minneapolis, MN 55415-1624
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INDIVIDUAL RETIREMENT ACCOUNT STATEMENT

DECEMBER 1, 2004 – DECEMBER 31, 2004

Account number
1217-1239-2578

KAREN M NUSSLE 1277
INDIVIDUAL RETIREMENT ACCOUNT LK24
RBC DAIN RAUSCHER CUSTODIAN
1220 N 6TH STREET
MANCHESTER IA 52057

ACCOUNT VALUE SUMMARY

	THIS PERIOD	THIS YEAR
Beginning account value	$42,866.48	$38,431.64
Withdrawals	0.00	-35.00
Income	84.47	400.42
Change in asset value	831.46	4,985.35
Ending account value	$43,782.41	$43,782.41

YOUR INFORMATION

Individual Retirement Account

Financial Consultant
Larry A Winter
Thrivent Investment Management
145 Hodencamp Road
Suite 202
Thousand Oaks CA 91360
Telephone (805)-373-8686 or (800) 847-4836
Fax: (805)-496-7601
E-mail: larry.winter@thrivent.com

YOUR MESSAGE BOARD

Would you like a current version of the Thrivent Investment Management Inc. SEC Form ADV Part II? This contains information about our background and business practices, and is updated and filed with the SEC whenever there is a material change in our business.

If you are interested in receiving an updated copy of our part II Form ADV, please contact Brokerage Services at 1-800-847-4836, option 1, option 4.

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INDIVIDUAL RETIREMENT ACCOUNT STATEMENT

DECEMBER 1, 2004 – DECEMBER 31, 2004

ASSET ALLOCATION SUMMARY

	CURRENT VALUE	PERCENT
Cash and money market	$621.97	1%
US equities	26,067.62	60%
International equities	7,121.70	16%
Mixed assets	9,971.12	23%
Current account value	$43,782.41	100%

Mixed funds are included in the above categories. Funds that invest in more than one category are reported as "Mixed Assets."

The cash and money market figure is net of sicker (including any margin debit, if applicable.

GAIN/LOSS SUMMARY

	THIS PERIOD	THIS YEAR
Total realized gain or loss	$0.00	$0.00
Short-term gain or loss	0.00	0.00
Long-term gain or loss	0.00	0.00
		AS OF DECEMBER 31, 2004
Unrealized gain or loss		$1,174.50

Gain/loss information is presented only for selected securities. Gain/loss information, if presented, includes only the securities for which we have original cost information. If you know the original cost of securities not purchased at RBC Dain Rauscher but included in your RBC Dain Rauscher statement, enter "Asset Detail" and marked as N/A, please contact your Financial Consultant. Please see "About Your Statement" on page 2 for further information.

ACTIVITY SUMMARY

Total account value last statement		$42,866.48
Cash/Money market activity		
Beginning balance	621.14	
Money coming into your account		
Dividends	84.47	
Total	84.47	
Money going out of your account		
Funds to purchase securities	-83.64	
Total	-83.64	
Ending Balance	621.97	
Net change cash/money market activity		$0.83
Change in security value		
Beginning value of priced securities	42,245.34	
Securities purchased	83.64	
Change in value of priced securities	831.46	
Ending value of priced securities	43,160.44	
Net change in securities value		$915.10
Total account value as of December 31, 2004		$43,782.41

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ASSET DETAIL

** The Unrealized Gain/Loss may not reflect your investment's total return. Specifically, the net cost may include dividend and capital gains distributions which have been reinvested.*
Additionally, the information that appears in these columns may be based on information provided by you or at your direction. RBC Dain Rauscher has not verified such data. Please see "About Your Statement" on page 2 for further information.

Your Financial Consultant has elected to display Asset Detail with the following options: asset purchases (tax lots) consolidated.

CASH AND MONEY MARKET

DESCRIPTION	SYMBOL	QUANTITY	MARKET PRICE	CURRENT MARKET VALUE	PREVIOUS STATEMENT MARKET VALUE	YTD INCOME
TAMARACK INVT FDS PRIME	TMPX+	621.970	$1.000	$621.97	$621.14	$4.79

US EQUITIES

DESCRIPTION	SYMBOL	QUANTITY	MARKET PRICE	MARKET VALUE	NET COST *	UNREALIZED GAIN/LOSS *	ESTIMATED ANNUAL INCOME
DODGE & COX STOCK FUND	DODGX	95.311	$130.220	$12,411.40	N/A	N/A	$140.10
DREYFUS MIDCAP VALUE	DMCVX	257.848	$32.450	$8,367.17	N/A	N/A	
FEDERATED CAPITAL APPREC -A	FEDEX	208.641	$25.350	$5,289.05	N/A	N/A	$20.86
TOTAL US EQUITIES				**$26,067.62**	**$0.00**	**$0.00**	**$160.96**

INTERNATIONAL EQUITIES

DESCRIPTION	SYMBOL	QUANTITY	MARKET PRICE	MARKET VALUE	NET COST *	UNREALIZED GAIN/LOSS *	ESTIMATED ANNUAL INCOME
OPPENHEIMER GLOBAL - A	OPPAX	117.191	$60.770	$7,121.70	N/A	N/A	$39.84

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INDIVIDUAL RETIREMENT ACCOUNT STATEMENT

DECEMBER 1, 2004 – DECEMBER 31, 2004

MIXED ASSETS

DESCRIPTION	SYMBOL	QUANTITY	MARKET PRICE	MARKET VALUE		NET COST *	UNREALIZED GAIN/LOSS *	ESTIMATED ANNUAL INCOME
DODGE & COX BALANCED	DODBX	125.660	$79.350	$9,971.12	Purchase	$8,500.00	$1,153.32	$196.02
					Reinvest	$296.61	$21.18	

ACTIVITY DETAIL

Realized gain/loss column includes fees and commissions. It does not include accrued interest

Purchases, sales and other activity all represent an exchange of cash and/or money market funds for securities and, as such, do not represent deposits to or withdrawals from your account. Account value changes due to commissions, markups, markdowns and accrued interest are shown in the "Change in value of priced securities" line of the Account Value Summary

** Information that appears in these columns may be based on information provided by you or at your direction; RBC Dain Rauscher has not verified such data. Please see "About Your Statement" on page 2 for further information.*

PURCHASES

Regular purchases

DATE	DESCRIPTION	QUANTITY	PRICE	NET COST	COMMENTS
12/08/04	OPPENHEIMER GLOBAL – A .6630 @ 59.720	0.663	$59.720	-$39.57	REINVEST
12/29/04	FEDERATED CAPITAL APPREC –A 1.7490 @ 25.200	1.749	$25.200	-$44.07	REINVEST
Total regular purchases				-$83.64	
TOTAL PURCHASES				-$83.64	

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INCOME

Dividends

DATE	DESCRIPTION	SYMBOL/CUSIP	AMOUNT	COMMENTS
12/08/04 as of 12/07/04	OPPENHEIMER GLOBAL - A DIVIDEND	OPPAX	$39.57	
12/29/04 as of 12/28/04	FEDERATED CAPITAL APPREC -A DIVIDEND	FEDEX	$44.07	
12/31/04	TAMARACK INVT FDS PRIME DIVIDEND REINVEST		$0.83	1.46% AVERAGE YIELD FOR MONTH
Total dividends			**$84.47**	
TOTAL INCOME			**$84.47**	

RETIREMENT ACCOUNT INFORMATION

CONTRIBUTIONS

DESCRIPTION	IRA	ROLLOVER	DIRECT ROLLOVER
DEPOSITED IN 2003 FOR 2003	$0.00	$0.00	$0.00
DEPOSITED IN 2004 FOR 2003	$0.00	$0.00	$0.00
DEPOSITED IN 2004 FOR 2004	$0.00	$0.00	$0.00

TRANSFERS

	AMOUNT
TRANSFERRED IN 2003	$33,940.55
TRANSFERRED IN 2004	$0.00

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INDIVIDUAL RETIREMENT ACCOUNT STATEMENT

DECEMBER 1, 2004 – DECEMBER 31, 2004

RETIREMENT ACCOUNT INFORMATION (continued)

DISTRIBUTIONS

DESCRIPTION	DISTRIBUTION	FEDERAL WITHHOLDING	STATE WITHHOLDING
DISTRIBUTED IN 2004	$0.00	$0.00	$0.00

PRIMARY BENEFICIARY INFORMATION

JIM NUSSLE 100.00%

SCHEDULE OF REALIZED GAINS AND LOSSES

TOTAL REALIZED GAIN OR LOSS	0.00

MONEY MARKET DETAIL

** Transaction details are only provided for Tamarack funds and Federated Funds if your account is set up for automated sweep.*

TAMARACK INVT FDS PRIME

DATE	DESCRIPTION	AMOUNT
12/01/2004	BALANCE FORWARD	621.14
12/31/2004	DIVIDEND REINVEST	0.83
12/31/2004	ENDING BALANCE	621.97

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01/10/2005 10:30 LOCKNEYDOT L WALKER PAGE 10

Prepared for:
KAREN M NUSSLE
INDIVIDUAL RETIREMENT ACCOUNT
RBC DAIN RAUSCHER CUSTODIAN
1220 N 6TH STREET
MANCHESTER IA 52057

Account Activity

All Tax Years
Trades & Security Activity
As of April 25, 2005
For Account: 1277-1239-2578

Prepared by:
LARRY A WINTER

Phone 605/373-8686
Toll-Free 800/847-4836
Fax 605/496-7601

Date	Quantity	Description	Price	Source	Net Amount
04/01/05	0.6940	DODGE & COX BALANCED SYMBOL: DODBX		RINV	-54.04
04/01/05	0.3370	DODGE & COX BALANCED SYMBOL: DODBX		RINV	-26.25
04/01/05	0.0100	DODGE & COX BALANCED SYMBOL: DODBX		RINV	-0.77
04/01/05	0.4660	DODGE & COX STOCK FUND SYMBOL: DODGX		RINV	-59.22
04/01/05	0.3240	DODGE & COX STOCK FUND SYMBOL: DODGX		RINV	-41.18
04/01/05	0.1130	DODGE & COX STOCK FUND SYMBOL: DODGX		RINV	-14.31
01/03/05	1.8860	DODGE & COX BALANCED SYMBOL: DODBX		RINV	149.54
01/03/05	0.6500	DODGE & COX BALANCED SYMBOL: DODBX		RINV	-51.52
01/03/05	0.4750	DODGE & COX BALANCED SYMBOL: DODBX		RINV	-37.70
01/03/05	1.5360	DODGE & COX STOCK FUND SYMBOL: DODGX		RINV	-200.15
01/03/05	0.8850	DODGE & COX STOCK FUND SYMBOL: DODGX		RINV	-115.33
01/03/05	0.3150	DODGE & COX STOCK FUND SYMBOL: DODGX		RINV	-40.98
12/29/04	1.7490	FEDERATED CAPITAL APPREC -A SYMBOL: FEDEX		RINV	-44.07
12/08/04	0.6630	OPPENHEIMER GLOBAL - A SYMBOL: OPPAX		RINV	-39.57
11/18/04	0.1830	FEDERATED CAPITAL APPREC A SYMBOL: FEDEX		RINV	-4.57
10/01/04	0.7170	DODGE & COX BALANCED SYMBOL: DODBX		RINV	-53.73
10/01/04	0.2970	DODGE & COX STOCK FUND SYMBOL: DODGX		RINV	-35.16

Prepared on April 26, 2005

Account Activity

All Tax Years
Trades & Security Activity
As of April 25, 2005
For Account: 12T7-1239-2578

Date	Quantity	Description	Price	Source	Net Amount
07/01/04	0.6480	DODGE & COX BALANCED SYMBOL: DODBX		RINV	-48.48
07/01/04	0.2950	DODGE & COX STOCK FUND SYMBOL: DODGX		RINV	-35.05
04/01/04	0.6080	DODGE & COX BALANCED SYMBOL: DODBX		RINV	-45.65
04/01/04	0.3120	DODGE & COX BALANCED SYMBOL: DODBX		RINV	-23.44
04/01/04	0.2870	DODGE & COX STOCK FUND SYMBOL: DODGX		RINV	-33.90
04/01/04	0.2710	DODGE & COX STOCK FUND SYMBOL: DODGX		RINV	-32.01

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Prepared on: April 26, 2005

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(Office Use Only)

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UNITED STATES HOUSE OF REPRESENTATIVES
FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2004

FORM A Page 1
For use by Members, officers, and employees

James Oberstar
(Full Name)

2365 Rayburn Building Washington, DC 20515
(Mailing Address)

202/225-6211
Daytime Telephone

Filer Status	☑ Member of the U.S. House of Representatives	State: MN District: 08	☐ Officer Or Employee — Employing Office:
Report Type	☑ Annual (May 15)	☐ Amendment	☐ Termination — Termination Date:

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION – ANSWER EACH OF THESE QUESTIONS

I.	Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes ☑ No ☐	VI.	Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $285 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes ☐ No ☑
II.	Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes ☐ No ☑	VII.	Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $285 from one source)? **If yes, complete and attach Schedule VII.**	Yes ☑ No ☐
III.	Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes ☑ No ☐	VIII.	Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes ☑ No ☐
IV.	Did you, your spouse, or dependent child purchase, sell, or exchange any *reportable asset in a transaction exceeding $1,000 during the reporting* period? **If yes, complete and attach Schedule IV.**	Yes ☑ No ☐	IX.	Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes ☐ No ☑
V.	Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? ***If yes, complete and attach Schedule V.***	Yes ☐ No ☑		**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**	

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION – ANSWER EACH OF THESE QUESTIONS

Trusts–	Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent *child?*	Yes ☑ No ☐
Exemptions–	Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes ☑ No

CERTIFICATION – THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written *application* and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (See 5 U.S.C. app. 4, § 104 and U.S.C. § 1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief	James L. Oberstar	5-12-2005

SCHEDULE I - EARNED INCOME

Name James Oberstar

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

Source	Type	Amount
JKO, Inc.	Spouse Salary	N/A

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name James Oberstar

BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self directed IRA (i.e., one where you have the power to select the specific investments) provide information on each asset in the account that exceeds the reporting threshold and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see instruction booklet for the reporting year. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.		BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership income or Farm income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.	BLOCK E **Transaction** Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.
SP	Cendant Corp	None	DIVIDENDS	$201 - $1,000	PS
SP	Fedders Corp	None	DIVIDENDS	$1 - $200	S
SP	Saul Centers	$100,001 - $250,000	DIVIDENDS	$2,501 - $5,000	
SP	Western Digital	None	CAPITAL GAINS	$5,001 - $15,000	S
SP	H&R Block	None	DIVIDENDS	$1 - $200	S
SP	Par Pharmaceutical	$100,001 - $250,000	CAPITAL GAINS	$50,001 - $100,000	SP

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SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name James Oberstar

SP	Digene Corp	None	CAPITAL GAINS	$50,001 - $100,000	S
SP	Healthextras Inc	None	CAPITAL GAINS	$100,001 - $1,000,000	S
SP	Rite Aid	None	CAPITAL GAINS	$15,001 - $50,000	S
SP	St Paul Travelers Co	None	DIVIDENDS	$201 - $1,000	SP
SP	Kurth & Co Money Purchase Plan	None	Acct was rolled into Spouse IRA account	NONE	Other
SP	Smith Barney Managed Muni Fund	None	DIVIDENDS	$1,001 - $2,500	S
SP	Smith Barney High Income Fund	None	DIVIDENDS	$201 - $1,000	S
	Smitn Barney Managed Muni Fund	None	DIVIDENDS	$1,001 - $2,500	S
	Smith Barney High Income Fund	None	DIVIDENDS	$201 - $1,000	S
SP	Drugstore.com	$50,001 - $100,000	DIVIDENDS	NONE	P
SP	AES Corp	$50,001 - $100,000	DIVIDENDS	NONE	P
SP	Cephid	$15,001 - $50,000	DIVIDENDS	NONE	
SP	Cortex Pharmaceutical	$50,001 - $100,000	DIVIDENDS	NONE	P
SP	Costco Wholesale Corp	$100,001 - $250,000	DIVIDENDS	$201 - $1,000	P

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name James Oberstar

SP	La Quinta Corp	$100,001 - $250,000	DIVIDENDS	NONE	P
SP	Lucent Tech	$100,001 - $250,000	DIVIDENDS	NONE	P
SP	Providian Financial Corp	$100,001 - $250,000	DIVIDENDS	NONE	P
SP	Sirius Satellite Radio	$100,001 - $250,000	DIVIDENDS	NONE	P
SP	Universal Display Corp	$50,001 - $100,000	DIVIDENDS	NONE	
SP	XM Satellite Radio	$100,001 - $250,000	DIVIDENDS	NONE	
SP	Command Money Fund	$50,001 - $100,000	DIVIDENDS	$1,001 - $2,500	
SP	Johnson & Johnson	$50,001 - $100,000	DIVIDENDS	$1,001 - $2,500	
SP	Scudder RREEF Real Estate Fund	$15,001 - $50,000	DIVIDENDS	$1,001 - $2,500	
SP	Danka Business Sytems	$15,001 - $50,000	DIVIDENDS	NONE	P
SP	Xicor	$15,001 - $50,000	CAPITAL GAINS	$15,001 - $50,000	E
SP	Prime Fund Daily Money Class	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
SP	FICO Strip Bonds	$100,001 - $250,000	INTEREST	$5,001 - $15,000	
SP	US Treasury Notes	$250,001 - $500,000	INTEREST	$15,001 - $50,000	S

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name James Oberstar

SP	Felicity St Charles, LLC	$15,001 - $50,000	RENT	$5,001 - $15,000	
SP	1916 17th St NW Washington, DC (50%)	None	CAPITAL GAINS	$100,001 - $1,000,000	S
SP	Kurth QTIP A Trust		EXCEPTED TRUST		
SP	Kurth Bypass Trust		EXCEPTED TRUST		
SP	JKO, Inc. (S Corp)	None	CAPITAL GAINS/RENT	$100,001 - $1,000,000	S
SP	JKO Properties LLC	$100,001 - $250,000	RENT	$5,001 - $15,000	
SP	John Hancock Regional Bank Fund	$500,001 - $1,000,000	DIVIDENDS	$15,001 - $50,000	P
SP	Kelmore Strategy Eagle Fund	$50,001 - $100,000	DIVIDENDS	NONE	P
SP	Pimco Pea Value	$250,001 - $500,000	DIVIDENDS	$5,001 - $15,000	P
SP	New York Com. Bank	None	CAPITAL GAINS	$1,001 - $2,500	SP
SP	Analex	None	CAPITAL GAINS	$201 - $1,000	S
SP	Cephid	$50,001 - $100,000	DIVIDENDS	NONE	
SP	Cott Corp	None	CAPITAL GAINS	$15,001 - $50,000	S
SP	Digene Corp	None	CAPITAL GAINS	$50,001 - $100,000	S

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name James Oberstar

SP	Fargo Electronics	$50,001 - $100,000	DIVIDENDS	NONE	
SP	Pozen	$15,001 - $50,000	DIVIDENDS	NONE	P
SP	Universal Display	$15,001 - $50,000	DIVIDENDS	NONE	
SP	Safeway Debenture	None	INTEREST	$201 - $1,000	S
SP	USB Cash Fund	None	DIVIDENDS	$1 - $200	S
SP	Pioneer Growth Shares	$15,001 - $50,000	DIVIDENDS	NONE	
SP	Anheuser Busch	$15,001 - $50,000	DIVIDENDS	$1 - $200	Other
SP	Applied Materials	$1,001 - $15,000	DIVIDENDS	NONE	Other
SP	Archstone - Smith Trust	$50,001 - $100,000	DIVIDENDS	$1,001 - $2,500	Other
SP	Automatic Data Processing	$15,001 - $50,000	DIVIDENDS	$1 - $200	Other
SP	Avon Products	$15,001 - $50,000	DIVIDENDS	$1 - $200	Other
SP	Boston Scientific Corp	$15,001 - $50,000	DIVIDENDS	NONE	Other
SP	Dell	$15,001 - $50,000	DIVIDENDS	NONE	Other
SP	Digene	$15,001 - $50,000	DIVIDENDS	NONE	Other

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name James Oberstar

SP	Disney	$15,001 - $50,000	DIVIDENDS	NONE	Other
SP	Exxon Mobil	$50,001 - $100,000	DIVIDENDS	$201 - $1,000	Other
SP	First Commonwealth Finanicial	$15,001 - $50,000	DIVIDENDS	NONE	Other
SP	FPL Group	$15,001 - $50,000	DIVIDENDS	$201 - $1,000	Other
SP	General Electric	$50,001 - $100,000	DIVIDENDS	NONE	Other
SP	Gillette	$15,001 - $50,000	DIVIDENDS	NONE	Other
SP	Home Depot	$15,001 - $50,000	DIVIDENDS	$1 - $200	Other
SP	International Game Tech	$15,001 - $50,000	DIVIDENDS	NONE	Other
SP	ISHARES Trust Goldman Sachs	$15,001 - $50,000	DIVIDENDS	$1 - $200	Other
SP	Johnson & Johnson	$15,001 - $50,000	DIVIDENDS	$201 - $1,000	Other
SP	Microsoft	$15,001 - $50,000	DIVIDENDS	$5,001 - $15,000	Other
SP	Saul Centers	$15,001 - $50,000	DIVIDENDS	NONE	Other
SP	St Paul Travelers	$15,001 - $50,000	DIVIDENDS	$1 - $200	Other
SP	Starbucks Corp	$1,001 - $15,000	DIVIDENDS	NONE	Other

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name James Oberstar

SP	Stericycle	$15,001 - $50,000	DIVIDENDS	NONE	Other
SP	Strayer Education	$1,001 - $15,000	DIVIDENDS	$1 - $200	Other
SP	Sunrise Senior Living	$15,001 - $50,000	DIVIDENDS	NONE	Other
SP	Wal-Mart	$15,001 - $50,000	DIVIDENDS	NONE	Other
SP	Washington Mutual	$1,001 - $15,000	DIVIDENDS	NONE	Other
SP	XM Satellite Radio	$15,001 - $50,000	DIVIDENDS	NONE	Other
SP	US Treasury Note	$15,001 - $50,000	INTEREST	$201 - $1,000	Other
SP	Cohen & Steers	$15,001 - $50,000	DIVIDENDS	$201 - $1,000	Other
SP	Slidell Property Note receivable	None	INTEREST	$2,501 - $5,000	S
	Congressional Federal Credit Union	$15,001 - $50,000	INTEREST	$201 - $1,000	
SP	Vanguard Windsor II	$50,001 - $100,000	DIVIDENDS	$1,001 - $2,500	P
SP	Longleaf Partners	$15,001 - $50,000	DIVIDENDS	$201 - $1,000	P
SP	Artisian Mid Cap	$15,001 - $50,000	CAPITAL GAINS	$1 - $200	S
SP	Dodge & Cox	$50,001 - $100,000	CAPITAL GAINS/DIVIDENDS	$2,501 - $5,000	PS

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name James Oberstar

SP	ABN AMRO Montag & Caldwell	$50,001 - $100,000	DIVIDENDS	NONE	P
SP	Marsico Growth	$50,001 - $100,000	DIVIDENDS	NONE	S
SP	Oakmark I	$15,001 - $50,000	DIVIDENDS	$1 - $200	PS
SP	Royce Low-Priced Stock	$15,001 - $50,000	DIVIDENDS	$201 - $1,000	P
SP	Wasatch Core Growth	$15,001 - $50,000	DIVIDENDS	$201 - $1,000	PS
SP	Julius Baer Intl Equity	$50,001 - $100,000	DIVIDENDS	$1,001 - $2,500	P
SP	Oakmark Intl I	$15,001 - $50,000	DIVIDENDS	$201 - $1,000	P
SP	Calamos Growth & Income	$15,001 - $50,000	DIVIDENDS	$201 - $1,000	P
SP	Met West Total Return	$15,001 - $50,000	DIVIDENDS	$1,001 - $2,500	PS
SP	Pimco High Yield	$50,001 - $100,000	DIVIDENDS	$2,501 - $5,000	PS
SP	Western Asset Core Plus Inst	$15,001 - $50,000	DIVIDENDS	$1,001 - $2,500	P
SP	Western Asset Core	None	DIVIDENDS	$201 - $1,000	S
SP	Jefferson Pilot Annuity	$100,001 - $250,000	INTEREST	NONE	P
SP	USB Cashfund (formerly Paine Weber Money Fund)	None	DIVIDENDS	$1 - $200	S

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name James Louis Oberstar

SP	Wachovia Bank Money Fund	$50,001 - $100,000	DIVIDENDS	$2,501 - $5,000	PS
SP	Janus Money Market Fun	None	DIVIDENDS	$1 - $200	S
SP	MFS Value A	None	CAPITAL GAINS	$201 - $1,000	S
	AES Corp.	$1,001 - $15,000	DIVIDENDS	NONE	P
	COSTCO Whsl Corp.	$1,001 - $15,000	DIVIDENDS	NONE	P
	Guitar Ctr. Inc.	$1,001 - $15,000	DIVIDENDS	NONE	P
	Lucent Tech. Inc.	$1,001 - $15,000	DIVIDENDS	NONE	P
	Providian Finl. Corp.	$1,001 - $15,000	DIVIDENDS	NONE	P
	Scudder Rreef Real.	$1,001 - $15,000	DIVIDENDS	NONE	P
	Sirius Satellite Radio	$1,001 - $15,000	DIVIDENDS	NONE	P
	Universal Display Corp.	$1,001 - $15,000	DIVIDENDS	NONE	P
	XM Satellite Radio	$1,001 - $15,000	DIVIDENDS	NONE	P

SCHEDULE IV - TRANSACTIONS

Name James Oberstar

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
SP	Cendant Corp	PS	04-19-04 P 09-09-04 S 11-22-04 S	$50,001 - $100,000
SP	St Paul Travelers	SP	08-06-04 S 07-20-04 S 02-05-04 P	$15,001 - $50,000
SP	Smith Barney Managed Muni Fund	S	12-13-04	$15,001 - $50,000
	Smith Barney Managed Muni Fund	S	10-26-04	$15,001 - $50,000
	Smith Barney High Income Fund	S	10-26-04	$1,001 - $15,000
SP	Smith Barney High Income Fund	S	12-13-04	$1,001 - $15,000
SP	US Tresury Note Due 1-31-04	S	02-02-04	$15,001 - $50,000
SP	US Treasury Note Due 2-29-04	S	03/01/04	$15,001 - $50,000
SP	Kelmore Stregy Eagle Fund	SP	10-07-04 S 09-07-04 S 11-08-04 S Dividend Reinvested	$1,001 - $15,000
SP	Pimco Pea Value Fund	S	12-07-04	$1,001 - $15,000

SCHEDULE IV - TRANSACTIONS

Name James Oberstar

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SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
SP	John Hancock Regional Bank Fund	S	08-06-04	$1,001 - $15,000
SP	Rite Aid Corp	S	09-15-04 08-26-04 12-13-04	$100,001 - $250,000
SP	Western Digital Corp	S	02-02-04	$100,001 - $250,000
SP	Digene Corp	S	02-03-04 04-19-04 06-09-04	$100,001 - $250,000
SP	H&R Block Inc	SP	02-03-04 P 04-19-04 S	$50,001 - $100,000
SP	Pozen Inc	S	06-10-04	$15,001 - $50,000
SP	Fedders Corp	S	07-16-04 07-23-04	$50,001 - $100,000
SP	Par Pharmaceutical Co	S	01-12-04 S 08-10-04 P 04-19-04 S 08-13-04 P 09-15-04 P	$100,001 - $250,000
SP	Healthextras Inc	S	04-19-04 06-10-04 07-16-04 07-20-04 07-23-04 09-21-04	$250,001 - $500,000

SCHEDULE IV - TRANSACTIONS

Name James Oberstar

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SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
SP	Fargo Electronics Inc	S	08-20-04	$15,001 - $50,000
SP	AES Corp	PS	07-21-04 P 06-14-04 P 04-19-04 S	$50,001 - $100,000
SP	Cortex Pharmaceutical	P	02-06-04	$15,001 - $50,000
SP	La Quinta Corp	P	07-16-04 07-23-04	$100,001 - $250,000
SP	Lucent Tech	P	02-06-04 06-14-04	$15,001 - $50,000
SP	Par Pharmaceuticals	P	08-26-04 08-31-04 08-11-04 08-25-04 08-13-04	$100,001 - $250,000
SP	Providian Financial Corp	P	05-11-04 04-19-04 04-22-04	$100,001 - $250,000
SP	Sirius Satellite Radio	P	04-22-04 10-25-04	$15,001 - $50,000
SP	Costco Wholesale Corp	P	06-14-04 06-09-04	$100,001 - $250,000
SP	Drugstore.com	P	12-14-04 12-13-04	$50,001 - $100,000

SCHEDULE IV - TRANSACTIONS

Name James Oberstar

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SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
SP	Danka Business Sytems	P	06-09-04	$15,001 - $50,000
SP	Xicor	E	08-05-04	$50,001 - $100,000
SP	Prime Fund Daily Money Class	P	VAR	$1,001 - $15,000
SP	1916 17th St NW Washington, DC (50%)	S	02-26-04	$100,001 - $250,000
SP	JKO, Inc. (S Corp)	S	10-31-04	$250,001 - $500,000
SP	John Hancock Regional Bank Fund	P	Dividend Reinvested	$1,001 - $15,000
SP	Pimco Pea Value	P	Div Reinvested	$1,001 - $15,000
SP	New York Com. Bank	SP	12-13-04 S 07-28-04 P	$15,001 - $50,000
SP	Analex	S	06-09-04	$1,001 - $15,000
SP	Cott Corp	S	06-09-04	$50,001 - $100,000
SP	Safeway Debenture	S	01-15-04	$15,001 - $50,000
SP	USB Cash Fund	S		

SCHEDULE IV - TRANSACTIONS

Name James Oberstar

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SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
SP	Anheuser Busch	Other	11-05-04	$15,001 - $50,000
SP	Applied Materials	Other	11-05-04	$15,001 - $50,000
SP	Archstone - Smith Trust	Other	11-05-04	$50,001 - $100,000
SP	Automatic Data Processing	Other	11-05-04	$15,001 - $50,000
SP	Avon Products	Other	11-05-04	$15,001 - $50,000
SP	Boston Scientific Corp	Other	11-05-04	$15,001 - $50,000
SP	Dell	Other	11-05-04	$15,001 - $50,000
SP	Digene	Other	11-05-04	$15,001 - $50,000
SP	Disney	Other	11-05-04	$15,001 - $50,000
SP	First Commonwealth Finanicial	Other	11-05-04	$15,001 - $50,000
SP	FPL Group	Other	11-05-04	$15,001 - $50,000
SP	General Electric	Other	11-05-04	$50,001 - $100,000

SCHEDULE IV - TRANSACTIONS

Name James Oberstar

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SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
SP	Gillette	Other	11-05-04	$15,001 - $50,000
SP	Home Depot	Other	11-05-04	$15,001 - $50,000
SP	International Game Tech	Other	11-05-04	$15,001 - $50,000
SP	ISHARES Trust Goldman Sachs	Other	11-05-04	$15,001 - $50,000
SP	Johnson & Johnson	Other	11-05-04	$15,001 - $50,000
SP	Microsoft	Other	11-05-04	$50,001 - $100,000
SP	Saul Centers	Other	11-05-04	$15,001 - $50,000
SP	St Paul Travelers	Other	11-05-04	$15,001 - $50,000
SP	Starbucks Corp	Other	11-05-04	$15,001 - $50,000
SP	Stericycle	Other	11-05-04	$15,001 - $50,000
SP	Strayer Education	Other	11-05-04	$1,001 - $15,000
SP	Sunrise Senior Living	Other	11-05-04	$15,001 - $50,000

SCHEDULE IV - TRANSACTIONS

Name James Oberstar

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SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
SP	Wal-Mart	Other	11-05-04	$50,001 - $100,000
SP	Washington Mutual	Other	11-05-04	$15,001 - $50,000
SP	XM Satellite Radio	Other	11-05-04	$15,001 - $50,000
SP	Exxon Mobile	Other	11-05-04	$50,001 - $100,000
SP	US Treasury Note	Other	11-05-04	$15,001 - $50,000
SP	Cohen & Steers	Other	11-05-04	$15,001 - $50,000
SP	Slidell Property Note receivable	S	03-01-04	$50,001 - $100,000
SP	Vanguard Windsor II	P	02-20-04 Mo. Div Reinv	$50,001 - $100,000
SP	Longleaf Partners	P	Mo Div Reinv	$1,001 - $15,000
SP	Artisian Mid Cap	S	02-20-04	$1,001 - $15,000
SP	Dodge & Cox	SP	02-20-04 S Mo Div reinv P	$15,001 - $50,000
SP	Dodge & Cox	PS	02-20-04 S Qtr Div Reinv P	$15,001 - $50,000

SCHEDULE IV - TRANSACTIONS

Name James Oberstar

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SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
SP	ABN AMRO Montag & Caldwell	P	12-17-04 02-20-04	$1,001 - $15,000
SP	Marsico Growth	S	02-20-04	$1,001 - $15,000
SP	Oakmark I	PS	02-20-04 S 12-09-04 P	$15,001 - $50,000
SP	Royce Low-Priced Stock	P	02-20-04 12-02-04	$1,001 - $15,000
SP	Wasatch Core Growth	PS	02-20-04 S Div Reinv P	$1,001 - $15,000
SP	Julius Baer Intl Equity	P	12-29-04	$1,001 - $15,000
SP	Oakmark Intl I	P	02-20-04 12-09-04	$15,001 - $50,000
SP	Calamos Growth & Income	P	02-20-04 Qtr Div Reinv	$15,001 - $50,000
SP	Met West Total Return	PS	02-20-04 S Mo Div Reinv	$1,001 - $15,000
SP	Pimco High Yield	PS	02-20-04 S Mp Div Reinv	$1,001 - $15,000
SP	Western Asset Core	S	02-20-04	$15,001 - $50,000
SP	Western Asset Core Plus Inst	P	02-20-04 P Mo Div Reinv	$15,001 - $50,000

SCHEDULE IV - TRANSACTIONS

Name James Louis Oberstar

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SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
SP	Jefferson Pilot Annuity	P	10-08-04	$100,001 - $250,000
SP	USB Cashfund (formerly Paine Weber Money Fund)	S	10-14-04	$15,001 - $50,000
SP	Wachovia Bank Money Fund	PS	10-08-04 S Mo. Div reinnv.	$100,001 - $250,000
SP	Janus Money Market	S	Var.	$1,001 - $15,000
SP	MFS Value A	S	02-20-04	$15,001 - $50,000
	AES Corp.	P	10-26-04	$1,001 - $15,000
	COSTCO Whsl Corp.	P	10-26-04	$1,001 - $15,000
	Guitar Ctr. Inc.	P	11-29-04	$1,001 - $15,000
	Lucent Tech. Inc.	P	10-26-04	$1,001 - $15,000
	Providian Finl. Corp.	P	10-26-04	$1,001 - $15,000
	Scudder Rreef Real.	P	10-26-04	$1,001 - $15,000
	Sirius Satellite Radio	P	10-26-04	$1,001 - $15,000

SCHEDULE IV - TRANSACTIONS

Name James Louis Oberstar

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SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
	Universal Display Corp.	P	10-26-04	$1,001 - $15,000
	XM Satellite Radio	P	10-26-04	$1,001 - $15,000

FOOTNOTES

Name James Oberstar

Number	Section / Schedule	Footnote	This note refers to the following item
1	Schedule III	The pension plan was terminated in 2004 and the assets were transferred to the spouse's IRA	Kurth & Co Money Purchase Plan
2	Schedule III	Other Transactions are assets received from the Kurth & Co Money Purchase Plan upon its termination.	Anheuser Busch et al

SCHEDULE VII - TRAVEL PAYMENTS AND REIMBURSEMENTS

Name

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $285 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor. Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	Point of Departure--Destination--Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Any time not at sponsor's expense
Laborers' International Union of North America	Jan. 18	Wash, DC/Orlando	Y	Y	N	N/A
International Union of Operating Engineers	Jan. 19-20	Orlando/Miami/Wash, DC	Y	Y	N	N/A
San Jose State University	June 25-27	Wash, DC/San Jose, CA/Sacramento, CA/Wash, DC	Y	Y	N	1 day
Air France	Nov. 14-16	Wash, DC/New York, NY/Wash, DC	Y	Y	Y	N/A
American Concrete Pavement Association	Nov. 30-Dec. 1	Wash, DC/Naples, FL/Marco Island, FL/Naples, FL/Wash, DC	Y	Y	Y	N/A

SCHEDULE VIII - POSITIONS

Name James Louis Oberstar

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or any business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States. Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
Honorary Board Member	George Washington University Medical Center, Cancer Center Advisory Board
Board of Trustees (Position by federal statute)	John F. Kennedy Center for the Performing Arts
Board of Trustees (Position by federal statute)	International Institute for Surface Transportation policy Studies
National Advisory Council Member	Active Living by Design - University of North Carolina

UNITED STATES HOUSE OF REPRESENTATIVES
FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2004

FORM A For use by Members, officers, and employees

HAND DELIVERED

LEGISLATIVE COUNSEL RECEIVED
2005 MAY 13 PM 2:26 MC
(Office Use Only)
U.S. HOUSE OF REPRESENTATIVES

Rep. David Obey
(Full Name)

2314 Rayburn House Office Building Washington, DC 20515
(Mailing Address)

202-225-3365
Daytime Telephone

Filer Status: ☑ Member of the U.S. House of Representative — State: WI District: 07 — ☐ Officer Or Employee — Employing Office:

Report Type: ☑ Annual (May 15) ☐ Amendment ☐ Termination — Termination Date:

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION – ANSWER EACH OF THESE QUESTIONS

I.	*Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period?* **If yes, complete and attach Schedule I.**	Yes ☐ No ☑	
II.	Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes ☐ No ☑	
III.	Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes ☑ No ☐	
IV.	Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting *period?* **If yes, complete and attach Schedule IV.**	Yes ☐ No ☑	
V.	Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) *during the reporting period?* **If yes, complete and attach Schedule V.**	Yes ☐ No ☑	
VI.	Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $285 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes ☐ No ☑	
VII.	Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $285 from one source)? **If yes, complete and attach Schedule VII.**	Yes ☑ No ☐	
VIII.	Did you hold any reportable positions on or before the date of filing in the *current calendar year?* **If yes, complete and attach Schedule VIII.**	Yes ☐ No ☑	
IX.	Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes ☐ No ☑	

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

Trusts-	*Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?*	Yes ☐ No ☑
Exemptions--	**Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?**	Yes ☐ No ☑

CERTIFICATION – THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (See 5 U.S.C. app. 4, § 104 and U.S.C. § 1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.		5-12-'05

3399

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Rep. David Obey

	BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self directed IRA (i.e., one where you have the power to select the specific investments) provide information on each asset in the account that exceeds the reporting threshold and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see instruction booklet for the reporting year. *Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs.* If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.	BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership Income or Farm Income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.	BLOCK E **Transaction** Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.
	Fidelity Trust-Asset Manager	$15,001 - $50,000	(IRA)	NONE	
	The Vandguard Group-Growth Index Fund	$1,001 - $15,000	(Roth IRA)	NONE	
SP	Wright Patman Congressional Federal Credit Union	$1,001 - $15,000	(IRA)	NONE	
SP	The Vandguard Group-Growth and Income	$1,001 - $15,000	(IRA)	NONE	
SP	The Vandguard Group-US Growth Fund Investor	$1,001 - $15,000	(Roth IRA)	NONE	
SP	U.S. Savings Bond Series EE	$1,001 - $15,000	(No Income Yet Derived)	NONE	

3400

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Rep. David Obey

JT	Wright Patman Congressional Federal Credit Union	$1,001 - $15,000	INTEREST	$1 - $200

SCHEDULE VII - TRAVEL PAYMENTS AND REIMBURSEMENTS

Name Rep. David Obey

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $285 received by you, your spouse, or a dependent child during the reporting period. *Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense.* Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor. *Exclude: Travel-related expenses provided by federal, state, and local governments,* or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	*Date(s)*	*Point of Departure--Destination--Point of Return*	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Any time not at sponsor's expense
Congressional Program of the Aspen Institute - Conference on US Relations with South America & Brazil	Apr. 13-18	San Juan, Puerto Rico-Grand Exuma Island, The Bahamas-Wash., DC	Y	Y	Y	N/A
Congressional Program of the Aspen Institute - Conference on US-Russia-Europe Relations	Aug. 21-26	Wash., DC-Venice, Italy-Paris, France	Y	Y	Y	N/A
Dick Gephardt Legacy Fund-Tribute Event for Congressman Dick Gephardt	Dec. 9	Wash., DC-St. Louis, MO-Wash., DC	N	Y	N	N/A

7th DISTRICT, WISCONSIN

DISTRICT OFFICES:
FIRST STAR PLAZA
401 5TH STREET
SUITE 406
WAUSAU, WI 54403-5470
PHONE: 715-842-5606

1401 TOWER AVENUE
SUITE 307
SUPERIOR, WI 54880-1572
PHONE: 715-395-4426

WASHINGTON OFFICE:
2314 RAYBURN HOUSE OFFICE BUILDING
PHONE: 202-225-3365

www.house.gov/obey

DAVID R. OBEY

APPROPRIATIONS COMMITTEE
RANKING MEMBER

RANKING MEMBER
LABOR–HHS, EDUCATION

EX OFFICIO MEMBER
AGRICULTURE
COMMERCE–JUSTICE–STATE
DEFENSE
DISTRICT OF COLUMBIA
ENERGY AND WATER DEVELOPMENT
FOREIGN OPERATIONS
HOMELAND SECURITY
INTERIOR
LEGISLATIVE
MILITARY CONSTRUCTION
TRANSPORTATION–TREASURY
VA–HUD

Congress of the United States
House of Representatives
Washington, DC 20515–4907

May 12, 2005

Re: Financial Disclosure

I insist that when this form is filed it also contains the enclosed attachment, the standard letter of invitation from the Aspen Institution which makes clear that at their conferences no lobbyists or anyone they represent are either allowed to attend or to finance in anyway the conferences involved.

David R. Obey
Member of Congress

Attachment

THE ASPEN INSTITUTE

10/00

CONGRESSIONAL PROGRAM

What is the nature and purpose of the program?

The Aspen Institute's Congressional Program is a nongovernmental, nonpartisan educational program for Members of the United States Congress. It provides lawmakers with a stronger grasp of critical public policy issues by convening high-level conferences in which legislators are brought together with top academics, experts and leaders to study their ideas, and to weigh systematically the relative advantages and disadvantages of various policy alternatives.

What is the history of the program?

The Congressional Program was established in 1985 by former Senator Dick Clark to focus on the most pressing and important foreign policy issue of the time: U.S.-Soviet relations. That project expanded to include Eastern Europe and later the successor states of the former Soviet Union. Similar initiatives addressed U.S. policy toward Indochina and southern Africa, and post-Cold War issues including the United Nations and peacekeeping, international economics, and the environment and sustainable development. The program conducts one domestic policy project focusing on issues that affect the well being of children in America. In 1997, the program launched a new three-year initiative on U.S.-China relations. A report is published after each meeting, containing the scholars' papers, a brief summary of the discussions, the agenda and a list of participants.

How is the integrity of the program maintained?

A number of measures have been adopted to ensure that our educational mission is not compromised.

The Aspen Institute receives support from a variety of sources, however, the Congressional Program is funded solely by philanthropic foundations. No government, corporate, private or special interest money is accepted. Honoraria are not paid to Members or speakers. No lobbyists are permitted to attend the meetings, and press coverage is not allowed. Legislators are free to engage in a valuable educational experience without fear of influence by lobbyists or concern over expenditure of public funds.

Why travel outside the capital?

Members of Congress face massive demands on their time. They have little opportunity in the course of their normal activities for in-depth study of critical issues. Holding conferences outside the capital gives lawmakers an opportunity to get away from the daily demands of the office and devote time for serious learning and reflection without interruption. The conferences are supplemented by occasional breakfast and dinner meetings in Washington with leading political figures and analysts, and selected books and articles are distributed from time to time to keep Members abreast of the latest literature on specific topics.

Is the program nonpartisan in its approach?

Yes. Political neutrality is essential to the educational purpose of the program. There is no identification with a political or party viewpoint and no endorsement of specific legislation. A diverse group of lawmakers from both Houses of Congress and both parties is invited and a broad range of views is represented. The conferences are designed to probe the root causes of problems facing lawmakers to create a context in which they may make sound policy judgments. The program does not make or endorse policy recommendations.

Who has participated?

Since the program's inception, 178 Members of Congress, 150 foreign parliamentarians, international political and government leaders, and over 300 distinguished scholars have also been involved.

What is the impact of the program?

Members are exposed to the various viewpoints of leading scholars, as well as the perceptions and interpretations of leaders and experts from other cultures and regions. Thus, the program conveys a knowledge base on specific subject areas, and establishes a network of personal contacts with experts and practitioners, whom Members of Congress may call upon as resources. Additionally, Members often develop new working relationships with other lawmakers as a result of their participation in the program. The unique educational experience provides the necessary background for lawmakers to weigh their legislative priorities and gain a deeper understanding of vital public policy issues.

* * * * * *

For further information on the Congressional Program, contact Dick Clark, Program Director at (202) 736-5825.

UNITED STATES HOUSE OF REPRESENTATIVES
FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2004

FORM A — For use by Members, officers, and employees

LEGISLATIVE RESOURCE CENTER
2005 MAY 12 PM 4:21
HAND DELIVERED
(Office Use Only)

John Walter Oliver
(Full Name)

P.O. Box 28 Amherst, MA 01004 — 202-225-5335
(Mailing Address) — Daytime Telephone

Filer Status	☑ Member of the U.S. House of Representatives — State: MA, District: 01	☐ Officer Or Employee — Employing Office	**A $200 penalty shall be assessed against anyone who files more than 30 days late.**
Report Type	☑ Annual (May 15)	☐ Amendment	☐ Termination — Termination Date:

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I.	Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes ☑ No ☐	VI.	Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $285 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes ☐ No ☑
II.	Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes ☐ No ☑	VII.	Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $285 from one source)? **If yes, complete and attach Schedule VII.**	Yes ☐ No ☑
III.	Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes ☑ No ☐	VIII.	Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes ☐ No ☑
IV.	Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes ☑ No ☐	IX.	Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes ☑ No ☐
V.	Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes ☑ No ☐		**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**	

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

Trusts-	Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes ☐ No ☑
Exemptions--	Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes ☐ No ☑

CERTIFICATION -- THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and wilfully fails to file this report may be subject to civil penalties and criminal sanctions (See 5 U.S.C. app. 4, § 104 and U.S.C. § 1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	John W. Oliver	12 May 05

3406

SCHEDULE I - EARNED INCOME

Name John Walter Olver

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

Source	Type	Amount
Amherst College – Amherst, MA	Spouse Salary	N/A

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name John Walter Olver

	BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self directed IRA (i.e., one where you have the power to select the specific investments) provide information on each asset in the account that *exceeds the reporting threshold and the income earned for the account.* For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see instruction booklet for the reporting year. Exclude: Your personal residence(s) (unless there is rental income); any *debt owed to you by your spouse, or by your or your spouse's child,* parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.	BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership income or Farm income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by *checking the appropriate box* below. Dividends, even if reinvested, should be listed as income.	BLOCK E **Transaction** Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.
JT	120 acres of unimproved land at 117 Central Street, Plainfield, MA	$50,001 - $100,000		NONE	
JT	25 acres of unimproved land at Beachlake, Berlin Township, PA	$50,001 - $100,000		NONE	
JT	27 acres of unimproved land on Buffam Road, Pelham, MA	$50,001 - $100,000		NONE	
JT	33 Myrtle Street, Boston, MA	$100,001 - $250,000	RENT	$5,001 - $15,000	
JT	45 acres of unimproved land on North Valley Road, Pelham, MA	$15,001 - $50,000		NONE	
JT	AMCAP mutual fund	$15,001 - $50,000	DIVIDENDS, CAPITAL GAINS	$1,001 - $2,500	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name John Walter Olver

JT	Canada Southern Petroleum	$1,001 - $15,000		NONE	
SP	Bank of America (post acquisition of Fleet Bank) 1 South Pleasant Street, Amherst, MA	$15,001 - $50,000	INTEREST	$201 - $1,000	
JT	Hewlett-Packard Company stock	$1,001 - $15,000	DIVIDENDS	$1 - $200	
JT	Magellan Petroleum Company stock	$1,001 - $15,000		NONE	
	Massachusetts state employee pension	$100,001 - $250,000	PENSION	$15,001 - $50,000	
SP	Pfizer, Inc. Stock	$1,001 - $15,000	DIVIDENDS	$1 - $200	
SP	Scudder New Europe mutal fund	$1,001 - $15,000	DIVIDENDS, CAPITAL GAINS	$201 - $1,000	
SP	Texas Instruments, Inc. Stock	$1,001 - $15,000	DIVIDENDS	$1 - $200	

SCHEDULE IV - TRANSACTIONS

Name John Walter Olver

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
SP	Massachusetts Finance Agency bonds	S	06-07-04	$1,001 - $15,000
SP	Texas Instruments, Inc. stock (part)	S	06-07-04	$15,001 - $50,000
SP	Eastman Kodak Company stock	S	06-07-04	$1,001 - $15,000

SCHEDULE V - LIABILITIES

Name John Walter Olver

Report liabilities of over $10,000 owed to any one creditor at any time during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. Exclude: Any mortgage on your personal residence (unless all or part of it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of your or your spouse. Report "revolving charge accounts" only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability
JT	Greenfield Savings Bank -- Greenfield, MA	Mortgage on 33 Myrtle Street, Boston, MA	$15,001 - $50,000

SCHEDULE IX - AGREEMENTS

Name John Walter Oiver

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement
06-14-91	Massachusetts State Employee Life Insurance Program	Continuing benefits as a state employee
06-14-91	Massachusetts State Employee Retirement Program	Retirement effective November 20, 1992

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2004

FORM A
For use by Members, officers, and employees

2005 MAY 16 PM 5:54
U.S. HOUSE OF REPRESENTATIVES

HAND DELIVERED

MC (Office Use Only)

Solomon Porfirio Ortiz
(Full Name)

2470 Rayburn HOB, Washington, D.C. 20515
(Mailing Address)

202/225-7742
Daytime Telephone

Filer Status	[X] Member of the U.S. House of Representatives — State Texas District 27	[ ] Officer or Employee	Employing Office:
Report Type	[X] Annual (May 15)	[ ] Amendment	[ ] Termination — Termination Date:

A *$200 penalty* shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or *more from any source in the reporting period?* **If yes, complete and attach Schedule I.**	Yes [X]	No [ ]
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the *reporting period?* **If yes, complete and attach Schedule II.**	Yes [ ]	No [X]
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes [X]	No [ ]
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes [X]	No [ ]
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) *during the reporting period?* **If yes, complete and attach Schedule V.**	Yes [X]	No [ ]
VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $285 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes [ ]	No [X]
VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $285 from one source)? **If yes, complete and attach Schedule VII.**	Yes [X]	No [ ]
VIII. Did you hold any reportable positions on or before the *date of filing in the current calendar year?* **If yes, complete and attach Schedule VIII.**	Yes [X]	No [ ]
IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes [ ]	No [X]

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes [ ]	No [X]
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they *meet all three tests for exemption?*	Yes [ ]	No [X]

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

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Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I **CERTIFY** that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.		May 16, 2005

Name Solomon P. Ortiz

SCHEDULE I — EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

	Source	Type	Amount
Examples:	Keene State	Approved Teaching Fee	$6,000
	State of Maryland	Legislative Pension	$9,000
	Civil War Roundtable (Oct. 2nd)	Spouse Speech	$1,000
	Ontario County Board of Education	Spouse Salary	NA
	Texas County & District Retirement	Retirement	$31,669.20

For payments to charity in lieu of honoraria, use Schedule II.

3414

SCHEDULE II — PAYMENTS IN LIEU OF HONORARIA MADE TO CHARITY

List the source, activity (i.e., speech, appearance, or article), date, and amount of any payment made by the sponsor of an event to a charitable organization in lieu of an honorarium. A separate confidential list of charities receiving such payments must be filed directly with the Committee on Standards of Official Conduct. An envelope for transmitting the list is included in each Member's filing package.

Source	Activity	Date	Amount
Examples: Association of American Associations, Wash., D.C.	Speech	Feb. 2, 2004	$2,000
XYZ Magazine	Article	Aug. 13, 2004	$500
None			

This page may be copied if more space is required.

Name Solomon P. Ortiz

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self-directed IRA (i.e., one where you have the power to select the specific investments), provide information on *each asset* in the account that exceeds the reporting threshold, and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see the instruction booklet for the reporting year.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Asset

at close of reporting year.
If you use a valuation method other than fair market value, please specify the method used.
If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

BLOCK D — Amount of Income

For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.

BLOCK E — Transaction

Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.

	Block A		Block B: None	A: $1 – $1,000	B: $1,001 – $15,000	C: $15,001 – $50,000	D: $50,001 – $100,000	E: $100,001 – $250,000	F: $250,001 – $500,000	G: $500,001 – $1,000,000	H: $1,000,001 – $5,000,000	I: $5,000,001 – $25,000,000	J: $25,000,001 – $50,000,000	K: Over $50,000,000	Block C: DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify: For Example, Partnership Income or Farm Income)	Block D: None	I: $1 – $200	II: $201 – $1,000	III: $1,001 – $2,500	IV: $2,501 – $5,000	V: $5,001 – $15,000	VI: $15,001 – $50,000	VII: $50,001 – $100,000	VIII: $100,001 – $1,000,000	IX: $1,000,001 – $5,000,000	X: Over $5,000,000	Block E: P, S, E
SP		SP	Mega Corp. Stock					X							X										X								P
DC	*Examples*		Simon & Schuster			Indefinite															Royalties										X		
JT			1st Bank of Paducah, KY accounts						X								X										X						
	Wright Patman Cong. Fed. Credit Union						X										X							X									
JT	2104 Lago Viejo South Rancho Viejo, Tx					X										X						X											
JT	1011 Ennis Joslin Unit 108 Corpus Christi, Tx					X										X						X											
	Equitable Non-qualified annunity - IRA								X												none	X											
	Equitable Deferred annunity IRA						X														none	X											
	Bank of America (checking acct)				X																none	X											

For additional assets and unearned income, use next page.

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name Solomon P. Ortiz

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset: A None	B $1,001 – $15,000	C $15,001 – $50,000	D $50,001 – $100,000	E $100,001 – $250,000	F $250,001 – $500,000	G $500,001 – $1,000,000	H $1,000,001 – $5,000,000	I $5,000,001 – $25,000,000	J $25,000,001 – $50,000,000	K Over $50,000,000	BLOCK C Type of Income: DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify)	BLOCK D Amount of Income: I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	BLOCK E Transaction: P, S, E
JT	Amtex Security, Inc. Note						X												quarterly payments							X					

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SCHEDULE IV — TRANSACTIONS

Name Solomon P. Ortiz

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction: PURCHASE	SALE	EXCHANGE	Date (MO/DAY/YR)	Amount of Transaction: B $1,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
SP	*Example:* Mega Corporation Common Stock	X			10-12-04		X								
	AXA Bank of New York Stock		X		6-28-04	X									

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SCHEDULE V — LIABILITIES

Report liabilities of over $10,000 owed to any one creditor ***at any time*** during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. **Exclude:** Any mortgage on your personal residence (unless it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report ***revolving charge accounts*** only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability									
			B $10,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
Example:	First Bank of Wilmington, Delaware	Mortgage on 123 Main St., Dover, Del.				X						
	None											

SCHEDULE VI — GIFTS

Report the source, a brief description, and the value of all gifts totalling more than $285 received by you, your spouse, or a dependent child from any source during the year.

Exclude: Gifts from relatives, gifts of personal hospitality of an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of $114 or less need not be added towards the $285 disclosure threshold.

Note: The gift rule (House Rule 25, clause 5) prohibits acceptance of gifts except as specifically provided in the rule.

Source	Description	Value
Example: Mr. Joseph H. Smith, Anytown, Anystate	Silver Platter (determination on personal friendship received from Committee on Standards)	$290
None		

Use additional sheets if more space is required.

SCHEDULE VII —TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $285 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor.

Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	Point of Departure—Destination—Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Any time not at sponsor's expense
Examples: Chicago Chamber of Commerce	Mar. 2	Wash., D.C.—Chicago—Wash., D.C.	N	N	N	N
Examples: Roycroft Corporation	Aug. 6–11	Wash., D.C.—Los Angeles—Cleveland	Y	Y	Y	2 Days
Integrity Communications	Feb 13-23	Corpus Christi - Hong Kong Beijing - Hong Kong - Corpus Christi	Y	Y	N	4 days
Asia Access	Apr 7-17	Houston - San Francisco - Taipei - Hong Kong - Beijing Taipei - Los Angeles - Houston	Y	Y	N	2 days
Dominican Association of Free Zones	Apr 23-26	Wash DC - Miami - Santo Domingo, Dominican Republic Miami - Wash DC	Y	Y	N	none
Chinese International Economic & Cooperation Association	Nov 4-11	Corpus Christi - Los Angeles - Taipei - Kaohsiung - Taipei - Los Angeles - Corpus Christi	Y	Y	N	none
Integrity Communications	July 29-Aug 9	Corpus Christi - Hong Kong Beijing - Hong Kong - Corpus Christi	Y	Y	N	2 days

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SCHEDULE VIII — POSITIONS

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States.

Exclude: *Positions held in any religious, social, fraternal, or political entities;* positions solely of an honorary nature, and positions listed on Schedule I.

Position	Name of Organization
Board Member	Congressional Hispanic Caucus Institute

SCHEDULE IX — AGREEMENTS

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement
	none	

GPO 2006 98-729 (rev.)

Use additional sheets if more space is required.

UNITED STATES HOUSE OF REPRESENTATIVES
FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2004

FORM A
For use by Members, officers, and employees

HAND DELIVERED

2005 MAY 16 PM 5:36 HC

(Office Use Only)

Dr. Thomas W. Osborne
(Full Name)

5400 Trotter Road Lincoln, NE 68521
(Mailing Address)

202-225-8688
Daytime Telephone

Filer Status	✓ Member of the U.S House of Representatives — State: NE — District: 3	☐ Officer Or Employee — Employing Office	**A $200 penalty shall be assessed against anyone who files more than 30 days late.**
Report Type	☑ Annual (May 15)	☐ Amendment	☐ Termination — Termination Date:

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I.	Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes ☑ No ☐	
II.	Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes ☐ No ☑	
III.	Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes ☑ No ☐	
IV.	Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes ☑ No ☐	
V.	Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes ☐ No ☑	
VI.	Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $285 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes ☐ No ☑	
VII.	Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $285 from one source)? **If yes, complete and attach Schedule VII**	Yes ☐ No ☑	
VIII.	Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes ☑ No ☐	
IX.	Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes ☐ No ☑	

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

Trusts-	**Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?**	Yes ☐ No ☑
Exemptions--	**Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?**	Yes ☐ No ☑

CERTIFICATION -- THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and wilfully fails to file this report may be subject to civil penalties and criminal sanctions (See 5 U.S.C. app. 4, § 104 and U.S.C. § 1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	Thomas W. Osborne	5/10/05

SCHEDULE I - EARNED INCOME

Name Dr. Thomas W. Osborne

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

Source	Type	Amount
Osborne Family Enterprises, LLC	Non-Employee Compensation	$10,145

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Thomas Osborne | 308 89

BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self directed IRA (i.e., one where you have the power to select the specific investments) provide information on each asset in the account that exceeds the reporting threshold and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see instruction booklet for the reporting year. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.	BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership Income or Farm Income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.	BLOCK E **Transaction** Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.
	UBS Money Market	$100,001 - $250,000	DIVIDENDS	$1,001 - $2,500	
	Aracruz Celulose ADR	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
	Allied Irish Banks ADR	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
	Asahi Glass ADR	$1,001 - $15,000	DIVIDENDS	$1 - $200	
	Barclays PLC ADR	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
	BNP Paribas ADR	$1,001 - $15,000	DIVIDENDS	$1 - $200	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Thomas Osborne

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	BP PLC ADR	$15,001 - $50,000	DIVIDENDS	$201 - $1,000	P
	Coca Cola Amatil ADR	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
	Credit Suiss Group ADR	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
	CRH PLC ADR	$1,001 - $15,000	DIVIDENDS	$1 - $200	
	DAI Nippon Printing ADR	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
	Daigeo PLC	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
	E ON AG ADR	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
	Eisai ADR	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
	Eni Spa It ADR	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
	Glaxo SmithKline ADR	$1,001 - $15,000	DIVIDENDS	$1 - $200	
	HSBC Holdings	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
	ING Groep ADR	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
	Johnson Electric Holdings ADR	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
	Komatsu	$1,001 - $15,000	DIVIDENDS	$1 - $200	P

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Thomas Osborne

	Koninklijke Philips ADR	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
	Legal & General Group ADR	$2,164	DIVIDENDS	$1 - $200	P
	Loreal ADR	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
	Luxottica Group	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
	LVMH Moet Hennessy ADR	$1,001 - $15,000	DIVIDENDS	$1 - $200	
	Mitsubishi Tokyo Financial ADR	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
	Nestle SA ADR	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
	Nidec ADR	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
	Novartis	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
	Reed Elsevier ADR	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
	Rio Tinto ADR	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
	Roche Holding Ltd ADR	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
	Royal Dutch Petro ADR	$1,001 - $15,000	DIVIDENDS	$1 - $200	
	Sanofi Aventis ADR	$1,001 - $15,000	DIVIDENDS	$1 - $200	P

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Thomas Osborne

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	Sanpaolo IMI ADR	$1,001 - $15,000	DIVIDENDS	$1 - $200	
	SAP AG ADR	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
	Sappi Ltd ADR	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
	Seven Eleven ADR	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
	Siemens ADR	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
	Singapore Telecom ADR	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
	Smith & Nephew ADR	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
	Sony Corp ADR	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
	Sumitomo Mitsui Financial Group ADR	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
	Sun Hung Kai Pros ADR	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
	Swiss Reins ADR	$1,001 - $15,000	DIVIDENDS	$1 - $200	
	Taiwan Semiconductor ADR	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
	Telefonica S A ADR	$1,001 - $15,000	DIVIDENDS	$1 - $200	
	Total S A France ADR	$1,001 - $15,000	DIVIDENDS	$1 - $200	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Thomas Osborne

	Toto Ltd ADR	$1,001 - $15,000	DIVIDENDS	$1 - $200	
	Toyota Motor Corp ADR	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
	Yara Intl ADR	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
	Accenture	$1,001 - $15,000		NONE	P
	Alcan Inc	$1,001 - $15,000		NONE	
	Brookfield Properties	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
	Carnival Corp	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
	Schlumberger LTD	$1,001 - $15,000	DIVIDENDS	$1 - $200	
	Tyco Intl	$1,001 - $15,000	DIVIDENDS	$1 - $200	
	X L Capital	$1,001 - $15,000		NONE	
	Abbott Labs	$1,001 - $15,000	DIVIDENDS	$1 - $200	
	Affordable Residental Communites	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
	Altria Group	$15,001 - $50,000	DIVIDENDS	$201 - $1,000	P
	AMB Property Corp	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	P

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Thomas Osborne

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	American Express	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
	American Intl Group	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
	Ameren Corp	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
	Anheuser Busch	$1,001 - $15,000	DIVIDENDS	$1 - $200	
	Apartment Invt & Mgmt Co	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
	Archstone-Smith Trust	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	P
	Avalonbay Communities	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	P
	Avon Products	$1,001 - $15,000		NONE	P
	Bank of America	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	P
	Bank of New York	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
	BG Group ADR	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
	Biomed Realty Trust	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
	Boston Properties	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	P
	Camden Properties	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	P

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Thomas Osborne

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	Catellus Development	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	P
	Chevron-Texaco	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	P
	Cinergy Corp	$1,001 - $15,000	DIVIDENDS	$1 - $200	
	Citigroup	$15,001 - $50,000	DIVIDENDS	$201 - $1,000	P
	Coca Cola	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
	Colgate Palmolive	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
	ConocoPhilips	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
	Corporate Office Properties	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
	Developers Deiversified Realty	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	P
	Dow Chemical	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
	Emerson Electric	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
	Entergy Corp	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
	Equity Office Properties	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	P
	Equity Residential	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	P

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Thomas Osborne

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	Exxon Mobil	$15,001 - $50,000	DIVIDENDS	$201 - $1,000	P
	Fannie Mae	$1,001 - $15,000	DIVIDENDS	$1 - $200	
	Federal Realty Invt Trust	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
	Freddie Mac	$1,001 - $15,000	DIVIDENDS	$1 - $200	
	General Electric	$15,001 - $50,000	DIVIDENDS	$201 - $1,000	P
	General Growth Properties	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	P
	General Mills	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
	Healthcare Realty Trust	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	P
	Heinz H J	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
	Hewlett Packard	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
	Highwoods Properties	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	P
	Hilton Hotels	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
	Home Depot	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
	Honeywell International	$1,001 - $15,000	DIVIDENDS	$1 - $200	P

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Thomas Osborne

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	Host Marriott Corp	$1,001 - $15,000	DIVIDENDS	$1 - $200	
	Hubbell Inc	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
	Infonet Services	$1,001 - $15,000		NONE	
	Intel Corp	$1,001 - $15,000	DIVIDENDS	$1 - $200	
	IBM	$1,001 - $15,000	DIVIDENDS	$1 - $200	
	Istar Financial	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
	ITT Industries	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
	Johnson and Johnson	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
	JP Morgan Chase	$1,001 - $15,000	RENT/DIVIDENDS	$201 - $1,000	P
	Kilroy Realty	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
	Kraft Foods	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
	Estee Lauder	$1,001 - $15,000	DIVIDENDS	$1 - $200	
	Liberty Property Trust	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	P
	Eli Lilly	$1,001 - $15,000	DIVIDENDS	$1 - $200	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Thomas Osborne

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	Limited Brands	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
	Lockheed Martin	$1,001 - $15,000	DIVIDENDS	$1 - $200	
	Macerich Company	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
	Marsh & McLennan	$1,001 - $15,000	DIVIDENDS	$1 - $200	
	McDonalds	$1,001 - $15,000	DIVIDENDS	$1 - $200	
	McGraw Hill Cos	$1,001 - $15,000	DIVIDENDS	$1 - $200	
	MCI	$1,001 - $15,000		NONE	P
	Meadwestvaco	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
	Merck	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
	Merrill Lynch	$1,001 - $15,000	DIVIDENDS	$1 - $200	
	Microsoft	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	P
	Morgan Stanley	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
	Newell Rubbermaid	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
	News Corp	$1,001 - $15,000	DIVIDENDS	$1 - $200	P

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Thomas Osborne

	Northrop Grumman	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
	Occidental Petroleum	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
	Omega Healthcare	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
	Pan Pac Properties	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
	Pepsico	$1,001 - $15,000	DIVIDENDS	$1 - $200	
	Pfizer	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	P
	Pitney Bowes	$1,001 - $15,000	DIVIDENDS	$1 - $200	
	Plum Creek Timbers	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
	PPG Industries	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
	PPL Corp	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
	Prentis Properties	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	P
	Proctor Gamble	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
	Prologis SBI	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	P
	PS Business Parks	$1,001 - $15,000	DIVIDENDS	$1 - $200	P

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Thomas Osborne

	Public Service Enterprise Group	$1,001 - $15,000	DIVIDENDS	$1 - $200	
	Public Storage	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
	Realty Income Corp	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
	Regal Entertainment	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
	Regency Centers	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	P
	Regions Finanical	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
	SBC Communications	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	
	Shugard Storage Centers	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
	Simon Property Group	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	P
	J M Smucker Co	$1 - $1,000	DIVIDENDS	$1 - $200	
	Sysco	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
	Starwood Hotels and Resorts	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
	Target Corp	$1,001 - $15,000	DIVIDENDS	$1 - $200	
	Taubman Centers	$1,001 - $15,000	DIVIDENDS	$1 - $200	P

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Thomas Osborne

	Textron	$1,001 - $15,000	DIVIDENDS	$1 - $200	
	Time Warner	$1,001 - $15,000		NONE	
	Tribune Co	$1,001 - $15,000		NONE	
	Unitied Dominion Realty	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
	United Parcel Service	$1,001 - $15,000	DIVIDENDS	$1 - $200	
	Unocal Corp	$1,001 - $15,000	DIVIDENDS	$1 - $200	
	United Technologies	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
	US Bancorp	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	P
	Verizon Communications	$1,001 - $15,000	DIVIDENDS	$1 - $200	
	Viacom Inc Class B	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
	Vornado Realty	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
	Wachovia	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
	Wal Mart Stores	$1,001 - $15,000	DIVIDENDS	$1 - $200	
	Walgreen	$1,001 - $15,000	DIVIDENDS	$1 - $200	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Thomas Osborne

	Wells Fargo	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
	Williams Companies	$1,001 - $15,000	DIVIDENDS	$1 - $200	
	Wisconsin Energy	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
	WorldCom	$1 - $1,000		NONE	
	Wyeth	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
	Nuveen Sector Portfolios E Business	$1,001 - $15,000		NONE	
	Nuveen Sector Portfolios Network & Storage	$15,001 - $50,000		NONE	
	Nuveen Sector Portfolios Wireless	$15,001 - $50,000		NONE	
	FNMA 5.50% Due 02-15-2006	$15,001 - $50,000	INTEREST	$1,001 - $2,500	
	FNMA 6.00% Due 05-15-2008	$1,001 - $15,000	INTEREST	$201 - $1,000	
	FNMA 7.125% Due 02-15-2005	$15,001 - $50,000	INTEREST	$1,001 - $2,500	
	US Treasury Bond 9.00% Due 11-15-18	$1,001 - $15,000	INTEREST	$201 - $1,000	
	US Treasury Bond 10.625% Due 8-15-15	$15,001 - $50,000	INTEREST	$1,001 - $2,500	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Thomas Osborne

	US Treasury Infl Protected Note 3% Due 7-15-2012	$15,001 - $50,000	INTEREST	$1,001 - $2,500	
	US Treasury Infl Protected Note 3.375% Due 1-15-07	$15,001 - $50,000	INTEREST	$201 - $1,000	P
	Us Treasury Infl Protected Note 3.625% Due 1-15-08	$15,001 - $50,000	INTEREST	$201 - $1,000	P
	US Treasury Note 6.50% Due 10-15-06	$15,001 - $50,000	INTEREST	$201 - $1,000	P
	American Funds New Perspective Class A	$250,001 - $500,000	DIVIDENDS	$1,001 - $2,500	
	American Funds SmallCap World Class A	$100,001 - $250,000		NONE	
	Federated Kaufman Fund Class C	$50,001 - $100,000		NONE	
	PIMCO Fixed Income Shares Series C	$50,001 - $100,000	INTEREST	$1 - $200	
	PIMCO Fixed Income Shares Series M	$50,001 - $100,000	INTEREST	$2,501 - $5,000	
	PIMCO PEA Renaissance Fund Class C	$50,001 - $100,000		NONE	
	UBS M2 Fund LLC	$100,001 - $250,000		NONE	
	New Century Fund	$15,001 - $50,000		NONE	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Thomas Osborne

SP	UBS Money Market	$15,001 - $50,000	DIVIDENDS	$201 - $1,000	
SP	Novartis AG Spon ADR	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
SP	Potash Corp Sask Inc	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
SP	Accenture Ltd Cl A	$1,001 - $15,000		NONE	
SP	Carnival Corp New	$1,001 - $15,000	DIVIDENDS	$1 - $200	
SP	Nortel Ntwks Corp New	$1 - $1,000		NONE	P
SP	Schlumberger Ltd Netherlands	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
SP	Tyco Intl Ltd New	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
SP	XL Capital Ltd Cl A	$1,001 - $15,000	DIVIDENDS	$1 - $200	
SP	Adobe Systems	$1,001 - $15,000		NONE	P
SP	Aflac	$1,001 - $15,000	DIVIDENDS	$1 - $200	
SP	Alcoa	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
SP	Alliance Data Systems	$1,001 - $15,000		NONE	P
SP	Amazon.com	$1,001 - $15,000		NONE	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Thomas Osborne

SP	American Internationall Group	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
SP	Apollo Group Cl A	$1,001 - $15,000		NONE	P
SP	Apple Computer	$1,001 - $15,000		NONE	P
SP	Applied Materials	$1,001 - $15,000	DIVIDENDS	$1 - $200	
SP	Aramark	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
SP	Archer Daniels Midland	$1 - $1,000	DIVIDENDS	$1 - $200	P
SP	Baker Hughes	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
SP	Bank of America	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
SP	Bank of New York	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
SP	Banknorth Group	$1,001 - $15,000		NONE	P
SP	Baxter Intl	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
SP	Bed Bath & Beyond	$1,001 - $15,000		NONE	P
SP	BJ Services	$1,001 - $15,000		NONE	P
SP	Black & Decker	$1,001 - $15,000	DIVIDENDS	$1 - $200	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Thomas Osborne

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SP	Cardinal Health	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
SP	Caremark RX	$1,001 - $15,000		NONE	P
SP	Cendant Corp	$1,001 - $15,000	DIVIDENDS	$1 - $200	
SP	CenturyTel	$1,001 - $15,000	DIVIDENDS	$1 - $200	
SP	Certegy	$1,001 - $15,000	DIVIDENDS	$1 - $200	
SP	Chicos Fas	$1,001 - $15,000		NONE	P
SP	Cigna Corp	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
SP	Cisco Sys	$1,001 - $15,000		NONE	
SP	Citigroup	$1,001 - $15,000	DIVIDENDS	$1 - $200	
SP	Clear Channel Communications	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
SP	Clorox	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
SP	Coach	$1,001 - $15,000		NONE	P
SP	Comcast	$1,001 - $15,000		NONE	P
SP	Commerce Bancorp	$1,001 - $15,000	DIVIDENDS	$1 - $200	P

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Thomas Osborne

SP	Comverse Technology	$1,001 - $15,000		NONE	P
SP	CSX Corporation	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
SP	CVS Corp	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
SP	Darden Restaurants	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
SP	Deere and Co	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
SP	Dell	$1,001 - $15,000		NONE	
SP	Dreamworks Animation	$1,001 - $15,000		NONE	P
SP	Du Pont De Nemours	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
SP	Dun & Bradstreet	$1,001 - $15,000		NONE	
SP	Eaton Corp	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
SP	Ebay	$1,001 - $15,000		NONE	
SP	Electronic Arts	$1,001 - $15,000		NONE	P
SP	EMC Corp Mass	$1,001 - $15,000		NONE	
SP	Emerson Electric	$1,001 - $15,000	DIVIDENDS	$1 - $200	P

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Thomas Osborne

SP	Equifax	$1,001 - $15,000	DIVIDENDS	$1 - $200	
SP	Exxon Mobil	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
SP	Fisher Scientific Intl	$1,001 - $15,000		NONE	
SP	Franklin Resources	$1,001 - $15,000	DIVIDENDS	$1 - $200	
SP	Freescale Semiconductor	None		NONE	P
SP	Gap	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
SP	Genentech	$1,001 - $15,000		NONE	
SP	General Electric	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
SP	Genzyme Corp Genl Div	$1,001 - $15,000		NONE	P
SP	Georgia Pacific	$1,001 - $15,000		NONE	P
SP	Gillette Company	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
SP	Global Payments	$1,001 - $15,000		NONE	P
SP	Grainger W W	$1,001 - $15,000		NONE	P
SP	Harley Davidson	$1,001 - $15,000	DIVIDENDS	$1 - $200	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Thomas Osborne

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SP	Harte Hanks	$1,001 - $15,000	DIVIDENDS	$1 - $200	
SP	Illinois Tool Works	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
SP	IMS Health	$1,001 - $15,000	DIVIDENDS	$1 - $200	
SP	Interpublic Group of Cos	$1,001 - $15,000		NONE	
SP	International Game Technology	$1,001 - $15,000		NONE	P
SP	International Paper	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
SP	Jabil Circuit	$1,001 - $15,000		NONE	P
SP	Janus Capital Group	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
SP	JPMorgan Chase & Co	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
SP	Juniper Networks	$1,001 - $15,000		NONE	P
SP	Kimberly Clark	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
SP	Kinetic Concepts	$1,001 - $15,000		NONE	P
SP	Kla-Tencor	$1,001 - $15,000		NONE	P
SP	Kraft Foods Cl A	$1,001 - $15,000	DIVIDENDS	$1 - $200	P

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Thomas Osborne

SP	Kroger Company	$1,001 - $15,000		NONE	P
SP	L-3 Communications	$1,001 - $15,000		NONE	
SP	Lauder Estee Cos Cl A	$1,001 - $15,000	DIVIDENDS	NONE	P
SP	Lexmark Intl	$1,001 - $15,000		NONE	P
SP	Lyondell Chemical	$1,001 - $15,000		NONE	P
SP	Marriott Intl Cl A	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
SP	Mattel	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
SP	Maxim Integrated Prods	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
SP	MBIA Inc	$1,001 - $15,000	DIVIDENDS	$1 - $200	
SP	MBNA Corp	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
SP	McClatchy (Holding Co) Cl A	$1,001 - $15,000	DIVIDENDS	$1 - $200	
SP	Mellon Financial	$1,001 - $15,000	DIVIDENDS	$1 - $200	
SP	Merck & Co	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
SP	Merrill Lynch & Co	$1,001 - $15,000	DIVIDENDS	$1 - $200	P

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Thomas Osborne

SP	MGIC Investment Corp Wis	$1,001 - $15,000		NONE	P
SP	Microchip Technology	$1,001 - $15,000		NONE	P
SP	Microsoft Corp	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
SP	Mohawk Industries	$1,001 - $15,000		NONE	P
SP	Monsanto	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
SP	Motorola	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
SP	Network Appliance	$1,001 - $15,000		NONE	
SP	Newell Rubbermaid	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
SP	Newmont Mining	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
SP	Nextel Partners Cl A	$1,001 - $15,000		NONE	P
SP	Nike Cl B	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
SP	Northern Trust	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
SP	Omnicare	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
SP	Omnicom	$1,001 - $15,000	DIVIDENDS	$1 - $200	P

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Thomas Osborne

SP	Parker Hannifin	$1 - $1,000	DIVIDENDS	$1 - $200	P
SP	Pepsico	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
SP	Pfizer	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
SP	Pitney Bowes	$1,001 - $15,000	DIVIDENDS	$1 - $200	
SP	Pixar	$1,001 - $15,000		NONE	
SP	Praxair	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
SP	Price T Rowe Group	$1,001 - $15,000	DIVIDENDS	$1 - $200	
SP	Progress Energy	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
SP	Progressive Corp Ohio	$1,001 - $15,000	DIVIDENDS	$1 - $200	
SP	Qualcomm	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
SP	Radioshack Corp	$1,001 - $15,000		NONE	P
SP	Rohm Haas	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
SP	SBC Communication	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
SP	Schering Plough Corp	$1,001 - $15,000	DIVIDENDS	$1 - $200	P

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Thomas Osborne

SP	Sepracor	$1,001 - $15,000		NONE	P
SP	Servicemaster	$1,001 - $15,000	DIVIDENDS	$1 - $200	
SP	Solectron Corp Dela	$1,001 - $15,000		NONE	P
SP	St Paul Travelers Cos	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
SP	Staples	$1,001 - $15,000	DIVIDENDS	$1 - $200	
SP	Starbucks	$1,001 - $15,000		NONE	P
SP	Station Casino	$1,001 - $15,000		NONE	P
SP	Sungard Data Systems	$1,001 - $15,000		NONE	P
SP	Symantec	$1,001 - $15,000		NONE	P
SP	Target Corp	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
SP	Teradyne	$1 - $1,000		NONE	P
SP	Triad Hospitals	$1,001 - $15,000		NONE	p
SP	Tribune Co New	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
SP	Union Pacific	$1,001 - $15,000	DIVIDENDS	$1 - $200	P

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Thomas Osborne

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SP	Verisign	$1,001 - $15,000		NONE	
SP	Verizon Communications	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
SP	Viacom Inc B Non Vtg	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
SP	Wachovia	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
SP	Wal Mart Stores	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
SP	Walgreen	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
SP	Walt Disney Co	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
SP	Waste Mgmt Inc New	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
SP	Wells Fargo & Co New	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
SP	Williams Sonoma	$1,001 - $15,000		NONE	P
SP	Wyeth	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
SP	Xerox	$1,001 - $15,000		NONE	P
SP	Xilinx	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
SP	XM Satellite Radio Hldgs Cl A	$1,001 - $15,000		NONE	P

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Thomas Osborne

SP	Yahoo	$1,001 - $15,000		NONE	P
SP	Yum! Brands	$1,001 - $15,000	DIVIDENDS	$1 - $200	
	AIG American Classic Annuity V	$500,001 - $1,000,000		NONE	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Dr Thomas W. Osborne

BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, *provide an address. Provide full names of any mutual funds.* For a self directed IRA (i.e., one where you have the power to select the specific investments) provide information on each asset in the account that exceeds the reporting threshold and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see instruction booklet for the reporting year. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; *any deposits totaling $5,000 or less in personal* savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is *that of your spouse (SP) or dependent child (DC) or is jointly held (JT),* in the optional column on the far left.	BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** If other than one of the listed categories, specify the type of income by writing a brief description *in this block. (For* example: Partnership Income or Farm Income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write *"NA" for Income.* For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.	BLOCK E **Transaction** Indicate if asset was purchased (P), sold (S), or exchanged (E) in *reporting year.*
Century Properties Fund XIV	$1 - $1,000	Partnership	$1,001 - $2,500	
Coaches Direct, Inc.	$15,001 - $50,000	S-Corp	$2,501 - $5,000	
Entire Recycling, Inc.	$1 - $1,000	Investment	NONE	
Farmland - Butler Co., NE	$100,001 - $250,000	Rent	$1,001 - $2,500	
Farmland - Dawson Co., NE	$100,001 - $250,000	Rent	$2,501 - $5,000	
Fieldturf Holdings, Inc.	$100,001 - $250,000	Capital Gains	$100,001 - $1,000,000	S

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Dr Thomas W. Osborne

	Fieldturf Midwest	$1 - $1,000	Investment	NONE	
	Finley Resources, Inc.	$1,001 - $15,000	Royalties	$1 - $200	
	Land - Morill Co., NE	$15,001 - $50,000	Investment	NONE	
SP	Social Security Administration	Undetermined	Social Security	$2,501 - $5,000	
	Social Security Administration	Undetermined	Social Security	$15,001 - $50,000	
	Teachers Insurance and Annuity Assoc.	$1,000,001 - $5,000,000	Pension	$15,001 - $50,000	
	Union Bank & Trust Co.	$1,001 - $15,000	Interest	$1 - $200	

SCHEDULE IV - TRANSACTIONS

Name Thomas Osborne

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SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
	Cohen Steers ARP	S	3-11-04	$15,001 - $50,000
	Colgate Palmolive	S	7-08-04	$1,001 - $15,000
SP	Accenture Ltd Cl A	S	8/30/2004	$1,001 - $15,000
SP	Abbott Labs	S	11-09-04	$1,001 - $15,000
SP	Allergan	P	5-13-04	$1,001 - $15,000
SP	Allergan	S	12-01-04	$1,001 - $15,000
SP	AMR Corp	P	3-22-04	$1,001 - $15,000
SP	Apple Computer	P	3-22-04	$1,001 - $15,000
SP	Apple Computer	S	11-23-04	$1,001 - $15,000
SP	BJ Services	P	2-09-04	$1,001 - $15,000
SP	Bj Services	S	10-25-04	$1,001 - $15,000
SP	Chicago Merchantile	P	6-04-04	$1,001 - $15,000

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SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
SP	Chicago Merchantile	P	6-07-04	$1,001 - $15,000
SP	Chicago Merchantile	S	10-25-04	$1,001 - $15,000
SP	Chicago Merchantile	S	12-14-04	$1,001 - $15,000
SP	Chicago Merchantile	S	12-15-04	$1,001 - $15,000
SP	Cox Communications	P	3-22-04	$1,001 - $15,000
SP	Cox Communications	S	10-19-04	$1,001 - $15,000
SP	EON Labs	P	6-18-04	$1,001 - $15,000
SP	EON Labs	S	10-01-04	$1,001 - $15,000
SP	Lyondell Chemical	P	7-23-04	$1,001 - $15,000
SP	Lyondell Chemical	S	11-29-04	$1,001 - $15,000
SP	McKesson Corp	P	6-15-04	$1,001 - $15,000
SP	McKesson Corp	S	10-05-04	$1,001 - $15,000

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SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
SP	Sherwin Williams	P	6-29-04	$1,001 - $15,000
SP	Sherwin Williams	P	7-01-04	$1,001 - $15,000
SP	Sherwin Williams	S	10-25-04	$1,001 - $15,000
SP	Viacom	P	3-22-04	$1,001 - $15,000
SP	Viacom	S	9-30-04	$1,001 - $15,000
SP	Accenture	S	9-30-04	$1,001 - $15,000
SP	Aflac	S	9-29-04	$1,001 - $15,000
SP	Amgen	S	10-04-04	$1,001 - $15,000
SP	Applied Materials	S	10-04-04	$1,001 - $15,000
SP	Carnival	S	10-27-04	$1,001 - $15,000
SP	Cisco	S	9-29-04	$1,001 - $15,000
SP	Dun & Bradstreet	S	11-08-04	$1,001 - $15,000

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SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
SP	Dun & Bradstreet	S	9-24-04	$1,001 - $15,000
SP	Genetech	S	9-29-04	$1,001 - $15,000
SP	Eli Lilly	S	10-21-04	$1,001 - $15,000
SP	Maxim Integrated Products	S	9-29-04	$1,001 - $15,000
SP	Network Appliance	S	8-22-04	$1,001 - $15,000
SP	Price T Rowe Group	S	9-24-04	$1,001 - $15,000
SP	Rohm Haas	S	11-30-04	$1,001 - $15,000
SP	Schwab Charles Corp	S	12-13-04	$1,001 - $15,000
SP	Verisign	S	11-05-04	$1,001 - $15,000
SP	Wachovia	S	11-29-04	$1,001 - $15,000
SP	Wal-Mart Stores	S	10-04-04	$1,001 - $15,000
	Accenture	S	9-23-04	$1,001 - $15,000

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SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
	Accenture	S	1-12-04	$1,001 - $15,000
	Ace Ltd Bermuda	S	3-19-04	$1,001 - $15,000
	Adobe Systems	S	2-23-04	$1,001 - $15,000
	Adtran	P	7-01-04	$1,001 - $15,000
	Adtran	S	7-16-04	$1,001 - $15,000
	Aetna	P	1-21-04	$1,001 - $15,000
	Aetna	S	4-22-04	$1,001 - $15,000
	Aetna Real Estate Assn	S	1-09-04	$1,001 - $15,000
	Affiliated Computer Services	P	1-28-04	$1,001 - $15,000
	Afiliated Computer Services	S	4-23-04	$1,001 - $15,000
	Alcan	S	12-08-04	$1,001 - $15,000
	American Intl Group	S	10-15-04	$1,001 - $15,000

SCHEDULE IV - TRANSACTIONS

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SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
	Ameren	P	7-01-04	$1,001 - $15,000
	Ameren	S	10-07-04	$1,001 - $15,000
	Amgen	S	6-29-04	$1,001 - $15,000
	Anheuser Busch	S	3-19-04	$1,001 - $15,000
	AON Corp	S	1-28-04	$1,001 - $15,000
	Apartment Investment and Management	S	1-12-04	$1,001 - $15,000
	Apogent Technologies	S	3-19-04	$1,001 - $15,000
	Apple Computer	P	3-22-04	$1,001 - $15,000
	Apple Computer	S	8-18-04	$1,001 - $15,000
	Asahi Glass ADR	S	9-29-04	$1,001 - $15,000
	ASML Holdings	S	12-16-04	$1,001 - $15,000
	ASML Holdings	P	3-24-04	$1,001 - $15,000

SCHEDULE IV - TRANSACTIONS

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SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
	Automatic Data Processing	S	3-10-04	$1,001 - $15,000
	Automatic Data Processing	S	3-19-04	$1,001 - $15,000
	AvalonBay Communities	S	10-21-04	$1,001 - $15,000
	Aventis	S	9-10-04	$1,001 - $15,000
	Avery Dennison	P	1-30-04	$1,001 - $15,000
	Avery Dennison	S	6-02-04	$1,001 - $15,000
	AXA ADR	S	11-23-04	$1,001 - $15,000
	Bank of America	P	3-24-04	$1,001 - $15,000
	Bank of America	S	4-01-04	$1,001 - $15,000
	Barclays	S	2-25-04	$1,001 - $15,000
	Barr Pharmaceuticals	S	2-02-04	$1,001 - $15,000
	BEA Systems	P	2-26-04	$1,001 - $15,000

SCHEDULE IV - TRANSACTIONS

Name Thomas Osborne

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SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
	BEA Systems	S	5-06-04	$1,001 - $15,000
	Becton Dickinson	S	3-19-04	$1,001 - $15,000
	Belo Corp	S	7-07-04	$1,001 - $15,000
	Biogen Idec	P	1-15-04	$1,001 - $15,000
	Biogen Idec	S	5-12-04	$1,001 - $15,000
	Biomet	P	3-23-04	$1,001 - $15,000
	Biomet	S	7-22-04	$1,001 - $15,000
	BNP Paribas ADR	S	3-25-04	$1,001 - $15,000
	British Sky Broadcasting	S	8-27-04	$1,001 - $15,000
	Broadcom	P	4-06-04	$1,001 - $15,000
	Broadcom	S	8-18-04	$1,001 - $15,000
	Canon	S	2-25-04	$1,001 - $15,000

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SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
	Cardinal Healthcare	S	3-19-04	$1,001 - $15,000
	Career Education	P	6-21-04	$1,001 - $15,000
	Career Education	S	8-02-04	$1,001 - $15,000
	Carnival Corp	S	2-11-04	$1,001 - $15,000
	Carnival Corp	S	1-21-04	$1,001 - $15,000
	Carramerica Realty	P	8-3-04	$1,001 - $15,000
	Carramerica Realty	S	10-21-04	$1,001 - $15,000
	CDW Corp	S	1-21-04	$1,001 - $15,000
	Cedar Shopping Centers	P	1-13-04	$1,001 - $15,000
	Cedar Shopping Centers	S	7-26-04	$1,001 - $15,000
	Cellitech Group	S	4-05-04	$1,001 - $15,000
	Cendant Corp	S	3-01-04	$1,001 - $15,000

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SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
	CenturyTel	S	4-06-04	$1,001 - $15,000
	Charter One Financial	P	4-08-04	$1,001 - $15,000
	Charter One Financial	S	6-24-04	$1,001 - $15,000
	Chelsea Property Group	S	7-26-04	$1,001 - $15,000
	ChevronTexaco	S	3-19-04	$1,001 - $15,000
	Cigna	S	10-04-04	$1,001 - $15,000
	Cinergy Corp	S	12-31-04	$1,001 - $15,000
	Citigroup	S	3-19-04	$1,001 - $15,000
	Clorox	P	2-11-04	$1,001 - $15,000
	Clorox	S	10-20-04	$1,001 - $15,000
	Coca Cola Enterprises	S	2-23-04	$1,001 - $15,000
	Coca Cola Enterprises	S	2-27-04	$1,001 - $15,000

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SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
	Colgate Palmolive	P	1-05-04	$1,001 - $15,000
	Colgate Palmolive	S	4-26-04	$1,001 - $15,000
	Compass Group	S	11-23-04	$1,001 - $15,000
	Comverse Technology	S	1-09-04	$1,001 - $15,000
	Comverse Technology	S	1-07-04	$1,001 - $15,000
	Conoco Phillips	S	3-09-04	$1,001 - $15,000
	Cooper Industries	S	7-23-04	$1,001 - $15,000
	Coors Adolph	S	2-05-04	$1,001 - $15,000
	Cousins Properties	S	1-12-04	$1,001 - $15,000
	Credit Suisse Group	S	7-14-04	$1,001 - $15,000
	Credit Suisse Group	S	7-09-04	$1,001 - $15,000
	CSX Corp	S	4-13-04	$1,001 - $15,000

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SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
	Cypress Semiconductor	S	1-29-04	$1,001 - $15,000
	Cypress Semiconductor	S	4-16-04	$1,001 - $15,000
	Dell	S	3-19-04	$1,001 - $15,000
	Delphi Corp	S	7-02-04	$1,001 - $15,000
	Delphi Corp	S	9-09-04	$1,001 - $15,000
	Devon Energy	S	3-19-04	$1,001 - $15,000
	Diageo PLC	S	7-08-04	$1,001 - $15,000
	Du Pont De Nemours	S	4-02-04	$1,001 - $15,000
	Duke Realty Corp	S	10-21-04	$1,001 - $15,000
	Duke Realty Corp	P	1-13-04	$1,001 - $15,000
	Electronic Data Systems	S	1-26-04	$1,001 - $15,000
	Endesa ADR	S	2-25-04	$1,001 - $15,000

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SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
	Equity Office Properties	S	10-21-04	$1,001 - $15,000
	Fair Isaac	P	2-06-04	$1,001 - $15,000
	Fair Isaac	S	5-14-04	$1,001 - $15,000
	Fairchild Semiconductor	S	1-28-04	$1,001 - $15,000
	Fairchild Semiconductor	S	4-29-04	$1,001 - $15,000
	Family Dollar Stores	P	2-06-04	$1,001 - $15,000
	Family Dollar Stores	S	5-13-04	$1,001 - $15,000
	First Data Corp	S	1-30-04	$1,001 - $15,000
	Fisher Scientific	S	8-3-04	$1,001 - $15,000
	Flextronics	P	2-25-04	$1,001 - $15,000
	Flextronics	S	12-16-04	$1,001 - $15,000
	Fortune Brands	P	4-20-04	$1,001 - $15,000

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SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
	Fortune Brands	S	6-17-04	$1,001 - $15,000
	Foundry Networks	S	4-15-04	$1,001 - $15,000
	FPL Group	S	4-14-04	$1,001 - $15,000
	Freddie Mac	S	8-25-04	$1,001 - $15,000
	Gannett	S	3-19-04	$1,001 - $15,000
	Gemstar TV Guide	P	2-23-04	$1,001 - $15,000
	Gemstar TV Guide	S	5-10-04	$1,001 - $15,000
	General Electric	S	3-19-04	$1,001 - $15,000
	General Growth Properties	S	1-12-04	$1,001 - $15,000
	General Motors	S	1-08-04	$1,001 - $15,000
	Gilead Sciences	S	1-15-04	$1,001 - $15,000
	Glaxo Smithkline ADR	S	11-23-04	$1,001 - $15,000

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SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
	Graftech International	P	3-24-04	$1,001 - $15,000
	Graftech International	S	5-04-04	$1,001 - $15,000
	Gtech Holdings	P	1-16-04	$1,001 - $15,000
	Gtech Holdings	P	1-20-04	$1,001 - $15,000
	Gtech Holdings	S	5-10-04	$1,001 - $15,000
	Gtech Holdings	S	5-11-04	$1,001 - $15,000
	H & R Block	P	1-29-04	$1,001 - $15,000
	H & R Block	S	4-06-04	$1,001 - $15,000
	HBOS PLC ADR	S	11-24-04	$1,001 - $15,000
	HCA	S	3-19-04	$1,001 - $15,000
	Home Depot	S	3-19-04	$1,001 - $15,000
	Home Depot	S	11-24-04	$1,001 - $15,000

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SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
	Honda Motor ADR	S	2-25-04	$1,001 - $15,000
	Honeywell	S	7-28-04	$1,001 - $15,000
	Hospira	S	8-25-04	$1,001 - $15,000
	Hospitality Properties	S	1-12-04	$1,001 - $15,000
	Host Marriott	S	10-21-04	$1,001 - $15,000
	HSBC Holdings	S	7-14-04	$1,001 - $15,000
	HSBC Holdings	S	4-05-04	$1,001 - $15,000
	Ingersoll Rand	S	5-07-04	$1,001 - $15,000
	Ingersoll Rand	S	1-13-04	$1,001 - $15,000
	Inland Real Estate	P	8-03-04	$1,001 - $15,000
	Inland Real Estate	S	10-21-04	$1,001 - $15,000
	Intel	S	9-09-04	$1,001 - $15,000

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SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
	IBM	S	3-19-04	$1,001 - $15,000
	Invitrogen	P	2-11-04	$1,001 - $15,000
	Invitrogen	P	2-17-04	$1,001 - $15,000
	Invitrogen	S	6-16-04	$1,001 - $15,000
	Invitrogen	S	6-17-04	$1,001 - $15,000
	Jabil Circuit	S	1-16-04	$1,001 - $15,000
	John Hancock Financial	S	2-25-04	$1,001 - $15,000
	John Hancock Financial	S	3-09-04	$1,001 - $15,000
	Johnson and Johnson	S	9-17-04	$1,001 - $15,000
	Johnson and Johnson	S	11-01-04	$1,001 - $15,000
	Kilroy Realty	S	1-12-04	$1,001 - $15,000
	Kimberly Clark	S	3-19-04	$1,001 - $15,000

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SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
	Kimco Realty	S	1-24-04	$1,001 - $15,000
	KLA Tencor	S	3-31-04	$1,001 - $15,000
	Komatsu LTD ADR	S	3-25-04	$1,001 - $15,000
	Kraft Foods	S	11-26-04	$1,001 - $15,000
	Kroeger Company	S	3-19-04	$1,001 - $15,000
	Lauder Estee	S	3-19-04	$1,001 - $15,000
	Lennar Corp	P	3-03-04	$1,001 - $15,000
	Lennar Corp	P	3-22-04	$1,001 - $15,000
	Lennar Corp	S	6-07-04	$1,001 - $15,000
	Liberty Media Corp	S	3-19-04	$1,001 - $15,000
	Liberty Property Trust	S	4-02-04	$1,001 - $15,000
	Limited Brands	S	10-07-04	$1,001 - $15,000

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SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
	Liz Claiborne	S	3-19-04	$1,001 - $15,000
	Lockheed Martin	S	11-23-04	$1,001 - $15,000
	LVMH Moet Hennessy Louis	S	5-26-04	$1,001 - $15,000
	Lyondell Chemical	P	4-16-04	$1,001 - $15,000
	Lyondell Chemical	P	6-14-04	$1,001 - $15,000
	Lyondell Chemical	S	7-22-04	$1,001 - $15,000
	Manor Care	S	5-27-04	$1,001 - $15,000
	Manpower	S	3-18-04	$1,001 - $15,000
	Marsh & McLennan	S	3-19-04	$1,001 - $15,000
	MBIA	S	3-19-04	$1,001 - $15,000
	MBNA	P	1-27-04	$1,001 - $15,000
	MBNA	S	3-19-04	$1,001 - $15,000

SCHEDULE IV - TRANSACTIONS

Name Thomas Osborne

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or *series of transactions exceeded* $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
	McCormick & Co	S	8-16-04	$1,001 - $15,000
	McDonalds	S	3-10-04	$1,001 - $15,000
	Medco Health Solutions	S	8-15-04	$1,001 - $15,000
	Medimmune	S	1-22-04	$1,001 - $15,000
	Merck	S	9-17-04	$1,001 - $15,000
	Merrill Lynch	S	3-19-04	$1,001 - $15,000
	Microsoft	S	3-25-04	$1,001 - $15,000
	Microsoft	S	3-19-04	$1,001 - $15,000
	Millburn Global	S	3-31-04	$15,001 - $50,000
	Mohawk Industries	S	3-25-04	$1,001 - $15,000
	Mohawk Industries	S	3-26-04	$1,001 - $15,000
	Morgan Stanley	S	1-07-04	$1,001 - $15,000

SCHEDULE IV - TRANSACTIONS

Name Thomas Osborne

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SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
	Mylan Laboratories	P	5-18-04	$1,001 - $15,000
	Mylan Laboratories	S	7-27-04	$1,001 - $15,000
	Mylan Laboratories	S	7-28-04	$1,001 - $15,000
	National Semiconductor	P	4-08-04	$1,001 - $15,000
	National Semiconductor	S	9-09-04	$1,001 - $15,000
	Network Appliance	S	2-26-04	$1,001 - $15,000
	New York Community Bancorp	S	4-07-04	$1,001 - $15,000
	Newell Rubbermaid	S	12-29-04	$1,001 - $15,000
	News Corp	P	2-25-04	$1,001 - $15,000
	News Corp	S	12-16-04	$1,001 - $15,000
	Nissan Motor ADR	P	2-26-04	$1,001 - $15,000
	Nissan Motor ADT	S	11-23-04	$1,001 - $15,000

SCHEDULE IV - TRANSACTIONS

Name Thomas Osborne

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SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
	Nitto Denko	P	2-25-04	$1,001 - $15,000
	Nitto Denko	P	2-03-04	$1,001 - $15,000
	Nitto Denko	S	8-20-04	$1,001 - $15,000
	Nokia	S	1-15-04	$1,001 - $15,000
	Nokia	S	2-25-04	$1,001 - $15,000
	Nomura Holdings	S	12-16-04	$1,001 - $15,000
	North Fork Bancorp	P	3-29-04	$1,001 - $15,000
	North Fork Bancorp	S	6-18-04	$1,001 - $15,000
	Northrop Grumman	S	6-10-04	$1,001 - $15,000
	Northrop Grumman	S	11-12-04	$1,001 - $15,000
	Novartis	S	9-29-04	$1,001 - $15,000
	Northern Trust	P	1-20-04	$1,001 - $15,000

SCHEDULE IV - TRANSACTIONS

Name Thomas Osborne

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SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
	Northern Trust	S	4-02-04	$1,001 - $15,000
	NTT Docomo	S	5-26-04	$1,001 - $15,000
	NTT Docomo	S	7-08-04	$1,001 - $15,000
	Oxford Health Plans	P	1-28-04	$1,001 - $15,000
	Oxford Health Plans	S	5-14-04	$1,001 - $15,000
	Oxford Health Plans	S	6-24-04	$1,001 - $15,000
	Paccar	S	6-08-04	$1,001 - $15,000
	Paccar	S	6-09-04	$1,001 - $15,000
	Patterson Dental	P	1-23-04	$1,001 - $15,000
	Patterson Dental	P	2-02-04	$1,001 - $15,000
	Patterson Dental	S	4-27-04	$1,001 - $15,000
	Patterson Dental	S	5-03-04	$1,001 - $15,000

SCHEDULE IV - TRANSACTIONS

Name Thomas Osborne

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SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
	PepsiCo	S	3-19-04	$1,001 - $15,000
	Pfizer	S	3-19-04	$1,001 - $15,000
	Pfizer	S	4-19-04	$1,001 - $15,000
	Pfizer	S	12-17-04	$1,001 - $15,000
	Pharmaceutical Resources	S	1-16-04	$1,001 - $15,000
	Pharmaceutical Resources	S	1-27-04	$1,001 - $15,000
	Piper Jaffray	S	1-09-04	$1,001 - $15,000
	PMC Sierra	S	3-26-04	$1,001 - $15,000
	PNC Financial Services	S	7-16-04	$1,001 - $15,000
	PNC Financial Services	S	10-18-04	$1,001 - $15,000
	PPG Industries	P	3-09-04	$1,001 - $15,000
	PPG Industries	S	12-17-04	$1,001 - $15,000

SCHEDULE IV - TRANSACTIONS

Name Thomas Osborne

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SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
	Prentis Properties Trust	S	7-30-04	$1,001 - $15,000
	Proctor Gamble	S	11-22-04	$1,001 - $15,000
	Proctor Gamble	S	1-04-04	$1,001 - $15,000
	Proctor Gamble	S	5-18-04	$1,001 - $15,000
	Proctor Gamble	S	6-10-04	$1,001 - $15,000
	Progressive Corp Ohio	S	3-29-04	$1,001 - $15,000
	Progressive Corp Ohio	S	3-30-04	$1,001 - $15,000
	Prologis SBI	S	7-30-04	$1,001 - $15,000
	Providian Financial	S	2-03-04	$1,001 - $15,000
	Providian Financial	S	3-11-04	$1,001 - $15,000
	Public Storage	S	1-12-04	$1,001 - $15,000
	Puget Energy	P	4-28-04	$1,001 - $15,000

SCHEDULE IV - TRANSACTIONS

Name Thomas Osborne

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SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
	Puget Energy	S	10-25-04	$1,001 - $15,000
	Quest Diagnostics	P	2-04-04	$1,001 - $15,000
	Quest Diagnostics	S	3-18-04	$1,001 - $15,000
	Raytheon	S	8-25-04	$1,001 - $15,000
	Raytheon	S	7-29-04	$1,001 - $15,000
	RF Micro Devices	S	1-16-04	$1,001 - $15,000
	Rio Tinto ADR	S	2-03-04	$1,001 - $15,000
	Roche Holdings	S	7-08-04	$1,001 - $15,000
	Rockwell Automation	P	4-02-04	$1,001 - $15,000
	Rockwell Automation	P	4-07-04	$1,001 - $15,000
	Rockwell Automation	S	6-03-04	$1,001 - $15,000
	Rouse Co	S	9-08-04	$1,001 - $15,000

SCHEDULE IV - TRANSACTIONS

Name Thomas Osborne | 58 of 89

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. *Include transactions that resulted in a loss. Do not report a* transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
	Rouse Co	S	8-20-04	$1,001 - $15,000
	Rouse Co	S	10-21-04	$1,001 - $15,000
	Royal Dutch Petroleum	S	1-09-04	$1,001 - $15,000
	Ryanair Holdings	S	11-03-04	$1,001 - $15,000
	Safeway	P	6-18-04	$1,001 - $15,000
	Safeway	S	7-29-04	$1,001 - $15,000
	Sanmina SCI	S	6-08-04	$1,001 - $15,000
	Sanofi-Aventis	S	8-19-04	$1,001 - $15,000
	SAP AG ADR	S	1-15-04	$1,001 - $15,000
	Sara Lee	S	5-28-04	$1,001 - $15,000
	Sara Lee	S	7-20-04	$1,001 - $15,000
	Sara Lee	S	10-21-04	$1,001 - $15,000

SCHEDULE IV - TRANSACTIONS

Name Thomas Osborne

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SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
	SBC Communications	S	2-10-04	$1,001 - $15,000
	Scana Corp	S	7-01-04	$1,001 - $15,000
	Schwab Charles	S	7-02-04	$1,001 - $15,000
	Seven Eleven	S	11-05-04	$1,001 - $15,000
	Sharp ADR	S	8-19-04	$1,001 - $15,000
	Shurgard Storage Centers	S	4-02-04	$1,001 - $15,000
	Siebel Systems	P	2-19-04	$1,001 - $15,000
	Siebel Systems	S	5-26-04	$1,001 - $15,000
	Smucker JM	P	3-18-04	$1,001 - $15,000
	Smucker JM	P	4-01-04	$1,001 - $15,000
	Smucker JM	S	7-30-04	$1,001 - $15,000
	Southwest Airlines	S	3-19-04	$1,001 - $15,000

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SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
	SPX Corp	S	2-25-04	$1,001 - $15,000
	Starbucks	S	3-23-04	$1,001 - $15,000
	Starwood Hotels	S	1-12-04	$1,001 - $15,000
	Stora Enso OYJ	S	1-15-04	$1,001 - $15,000
	Summit Properties	S	1-12-04	$1,001 - $15,000
	Sun Communities	S	7-26-04	$1,001 - $15,000
	Symantec Corp	S	2-11-04	$1,001 - $15,000
	Symantec Corp	S	3-19-04	$1,001 - $15,000
	Symbol Technologies	S	3-25-04	$1,001 - $15,000
	Target	S	2-11-04	$1,001 - $15,000
	Target	S	3-19-04	$1,001 - $15,000
	Teradyne	S	2-04-04	$1,001 - $15,000

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SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
	Tesco PLC ADR	S	4-05-04	$1,001 - $15,000
	Textron	S	7-29-04	$1,001 - $15,000
	Time Warner	S	3-19-04	$1,001 - $15,000
	TJX Company	S	1-07-04	$1,001 - $15,000
	TJX Company	S	1-29-04	$1,001 - $15,000
	Tribune	S	4-15-04	$1,001 - $15,000
	Tribune	S	10-20-04	$1,001 - $15,000
	Tyco	S	1-26-04	$1,001 - $15,000
	Unilever	P	7-28-04	$1,001 - $15,000
	Unilever	S	12-03-04	$1,001 - $15,000
	Unocal	S	1-09-04	$1,001 - $15,000
	Nuveen Sector Portfolio Bandwidth	S	11-09-04	$15,001 - $50,000

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SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
	Nuveen Sector Portfolio E Commerce	S	1-21-04	$15,001 - $50,000
	Nuveen Sector Portfolio Semiconductor	S	9-24-04	$15,001 - $50,000
	Urstadt Biddle	S	7-28-04	$1,001 - $15,000
	U S Bancorp	S	10-06-04	$1,001 - $15,000
	US Treasury Note 3.250%	S	5-31-04	$15,001 - $50,000
	US Treasury Note 3.250%	P	2-25-04	$1,001 - $15,000
	Varian Medical Systems	P	1-29-04	$1,001 - $15,000
	Varian Medical Systems	P	2-04-04	$1,001 - $15,000
	Varian Medical Systems	S	6-22-04	$1,001 - $15,000
	Verisign	S	2-02-04	$1,001 - $15,000
	Verizon Communications	S	7-08-04	$1,001 - $15,000
	Viacom	S	3-25-04	$1,001 - $15,000

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SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
	Vodafone	S	9-29-04	$1,001 - $15,000
	Vodafone	S	9-03-04	$1,001 - $15,000
	Volkswagon	S	2-26-04	$1,001 - $15,000
	Vornado Realty	S	1-12-04	$1,001 - $15,000
	Wachovia	S	3-19-04	$1,001 - $15,000
	Wal-Mart Stores	S	3-19-04	$1,001 - $15,000
	Washington Mutual	S	3-19-04	$1,001 - $15,000
	Watson Pharmaceutical	S	4-12-04	$1,001 - $15,000
	Weight Watchers	P	3-15-04	$1,001 - $15,000
	Weight Watchers	P	3-16-04	$1,001 - $15,000
	Weight Watchers	S	5-13-04	$1,001 - $15,000
	Wendys	P	3-18-04	$1,001 - $15,000

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SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
	Wendys	S	6-21-04	$1,001 - $15,000
	Westwood One	P	4-13-04	$1,001 - $15,000
	Westwood One	S	6-21-04	$1,001 - $15,000
	Westwood One	S	6-22-04	$1,001 - $15,000
	Weyerhaeuser	P	3-22-04	$1,001 - $15,000
	Weyerhaeuser	S	6-30-04	$1,001 - $15,000
	Wisconsin Energy	P	4-14-04	$1,001 - $15,000
	Wisconsin Energy	S	11-05-04	$1,001 - $15,000
	Wyeth	S	3-19-04	$1,001 - $15,000
	XCEL Energy	S	4-26-04	$1,001 - $15,000
	XCEL Energy	S	4-28-04	$1,001 - $15,000
	XL Capital	S	12-13-04	$1,001 - $15,000

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SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
	Yum Brands	S	2-09-04	$1,001 - $15,000
	Yum Brands	S	2-10-04	$1,001 - $15,000
	Zimmer Holdings	S	5-12-04	$1,001 - $15,000
	Zurich Financial	S	9-30-04	$1,001 - $15,000
	Bank of America	P	1-13-04	$1,001 - $15,000
	ConocoPhillips	P	12-20-04	$1,001 - $15,000
	Exxon Mobil	P	2-04-04	$1,001 - $15,000
	HSBC Holdings ADR	P	10-05-04	$1,001 - $15,000
	Johnson & Johnson	P	3-02-04	$1,001 - $15,000
	Kraft Foods	P	2-17-04	$1,001 - $15,000
	Proctor Gamble	P	1-13-04	$1,001 - $15,000
	Sysco Corp	P	12-08-04	$1,001 - $15,000

SCHEDULE IV - TRANSACTIONS

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SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
	Viacom	P	12-08-04	$1,001 - $15,000
	Accenture	P	10-22-04	$1,001 - $15,000
	Altria Group	P	8-13-04	$1,001 - $15,000
	Altria Group	P	9-15-04	$1,001 - $15,000
	American Intl Group	P	11-23-04	$1,001 - $15,000
	Ameren	P	7-01-04	$1,001 - $15,000
	Aracruz Celulose ADR	P	7-26-04	$1,001 - $15,000
	Avon Products	P	7-8-04	$1,001 - $15,000
	ChevronTexaco	P	11-8-04	$1,001 - $15,000
	Citigroup	P	7-27-04	$1,001 - $15,000
	Coca Cola	P	5-10-04	$1,001 - $15,000
	Colgate Palmolive	P	11-04-04	$1,001 - $15,000

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SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
	Diageo PLC ADR	P	9-08-04	$1,001 - $15,000
	Emerson Electric	P	3-05-04	$1,001 - $15,000
	Emerson Electric	P	5-05-04	$1,001 - $15,000
	Exon Mobil	P	7-21-04	$1,001 - $15,000
	Exon Mobil	P	12-08-04	$1,001 - $15,000
	Freddie Mac	P	10-27-04	$1,001 - $15,000
	General Electric	P	4-22-04	$1,001 - $15,000
	General Mills	P	10-05-04	$1,001 - $15,000
	General Mills	P	10-06-04	$1,001 - $15,000
	Heinz H J	P	7-27-04	$1,001 - $15,000
	Honeywell Intl	P	2-25-04	$1,001 - $15,000
	Honeywell Intl	P	11-19-04	$1,001 - $15,000

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Name Thomas Osborne

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SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
	Hubbell	P	12-03-04	$1,001 - $15,000
	ITT Industries	P	12-15-04	$1,001 - $15,000
	JPMorgan Chase	P	1-06-04	$1,001 - $15,000
	JPMorgan Chase	P	1-09-04	$1,001 - $15,000
	JPMorgan Chase	P	7-19-04	$1,001 - $15,000
	Koninklijke Philips ADR	P	10-21-04	$1,001 - $15,000
	Limited Brands	P	12-27-04	$1,001 - $15,000
	MCI	P	11-24-04	$1,001 - $15,000
	Meadwestvaco	P	6-24-04	$1,001 - $15,000
	Merck	P	10-06-04	$1,001 - $15,000
	Microsoft	P	1-23-04	$1,001 - $15,000
	Morgan Stanley	P	6-10-04	$1,001 - $15,000

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SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
	Newell Rubbermaid	P	1-09-04	$1,001 - $15,000
	News Corp	P	10-13-04	$1,001 - $15,000
	Northrop Grumman	P	9-14-04	$1,001 - $15,000
	Pfizer	P	11-24-04	$1,001 - $15,000
	Pitney Bowes	P	2-23-04	$1,001 - $15,000
	PPG Industries	P	4-02-04	$1,001 - $15,000
	PPL Corp	P	4-16-04	$1,001 - $15,000
	PPL Corp	P	5-19-04	$1,001 - $15,000
	Proctor Gamble	P	4-28-04	$1,001 - $15,000
	Public Service Enterprise Group	P	10-19-04	$1,001 - $15,000
	Public Service Enterprise Group	P	10-25-04	$1,001 - $15,000
	Regal Entertainment Group	P	10-05-04	$1,001 - $15,000

SCHEDULE IV - TRANSACTIONS

Name Thomas Osborne

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
	Regal Entertainment Group	P	11-04-04	$1,001 - $15,000
	Regions Financial	P	6-09-04	$1,001 - $15,000
	Regions Financial	P	8-03-04	$1,001 - $15,000
	Sappi LTD ADR	P	12-17-04	$1,001 - $15,000
	United Technololgies	P	7-29-04	$1,001 - $15,000
	United Technologies	P	10-19-04	$1,001 - $15,000
	Viacom	P	3-09-04	$1,001 - $15,000
	Wachovia	P	10-15-04	$1,001 - $15,000
	Wells Fargo	P	7-21-04	$1,001 - $15,000
	Wells Fargo	P	8-18-04	$1,001 - $15,000
	Wells Fargo	P	9-21-04	$1,001 - $15,000
	Wisconsin Energy	P	4-15-04	$1,001 - $15,000

SCHEDULE IV - TRANSACTIONS

Name Thomas Osborne

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SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
	Wyeth	P	2-02-04	$1,001 - $15,000
	Wyeth	P	4-14-04	$1,001 - $15,000
	Wyeth	P	5-28-04	$1,001 - $15,000
	Allied Irish Banks ADR	P	7-09-04	$1,001 - $15,000
	Barclays ADR	P	9-29-04	$1,001 - $15,000
	BG Group ADR	P	5-26-29	$1,001 - $15,000
	Carnival	P	1-15-04	$1,001 - $15,000
	Coca Cola Amatil ADR	P	11-24-04	$1,001 - $15,000
	Credit Suisse ADR	P	12-16-04	$1,001 - $15,000
	CRH ADR	P	2-25-04	$1,001 - $15,000
	DAI Nippon Printing	P	12-17-04	$1,001 - $15,000
	E ON AG ADR	P	8-22-04	$1,001 - $15,000

SCHEDULE IV - TRANSACTIONS

Name Thomas Osborne

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SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
	Eisai ADR	P	8-19-04	$1,001 - $15,000
	ENI Spa ADR	P	1-15-04	$1,001 - $15,000
	ING Groep ADR	P	11-23-04	$1,001 - $15,000
	Johnson Electric Holdings ADR	P	12-17-04	$1,001 - $15,000
	Legal and General Group ADR	P	11-24-04	$1,001 - $15,000
	Loreal ADR	P	8-20-04	$1,001 - $15,000
	Luxottica Group ADR	P	7-09-04	$1,001 - $15,000
	Nidec ADR	P	9-03-04	$1,001 - $15,000
	Novartis ADR	P	5-27-04	$1,001 - $15,000
	Rio Tinto ADR	P	7-15-04	$1,001 - $15,000
	Rio Tinto ADR	P	8-19-04	$1,001 - $15,000
	Roche Holdings ADR	P	1-09-04	$1,001 - $15,000

SCHEDULE IV - TRANSACTIONS

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SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
	Sanofi - Aventis ADR	P	9-10-04	$1,001 - $15,000
	Schlumberger	P	9-29-04	$1,001 - $15,000
	Seven Eleven	P	4-05-04	$1,001 - $15,000
	Singapore Telecom ADR	P	11-24-04	$1,001 - $15,000
	Smith & Nephew ADR	P	9-29-04	$1,001 - $15,000
	Sony ADR	P	9-03-04	$1,001 - $15,000
	Sony ADR	P	9-29-04	$1,001 - $15,000
	Sumitomo Mitsui Financial ADR	P	4-05-04	$1,001 - $15,000
	Sumitomo Mitsui Financial ADR	P	8-30-04	$1,001 - $15,000
	Sun Hung Kai ADR	P	2-26-04	$1,001 - $15,000
	Sun Hung Kai ADR	P	4-05-04	$1,001 - $15,000
	Taiwan Semiconductor ADR	P	3-24-04	$1,001 - $15,000

SCHEDULE IV - TRANSACTIONS

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SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
	Toto LTD ADR	P	5-26-04	$1,001 - $15,000
	Yara International ADR	P	7-12-04	$1,001 - $15,000
	Affordable Residential Communities	P	8-02-04	$1,001 - $15,000
	Biomed Realty	P	10-25-04	$1,001 - $15,000
	Boston Properties	P	3-10-04	$1,001 - $15,000
	Catellus Development	P	10-22-04	$1,001 - $15,000
	Developers Diversified Realty	P	8-09-04	$1,001 - $15,000
	Equity Office Properties	P	4-02-04	$1,001 - $15,000
	General Growth Properties	P	8-09-04	$1,001 - $15,000
	Highwood Properties	P	10-22-04	$1,001 - $15,000
	Hilton Hotels	P	1-13-04	$1,001 - $15,000
	Liberty Property	P	8-10-04	$1,001 - $15,000

SCHEDULE IV - TRANSACTIONS

Name Thomas Osborne

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SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
	Liberty Property	P	10-22-04	$1,001 - $15,000
	Macerich Co	P	1-13-04	$1,001 - $15,000
	Omega Healthcare	P	4-02-04	$1,001 - $15,000
	Pan Pac Properties	P	10-25-04	$1,001 - $15,000
	Plum Creek Timber	P	10-25-04	$1,001 - $15,000
	Prologis	P	3-10-04	$1,001 - $15,000
	Prologis	P	10-25-04	$1,001 - $15,000
	Realty Income Corp	P	1-13-04	$1,001 - $15,000
	Shurgard Centers	P	1-13-04	$1,001 - $15,000
	Simon Property Group	P	3-10-04	$1,001 - $15,000
	Simon Property Group	P	8-10-04	$1,001 - $15,000
	Simon Property Group	P	10-25-04	$1,001 - $15,000

SCHEDULE IV - TRANSACTIONS

Name Thomas Osborne

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SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
SP	Alliance Data Systems	P	2-03-04	$1,001 - $15,000
SP	Adobe Systems	P	7-29-04	$1,001 - $15,000
SP	Bed Bath & Beyond	P	8-30-04	$1,001 - $15,000
SP	BJ Services	P	3-19-04	$1,001 - $15,000
SP	Caremark	P	1-16-04	$1,001 - $15,000
SP	Caremark	P	1-22-04	$1,001 - $15,000
SP	Chicos	P	1-02-04	$1,001 - $15,000
SP	Coach	P	1-07-04	$1,001 - $15,000
SP	Comverse Technology	P	12-15-04	$1,001 - $15,000
SP	Comverse Technology	P	12-16-04	$1,001 - $15,000
SP	Darden Restaurants	P	2-10-04	$1,001 - $15,000
SP	Darden Restaurants	P	2-23-04	$1,001 - $15,000

SCHEDULE IV - TRANSACTIONS

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SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
SP	Dreamworks Animation	P	10-28-04	$1,001 - $15,000
SP	Genzyme	P	8-24-04	$1,001 - $15,000
SP	Genzyme	P	8-26-04	$1,001 - $15,000
SP	Georgia Pacific	P	4-20-04	$1,001 - $15,000
SP	Georgia Pacific	P	4-21-04	$1,001 - $15,000
SP	Global Payments	P	4-15-04	$1,001 - $15,000
SP	Global Payments	P	4-26-04	$1,001 - $15,000
SP	Global Payments	P	6-15-04	$1,001 - $15,000
SP	Grainger WW	P	10-01-04	$1,001 - $15,000
SP	Grainger WW	P	10-05-04	$1,001 - $15,000
SP	International Game Technology	P	5-10-04	$1,001 - $15,000
SP	International Game Technology	P	5-20-04	$1,001 - $15,000

SCHEDULE IV - TRANSACTIONS

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SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
SP	Jabil Circuit	P	10-25-04	$1,001 - $15,000
SP	Juniper Networks	P	1-13-04	$1,001 - $15,000
SP	Juniper Networks	P	5-06-04	$1,001 - $15,000
SP	Kinetic Concepts	P	6-17-04	$1,001 - $15,000
SP	Kinetic Concepts	P	6-28-04	$1,001 - $15,000
SP	KLA-Tencor	P	7-22-04	$1,001 - $15,000
SP	L-3 Communications	P	1-28-04	$1,001 - $15,000
SP	L-3 Communications	P	1-29-04	$1,001 - $15,000
SP	Lauder Estee	P	5-06-04	$1,001 - $15,000
SP	Lauder Estee	P	5-14-04	$1,001 - $15,000
SP	Lexmark	P	8-03-04	$1,001 - $15,000
SP	Lyondell Chemical	P	7-26-04	$1,001 - $15,000

SCHEDULE IV - TRANSACTIONS

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SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
SP	Marriott International	P	10-25-04	$1,001 - $15,000
SP	MGIC Investment	P	10-27-04	$1,001 - $15,000
SP	Microchip Technology	P	4-16-04	$1,001 - $15,000
SP	Nextel Partners	P	5-11-04	$1,001 - $15,000
SP	RadioShack	P	11-22-04	$1,001 - $15,000
SP	RadioShack	P	12-03-04	$1,001 - $15,000
SP	Sepracor	P	4-23-04	$1,001 - $15,000
SP	Sepracor	P	4-27-04	$1,001 - $15,000
SP	Station Casino	P	6-10-04	$1,001 - $15,000
SP	Triad Hospitals	P	5-10-04	$1,001 - $15,000
SP	Triad Hospitals	P	5-14-04	$1,001 - $15,000
SP	Verisign	P	5-07-04	$1,001 - $15,000

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SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
SP	Aramark	P	8-31-04	$1,001 - $15,000
SP	Mattel	P	9-08-04	$1,001 - $15,000
SP	Mattel	P	9-24-04	$1,001 - $15,000
SP	Mohawk Industries	P	8-31-04	$1,001 - $15,000
SP	Tribune	P	1-02-04	$1,001 - $15,000
SP	Apollo Group	P	10-20-04	$1,001 - $15,000
SP	Commerce Bancorp	P	11-01-04	$1,001 - $15,000
SP	Electronic Arts	P	9-15-04	$1,001 - $15,000
SP	Electronic Arts	P	12-16-04	$1,001 - $15,000
SP	Qualcomm	P	4-02-04	$1,001 - $15,000
SP	Qualcomm	P	4-06-04	$1,001 - $15,000
SP	Qualcomm	P	6-30-04	$1,001 - $15,000

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SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
SP	Symantec	P	10-04-04	$1,001 - $15,000
SP	XM Satellite Radio	P	3-25-04	$1,001 - $15,000
SP	Alcoa	P	3-22-04	$1,001 - $15,000
SP	American International Group	P	3-22-04	$1,001 - $15,000
SP	Apple Computer	P	3-22-04	$1,001 - $15,000
SP	Archer Daniels Midland	P	3-22-04	$1,001 - $15,000
SP	Baker Hughes	P	3-22-04	$1,001 - $15,000
SP	Bank of New York	P	3-22-04	$1,001 - $15,000
SP	Bank of America	P	3-22-04	$1,001 - $15,000
SP	Baxter International	P	3-22-04	$1,001 - $15,000
SP	Cardinal Health	P	9-30-04	$1,001 - $15,000
SP	Cigna	P	6-23-04	$1,001 - $15,000

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SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
SP	Clear Channel Communications	P	3-22-04	$1,001 - $15,000
SP	Clorox	P	11-24-04	$1,001 - $15,000
SP	Comcast	P	3-22-04	$1,001 - $15,000
SP	CSX Corporation	P	3-22-04	$1,001 - $15,000
SP	CVS Corp	P	12-16-04	$1,001 - $15,000
SP	Deere and Co	P	3-22-04	$1,001 - $15,000
SP	Du Pont De Nemours	P	3-22-04	$1,001 - $15,000
SP	Eaton	P	3-22-04	$1,001 - $15,000
SP	EMC Corp	P	3-22-04	$1,001 - $15,000
SP	Emerson Electric	P	3-22-04	$1,001 - $15,000
SP	Exxon Mobil	P	3-22-04	$1,001 - $15,000
SP	Exxon Mobil	P	3-24-04	$1,001 - $15,000

SCHEDULE IV - TRANSACTIONS

Name Thomas Osborne | 83 of 89

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
SP	Freescale Semiconductor	P	3-22-04	$1,001 - $15,000
SP	Gap	P	3-22-04	$1,001 - $15,000
SP	General Electric	P	8-26-04	$1,001 - $15,000
SP	Gillette	P	3-22-04	$1,001 - $15,000
SP	Illinois Tool Works	P	3-22-04	$1,001 - $15,000
SP	International Paper	P	3-22-04	$1,001 - $15,000
SP	JPMorgan Chase	P	3-22-04	$1,001 - $15,000
SP	JPMorgan Chase	P	3-24-04	$1,001 - $15,000
SP	Kimberly Clark	P	10-11-04	$1,001 - $15,000
SP	Kraft Foods	P	3-22-04	$1,001 - $15,000
SP	Kroger	P	7-08-04	$1,001 - $15,000
SP	Mellon Financial	P	3-22-04	$1,001 - $15,000

SCHEDULE IV - TRANSACTIONS

Name Thomas Osborne

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SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
SP	Merck	P	3-22-04	$1,001 - $15,000
SP	Merrill Lynch	P	3-24-04	$1,001 - $15,000
SP	Microsoft	P	6-30-04	$1,001 - $15,000
SP	Monsanto	P	3-22-04	$1,001 - $15,000
SP	Motorola	P	3-22-04	$1,001 - $15,000
SP	Newell Rubbermaid	P	3-22-04	$1,001 - $15,000
SP	Newmont Mining	P	3-22-04	$1,001 - $15,000
SP	Nike	P	3-22-04	$1,001 - $15,000
SP	Nortel Networks	P	7-08-04	$1,001 - $15,000
SP	Novartis ADR	P	3-22-04	$1,001 - $15,000
SP	Parker Hannifin	P	3-22-04	$1,001 - $15,000
SP	PepsiCo	P	8-26-04	$1,001 - $15,000

SCHEDULE IV - TRANSACTIONS

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SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
SP	Pfizer	P	9-21-04	$1,001 - $15,000
SP	Pfizer	P	12-21-04	$1,001 - $15,000
SP	Potash	P	3-22-04	$1,001 - $15,000
SP	Praxair	P	3-22-04	$1,001 - $15,000
SP	Progress Energy	P	3-22-04	$1,001 - $15,000
SP	Rohm Haas	P	3-22-04	$1,001 - $15,000
SP	SBC Communications	P	3-22-04	$1,001 - $15,000
SP	Schering Plough	P	3-22-04	$1,001 - $15,000
SP	Schlumberger	P	3-22-04	$1,001 - $15,000
SP	Solectron	P	3-22-04	$1,001 - $15,000
SP	St Paul Travelers	P	3-2-04	$1,001 - $15,000
SP	Target	P	3-24-04	$1,001 - $15,000

SCHEDULE IV - TRANSACTIONS

Name Thomas Osborne

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SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
SP	Teradyne	P	3-22-04	$1,001 - $15,000
SP	Tribune	P	3-22-04	$1,001 - $15,000
SP	Tyco International	P	3-22-04	$1,001 - $15,000
SP	Union Pacific	P	3-22-04	$1,001 - $15,000
SP	Verizon Communications	P	3-22-04	$1,001 - $15,000
SP	Viacom	P	3-22-04	$1,001 - $15,000
SP	Wachovia	P	3-24-04	$1,001 - $15,000
SP	Walt Disney	P	3-22-04	$1,001 - $15,000
SP	Waste Management	P	3-22-04	$1,001 - $15,000
SP	Wells Fargo	P	3-22-04	$1,001 - $15,000
SP	Wyeth	P	3-24-04	$1,001 - $15,000
SP	Xerox	P	3-22-04	$1,001 - $15,000

SCHEDULE IV - TRANSACTIONS

Name Thomas Osborne

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SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
	PIMCO Fixed Income Shares Series C	P	5-14-04	$1,001 - $15,000
	US Treasury Note 6.50% Due 10-15-06	P	9-29-04	$15,001 - $50,000
	US Treasury Infl Prot Note 3.375% Due 1-15-07	P	9-29-04	$15,001 - $50,000
	US Treasury Infl Prot Note 3.625% Due 1-15-04	P	9-29-04	$15,001 - $50,000

SCHEDULE IV - TRANSACTIONS

Name Dr. Thomas W. Osborne

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
	Fieldturf Holdings, Inc.	S	9-30-04	$100,001 - $250,000

SCHEDULE VIII - POSITIONS

Name Dr. Thomas W. Osborne

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or any business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States. Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
Limited Partner	UBS M2 Fund, LLC
Board of Directors	Teammates of Nebraska
President	Coaches Direct, Inc.
Limited Partner	Century Properties Fund XIV

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UNITED STATES HOUSE OF REPRESENTATIVES
FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2004

FORM A Page 1 of 6
For use by Members, officers, and employees

C. L. "Butch" Otter
(Full Name)

1009 Star Road Star, ID 83669
(Mailing Address)

(202)225-6611
Daytime Telephone

Filer Status	☑ Member of the U.S. House of Representatives — State: ID, District: 1	☐ Officer Or Employee — Employing Office:	**A $200 penalty shall be assessed against anyone who files more than 30 days late.**
Report Type	☑ Annual (May 15)	☐ Amendment	☐ Termination — Termination Date:

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I.	Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? If yes, complete and attach Schedule I.	Yes ☑ No ☐	VI.	Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $285 and not otherwise exempt)? If yes, complete and attach Schedule VI.	Yes ☐ No ☑
II.	Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? If yes, complete and attach Schedule II.	Yes ☐ No ☑	VII.	Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $285 from one source)? If yes, complete and attach Schedule VII.	Yes ☑ No ☐
III.	Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? If yes, complete and attach Schedule III.	Yes ☑ No ☐	VIII.	Did you hold any reportable positions on or before the date of filing in the current calendar year? If yes, complete and attach Schedule VIII.	Yes ☑ No ☐
IV.	Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? If yes, complete and attach Schedule IV.	Yes ☑ No ☐	IX.	Did you have any reportable agreement or arrangement with an outside entity? If yes, complete and attach Schedule IX.	Yes ☐ No ☑
V.	Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? If yes, complete and attach Schedule V.	Yes ☐ No ☑		**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**	

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

Trusts-	Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes ☐ No ☑
Exemptions--	Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes ☐ No ☑

CERTIFICATION -- THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and wilfully falsifies, or who knowingly and wilfully fails to file this report may be subject to civil penalties and criminal sanctions (See 5 U.S.C. app. 4, § 104 and U.S.C. § 1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	C. L. "Butch" Otter	5/12/5

SCHEDULE I - EARNED INCOME

Name C. L. "Butch" Otter

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

Source	Type	Amount
J.R. Simplot Co.	Pension	$41,584
J.R. Simplot Co. - SERP	Retirement Plan	$33,647

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name C. L. "Butch" Otter

BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self directed IRA (i.e., one where you have the power to select the specific investments) provide information on each asset in the account that exceeds the reporting threshold and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see instruction booklet for the reporting year. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.	BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership Income or Farm Income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.	BLOCK E **Transaction** Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.
See attached pages 3A, 3B, 3C of 6				

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SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name: C.L. "Butch" Otter

BLOCK A Asset and/or Income Source	BLOCK B Value of Asset: A None	B $1-$1,000	C $1,001-$15,000	D $15,001-$50,000	E $50,001-$100,000	F $100,001-$250,000	G $250,001-$500,000	H $500,001-$1,000,000	I $1,000,001-$5,000,000	J $5,000,001-$25,000,000	K $25,000,001-$50,000,000	L Over $50,000,000	BLOCK C Type of Income: DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income	BLOCK D Amount of Income: I None	II $1-$200	III $201-$1,000	IV $1,001-$2,500	V $2,501-$5,000	VI $5,001-$15,000	VII $15,001-$50,000	VIII $50,001-$100,000	IX $100,001-$1,000,000	X $1,000,001-$5,000,000	XI Over $5,000,000	BLOCK E Transaction: purchased (P) sold (S) exchanged (E)
Western Capital Associates								X											PARTNERSHIP						X						
Piper Pub & Grill Limited Partnership	X															X			PARTNERSHIP	X											Sold at loss
W.E. Pierce Building Associates				X															PARTNERSHIP				X								
Grafton Apartments					X														PARTNERSHIP			X									
Suburban Propane Partners							X												PARTNERSHIP	X											
Receivable sale of Gem Star Security					X										X										X						
G.O. Ranches, Inc.									X										S CORP					X							
Congressional Federal Credit Union				X											X							X									
Simplot Employees Credit Union				X											X							X									
Washington Trust Bank				X											X						X										
Receivable - Providence Development Group LLC							X								X											X					
Pro-Team, Inc.						X							X									X									
Residential Rental - 1911 N. 34th, Boise, ID					X									X											X						
General Fire & Casualty common stock						X														X											
American International Group Inc			X										X								X										
Cisco Systems Inc.			X																	X											
Citigroup Inc.			X										X									X									
Microsoft Corp.			X										X									X									
Boise City Sch Dist 8/15/2007 2.75%	X														X	X						X									Sold at loss
Wells Fargo National Tax-Free #483	X														X	X									X						S
Wells Fargo Nat'l Tax-Free Inst Money Market #792				X											X						X										
Micron Technology common stock	X															X				X											Sold at loss
Wells Fargo Money Market - IRA				X									X									X									
Fed Home Loan Bank 8/15/2008 3.5% - IRA					X										X							X									
Fed Home Loan Bank 12/15/05 2.5% - IRA					X										X								X								P
Fed Home Loan Mtg 12/15/2005 3.25% - IRA	X														X								X								S
Fed Natl Mtge Assoc 2.875% 10/15/05 - IRA				X											X								X								
Bank One Corp 9/1/2007 4.125% - IRA					X										X								X								
Citigroup 05/05/2008 4% - IRA					X										X									X							P
Columbia High Yield Fund Class Z - IRA					X								X											X							
Household Finance 12/15/2008 4.125% - IRA					X										X																P
Idaho Power 7.2% 12/01/2009 - IRA				X											X								X								
Morgan Stanley 01/15/2009 3.875% - IRA					X										X								X								P
National Rural Utilities 10/01/2007 3.25% - IRA					X										X								X								P
American Select Portfolio - IRA	X												X												X						S
American Strategic Income Portfolio II - IRA	X												X												X	X					S
American Strategic Income Portfolio II - IRA	X												X													X					S
Van Kampen American Cap Inc Trust - IRA	X												X			X											X				S

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SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name: C.L. "Butch" Otter

BLOCK A Asset and/or Income Source	BLOCK B Value of Asset: A None	B $1-$1,000	C $1,001-$15,000	D $15,001-$50,000	E $50,001-$100,000	F $100,001-$250,000	G $250,001-$500,000	H $500,001-$1,000,000	I $1,000,001-$5,000,000	J $5,000,001-$25,000,000	K $25,000,001-$50,000,000	L Over $50,000,000	BLOCK C Type of Income: DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income	BLOCK D Amount of Income: I None	II $1 - $200	III $201 - $1,000	IV $1,001 - $2,500	V $2,501 - $5,000	VI $5,001 - $15,000	VII $15,001 - $50,000	VIII $50,001 - $100,000	IX $100,001 - $1,000,000	X $1,000,001 - $5,000,000	XI Over $5,000,000	BLOCK E Transaction: purchased (P) sold (S) exchanged (E)
Household Capital Prfd - IRA			X										X									X									
Allstate Corp common stock - IRA				X									X									X									P
Altria Group common stock - IRA				X									X			X								X							S
American International Group Inc. common stock - IRA				X									X			X					X										Sold at loss, P
Amgen Inc. common stock - IRA				X																X											P
Apache Corp. common stock - IRA				X									X								X										P
Appollo Group common stock - IRA				X																X											S,P
Bank of America common stock - IRA				X									X			X						X									P
Bed Bath & Beyond common stock - IRA				X									X								X										S
Cardinal Health Inc. common stock - IRA	X												X			X							X								S
Carnival Corp common stock - IRA				X									X			X						X									S,P
ChevronTexaco Corp common stock - IRA				X									X			X					X										P
Cisco Systems common stock - IRA				X																		X									Sold at loss, P
Citigroup Inc. common stock - IRA				X									X			X						X									P
CVS Corp common stock - IRA				X									X								X										S
EMC Corp. common stock - IRA	X															X					X										S,P
Electronic Arts common stock - IRA				X									X			X						X									P
Everest Re Group Ltd common stock - IRA				X									X									X									S,P
Exxon Mobil Corp. common stock - IRA				X									X			X					X										Sold at loss
Fed Home Loan Mtg common stock - IRA	X												X			X				X											P
Fifth Third Bancorp common stock - IRA				X																		X									S,P
First Data Corp. common stock - IRA				X									X			X				X											P
Flextronics Intl Ltd common stock - IRA				X																			X								S,P
General Dynamics common stock - IRA				X									X			X						X									Sold at loss, P
General Electric Co. common stock - IRA				X									X			X						X									P
Harley Davidson common stock - IRA				X									X								X										P
Hartford Financial Services common stock - IRA				X									X								X										Sold at loss, P
Home Depot Inc. common stock - IRA				X									X			X					X										P
Intel Corp. common stock - IRA				X									X								X										Sold at loss
International Business Machines common stock - IRA				X									X			X						X									S,P
Ishares Inc. MSCI Pacific - IRA				X									X			X										X					S
Janus Mid-Cap Value Fund #87 - IRA	X															X						X									Sold at loss, P
Johnson & Johnson common stock - IRA				X									X									X									P
JP Morgan common stock - IRA				X									X							X											P
Manpower common stock - IRA				X																			X								S,P
Mcgraw Hill common stock - IRA				X									X			X					X										S,P
Medtronic Inc. common stock - IRA				X									X			X							X								Sold at loss, P
Microsoft Corp. common stock - IRA				X									X			X															

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name: C.L. "Butch" Otter

BLOCK A Asset and/or Income Source	BLOCK B Value of Asset: A None	B $1-$1,000	C $1,001-$15,000	D $15,001-$50,000	E $50,001-$100,000	F $100,001-$250,000	G $250,001-$500,000	H $500,001-$1,000,000	I $1,000,001-$5,000,000	J $5,000,001-$25,000,000	K $25,000,001-$50,000,000	L Over $50,000,000	BLOCK C Type of Income: DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income	BLOCK D Amount of Income: I None	II $1 - $200	III $201 - $1,000	IV $1,001 - $2,500	V $2,501 - $5,000	VI $5,001 - $15,000	VII $15,001 - $50,000	VIII $50,001 - $100,000	IX $100,001 - $1,000,000	X $1,000,001 - $5,000,000	XI Over $5,000,000	BLOCK E Transaction: purchased (P) sold (S) exchanged (E)
Midcap S&P Dep Rec Tr Unit Ser 1 - IRA				X									X			X						X									S,P
Morgan Stanley common stock - IRA				X									X									X									P
Needham Growth Fund #1 - IRA					X															X											
Nokia Corp common stock - IRA	X												X			X						X									Sold at loss
Pepsico Inc. common stock - IRA				X									X			X						X									S,P
Pfizer Inc. common stock - IRA				X									X			X						X									Sold at loss, P
Procter & Gamble common stock -IRA				X									X									X									P
Quest Diagnostics common stock - IRA			X										X			X							X								S,P
Schein Henry Inc common stock - IRA	X															X									X						S
State Street Corp. common stock - IRA	X												X			X							X								S
Suburban Propane Partners - IRA	X															X			PARTNERSHIP									X			S
Sherwin Williams common stock - IRA				X									X								X										P
Sungard Data Systems common stock - IRA				X												X						X									S,P
Symantec common stock - IRA			X																												P
Target Corp. common stock - IRA	X												X			X								X							S
T Rowe Price Mid-Cap Value Fund - IRA					X								X			X								X							S
Teva Pharmaceutical common stock - IRA				X									X								X										P
Thornburg International Value Fund - IRA						X														X											
Tocqueville Intl Value Fund #916 - IRA					X								X			X										X					S
Tyco Intl. - IRA				X									X			X					X										S,P
United Technologies Corp. common stock - IRA				X									X			X						X									S,P
United Health Group common stock - IRA				X																X											P
Utilities Select Sector common stock - IRA				X									X			X						X									Sold at loss, P
Veritas Software Corp.common stock - IRA			X													X															Sold at loss, P
Vodafone Group common stock - IRA				X									X								X										P
Wachovia Corp common stock - IRA				X									X									X									P
Wal Mart common stock - IRA				X									X								X										P
Walgreen Co common stock - IRA	X												X			X							X								S
Washington Mutual Inc common stock - IRA	X												X			X							X								S
UCI Web Group Inc - IRA		X																		X											
RCC Communications Consulting LLC - IRA				X															PARTNERSHIP	X											
RCC Holdings LLC - IRA			X																PARTNERSHIP	X											
Public Employees Retirement System of Idaho				X															RETIREMENT												
Amgen common stock - IRA				X																X											P
Mylan Labs common stock - IRA	X												X								X										Sold at loss, P
H&R Block common stock - IRA	X												X			X							X								S,P
Maxim Tech common stock - IRA	X												X								X										Sold at loss, P
Anadarko Petroleum common stock - IRA	X												X			X								X							S

SCHEDULE IV - TRANSACTIONS

Name C. L. "Butch" Otter

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
	See attached pages 4A through 4F of 6			

SCHEDULE IV – TRANSACTIONS

Name: C.L. "Butch" Otter

SP,DC,JT	Asset	Type of Transaction: PURCHASE	SALE	EXCHANGE	Date (MO/DAY/YR)	Amount of Transaction: B $1,001-$15,000	C $15,001-$50,000	D $50,001-$100,000	E $100,001-$250,000	F $250,001-$500,000	G $500,001-$1,000,000	H $1,000,001-$5,000,000	I $5,000,001-$25,000,000	J $25,000,001-$50,000,000	K Over $50,000,000
	Suburban Propane partnership - IRA		X		01/09/04					X					
	Janus Mid-cap Value Fund #87 - IRA		X		04/23/04			X							
	Carnival Corp sommon stock - IRA		X		05/20/04	X									
	Home Depot Inc. common stock - IRA		X		05/20/04	X									
	Mcgraw Hill common stock - IRA		X		05/20/04	X									
	Target Corp. common stock - IRA		X		05/20/04		X								
	Pepsico Inc. common stock - IRA		X		05/20/04	X									
	Walgreen Co common stock - IRA		X		05/20/04	X									
	Anadarko Petroleum Corp. common stock - IRA		X		05/20/04	X									
	ChevronTexaco Corp common stock - IRA		X		05/20/04	X									
	Exxon Mobil Corp. common stock - IRA		X		05/20/04	X									
	American International Group Inc. common stock - IRA		X		05/20/04	X									
	Citigroup common stock - IRA		X		05/20/04	X									
	Fed Home Loan Mtge Corp common stock - IRA		X		05/20/04		X								
	State Street Corp. common stock - IRA		X		05/20/04	X									
	Washington Mutual Inc. common stock - IRA		X		05/20/04		X								
	Utilities Select Sector - IRA		X		05/20/04	X									
	Midcap S&P Dep Rec Tr-Unit Ser 1 - IRA		X		05/20/04	X									
	Cardinal Health Inc. common stock - IRA		X		05/20/04	X									
	Johnson & Johnson common stock - IRA		X		05/20/04	X									
	Medtronic Inc. common stock - IRA		X		05/20/04	X									
	Pfizer Inc. common stock - IRA		X		05/20/04	X									
	Quest Diagnostics common stock - IRA		X		05/20/04	X									
	Schein Henry common stock - IRA		X		05/20/04		X								
	General Dynamics Corp common stock - IRA		X		05/20/04	X									
	General Electric Co. common stock - IRA		X		05/20/04	X									
	Tyco Intl Ltd common stock - IRA		X		05/20/04	X									

SCHEDULE IV -- TRANSACTIONS

Name C.L. "Butch" Otter

SP,DC,JT	Asset	Type of Transaction: PURCHASE	SALE	EXCHANGE	Date (MO/DAY/YR)	Amount of Transaction: B $1,001-$15,000	C $15,001-$50,000	D $50,001-$100,000	E $100,001-$250,000	F $250,001-$500,000	G $500,001-$1,000,000	H $1,000,001-$5,000,000	I $5,000,001-$25,000,000	J $25,000,001-$50,000,000	K Over $50,000,000
	United Technologies Corp. common stock - IRA		X		05/20/04	X									
	EMC Corp. common stock - IRA		X		05/20/04	X									
	Electronic Arts common stock - IRA		X		05/20/04	X									
	First Data Corp. common stock - IRA		X		05/20/04	X									
	International Business Machines common stock - IRA		X		05/20/04	X									
	Microsoft Corp. common stock - IRA		X		05/20/04	X									
	Nokia Corp. common stock - IRA		X		05/20/04	X									
	Sungard Data common stock - IRA		X		05/20/04	X									
	Tocqueville Intl Fund #916 - IRA		X		06/15/04			X							
	American Select Portfolio - IRA		X		09/29/04	X									
	Van Kampen Income Trust - IRA		X		09/29/04			X							
	American Strategic Income Portfolio III - IRA		X		09/29/04			X							
	American Strategic Income Portfolio II - IRA		X		09/29/04			X							
	Cardinal Health Inc. common stock - IRA		X		10/15/04	X									
	Altria Group common stock - IRA		X		10/18/04	X									
	Anadarko Petroleum Corp. common stock - IRA		X		10/18/04	X									
	Bank of America common stock - IRA		X		10/18/04	X									
	Ishares common stock - IRA		X		10/18/04	X									
	Mylan Labs common stock - IRA		X		10/18/04	X									
	H&R Block common stock - IRA		X		10/18/04	X									
	International Business Machines common stock - IRA		X		10/18/04	X									
	Veritas common stock - IRA		X		10/18/04	X									
	Fed Home Loan Bank 11/15/04 3.25% - IRA		X		11/05/04		X								
	Maxim Integrated Prod common stock - IRA		X		12/28/04	X									
	Micron Tech common stock - Brookstreet		X		09/21/04	X									
	Boise City 08/15/07 2.75% - gr tr		X		01/07/04		X								
	Wells Fargo National Tax-Free #483 - gr tr		X		01/06/04				X						

SCHEDULE IV -- TRANSACTIONS

Name C.L. "Butch" Otter

SP,DC,JT	Asset	Type of Transaction: PURCHASE	SALE	EXCHANGE	Date (MO/DAY/YR)	Amount of Transaction: B $1,001-$15,000	C $15,001-$50,000	D $50,001-$100,000	E $100,001-$250,000	F $250,001-$500,000	G $500,001-$1,000,000	H $1,000,001-$5,000,000	I $5,000,001-$25,000,000	J $25,000,001-$50,000,000	K Over $50,000,000
	Suburban Propane partnership - gr tr	X			01/13/04					X					
	Fed Home Loan Bank 12/15/05 2.5% - IRA	X			01/12/04			X							
	Citigroup common stock - IRA	X			01/15/04			X							
	Morgan Stanley common stock - IRA	X			01/15/04			X							
	National Rural Utilities 10/01/07 3.25% - IRA	X			01/15/04			X							
	Bed Bath & Beyond common stock - IRA	X			05/20/04	X									
	Harley Davidson common stock - IRA	X			05/20/04	X									
	Sherwin Williams common stock - IRA	X			05/20/04	X									
	CVS Corp common stock - IRA	X			05/20/04		X								
	Proctor & Gamble common stock - IRA	X			05/20/04	X									
	Walmart common stock - IRA	X			05/20/04	X									
	Apache Corp common stock - IRA	X			05/20/04	X									
	Allstate common stock - IRA	X			05/20/04	X									
	Bank of America common stock - IRA	X			05/20/04	X									
	Hartford Financial common stock - IRA	X			05/20/04		X								
	JP Morgan common stock - IRA	X			05/20/04	X									
	Morgan Stanley common stock - IRA	X			05/20/04	X									
	Wachovia common stock - IRA	X			05/20/04	X									
	Amgen common stock - IRA	X			05/20/04	X									
	Mylan Labs common stock - IRA	X			05/20/04	X									
	Teva Pharmaceutical common stock - IRA	X			05/20/04	X									
	Unitedhealth Group common stock - IRA	X			05/20/04	X									
	H&R Block common stock - IRA	X			05/20/04	X									
	Cisco common stock - IRA	X			05/20/04	X									
	Intel common stock - IRA	X			05/20/04	X									
	Veritas common stock - IRA	X			05/20/04	X									
	Vodafone common stock - IRA	X			05/20/04	X									

SCHEDULE IV – TRANSACTIONS

Name: C.L. "Butch" Otter

SP,DC,JT	Asset	Type of Transaction: PURCHASE	SALE	EXCHANGE	Date (MO/DAY/YR)	Amount of Transaction: B $1,001-$15,000	C $15,001-$50,000	D $50,001-$100,000	E $100,001-$250,000	F $250,001-$500,000	G $500,001-$1,000,000	H $1,000,001-$5,000,000	I $5,000,001-$25,000,000	J $25,000,001-$50,000,000	K Over $50,000,000
	Flextronics common stock - IRA	X			10/15/04	X									
	Bed Bath & Beyond common stock - IRA	X			10/18/04	X									
	Harley Davidson common stock - IRA	X			10/18/04	X									
	Home Depot Inc. common stock - IRA	X			10/18/04	X									
	Mcgraw Hill common stock - IRA	X			10/18/04	X									
	Sherwin Williams common stock - IRA	X			10/18/04	X									
	CVS Corp common stock - IRA	X			10/18/04	X									
	Pepsico Inc. common stock - IRA	X			10/18/04	X									
	Proctor & Gamble common stock - IRA	X			10/18/04	X									
	Walmart common stock - IRA	X			10/18/04	X									
	Apache Corp common stock - IRA	X			10/18/04	X									
	ChevronTexaco Corp common stock - IRA	X			10/18/04	X									
	Exxon Mobil Corp common stock - IRA	X			10/18/04	X									
	Allstate common stock - IRA	X			10/18/04	X									
	American International Group Inc common stock - IRA	X			10/18/04	X									
	Citigroup common stock - IRA	X			10/18/04	X									
	Everest Re Group common stock - IRA	X			10/18/04	X									
	Fifth Third Bancorp common stock - IRA	X			10/18/04		X								
	Hartford Financial common stock - IRA	X			10/18/04	X									
	JP Morgan common stock - IRA	X			10/18/04	X									
	Morgan Stanley common stock - IRA	X			10/18/04	X									
	Wachovia common stock - IRA	X			10/18/04	X									
	Utilities Select Sector - IRA	X			10/18/04	X									
	Midcap S&P Dep Rec Tr Unit Ser 1 - IRA	X			10/18/04	X									
	Amgen common stock - IRA	X			10/18/04	X									
	Johnson & Johnson common stock - IRA	X			10/18/04	X									
	Medtronic Inc. common stock - IRA	X			10/18/04	X									

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SCHEDULE IV – TRANSACTIONS

Name C.L. "Butch" Otter

SP,DC,JT	Asset	Type of Transaction: PURCHASE	SALE	EXCHANGE	Date (MO/DAY/YR)	Amount of Transaction: B $1,001-$15,000	C $15,001-$50,000	D $50,001-$100,000	E $100,001-$250,000	F $250,001-$500,000	G $500,001-$1,000,000	H $1,000,001-$5,000,000	I $5,000,001-$25,000,000	J $25,000,001-$50,000,000	K Over $50,000,000
	Pfizer Inc. common stock - IRA	X			10/18/04	X									
	Quest Diagnostics common stock - IRA	X			10/18/04	X									
	Teva Pharmaceutical common stock - IRA	X			10/18/04	X									
	Unitedhealth Group common stock - IRA	X			10/18/04	X									
	Apollo Group common stock - IRA	X			10/18/04	X									
	General Dynamics Corp common stock - IRA	X			10/18/04	X									
	General Electric Co. common stock - IRA	X			10/18/04	X									
	Tyco Intl Ltd common stock - IRA	X			10/18/04	X									
	United Technologies Corp. common stock - IRA	X			10/18/04	X									
	Cisco common stock - IRA	X			10/18/04	X									
	Electronic Arts common stock - IRA	X			10/18/04	X									
	First Data Corp. common stock - IRA	X			10/18/04	X									
	Flextronics common stock - IRA	X			10/18/04	X									
	Intel common stock - IRA	X			10/18/04	X									
	Maxim Integrated Prod common stock - IRA	X			10/18/04	X									
	Microsoft Corp. common stock - IRA	X			10/18/04	X									
	Sungard Data common stock - IRA	X			10/18/04	X									
	Ishares common stock - IRA	X			10/18/04		X								
	Vodafone common stock - IRA	X			10/18/04	X									
	Bed Bath & Beyond common stock - IRA	X			12/17/04	X									
	Harley Davidson common stock - IRA	X			12/17/04	X									
	Home Depot Inc. common stock - IRA	X			12/17/04	X									
	Sherwin Williams common stock - IRA	X			12/17/04	X									
	CVS Corp common stock - IRA	X			12/17/04	X									
	Pepsico Inc. common stock - IRA	X			12/17/04	X									
	Proctor & Gamble common stock - IRA	X			12/17/04	X									
	Walmart common stock - IRA	X			12/17/04	X									

SCHEDULE IV – TRANSACTIONS

Name C.L. "Butch" Otter

SP,DC,JT	Asset	Type of Transaction: PURCHASE	SALE	EXCHANGE	Date (MO/DAY/YR)	Amount of Transaction: B $1,001-$15,000	C $15,001-$50,000	D $50,001-$100,000	E $100,001-$250,000	F $250,001-$500,000	G $500,001-$1,000,000	H $1,000,001-$5,000,000	I $5,000,001-$25,000,000	J $25,000,001-$50,000,000	K Over $50,000,000
	Apache Corp common stock - IRA	X			12/17/04	X									
	ChevronTexaco Corp common stock - IRA	X			12/17/04	X									
	Exxon Mobil Corp. common stock - IRA	X			12/17/04	X									
	Allstate common stock - IRA	X			12/17/04	X									
	American International Group Inc. common stock - IRA	X			12/17/04	X									
	Bank of America common stock - IRA	X			12/17/04	X									
	Citigroup common stock - IRA	X			12/17/04	X									
	Hartford Financial common stock - IRA	X			12/17/04	X									
	JP Morgan common stock - IRA	X			12/17/04	X									
	Ishares common stock - IRA	X			12/17/04	X									
	Amgen common stock - IRA	X			12/17/04	X									
	Johnson & Johnson common stock - IRA	X			12/17/04	X									
	Medtronic Inc. common stock - IRA	X			12/17/04	X									
	Pfizer Inc common stock - IRA	X			12/17/04	X									
	Teva Pharmaceutical common stock - IRA	X			12/17/04	X									
	General Dynamics Corp common stock - IRA	X			12/17/04	X									
	General Electric Co. common stock - IRA	X			12/17/04	X									
	Manpower common stock - IRA	X			12/17/04		X								
	Cisco common stock - IRA	X			12/17/04	X									
	First Data Corp. common stock - IRA	X			12/17/04	X									
	Flextronics common stock - IRA	X			12/17/04	X									
	Maxim Integrated Prod common stock - IRA	X			12/17/04	X									
	Microsoft Corp. common stock - IRA	X			12/17/04	X									
	Sungard Data common stock - IRA	X			12/17/04	X									
	Veritas common stock - IRA	X			12/17/04	X									
	Household Finance 12/15/08 4.125% - IRA	X			12/17/04	X									
	Symantec common stock - IRA	X			12/17/04	X									

SCHEDULE VII - TRAVEL PAYMENTS AND REIMBURSEMENTS

Name C. L. "Butch" Otter

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $285 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor. Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	Point of Departure--Destination--Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Any time not at sponsor's expense
Alliance for Responsible Cuba Policy Foundation	Feb. 6-9, 2004	Washington, DC - Havana, Cuba - Washington, DC	Y	Y	N	n/a
Alliance for Responsible Cuba Policy Foundation	April 13-16, 2004	Tampa, FL - Havana, Cuba - Tampa, FL	Y	Y	N	n/a

SCHEDULE VIII - POSITIONS

Name C. L. "Butch" Otter

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or any business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States. Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
President	G.O. Ranches, Inc.

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2004

FORM A
For use by Members, officers, and employees

LEGISLATIVE RESOURCE CENTER
2005 MAY 13 AM 11:21
U.S. HOUSE OF REPRESENTATIVES
HAND DELIVERED
(Office Use Only)

Michael Carver Oxley
(Full Name)

2308 Rayburn House Office Building
(Mailing Address)

Washington, D.C. 20515

(202)225-2676
Daytime Telephone

Filer Status	[X] Member of the U.S. House of Representatives — State: Ohio District: 4th	[ ] Officer or Employee	Employing Office
Report Type	[X] Annual (May 15)	[ ] Amendment	[ ] Termination — Termination Date:

A *$200 penalty* shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes [X]	No [ ]
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes [X]	No [ ]
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes [X]	No [ ]
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes [X]	No [ ]
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes [ ]	No [X]
VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $285 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes [ ]	No [X]
VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $285 from one source)? **If yes, complete and attach Schedule VII.**	Yes [X]	No [ ]
VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes [X]	No [ ]
IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes [ ]	No [X]

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes [ ]	No [X]
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes [ ]	No [X]

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, § 104 and 18 U.S.C. § 1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief		5/6/05

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Name	OXLEY	Page ___ of

SCHEDULE I — EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U S Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

	Source	Type	Amount
Examples	Keene State	Approved Teaching Fee	$6,000
	State of Maryland	Legislative Pension	$9,000
	Civil War Roundtable (Oct 2nd)	Spouse Speech	$1,000
	Ontario County Board of Education	Spouse Salary	NA
	Weichert Realtors	Spouse Real Estate Commissions	N/A

For payments to charity in lieu of honoraria, use Schedule II.

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Name	OXLEY	Page ___ of ___

SCHEDULE II — PAYMENTS IN LIEU OF HONORARIA MADE TO CHARITY

List the source, activity (i.e., speech, appearance, or article), date, and amount of any payment made by the sponsor of an event to a charitable organization in lieu of an honorarium. A separate confidential list of charities receiving such payments must be filed directly with the Committee on Standards of Official Conduct. An envelope for transmitting the list is included in each Member's filing package.

Source	Activity	Date	Amount
Examples Association of American Associations, Wash., D.C.	Speech	Feb. 2, 2004	$2,000
Examples XYZ Magazine	Article	Aug. 13, 2004	$500
Working Council for CFO's	Speech	April 27, 2004	$ 1,000
Industrial Truck Association	Speech	March 24, 2004	$ 2,000

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Name OXLEY | Page .. of ___

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self-directed IRA (i.e., one where you have the power to select the specific investments), provide information on *each asset* in the account that exceeds the reporting threshold, and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see the instruction booklet for the reporting year.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Asset

at close of reporting year.
If you use a valuation method other than fair market value, please specify the method used.
If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

BLOCK D — Amount of Income

For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.

BLOCK E — Transaction

Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year

SP, DC, JT	Asset	A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify: For Example, Partnership Income or Farm Income)	I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	P, S, E
SP	Mega Corp. Stock					X								X										X								P
	Simon & Schuster			Indefinite																Royalties									X			
	1st Bank of Paducah, KY accounts						X									X																
	Wright Patman FCU				X											X							X									
SP	Bank of America					X										X								X								

For additional assets and unearned income, use next page.

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name OXLEY

Page ___ of ___

BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset												BLOCK C Type of Income						BLOCK D Amount of Income												BLOCK E Transaction
SP. DC JT	A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCLUDED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify)	I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	P. S. E.
* See Attached																															
Merrill Lynch																															

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SCHEDULE IV — TRANSACTIONS

Name OXLEY | Page ___ of ___

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out	Type of Transaction			Date	Amount of Transaction										
SP, DC, JT Asset	PURCHASE	SALE	EXCHANGE	(MO/DAY/YR)	B $1,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
SP Example: Mega Corporation Common Stock	X			10–12–04		X								
* See Attached														
Merrill Lynch														

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Name OXLEY | Page ___ of ___

SCHEDULE V — LIABILITIES

Report liabilities of over $10,000 owed to any one creditor ***at any time*** during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. **Exclude:** Any mortgage on your personal residence (unless it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report ***revolving charge accounts*** only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability										
			B $10,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
Example:	First Bank of Wilmington, Delaware	Mortgage on 123 Main St., Dover, Del.				X						
	NONE											

SCHEDULE VI — GIFTS

Report the source, a brief description, and the value of all gifts totalling more than $285 received by you, your spouse, or a dependent child from any source during the year.

Exclude: Gifts from relatives, gifts of personal hospitality of an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of $114 or less need not be added towards the $285 disclosure threshold.

Note: The gift rule (House Rule 25, clause 5) prohibits acceptance of gifts except as specifically provided in the rule.

Source	Description	Value
Example: Mr. Joseph H. Smith, Anytown, Anystate	Silver Platter (determination on personal friendship received from Committee on Standards)	$290
NONE		

Use additional sheets if more space is required.

Name OXLEY | Page ___ of ___

SCHEDULE VII —TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $285 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor.

Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. § 7342), political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

	Source	Date(s)	Point of Departure—Destination—Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Any time not at sponsor's expense
Examples:	Chicago Chamber of Commerce	Mar 2	Wash., D.C.—Chicago—Wash., D.C.	N	N	N	N
	Roycroft Corporation	Aug. 6–11	Wash., D.C.—Los Angeles—Cleveland	Y	Y	Y	2 Days
	Transatlantic Policy Network (TPN)	12/1/04 - 12/05/04	Wash.D.C. - Brussels - Wash. D.C.	Y	Y	Y	N
	Ripon Education Fund	11/8/04 - 11/12/04	Wash. D.C. - Budapest Hungary - Wash. D.C.	Y	Y	Y	N
	Transatlantic Policy Network (TPN)	8/16/04 - 8/21/04	Wash. D.C. - Edinburgh Scotland - Wash. D.C.	Y	Y	N	N

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Name OXLEY	Page ___ of ___

SCHEDULE VIII — POSITIONS

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States.

Exclude: Positions held in any religious, social, *fraternal, or political entities*, positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
Board Member	Ripon Educational Fund Transatlantic Executive Committee

SCHEDULE IX — AGREEMENTS

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment, a leave of absence during the period of government service, continuation or deferral of payments by a former or current employer other than the U.S. Government, or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	*Terms of Agreement*
	NONE	

GPO: 2005 98-729 (rev.)

Use additional sheets if more space is required.

The Group AG

James M. Arrison III, CFP, CRPC
First Vice President -
Investments

Paul F. Goree III, CFP
Vice President
Wealth Management Advisor

Global Private Client Group

1850 K Street, NW
Suite 700
Washington, DC 20006
202 659 7373
800 266 4047
FAX 202 857 1085

Merrill Lynch

May 5, 2005

Honorable Michael G. Oxley
Patricia A. Oxley
7629 Huntmaster Lane
McLean, Virginia 22102

Dear Congressman and Mrs. Oxley,

Per your request, I am enclosing the information regarding the trades and income earned in 2004. If you need additional information please call me (202) 659-6198.

Oxley JTWROS

No trades in 2004

Income of $47.53 (annual tax statement enclosed)

Oxley Investment JTWROS

Trade history 2004

- 11/24/04 SLD 337 shares Wasatch Micro Cap fund (WMICX) @ $6.57 = $2,215.

Income of $534.07 (annual tax statement enclosed)

Michael G. Oxley IRRA

Trade history 2004

- 03/19/04 SLD 410 shares American Mutual fund (AMRMX) @ $24.38 = $10,015
- 03/22/04 BOT 4,207 shares Franklin Income fund (FKINX) @ $2.38 = $10,015
- 11/24/04 SLD 934 shares Wasatch Micro Cap (WMICX) @ $6.57 = $6,141

Income of $1,866.65 (December 2004 statement enclosed)

Patricia A. Oxley SEP

Trade history 2004
03/19/04 SLD 1,186 shares American Mutual fund (AMRMX) @ $24.38 = $28,933
03/22/04 BOT 12,156 shares Franklin Income fund (FKINX) @ $2.38 = $28,933
04/20/04 BOT 2447 shares Franklin Income fund (FKINX) @ $2.37 = $5,800
11/24/04 SLD 812 shares Wasatch Micro Cap (WMICX) @ $6.57 = $5,335

Income of $3,105.32 (December 2004 statement enclosed)

Sincerely,

Paul F. Goree III, CFP®, CRPC
Vice President
Wealth Management Advisor

Merrill Lynch

MICHAEL G OXLEY AND
PATRICIA A OXLEY JTWROS
7629 HUNTMASTER LN
MC LEAN VA 22102-2531

Your Financial Advisor 0130
THE GROUP AG
1850 K ST NW 7TH FLOOR
WASHINGTON DC 20006

Payer:
Merrill Lynch, Pierce, Fenner & Smith Inc
1700 Merrill Lynch Drive, MSC 0702
Pennington, NJ 08534-4128
Federal Identification Number 13-5674085

2004 TAX REPORTING STATEMENT

1099-DIV	2004 Dividends and Distributions (OMB NO. 1545-0110)	Amount
1a	Total Ordinary Dividends	0.00
1b	Qualified Dividends	0.00
2a	Total Capital Gain Distributions	0.00
2b	Unrecap. Sec. 1250 Gain	0.00
2c	Section 1202 Gain	0.00
2d	Collectibles (28%) Gain	0.00
3	Non-Taxable Distributions	0.00
4	**Federal Income Tax Withheld**	**0.00**
5	Investment Expenses	0.00
6	Foreign Tax Paid	0.00
7	Foreign Country or U.S. Possession	
8	Liquidation Distributions Cash	0.00
9	Liquidation Distributions Non-Cash (Fair Market Value)	0.00

1099-INT	2004 Interest Income (OMB NO. 1545-0112)	Amount
1	Interest Income Not Included in Line 3	47.53 *
2	Early Withdrawal Penalty	0.00
3	Interest On U.S. Savings Bonds and Treasury Obligations	0.00 *
4	**Federal Income Tax Withheld**	**0.00**
5	Investment Expenses	0.00
6	Foreign Tax Paid	0.00
7	Foreign Country or U.S. Possession	
	* Total reportable interest is the sum of lines 1 and 3	47.53

Summary of 2004 Original Issue Discount Items		Amount
1	Original Issue Discount	0.00**
6	Original Issue Discount on US Treasury Obligations	0.00**
	Total Original Issue Discount is the Sum of Line 1 and 6	0.00**

** Amounts of Original Issue Discount are individually reported to the IRS. Refer to the 2004 Original Issue Discount detail section of this statement.

Summary of 2004 Proceeds from Broker & Barter Exchange Transactions		Amount
2	Gross Proceeds Less Commissions	0.00
4	**Federal Income Tax Withheld**	**0.00**

Gross Proceeds from each of your 2004 securities trades are individually reported to the IRS. Refer to the 2004 Gross Proceeds detail section of this statement.

This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanctions may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

You may reach a Tax Representative at 1-800-637-6326

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MICHAEL G OXLEY AND

Your 2004 Annual Statement Summary information is a personal summary of your account activity provided exclusively for our select clients. Data from Forms 1099, the definitive information for tax purposes, is repeated in this summary for your convenience. The gain (loss) calculations and tax exempt income data are provided to facilitate your review and are not reported to the IRS. The gain (loss) information may not include return of capital, sales load deferral or wash sales adjustments, or transactions that settled after December 31, 2004. RICs (which may include mutual funds and unit investment trusts) may reclassify principal distributions as capital gains. The Cost Basis for these securities may not have been adjusted on your statement to reflect such reclassifications. Please refer to information provided by the RIC and discuss with your tax advisor. In calculating gain (loss), unless otherwise noted, it was assumed that the oldest position was liquidated first, and that an election was in effect to amortize the premium paid on the purchase of taxable bonds. The cost for most Original Issue Discount ("OID") obligations includes the accretion of OID. Note the accrued OID shown in this section may differ from the Form 1099-OID section of the Tax Reporting Statement. Securities distributed from a retirement account reflect cost basis rather than tax basis. Other methods for calculating gain (loss) are available. Please consult your tax advisor for more information.

2004 ANNUAL STATEMENT SUMMARY

2004 INTEREST INCOME

Security Description	Quantity	Date	Transaction Description	Debit	Credit	Remarks
INTEREST						
MI BANK DEPOSIT PROGRAM		2004	BANK INTEREST		47.53	
TOTAL INTEREST					47.53	
TOTAL INTEREST NOT INCLUDED IN LINE 3 (LINE 1 1099-INT)					47.53	
TOTAL INTEREST ON US SAVINGS BONDS AND TREASURY OBLIGATIONS (LINE 3 1099-INT)					0.00	
TOTAL REPORTABLE INTEREST (SUM OF 1 & 3 1099-INT)					47.53	

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INSTRUCTIONS TO RECIPIENT -- READ CAREFULLY

The amounts listed on this form represent interest, dividend, and/or gross proceeds payments made or credited to your account during the calendar year indicated on the Front of this form, as well as any original issue discount (OID) accrued during the calendar year.

Real Estate Mortgage Investment Conduits (REMIC's) and other Collateralized Debt Obligations (CDO's) must report interest income or OID paid or accrued during the tax year to a regular interest holder. If you are a holder of a REMIC and/or CDO obligation, such interest income or OID will not be reflected on the Form 1099-INT or 1099-OID appearing on this Tax Reporting Statement because this information is unavailable to Merrill Lynch prior to the publication. Such information will be sent to you separately for inclusion on your income tax return.

If you are an investor in a limited partnership, information concerning your distributive share of the partnership's taxable income or loss (Form 1065, Schedule K-1) will not appear on the Tax Reporting Statement. Such information will be sent to you separately for inclusion on your income tax return.

Nominees - If your Federal Identification Number is shown on this form and includes amounts belonging to another person, you are considered a nominee recipient. You must file Form 1099-DIV, 1099-INT, 1099-OID, 1099-MISC or 1099-B, as applicable, for each of the other owners to show their share of the income. And you must furnish a Form 1099-DIV, 1099-INT, 1099-OID, 1099-MISC or 1099-B to each owner as required by law. A husband or wife is not required to file a nominee return to show amounts owned by the other. See the instructions for Forms 1099, 1098, 8488, and W-2G.

Backup Withholding - Persons not furnishing their taxpayer identification number to the payer become subject to backup withholding on certain payments, including dividends, interest, and proceeds of sale transactions at a 28% rate. See Form W-9, Request for Taxpayer Identification Number and Certification, for information on backup withholding. Include this amount on your income tax return as tax withheld.

Foreign Tax Paid - You may be able to claim this foreign tax as a deduction or a credit on Form 1040. See your Form 1040 instructions.

1099-DIV DIVIDENDS AND DISTRIBUTIONS (OMB No. 1545-0110)

Line 1a - Total ordinary dividends that are taxable. Include this amount on Line 9a of Form 1040 or 1040A. Also report it on Schedule B (Form 1040) or Schedule 1 (Form 1040A), if required. The amount shown may be a distribution from an employee stock ownership plan (ESOP). Report it as a dividend on your Form 1040/1040A but treat it as a plan distribution, not as investment income, for any other purpose.

Line 1b - Shows the portion of the amount in Line 1a that may be eligible for the 15% or 5% capital gains rates. See the Form 1040/1040A instructions for how to determine this amount. Report the eligible amount on Line 9b, Form 1040 or 1040A.

Line 2a - Total capital gain distributions (long term) from a regulated investment company or real estate investment trust. Report the amounts shown in box 2a on Schedule D (Form 1040), Line 13. But, if no amount is shown on Lines 20-22 and your only capital gains and losses are capital gain distributions, you may be able to report the amounts on Line 2a on Line 13 of Form 1040 (Line 10 of Form 1040A) rather than Schedule D (Form 1040). See the Form 1040 or 1040A instructions.

Line 2b - Shows the portion of the amount on Line 2a that is unrecaptured section 1250 gain from certain depreciable real property. Report this amount on the **Unrecaptured Section 1250 Gain Worksheet** in the instructions for Schedule D (Form 1040).

Line 2c - Shows the portion of the amount on Line 2a that is Section 1202 gain from certain small business stock that may be subject to a 50% exclusion. See the Schedule D (Form 1040) instructions.

Line 2d - Shows 28% rate gain from sales or exchanges of collectibles. If required, use this amount when completing the **28% Rate Gain Worksheet-Line 18** in the instructions for Schedule D (Form 1040).

Line 2 - This part of the distribution is nontaxable because it is a return of your cost (or other basis). You must reduce your cost (or other basis) by this amount for figuring gain or loss when you sell your stock. But if you get back all your cost (or other basis), you must report future nontaxable distributions as capital gains, even though this form shows them as nontaxable. For more information, see *Publication 550, Investment Income and Expenses*.

Line 6 - Any amount shown is your share of expenses of a nonpublicly offered regulated investment company, generally a nonpublicly offered mutual fund. If you file Form 1040, you may deduct these expenses on the "Other expenses" line on **Schedule A (Form 1040)** subject to the 2% limit. *This amount is included on Line 1a.*

Line 8 and 9 - Shows cash and noncash liquidation distributions.

1099-INT INTEREST INCOME (OMB No. 1545-0112)

Line 1 - Shows interest paid to you during the calendar year by the payer. This does not include interest shown on Line 3. If you receive a Form 1099-INT for interest paid on a tax-exempt obligation, see the instructions for your income tax return.

Line 2 - Shows interest or principal forfeited because of early withdrawal of time savings. You may deduct this amount to figure your adjusted gross income on your income tax return. See the instructions for Form 1040 to see where to take the deduction.

Line 3 - Shows interest on U.S. Savings Bonds, Treasury bills, Treasury bonds, and Treasury notes. This may or may not be all taxable. See Publication 550, Investment Income and Expenses. This interest is exempt from state and local income taxes. This interest is not included on Line 1.

Line 5 - Any amount shown is your share of investment expenses of unit investment trust or a single-class REMIC. If you file Form 1040, you may deduct these expenses on the "Other expenses" line of **Schedule A (Form 1040)** subject to the 2% limit. *This amount is included in Line 1.*

1099-OID ORIGINAL ISSUE DISCOUNT (OMB No. 1545-0117)

Original issue discount (OID) is the excess of an obligation's stated redemption price at maturity over its issue price (or purchase price for a stripped bond or coupon). OID is taxable as interest over the life of the obligation. If you are the holder of an OID obligation generally you must include an amount of OID in your gross income each year you hold the obligation. Obligations that may have OID include a bond, debenture, note, certificate or other evidence of indebtedness having a term of more than 1 year. For example the OID rules may apply to certificates of deposit (CDs), time deposits, bonus savings plans, and other deposit arrangements, especially if the payment of interest is deferred until maturity. In addition the OID rules apply to Treasury inflation indexed securities. See *Pub. 550, Investment Income and Expenses*, for more information.

Line 1 - Shows the OID on the obligation for the part of the year you owned it. Report the entire amount in Line 1 as interest income on your income tax return. However, depending on the type of debt instrument, the issue or acquisition date, and other factors (for example, if you paid acquisition or bond premium or if the obligation is a stripped bond or coupon), you may have to figure the correct amount of OID to report on your return. See Pub. 1212, List of Original Issue Discount Instruments, for details on how to figure the correct OID.

Line 6 - Shows OID on a U.S. Treasury obligation for the part of the year you owned it. Report this amount as interest income on your Federal income tax return, and see Pub. 1212 to figure any appropriate adjustments to this amount. This OID is exempt from state and local income taxes and is not included in Line 1.

1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (OMB No. 1545-0715)

The amounts shown under the heading "GROSS PROCEEDS LESS COMMISSIONS" are the proceeds from transactions (including short sales) involving stocks, bonds, other debt obligations, and other securities. Report this amount on **Schedule D (Form 1040)**. In the detailed listing of gross proceeds transactions, the "TRADE DATE" rather than the "SETTLEMENT DATE" is the date of disposition for Federal income tax purposes. The "CUSIP" column contains the CUSIP number (i.e., the security identification number) of the item reported. The "SECURITY DESCRIPTION" column contains a brief description of the item for which the proceeds are being reported.

Merrill Lynch, Pierce, Fenner & Smith Incorporated
Member, Securities Investor Protection Corporation (SIPC)

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PORTFOLIO SUMMARY REVIEW

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1-800-MERRILL (1-800-637-7455)

Office Serving Your Account
1850 K ST NW 7TH FLOOR
WASHINGTON DC 20006

Review Your Statement Online at:
www.mlol.ml.com

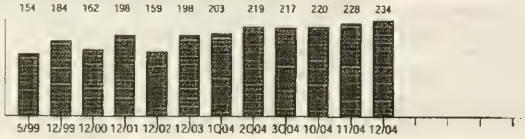
Total Value as of December 31, 2004
$234,280.63

Asset Allocation Summary

	Percent
Equities	70
Fixed Income	21
Cash/Money Accounts	9
Total	100

* Estimated Accrued Interest not included. May not reflect all holdings.

Total Value Comparison (in $ thousands)



Total Value includes credits, debits, and change in market value.
Unpriced Securities are not included inTotal Value.

Accounts Included in this Summary

Account No.	Account Name	Account Type/ Managing Firm	Page	Total Value ($) This Statement	Total Value ($) Last Statement	Gains and Losses ($) Realized YTD Short Term	Realized YTD Long Term	Unrealized Short Term	Unrealized Long Term
749-19458	MICHAEL G OXLEY AND	CMA	3	63,949	62,154	27	374	-	10,276
749-66T11	MICHAEL G OXLEY AND	CMA	10	7,805	7,797	-	-	-	-
		Sub Total		71,755	69,952	27	374	-	10,276
749-72P30	FBO MICHAEL G OXLEY	Retirement	13	71,666	69,661	894	1,022	-	-

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Portfolio Summary Review

Accounts Included in this Summary

Account No.	Account Name	Account Type/ Managing Firm	Page	Total Value ($) This Statement	Total Value ($) Last Statement	Gains and Losses ($) Realized YTD Short Term	Realized YTD Long Term	Unrealized Short Term	Unrealized Long Term
749-72R99	FBO PATRICIA OXLEY	Retirement	20	90,858	88,353	2,435	902	-	-
		Sub Total		162,525	158,014	3,329	1,924	-	-
		Total		234,280	227,966	3,356	2,298	-	10,276

Activity Summary (All Accounts)

Credits	This Statement ($)	Year-To-Date ($)	Debits	This Statement ($)	Year-To-Date ($)
Security Sales	0.00	53,146.17	Security Purchases	0.00	53,347.99
Income	1,532.29	6,044.30	Withdrawals	0.00	0.00
Funds Received	0.00	14,831.00	Electronic Transfers (Debit)	0.00	0.00
Electronic Transfers(Credits)	0.00	0.00	Other Debits	1,505.08	8,570.58
Other Credits	0.00	0.00	Interest	0.00	0.00
			Checks Written	0.00	0.00
			Visa Purchases	0.00	0.00
Total Credit	1,532.29	74,020.47	**Total Debit**	1,505.08	61,918.57
			Net Activity	27.21	12,101.90

Income Summary ($)

Account Number	This Statement Tax-Exempt Interest	Interest	Non-Reportable Dividends	Dividends	TOTAL	Year-to-Date Tax-Exempt Interest	Interest	Non-Reportable Dividends	Dividends	TOTAL
749-19458				399	399				1,025	1,025
749-66T11		8			8		48			48
749-72P30			390		390			1,867		1,867
749-72R99			735		735			3,105		3,105
Total		8	1,125	399	1,532		48	4,972	1,025	6,044

Financial Market Indicators

	This Statement	Last Statement	Previous Year-End		This Statement	Last Statement	Previous Year-End
S&P 500	1211.92	1173.82	1111.92	NASDAQ	2175.44	2096.81	2003.37
Three-Month Treasury Bills	2.22%	2.22%	.92%				
Long-Term Treasury Bonds	4.83%	5.01%	5.08%				
One-Month LIBOR	2.39%	2.28%	1.12%				

CMA® ACCOUNT

MFA Selects™

Total Account Value As Of 12/31/2004 $63,949.57

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7629 HUNTMASTER LN
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Your Merrill Lynch Office:
1850 K ST NW 7TH FLOOR
WASHINGTON DC 20006

Review Your Statement Online at:
www.mlol.ml.com

Portfolio Summary

Asset	11/30/04 Value	%	12/31/04 Value	%
Cash/Money Accounts	2,247	4	2,250	4
CD's/Equivalents				
Government & Agency				
Corporate Bonds				
Municipal Bonds				
Equities				
Mutual Funds	59,907	96	61,698	96
Options				
Other				
Long Market Value	62,154		63,948	
Short Market Value				
Estimated Accrued Interest				
Debit Balance				
Net Portfolio Value	62,154		63,949	

Income Summary

	This Statement	Year-to-Date
Money Fund Dividends	3.07	5.41
Tax-Exempt Funds		
Tax-Exempt Interest		
Reportable Interest		
Reportable Dividends	395.71	1,019.39
Income Not Reported		
Total	398.78	1,024.80

Merrill Lynch MFA Selects Program

Your Strategy Is:
GLOBAL EQUITY
Risk Category 6 - Strategy Code: GLBL
Please contact your Financial Advisor whenever there are changes in your financial condition or objectives

Purchasing Power 2,250

NEWS

This tax season, TurboTax(R) users can automatically import their 1099 tax information from Merrill Lynch via Merrill Lynch OnLine(R). Enrollment into the free MLOL service will be necessary. See related article inside this month's edition of Total Merrill & You

Important Notice

You may receive a free copy of our written disclosure statement containing important information about the Merrill Lynch MFA program by sending a written request to:
Merrill Lynch
Client Account Services - MFA
4803 Deerlake Drive West
Floor 4
Jacksonville, FL 32246

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Cash Flow Summary

Activity Summary	This Statement	Year-to-Date
Opening Balance Cash & Money Accounts	2,247.87	
Net Credits & Debits	3.07	1,496.10
Closing Balance Cash & Money Accounts	2,250.94	

Credits	This Statement	Year-to-Date
Sales		2,215.66
Income	398.78	1,024.80
Funds Received		
Electronic Tfrs		
Other		
Total Credits	398.78	3,240.46

Debits	This Statement	Year-to-Date
Purchases		
Withdrawals		
Electronic Tfrs		
Other	395.71	1,744.36
Total Debits	395.71	1,744.36

Realized Capital Gain and Loss Summary*

	This Statement	Year-to-Date
Short-Term	.00	27.99
Long-Term	.00	374.12

*Excludes transactions for which we have insufficient data.

The capital gains and losses shown above may not reflect all transactions which must be reported on your 2004 tax return. These reportable transactions will appear on your January statement.

Current Portfolio

Quantity	Security Description	Date Acquired	Adjust/Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain or (Loss)	Estimated Accrued Interest	Estimated Annual Income	Current Yield %
Cash and Money Accounts										
2,250	CMA MONEY FUND		1.00	2,250	1.00	2,250			35	1.57

Current Portfolio

Quantity	Security Description	Total Client Investment	Cumulative Investment Return	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain or (Loss)	Estimated Annual Income	Current Yield %
Mutual Funds and Defined Asset Funds									
Equity Funds									
850	AMERICAN AMCAP FUND	$12,529	$3,051	13,118	18.33	15,580	2,454	37	.24

Current Portfolio

Quantity	Security Description	Total Client Investment	Cumulative Investment Return	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain or (Loss)	Estimated Annual Income	Current Yield %
Mutual Funds and Defined Asset Funds									
	CL A (.7790 FRACTIONAL SHARE) INITIAL PURCHASE: 05/23/01			N/A	18.33	14	N/A		.24
605	AMERICAN MUTUAL FUND INC CL A (.0130 FRACTIONAL SHARE) INITIAL PURCHASE: 06/11/03	$12,998	$3,023	13,575 N/A	26.48 26.48	16,020	2,441 N/A	266	1.66 1.66
481	AMERICAN GROWTH FD OF AMERICA CL A (.2410 FRACTIONAL SHARE) INITIAL PURCHASE: 02/20/01	$11,206	$1,963	11,298 N/A	27.38 27.38	13,169 6	1,869 N/A	43	.32 .32
188	FIRST EAGLE OVERSEAS CL I (.4370 FRACTIONAL SHARE) INITIAL PURCHASE: 09/26/03	$3,001	$1,115	3,167 N/A	21.90 21.90	4,117 9	947 N/A	46	1.11 1.11
151	UAM FPA CRESCENT PORT (.1140 FRACTIONAL SHARE) INITIAL PURCHASE: 09/26/03	$3,007	$548	3,075 N/A	23.55 23.55	3,556 2	480 N/A	6	.16 .16
498	VAN KAMPEN COMSTOCK FUND CL A (1770 FRACTIONAL SHARE) INITIAL PURCHASE: 09/26/03	$6,997	$2,220	7,130 N/A	18.51 18.51	9,217 3	2,085 N/A	109	1.18 1.18
Total Equity Funds			$11,920	51,366		61,698	10,276	508	.82
Total Funds			$11,920	51,366		61,698	10,276	508	.82

TOTAL CLIENT INVESTMENT: Cost of shares directly purchased and still held. Does not include shares purchased through reinvestment.
CUMULATIVE INVESTMENT RETURN: Estimated Market Value minus Total Client Investment. Cumulative Investment Return is the capital appreciation (depreciation) of all shares purchased, including shares purchased through reinvestment.

UNREALIZED GAIN or (LOSS): Estimated Market Value minus Total Cost Basis. *Provided for Tax Planning purposes only and is not applicable to retirement accounts. "denoted by N/A"*
INITIAL PURCHASE: Date of your initial investment in this fund.

Total of Long Portfolio	53,616*	63,948	10,276 *	544	.85

* - Excludes N/A items

Statement Activity

Date	Transaction	Quantity	Description	Price	Debit	Credit
Security Transactions						
12/03	Divd Reinv	5	AMERICAN AMCAP FUND CL A REINV AMOUNT $105.36 REINV PRICE $18.00000 QUANTITY BOT 5.8530 AS OF 12/02			
12/03	Journal Entry	1	AMERICAN AMCAP FUND CL A FULL SHARE ACCUM			
12/03	Divd Reinv	2	AMERICAN AMCAP FUND CL A REINV AMOUNT $37.09 REINV PRICE $18.00000 QUANTITY BOT 2.0610 AS OF 12/02			
12/03	Divd Reinv	1	AMERICAN MUTUAL FUND INC CL A REINV AMOUNT $30.07 REINV PRICE $26.03000 QUANTITY BOT 1.1550 AS OF 12/02			
12/03	Divd Reinv	2	AMERICAN MUTUAL FUND INC CL A REINV AMOUNT $66.14 REINV PRICE $26.03000 QUANTITY BOT 2.5410 AS OF 12/02			
12/03	Journal Entry	1	AMERICAN MUTUAL FUND INC CL A FULL SHARE ACCUM			
12/09	Divd Reinv	1	VAN KAMPEN COMSTOCK FUND CL A REINV AMOUNT $28.55 REINV PRICE $17.91000 QUANTITY BOT 1.5940 AS OF 12/08			
12/09	Journal Entry	1	VAN KAMPEN COMSTOCK FUND CL A FULL SHARE ACCUM			
12/10	Journal Entry	1	FIRST EAGLE OVERSEAS CL I FULL SHARE ACCUM			
12/10	Divd Reinv	2	FIRST EAGLE OVERSEAS CL I			

Statement Activity

Date	Transaction	Quantity	Description	Price	Debit	Credit
Security Transactions						
			REINV AMOUNT $45.40 REINV PRICE $20.93000 QUANTITY BOT 2.1690 AS OF 12/09			
12/13	Divd Reinv	1	AMERICAN GROWTH FD OF AMERICA CL A REINV AMOUNT $43.17 REINV PRICE $26.60000 QUANTITY BOT 1.6230 AS OF 12/10			
12/13	Journal Entry	1	AMERICAN GROWTH FD OF AMERICA CL A FULL SHARE ACCUM			
12/27	Journal Entry	1	UAM FPA CRESCENT PORT FULL SHARE ACCUM			
Net Total						
Dividends and Interest						
12/03 *	Dividend		AMERICAN AMCAP FUND CL A PAY DATE 12/02/2004			37.09
12/03 *	Lg Tm Cap Gain		AMERICAN AMCAP FUND CL A PAY DATE 12/02/2004			105.38
12/03 *	Dividend		AMERICAN MUTUAL FUND INC CL A PAY DATE 12/02/2004			66.14
12/03 *	Lg Tm Cap Gain		AMERICAN MUTUAL FUND INC CL A PAY DATE 12/02/2004			30.07
12/09 *	Dividend		VAN KAMPEN COMSTOCK FUND CL A PAY DATE 12/08/2004			28.55
12/10 *	Dividend		FIRST EAGLE OVERSEAS CL I PAY DATE 12/09/2004			45.40
12/10 *	Lg Tm Cap Gain		FIRST EAGLE OVERSEAS CL I PAY DATE 12/09/2004			19.46
12/10 *	Sh Tm Cap Gain		FIRST EAGLE OVERSEAS CL I PAY DATE 12/09/2004			.93
12/13 *	Dividend		AMERICAN GROWTH FD OF AMERICA CL A PAY DATE 12/10/2004			43.17

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Statement Activity

Date	Transaction	Quantity	Description	Price	Debit	Credit
Dividends and Interest						
12/27 *	Dividend		UAM FPA CRESCENT PORT PAY DATE 12/23/2004			9.02
12/27 *	Lg Tm Cap Gain		UAM FPA CRESCENT PORT PAY DATE 12/23/2004			10.52
12/31	Share Dividend	3	CMA MONEY FUND PAY DATE 12/31/2004			.07
12/31	Cash Dividend		CMA MONEY FUND PAY DATE 12/31/2004 FROM 11-30 THRU 12-31			3.00
	Income Total		CMA MONEY FUND			398.78
Net Total						
Other Activity						
12/03	Reinvestment		AMERICAN AMCAP FUND CL A		37.09	
12/03	Reinvestment		AMERICAN AMCAP FUND CL A		105.36	
12/03	Reinvestment		AMERICAN MUTUAL FUND INC CL A		66.14	
12/03	Reinvestment		AMERICAN MUTUAL FUND INC CL A		30.07	
12/09	Reinvestment		VAN KAMPEN COMSTOCK FUND CL A		28.55	
12/10	Reinvestment		FIRST EAGLE OVERSEAS CL I		45.40	
12/10	Reinvestment		FIRST EAGLE OVERSEAS CL I		19.46	
12/10	Reinvestment		FIRST EAGLE OVERSEAS CL I		.93	
12/10	Divd Reinv		FIRST EAGLE OVERSEAS CL I REINV AMOUNT $.93 REINV PRICE $20.93000 QUANTITY BOT .0440 AS OF 12/09			
12/10	Divd Reinv		FIRST EAGLE OVERSEAS CL I REINV AMOUNT $19.46 REINV PRICE $20.93000 QUANTITY BOT .9300 AS OF 12/09			
12/13	Reinvestment		AMERICAN GROWTH FD OF AMERICA CL A		43.17	
12/27	Reinvestment		UAM FPA CRESCENT PORT		9.02	
12/27	Reinvestment		UAM FPA CRESCENT PORT		10.52	

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Statement Activity

Date	Transaction	Quantity	Description	Price	Debit	Credit
Other Activity						
12/27	Divd Reinv		UAM FPA CRESCENT PORT REINV AMOUNT $10.52 REINV PRICE $23.42000 QUANTITY BOT .4490 AS OF 12/16			
12/27	Divd Reinv		UAM FPA CRESCENT PORT REINV AMOUNT $9.02 REINV PRICE $23.42000 QUANTITY BOT .3850 AS OF 12/16			
Net Total					395.71	

Tax Information Summary

	This Statement	Year-to-Date
Accrued Interest Paid		
Tax-Exempt		
Reportable		
Accrued Interest Received		
Tax-Exempt		
Reportable		
Gross Proceeds		2,215.66

Although we provide complete year-to-date information for Reportable Accrued Interest Received, Accrued Interest Paid and Tax-Exempt Accrued Interest Received and Paid include only those months we produce your profit and loss statement.

Customer Service For information about your account, please call 1-800-MERRILL (1-800-637-7455) To report Lost or Stolen Visa Cards or Checks, please call 1-800-262-5678.

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CORRECTED 1099 03/09/05

MICHAEL G OXLEY AND
PATRICIA A OXLEY JTWROS
7629 HUNTMASTER LN
MCLEAN VA 22102-2531

Your Financial Advisor 0130
THE GROUP AG
1850 K ST NW 7TH FLOOR
WASHINGTON DC 20006

Payer:
Merrill Lynch, Pierce, Fenner & Smith Inc
1700 Merrill Lynch Drive, MSC 0702
Pennington, NJ 08534-4128
Federal Identification Number 13-5674085

2004 TAX REPORTING STATEMENT

1099-DIV	2004 Dividends and Distributions	(OMB NO. 1545-0110)
		Amount
1a	Total Ordinary Dividends	534.07
1b	Qualified Dividends	446.04
2a	Total Capital Gain Distributions	496.56
2b	Unrecap. Sec. 1250 Gain	0.00
2c	Section 1202 Gain	0.00
2d	Collectibles (28%) Gain	0.00
3	Non-Taxable Distributions	0.00
4	**Federal Income Tax Withheld**	0.00
5	Investment Expenses	0.00
6	Foreign Tax Paid	5.83
7	Foreign Country or U.S. Possession	Various
8	Liquidation Distributions Cash	0.00
9	Liquidation Distributions Non-Cash (Fair Market Value)	0.00

1099-INT	2004 Interest Income	(OMB NO. 1545-0112)
		Amount
1	Interest Income Not Included in Line 3	0.00 *
2	Early Withdrawal Penalty	0.00
3	Interest On U.S. Savings Bonds and Treasury Obligations	0.00 *
4	**Federal Income Tax Withheld**	0.00
5	Investment Expenses	0.00
6	Foreign Tax Paid	0.00
7	Foreign Country or U.S. Possession	

* Total reportable interest is the sum of lines 1 and 3 0.00

Summary of 2004 Original Issue Discount Items		
		Amount
1	Original Issue Discount	0.00**
6	Original Issue Discount on US Treasury Obligations	0.00**
	Total Original Issue Discount is the Sum of Line 1 and 6	0.00**

** Amounts of Original Issue Discount are individually reported to the IRS. Refer to the 2004 Original Issue Discount detail section of this statement.

Summary of 2004 Proceeds from Broker & Barter Exchange Transactions		
		Amount
2	Gross Proceeds Less Commissions	2,215.66
4	**Federal Income Tax Withheld**	0.00

Gross Proceeds from each of your 2004 securities trades are individually reported to the IRS. Refer to the 2004 Gross Proceeds detail section of this statement.

This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanctions may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

You may reach a Tax Representative at 1-800-637-6326

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MICHAEL G OXLEY AND

2004 TAX REPORTING STATEMENT

1099-B

2004 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

(OMB NO. 1545-0715)

Security Description	Cusip Number	Quantity	Transaction Description	Trade Date	Federal Income Tax Withheld	LINE 2 1099-B Gross Proceeds*	Remarks
WASATCH MICRO CAP FD	936772508	337	SALE	11/24/04		2,215.66	
TOTAL GROSS PROCEEDS						**2,215.66**	

* Gross Proceeds and above transaction details from each of your 2004 securities trades are individually reported to the IRS (less commissions).

IMPORTANT TAX INFORMATION

This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanctions may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

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Your 2004 Annual Statement Summary information is a personal summary of your account activity provided exclusively for our select clients. Data from Forms 1099, the definitive information for tax purposes, is repeated in this summary for your convenience. The gain (loss) calculations and tax exempt income data are provided to facilitate your review and are not reported to the IRS. The gain (loss) information may not include return of capital, sales load deferral or wash sales adjustments, or transactions that settled after December 31, 2004. RICs (which may include mutual funds and unit investment trusts) may reclassify principal distributions as capital gains. The Cost Basis for these securities may not have been adjusted on your statement to reflect such reclassifications. Please refer to information provided by the RIC and discuss with your tax advisor. In calculating gain (loss), unless otherwise noted, it was assumed that the oldest position was liquidated first, and that an election was in effect to amortize the premium paid on the purchase of taxable bonds. The cost for most Original Issue Discount ("OID") obligations includes the accretion of OID. Note the accrued OID shown in this section may differ from the Form 1099-OID section of the Tax Reporting Statement. Securities distributed from a retirement account reflect cost basis rather than tax basis. Other methods for calculating gain (loss) are available. Please consult your tax advisor for more information.

2004 ANNUAL STATEMENT SUMMARY

2004 DIVIDENDS AND DISTRIBUTIONS

Security Description	Quantity	Date	Transaction Description	Debit	Credit	Remarks
ORDINARY DIVIDENDS						
WASATCH MICRO CAP FD		10/20/04	SHORT TERM CAPITAL GAIN		12.71	
FIRST EAGLE		12/10/04	SHORT TERM CAPITAL GAIN		.93	
OVERSEAS CL I		12/10/04	FOREIGN DIVIDEND		51.23	
		12/10/04	FOREIGN TAX	5.83		PAY DATE 12/09/2004
			Security Subtotal	5.83	52.16	
UAM FPA CRESCENT PORT		07/08/04	DIVIDEND		2.96	
		12/27/04	DIVIDEND		9.02	
			Security Subtotal		11.98	
AMERICAN AMCAP FUND CL A		12/03/04	DIVIDEND		37.09	TRANSACTION ADDED
AMERICAN MUTUAL FUND INC CL A		03/19/04	DIVIDEND		65.27	
		06/21/04	DIVIDEND		65.56	
		09/27/04	DIVIDEND		65.85	
		12/03/04	DIVIDEND		66.14	TRANSACTION ADDED
			Security Subtotal		262.82	
VAN KAMPEN COMSTOCK FUND CL A		03/18/04	DIVIDEND		23.36	
		06/17/04	DIVIDEND		28.36	
		09/16/04	DIVIDEND		28.46	
		12/09/04	DIVIDEND		28.55	
			Security Subtotal		108.73	
AMERICAN GROWTH FD OF AMERICA CL A		12/13/04	DIVIDEND		43.17	

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2004 ANNUAL STATEMENT SUMMARY
2004 DIVIDENDS AND DISTRIBUTIONS

Security Description	Quantity	Date	Transaction Description	Debit	Credit	Remarks
CMA FUND		2004	DIVIDEND		5.41	
TOTAL FOREIGN TAX PAID (LINE 6 1099-DIV)				5.83		
TOTAL ORDINARY DIVIDENDS (LINE 1A 1099-DIV)					**534.07**	
QUALIFIED DIVIDENDS						
AMERICAN AMCAP FUND CL A		12/03/04	QUALIFIED DIVIDEND		37.09	REFER TO DIV
AMERICAN MUTUAL FUND INC CL A		03/19/04	QUALIFIED DIVIDEND		63.83	REFER TO DIV
		06/21/04	QUALIFIED DIVIDEND		64.12	REFER TO DIV
		09/27/04	QUALIFIED DIVIDEND		64.40	REFER TO DIV
		12/03/04	QUALIFIED DIVIDEND		64.70	REFER TO DIV
			Security Subtotal		**257.05**	
VAN KAMPEN COMSTOCK FUND CL A		03/18/04	QUALIFIED DIVIDEND		23.36	REFER TO DIV
		06/17/04	QUALIFIED DIVIDEND		28.36	REFER TO DIV
		09/16/04	QUALIFIED DIVIDEND		28.46	REFER TO DIV
		12/09/04	QUALIFIED DIVIDEND		28.55	REFER TO DIV
			Security Subtotal		**108.73**	
AMERICAN GROWTH FD OF AMERICA CL A		12/13/04	QUALIFIED DIVIDEND		43.17	REFER TO DIV
TOTAL QUALIFIED DIVIDENDS (LINE 1B 1099-DIV)					**446.04**	
CAPITAL GAIN DISTRIBUTIONS						
LONG-TERM CAPITAL GAINS DISTRIBUTIONS						
WASATCH MICRO CAP FD		10/20/04	LONG TERM CAPITAL GAIN		286.69	
FIRST EAGLE OVERSEAS CL I		12/10/04	LONG TERM CAPITAL GAIN		19.46	

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2004 ANNUAL STATEMENT SUMMARY
2004 DIVIDENDS AND DISTRIBUTIONS

Security Description	Quantity	Date	Transaction Description	Debit	Credit	Remarks
UAM FPA CRESCENT PORT		07/08/04	LONG TERM CAPITAL GAIN		44.46	
		12/27/04	LONG TERM CAPITAL GAIN		10.52	
			Security Subtotal		54.98	
AMERICAN AMCAP FUND CL A		12/03/04	LONG TERM CAPITAL GAIN		105.36	
AMERICAN MUTUAL FUND INC CL A		12/03/04	LONG TERM CAPITAL GAIN		30.07	
TOTAL LONG-TERM CAPITAL GAINS DISTRIBUTIONS					496.56	
TOTAL CAPITAL GAIN DISTRIBUTIONS (LINE 2A 1099-DIV)					496.56	

2004 ANNUAL STATEMENT SUMMARY
2004 OTHER DISTRIBUTIONS AND CHARGES*

Security Description	Transaction Description	Debit	Credit
INVESTMENT FEE INFORMATION			
MUTUAL FUND ADVISOR SELECTS FEE		724.97	

MERRILL LYNCH MUTUAL FUND ADVISOR PROGRAM - TRANSACTION SUMMARY
2004 AVERAGE COST INFORMATION FROM DISPOSITIONS OF MUTUAL FUND SECURITIES

Quantity	Security Description	Transaction Type	Trade Date	Transaction Proceeds	Average Cost Basis Per Share	Total Cost	Gain or (Loss)	5 Yr Cap Gain or (Loss)
SHORT TERM CAPITAL GAINS								
50.968	WASATCH MICRO CAP FD	SALE	11/24	334.85	5.38	273.96	60.89	
		Subtotal		334.85		273.96	60.89	
	NET SHORT TERM CAPITAL GAIN (LOSS)						60.89	

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MERRILL LYNCH MUTUAL FUND ADVISOR PROGRAM - TRANSACTION SUMMARY
2004 AVERAGE COST INFORMATION FROM DISPOSITIONS OF MUTUAL FUND SECURITIES

Quantity	Security Description	Transaction Type	Trade Date	Transaction Proceeds	Average Cost Basis Per Share	Total Cost	Gain or (Loss)	5 Yr Cap Gain or (Loss)
LONG TERM CAPITAL GAINS								
286.271	WASATCH MICRO CAP FD	SALE	11/24	1,880.81	5.38	1,538.78	342.03	0.00
			Subtotal	**1,880.81**		**1,538.78**	**342.03**	
			NET LONG TERM CAPITAL GAIN (LOSS)				**342.03**	

The above gain or loss information should not be used for income tax purposes. It may include calculations for transactions that settled after December 31, 2004. In addition, it may not include all return of capital, sales load deferral or wash sales adjustments. Please consult with your tax advisor if you have any questions.

2004 ANNUAL STATEMENT SUMMARY

MERRILL LYNCH MUTUAL FUND ADVISOR PROGRAM REALIZED CAPITAL GAIN AND LOSS SUMMARY

	SHORT TERM GAINS	SHORT TERM LOSSES	LONG TERM GAINS	LONG TERM LOSSES
TOTAL	60.89	.00	342.03	.00

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INSTRUCTIONS TO RECIPIENT -- READ CAREFULLY

The amounts listed on this form represent interest, dividend, and/or gross proceeds payments made or credited to your account during the calendar year indicated on the front of this form, as well as any original issue discount (OID) accrued during the calendar year.

Real Estate Mortgage Investment Conduits (REMICs) and other Collateralized Debt Obligations (CDOs) must report interest income or OID paid or accrued during the tax year to a regular interest holder. If you are a holder of a REMIC and/or CDO obligation, such interest income or OID will not be reflected on the Form 1099 INT or 1099-OID appearing on this Tax Reporting Statement because this information is unavailable to Merrill Lynch prior to the publication. Such information will be sent to you separately for inclusion on your income tax return.

If you are an investor in a limited partnership, information concerning your distributive share of the partnership's taxable income or loss (form 1065, Schedule K-1) will not appear on the Tax Reporting Statement. Such information will be sent to you separately for inclusion on your income tax return.

Nominees - If your Federal Identification Number is shown on this form and includes amounts belonging to another person, you are considered a nominee recipient. You must file Form 1099-DIV, 1099 INT, 1099 OID, 1099 MISC or 1099 B, as applicable, for each of the other owners to show their share of the income, and you must furnish a Form 1099-DIV, 1099-INT, 1099-OID, 1099 MISC or 1099 B to each owner, as required by law. A husband or wife is not required to file a nominee return to show amounts owned by the other. **See the Instructions for Forms 1099, 1098, 5498, and W-2G**

Backup Withholding - Persons not furnishing their taxpayer identification number to the payer become subject to backup withholding on certain payments, including dividends, interest, and proceeds of sale transactions at a 28% rate. See Form W-9, Request for Taxpayer Identification Number and Certification, for information on backup withholding. **Include this amount on your income tax return as tax withheld**

Foreign Tax Paid - You may be able to claim this foreign tax as a deduction or a credit on Form 1040. See your Form 1040 instructions.

1099-DIV DIVIDENDS AND DISTRIBUTIONS (OMB No. 1545-0110)

Line 1a - Total ordinary dividends that are taxable. Include this amount on Line 9a of Form 1040 or 1040A. Also report it on Schedule B (Form 1040) or Schedule 1 (Form 1040A), if required. The amount shown may be a distribution from an employee stock ownership plan (ESOP). Report it as a dividend on your Form 1040/1040A but treat it as a plan distribution, not as investment income, for any other purpose.

Line 1b - Shows the portion of the amount in Line 1a that may be eligible for the 15% or 5% capital gains rates. See the Form 1040/1040A instructions for how to determine this amount. Report the eligible amount on Line 9b, Form 1040 or 1040A.

Line 2a - Total capital gain distributions (long-term) from a regulated investment company or real estate investment trust. Report the amounts shown in box 2a on Schedule D (Form 1040), Line 13. But, if **no amount is** shown on Lines 2b-2d **and your only** capital gains and losses are capital gain distributions, you may be able to report the amounts on Line 2a on Line 13 of Form 1040 (Line 10 of Form 1040A) rather than Schedule D (Form 1040). See the Form 1040 or 1040A instructions.

Line 2b - Shows the portion of the amount on Line 2a that is unrecaptured section 1250 gain from certain depreciable real property. Report this amount on the **Unrecaptured Section 1250 Gain Worksheet** in the instructions for Schedule D (Form 1040).

Line 2c - Shows the portion of the amount on Line 2a that is Section 1202 gain from certain small business stock that may be subject to a 50% exclusion. See the Schedule D (Form 1040) instructions.

Line 2d - Shows 28% rate gain from sales or exchanges of collectibles. If required, use this amount when completing the **28% Rate Gain Worksheet-Line 18** in the instructions for Schedule D (Form 1040).

Line 3 - This part of the distribution is nontaxable because it is a return of your cost (or other basis). You must reduce your cost (or other basis) by this amount for figuring gain or loss when you sell your stock. But if you get back all your cost (or other basis), you must report future nontaxable distributions as capital gains, even though this form shows them as nontaxable. For more information, see **Publication 550**, Investment Income and Expenses.

Line 5 - Any amount shown is your share of expenses of a nonpublicly offered regulated investment company, generally a nonpublicly offered mutual fund. If you file Form 1040, you may deduct these expenses on the "Other expenses" Line on **Schedule A (Form 1040)** subject to the 2% limit. This amount is included on Line 1a.

Line 8 and 9 - Shows cash and noncash liquidation distributions.

1099-INT INTEREST INCOME (OMB No. 1545-0112)

Line 1 - Shows interest paid to you during the calendar year by the payer. This does not include interest shown on Line 3. If you receive a Form 1099-INT for interest paid on a tax-exempt obligation, see the instructions for your income tax return.

Line 2 - Shows interest or principal forfeited because of early withdrawal of time savings. You may deduct this amount to figure your adjusted gross income on your income tax return. See the instructions for Form 1040 to see where to take the deduction.

Line 3 - Shows interest on U.S. Savings Bonds, Treasury bills, Treasury bonds, and Treasury notes. This may or may not be all taxable. See Publication 550, Investment Income and Expenses. This interest is exempt from state and local income taxes. This interest is not included on Line 1.

Line 5 - Any amount shown is your share of investment expenses of Unit Investment Trust or a single-class REMIC. If you file Form 1040, you may deduct these expenses on the "Other expenses" line of **Schedule A (Form 1040)** subject to the 2% limit. This amount is included in Line 1.

1099-OID ORIGINAL ISSUE DISCOUNT (OMB No. 1545-0117)

Original issue discount (OID) is the excess of an obligation's stated redemption price at maturity over its issue price (acquisition price for a stripped bond or coupon). OID is taxable as interest over the life of the obligation. If you are the holder of an OID obligation, generally you must include an amount of OID in your gross income each year you hold the obligation. Obligations that may have OID include a bond, debenture, note, certificate or other evidence of indebtedness having a term of more than 1 year. For example, the OID rules may apply to certificates of deposit (CDs), time deposits, bonus savings plans, and other deposit arrangements, especially if the payment of interest is deferred until maturity. In addition, the OID rules apply to Treasury inflation-indexed securities. See **Pub. 550**, Investment Income and Expenses, for more information.

Line 1 - Shows the OID on the obligation for the part of the year you owned it. Report the entire amount in Line 1 as interest income on your income tax return. However, depending on the type of debt instrument, the issue or acquisition date, and other factors (for example, if you paid acquisition or bond premium or if the obligation is a stripped bond or coupon), you may have to figure the correct amount of OID to report on your return. See Pub. 1212, List of Original Issue Discount Instruments, for details on how to figure the correct OID.

Line 8 - Shows OID on a U.S. Treasury obligation for the part of the year you owned it. Report this amount as interest income on your Federal income tax return, and see Pub. 1212 to figure any appropriate adjustments to this amount. This OID is exempt from state and local income taxes and is not included in Line 1.

1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (OMB No. 1545-0715)

The amounts shown under the heading "GROSS PROCEEDS LESS COMMISSIONS" are the proceeds from transactions (including short sales) involving stocks, bonds, other debt obligations, and other securities. Report this amount on **Schedule D (Form 1040)**. In the detailed listing of gross proceeds transactions, the "TRADE DATE" rather than the "SETTLEMENT DATE" is the date of disposition for Federal income tax purposes. The "CUSIP" column contains the CUSIP number (i.e., the security identification number) of the item reported. The "SECURITY DESCRIPTION" column contains a brief description of the item for which the proceeds are being reported.

Merrill Lynch, Pierce, Fenner & Smith Incorporated
Member, Securities Investor Protection Corporation (SIPC)

3555

09:46 05/05/05 PG 1

FWR NPC

FINANCIAL CONSULTANTS
SECURITY ACTIVITY
MICHAEL G & PATRICIA A OXLEY

COB 05/04/05
FC:0130

DATE	TYPE	SOL	QTY	DESCRIPTION	PRICE	NET AMOUNT
03/21/05	AIDB		2	AMERICAN MUTUAL FUND	26.1500	72
03/17/05	AIDB		1	VAN KAMPEN	18.2700	36
03/17/05	JE		1	VAN KAMPEN	.0000	0
12/27/04	JE		1	UAM FPA CRESCENT PORT	.0000	0
12/13/04	AIDB		1	AMERICAN GROWTH FD OF	26.6000	43
12/13/04	JE		1	AMERICAN GROWTH FD OF	.0000	0
12/10/04	JE		1	FIRST EAGLE	.0000	0
12/10/04	AIDB		2	FIRST EAGLE	20.9300	45
12/09/04	AIDB		1	VAN KAMPEN	17.9100	28
12/09/04	JE		1	VAN KAMPEN	.0000	0
12/03/04	AIDB		5	AMERICAN AMCAP FUND	18.0000	105
12/03/04	JE		1	AMERICAN AMCAP FUND	.0000	0
12/03/04	AIDB		2	AMERICAN AMCAP FUND	18.0000	37
12/03/04	AIDB		1	AMERICAN MUTUAL FUND	26.0300	30
12/03/04	AIDB		2	AMERICAN MUTUAL FUND	26.0300	66
12/03/04	JE		1	AMERICAN MUTUAL FUND	.0000	0
11/24/04	SLD		337C	WASATCH MICRO CAP FD	6.5700	2,215C
10/20/04	AIDB		2	WASATCH MICRO CAP FD	6.0000	12
10/20/04	AIDB		47	WASATCH MICRO CAP FD	6.0000	286
10/20/04	JE		1	WASATCH MICRO CAP FD	.0000	0
09/27/04	AIDB		2	AMERICAN MUTUAL FUND	24.8800	65
09/27/04	JE		1	AMERICAN MUTUAL FUND	.0000	0
09/16/04	AIDB		1	VAN KAMPEN	16.7700	28
09/16/04	JE		1	VAN KAMPEN	.0000	0
07/08/04	AIDB		1	UAM FPA CRESCENT PORT	22.6800	44
07/08/04	JE		1	UAM FPA CRESCENT PORT	.0000	0
06/21/04	AIDB		2	AMERICAN MUTUAL FUND	24.8600	65
06/17/04	AIDB		1	VAN KAMPEN	16.4300	28
03/19/04	AIDB		2	AMERICAN MUTUAL FUND	24.5700	65
03/19/04	JE		1	AMERICAN MUTUAL FUND	.0000	0
03/18/04	AIDB		1	VAN KAMPEN	16.4200	23
03/18/04	JE		1	VAN KAMPEN	.0000	0
12/30/03	JE		1	UAM FPA CRESCENT PORT	.0000	0
12/22/03	AIDB		1	WASATCH MICRO CAP FD	7.0900	7
12/11/03	AIDB		1	VAN KAMPEN	15.1100	23
12/05/03	AIDB		2	AMERICAN MUTUAL FUND	23.4600	52
12/05/03	JE		1	AMERICAN MUTUAL FUND	.0000	0
12/05/03	AIDB		3	AMERICAN MUTUAL FUND	23.4600	76
12/04/03	JE		1	FIRST EAGLE	.0000	0
12/04/03	AIDB		1	FIRST EAGLE	17.7400	23
12/04/03	AIDB		4	FIRST EAGLE	17.7400	87
12/04/03	JE		1	FIRST EAGLE	.0000	0
10/13/03	AIDB		1	WASATCH MICRO CAP FD	6.6400	9
10/13/03	JE		1	WASATCH MICRO CAP FD	.0000	0

FOR INFORMATION PURPOSES ONLY - ACCOUNT STATEMENT IS OFFICIAL RECORD
THIS INFO MUST NOT BE USED IN PLACE OF THE MERRILL LYNCH TAX REPORTING
STATEMENT FOR TAX INFORMATION.

<MORE>

FWR NPC FINANCIAL CONSULTANTS COB 05/04/05

SECURITY ACTIVITY FC:0130

MICHAEL G & PATRICIA A OXLEY

DATE	TYPE	SOL	QTY	DESCRIPTION	PRICE	NET AMOUNT
10/13/03	AIDB		20	WASATCH MICRO CAP FD	6.6400	138
10/13/03	JE		1	WASATCH MICRO CAP FD	.0000	0
09/26/03	SLD		262C	THE OAKMARK FUND	33.8600	8,892C
09/26/03	BOT		178	FIRST EAGLE	16.7700	3,000
09/26/03	BOT		147	UAM FPA CRESCENT PORT	20.3200	3,000
09/26/03	BOT		490	VAN KAMPEN	14.2800	7,000
09/22/03	AIDB		3	AMERICAN MUTUAL FUND	22.7800	75
06/23/03	AIDB		3	AMERICAN MUTUAL FUND	22.2600	75
06/12/03	BOT		262	THE OAKMARK FUND	34.2700	8,999
06/11/03	BOT		581	AMERICAN MUTUAL FUND	22.3700	12,999
04/24/03	SLD		61C	JANUS SMALL CAP VAL	22.7900	1,409C
12/30/02	AIDB		2	BERGER SMALL CAP VAL	22.6800	56
12/06/02	AIDB		1	AMERICAN AMCAP FUND	13.4300	20
10/07/02	AIDB		19	WASATCH MICRO CAP FD	4.3400	83
09/13/02	SLD		85C	NATIONS INTERNATIONAL	13.3000	1,134C
09/13/02	SLD		296C	MFS VALUE FD CL A	16.7600	4,976C
09/13/02	SLD		931C	VAN KAMPEN	12.2500	11,409C
09/13/02	SLD		198C	AMERICAN FUNDAMENTAL	22.5200	4,461C
08/19/02	JE		1	AMERICAN FUNDAMENTAL	.0000	0
07/09/02	SLD		12C	VAN KAMPEN	13.3000	160C
06/24/02	AIDB		5	AMERICAN AMCAP FUND	14.2200	80
06/24/02	JE		1	AMERICAN AMCAP FUND	.0000	0
06/20/02	AIDB		2	VAN KAMPEN	14.1300	39
06/20/02	JE		1	VAN KAMPEN	.0000	0
05/23/02	JE		1	NATIONS INTERNATIONAL	.0000	0
05/16/02	JE		1	AMERICAN FUNDAMENTAL	.0000	0
04/10/02	JE		1C	VAN KAMPEN	.0000	0
04/09/02	SLD		10C	VAN KAMPEN	15.7000	171C
04/02/02	JE		1	MFS VALUE FD CL A	.0000	0
03/21/02	AIDB		4	VAN KAMPEN	15.9800	67
03/21/02	AIDB		4	VAN KAMPEN	15.9800	68
03/21/02	JE		1	VAN KAMPEN	.0000	0
03/21/02	AIDB		2	VAN KAMPEN	15.9800	39
02/25/02	JE		1	AMERICAN FUNDAMENTAL	.0000	0
02/01/02	AIDB		1	MFS VALUE FD CL A	19.1300	21
01/24/02	JE		1C	VAN KAMPEN	.0000	0
01/23/02	SLD		8C	VAN KAMPEN	15.3700	129C
12/31/01	AIDB		2	BERGER SMALL CAP VAL	27.7700	76
12/31/01	JE		1	BERGER SMALL CAP VAL	.0000	0
12/31/01	AIDB		1	BERGER SMALL CAP VAL	27.7700	46
12/31/01	JE		1	BERGER SMALL CAP VAL	.0000	0
12/28/01	JE		1	NATIONS INTERNATIONAL	.0000	0
12/17/01	AIDB		2	WASATCH MICRO CAP FD	6.3100	16
12/17/01	JE		1	AMERICAN GROWTH FD OF	.0000	0

FOR INFORMATION PURPOSES ONLY - ACCOUNT STATEMENT IS OFFICIAL RECORD
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<MORE>

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FWR NPC FINANCIAL CONSULTANTS COB 05/04/05

SECURITY ACTIVITY FC:0130

MICHAEL G & PATRICIA A OXLEY

DATE	TYPE	SOL	QTY	DESCRIPTION	PRICE	NET AMOUNT
12/13/01	AIDB		20	VAN KAMPEN	15.4400	312
12/13/01	JE		1	VAN KAMPEN	.0000	0
12/13/01	AIDB		20	VAN KAMPEN	15.4400	311
12/13/01	AIDB		2	VAN KAMPEN	15.4400	42
12/13/01	JE		1	VAN KAMPEN	.0000	0
12/07/01	AIDB		6	AMERICAN AMCAP FUND	16.4900	115
12/07/01	JE		1	AMERICAN AMCAP FUND	.0000	0
12/07/01	AIDB		4	AMERICAN AMCAP FUND	16.4900	70
11/19/01	AIDB		1	NATIONS INTERNATIONAL	15.5200	22
10/08/01	AIDB		1	WASATCH MICRO CAP FD	5.3400	5
10/08/01	AIDB		20	WASATCH MICRO CAP FD	5.3400	112
10/08/01	JE		1	WASATCH MICRO CAP FD	.0000	0
09/27/01	JE		1	MFS VALUE FD CL A	.0000	0
09/24/01	JE		1	AMERICAN AMCAP FUND	.0000	0
09/21/01	BOT		144	AMERICAN AMCAP FUND	13.8200	2,000
09/21/01	BOT		205	VAN KAMPEN	14.6000	2,999
09/21/01	JE		1	VAN KAMPEN	.0000	0
09/21/01	JE		1	AMERICAN GROWTH FD OF	.0000	0
09/20/01	BOT		268	AMERICAN AMCAP FUND	13.9800	3,750
09/20/01	BOT		506	VAN KAMPEN	14.8100	7,500
09/20/01	BOT		192	AMERICAN GROWTH FD OF	19.4600	3,750
09/17/01	BOT		138	AMERICAN AMCAP FUND	14.4800	2,000
09/17/01	BOT		193	VAN KAMPEN	15.5200	3,000
08/20/01	JE		1	AMERICAN FUNDAMENTAL	.0000	0
06/28/01	JE		1	MFS VALUE FD CL A	.0000	0
06/25/01	AIDB		8	AMERICAN AMCAP FUND	16.8200	149
06/25/01	JE		1	AMERICAN AMCAP FUND	.0000	0
05/23/01	BOT		82	NATIONS INTERNATIONAL	18.2200	1,500
05/23/01	BOT		292	MFS VALUE FD CL A	20.9100	6,122
05/23/01	BOT		54	BERGER SMALL CAP VAL	28.9800	1,579
05/23/01	BOT		264	AMERICAN AMCAP FUND	18.1600	4,800
05/22/01	SLD		88C	PUTNAM INTERNATIONAL	22.7200	2,007C
05/22/01	SLD		239C	DAVIS NEW YORK VENTURE	28.9700	6,943C
05/22/01	SLD		38C	STATE STR RESCH AURORA	33.1900	1,272C
05/22/01	SLD		149C	PBHG LG CAP GR FD	25.9100	3,864C
05/21/01	JE		1	AMERICAN FUNDAMENTAL	.0000	0
02/27/01	AIDB		2	AMERICAN FUNDAMENTAL	29.6100	71
02/27/01	JE		1	AMERICAN FUNDAMENTAL	.0000	0
02/20/01	BOT		220	WASATCH MICRO CAP FD	5.1800	1,140
02/20/01	SLD		175C	ALLIANCE PREMIER GROWTH	25.6300	4,485C
02/20/01	SLD		90C	ROBERTSON STEPH EMERGIN	37.8900	3,434C
02/20/01	BOT		38	STATE STR RESCH AURORA	29.7400	1,139
02/20/01	BOT		149	PBHG LG CAP GR FD	27.0100	4,028
02/20/01	BOT		285	AMERICAN GROWTH FD OF	26.2100	7,479

FOR INFORMATION PURPOSES ONLY - ACCOUNT STATEMENT IS OFFICIAL RECORD
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<MORE>

FWR NPC FINANCIAL CONSULTANTS COB 05/04/05

SECURITY ACTIVITY FC:0130

MICHAEL G & PATRICIA A OXLEY

DATE	TYPE	SOL	QTY	DESCRIPTION	PRICE	NET AMOUNT
02/16/01	SLD		319C	NATIONS MARSICO FOCUS	17.0900	5,451C
12/27/00	AIDB		3	PUTNAM INTERNATIONAL	23.7100	76
12/27/00	JE		1	PUTNAM INTERNATIONAL	.0000	0
12/27/00	AIDB		2	PUTNAM INTERNATIONAL	23.7100	69
12/27/00	JE		1	PUTNAM INTERNATIONAL	.0000	0
12/27/00	AIDB		1	PUTNAM INTERNATIONAL	23.7100	31
12/20/00	AIDB		2	ROBERTSON STEPH EMERGIN	43.4300	113
12/20/00	JE		1	ROBERTSON STEPH EMERGIN	.0000	0
12/19/00	AIDB		10	AMERICAN FUNDAMENTAL	30.7400	330
12/19/00	JE		1	AMERICAN FUNDAMENTAL	.0000	0
12/04/00	AIDB		1	DAVIS NEW YORK VENTURE	27.2000	50
12/04/00	JE		1	DAVIS NEW YORK VENTURE	.0000	0
12/04/00	AIDB		19	DAVIS NEW YORK VENTURE	27.2000	524
12/04/00	AIDB		1	DAVIS NEW YORK VENTURE	27.2000	30
08/24/00	BOT		217	DAVIS NEW YORK VENTURE	32.5600	7,080
08/24/00	BOT		179	AMERICAN FUNDAMENTAL	34.6800	6,220
08/23/00	SLD	N	118C	VAN KAMPEN AMERICAN	22.7400	2,692C
08/23/00	SLD	N	42C	ALLIANCE PREMIER GROWTH	40.0100	1,690C
08/23/00	SLD	N	454C	AMERN CENTY INC & GR FD	34.1000	15,492C
08/23/00	SLD	N	83C	NATIONS MARSICO FOCUS	21.8300	1,813C
07/12/00	JE		1C	AMERN CENTY INC & GR FD	.0000	0
07/11/00	SLD		3C	AMERN CENTY INC & GR FD	33.5000	126C
07/03/00	AIDB		1	AMERN CENTY INC & GR FD	32.7000	33
05/24/00	AIDB		1	NATIONS MARSICO FOCUS	19.4800	32
05/24/00	JE		1	NATIONS MARSICO FOCUS	.0000	0
05/03/00	SLD	N	21C	VAN KAMPEN AMERICAN	22.7500	477C
04/11/00	SLD		4C	AMERN CENTY INC & GR FD	34.4100	147C
04/05/00	JE		1	AMERN CENTY INC & GR FD	.0000	0
01/12/00	JE		1C	AMERN CENTY INC & GR FD	.0000	0
12/23/99	JE		1	PUTNAM INTERNATIONAL	.0000	0
12/23/99	AIDB		1	PUTNAM INTERNATIONAL	27.0900	46
12/23/99	JE		1	PUTNAM INTERNATIONAL	.0000	0
12/21/99	AIDB		2	ALLIANCE PREMIER GROWTH	34.5000	98
12/21/99	AIDB		11	ALLIANCE PREMIER GROWTH	34.5000	381
12/21/99	JE		1	ALLIANCE PREMIER GROWTH	.0000	0
12/21/99	AIDB		1	AMERN CENTY INC & GR FD	32.7300	37
12/16/99	AIDB		8	VAN KAMPEN AMERICAN	22.2700	199
12/16/99	JE		1	VAN KAMPEN AMERICAN	.0000	0
12/03/99	AIDB		4	ROBERTSON STEPH EMERGIN	48.1200	227
12/03/99	JE		1	ROBERTSON STEPH EMERGIN	.0000	0
11/17/99	JE		1	NATIONS MARSICO FOCUS	.0000	0
10/13/99	BOT	N	77	PUTNAM INTERNATIONAL	23.4500	1,820
10/12/99	SLD	N	124C	PHOENIX INTERNATIONAL	14.6800	1,820C
09/28/99	AIDB		1	AMERN CENTY INC & GR FD	29.7600	36

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<MORE>

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FWR NPC FINANCIAL CONSULTANTS COB 05/04/05

SECURITY ACTIVITY FC:0130

MICHAEL G & PATRICIA A OXLEY

DATE	TYPE	SOL	QTY	DESCRIPTION	PRICE	NET AMOUNT
09/28/99	JE		1	AMERN CENTY INC & GR FD	.0000	0
06/29/99	AIDB		1	AMERN CENTY INC & GR FD	30.4400	42
06/23/99	JE		1	PHOENIX INTERNATIONAL	.0000	0
05/12/99	AIDB		4	NATIONS MARSICO FOCUS	17.0200	77
04/15/99	JE		1	ALLIANCE PREMIER GROWTH	.0000	0
04/15/99	JE		1	ROBERTSON STEPH EMERGIN	.0000	0
04/15/99	JE		1	PHOENIX INTERNATIONAL	.0000	0
04/14/99	BOT	N	255	VAN KAMPEN AMERICAN	19.9900	5,100
04/14/99	BOT	N	130	VAN KAMPEN AMERICAN	19.9900	2,602
04/14/99	CXLB		255C	VAN KAMPEN AMERICAN	19.9900	5,100C
04/14/99	BOT	N	397	ALLIANCE PREMIER GROWTH	34.2200	13,599
04/14/99	BOT	N	202	ALLIANCE PREMIER GROWTH	34.2200	6,943
04/14/99	CXLB		397C	ALLIANCE PREMIER GROWTH	34.2200	13,599C
04/14/99	BOT	N	158	ROBERTSON STEPH EMERGIN	32.0800	5,100
04/14/99	BOT	N	81	ROBERTSON STEPH EMERGIN	32.0800	2,602
04/14/99	CXLB		158C	ROBERTSON STEPH EMERGIN	32.0800	5,100C
04/14/99	BOT	N	595	AMERN CENTY INC & GR FD	30.3500	18,060
04/14/99	BOT	N	457	AMERN CENTY INC & GR FD	30.3500	13,880
04/14/99	CXLB		595C	AMERN CENTY INC & GR FD	30.3500	18,060C
04/14/99	BOT	N	872	NATIONS MARSICO GRWTH	15.5900	13,600
04/14/99	CXLB		872C	NATIONS MARSICO GRWTH	15.5900	13,600C
04/14/99	BOT	N	395	NATIONS MARSICO FOCUS	17.5600	6,939
04/14/99	BOT	N	240	PHOENIX INTERNATIONAL	14.1200	3,400
04/14/99	BOT	N	122	PHOENIX INTERNATIONAL	14.1200	1,735
04/14/99	CXLB		240C	PHOENIX INTERNATIONAL	14.1200	3,400C
04/14/99	SLD	N	338C	MASSACHUSETTS INVESTORS	20.7100	7,004C
04/01/99	AIDB		1	MASSACHUSETTS INVESTORS	20.2900	33
04/01/99	JE		1	MASSACHUSETTS INVESTORS	.0000	0
03/16/99	JE		336	MASSACHUSETTS INVESTORS	.0000	0

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<END>

IRRA® ACCOUNT

MFA Selects™

Total Account Value As Of 12/31/2004 $71,666.87

MLPF& S CUST FPO
MICHAEL G OXLEY IRRA
FBO MICHAEL G OXLEY
7629 HUNTMASTER LN
MC LEAN VA 22102-2531

YOUR FINANCIAL ADVISOR:
THE GROUP AG

(800) 266-4047

FOR CUSTOMER SERVICE QUESTIONS:
1-800-MERRILL (1-800-637-7455)

Your Merrill Lynch Office:
1850 K ST NW 7TH FLOOR
WASHINGTON DC 20006

Review Your Statement Online at:
www.mlol.ml.com

Portfolio Summary

Asset	11/30/04 Value	%	12/31/04 Value	%
Cash/Money Accounts	6,141	9	6,150	9
CD's/Equivalents				
Government & Agency				
Corporate Bonds				
Municipal Bonds				
Equities				
Mutual Funds	63,519	91	65,516	91
Options				
Other				
Long Market Value	69,660		71,666	
Short Market Value				
Estimated Accrued Interest				
Debit Balance				
Net Portfolio Value	69,661		71,666	

Income Summary

	This Statement	Year-to-Date
Fund Dividends	8.30	8.86
Interest Income		
Dividend Income	381.77	1,857.79
Total	390.07	1,866.65

Merrill Lynch MFA Selects Program

Your Strategy Is:
GLOBAL EQUITY
Risk Category 6 - Strategy Code: GLBL

Please contact your Financial Advisor whenever there are changes in your financial condition or objectives

NEWS

This tax season, TurboTax(R) users can automatically import their 1099 tax information from Merrill Lynch via Merrill Lynch OnLine(R). Enrollment into the free MLOL service will be necessary. See related article inside this month's edition of Total Merrill & You.

Important Notice

You may receive a free copy of our written disclosure statement containing important information about the Merrill Lynch MFA program by sending a written request to:
Merrill Lynch
Client Account Services - MFA
4803 Deerlake Drive West
Floor 4
Jacksonville, FL 32246

Cash Flow Summary

Activity Summary	This Statement	Year-to-Date	Credits	This Statement	Year-to-Date	Debits	This Statement	Year-to-Date
Opening Balance Cash & Money Accounts	6,142.01		Sales		16,531.02	Purchases		10,015.00
			Income	390.07	1,866.65	Withdrawals		
			Funds Received			Electronic Tfrs		
			Electronic Tfrs			Other	381.48	2,667.42
			Other					
Net Credits & Debits	8.59	5,715.25						
Closing Balance Cash & Money Accounts	6,150.60		Total Credits	390.07	18,397.67	Total Debits	381.48	12,682.42

Realized Capital Gain and Loss Summary*

	This Statement	Year-to-Date
Short-Term	.00	894.04
Long-Term	.00	1,022.80

*Excludes transactions for which we have insufficient data.

The capital gains and losses shown above may not reflect all transactions which must be reported on your 2004 tax return. These reportable transactions will appear on your January statement.

Current Portfolio

Quantity	Security Description	Date Acquired	Adjust/Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain or (Loss)	Estimated Accrued Interest	Estimated Annual Income	Current Yield %
Cash and Money Accounts										
6,150	RETIREMENT RESERVES CL t		1.00	6,150	1.00	6,150			97	1.59

Current Portfolio

Quantity	Security Description	Total Client Investment	Cumulative Investment Return	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain or (Loss)	Estimated Annual Income	Current Yield %
Mutual Funds and Defined Asset Funds									
Equity Funds									
840	AMERICAN AMCAP FUND	$13,885	$1,511	14,598	18.33	15,397	N/A	36	.24

FBO MICHAEL G OXLEY

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IRRA® ACCOUNT

MFA Selects™

Current Portfolio

Quantity	Security Description	Total Client Investment	Cumulative Investment Return	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain or (Loss)	Estimated Annual Income	Current Yield %
Mutual Funds and Defined Asset Funds									
	CL A (.0990 FRACTIONAL SHARE) INITIAL PURCHASE: 05/23/01			N/A	18.33	1	N/A		.24
1	AMERICAN MUTUAL FUND INC CL A (.8390 FRACTIONAL SHARE) INITIAL PURCHASE: REINV		$26	24	26.48	26	N/A		1.66
				N/A	26.48	22	N/A		1.66
641	AMERICAN GROWTH FD OF AMERICA CL A (.1390 FRACTIONAL SHARE) INITIAL PURCHASE: 02/20/01	$16,695	$854	16,798	27.38	17,550	N/A	57	.32
				N/A	27.38	3	N/A		.32
85	THE OAKMARK FUND (.3190 FRACTIONAL SHARE) INITIAL PURCHASE: 06/12/03	$2,912	$637	2,912	41.77	3,550	N/A	14	.40
				N/A	41.77	13	N/A		.40
959	VAN KAMPEN COMSTOCK FUND CL A (.2780 FRACTIONAL SHARE) INITIAL PURCHASE: 09/29/03	$13,550	$4,200	13,815	18.51	17,751	N/A	210	1.18
				N/A	18.51	5	N/A		1.18
Total Equity Funds			$7,228	48,150		54,322		320	.59
Fixed Income Funds									
4,442	FRANKLIN CUST FD INC SER CLASS A (.1390 FRACTIONAL SHARE) INITIAL PURCHASE: 03/22/04	$10,012	$1,181	10,574	2.52	11,193	N/A	692	6.19
				N/A	2.52		N/A		6.19
Total Fixed Income Funds			$1,181	10,574		11,194		692	6.19
Total Funds			$8,409	58,725		65,516		1,013	1.55

TOTAL CLIENT INVESTMENT: Cost of shares directly purchased and still held. Does not include shares purchased through reinvestment.
CUMULATIVE INVESTMENT RETURN: Estimated Market Value minus Total Client Investment. Cumulative Investment Return is the capital appreciation (depreciation) of all shares purchased, including shares purchased through reinvestment.

UNREALIZED GAIN or (LOSS): Estimated Market Value minus Total Cost Basis. Provided for Tax Planning purposes only and is not applicable to retirement accounts "denoted by N/A"
INITIAL PURCHASE: Date of your initial investment in this fund.

IRRA® ACCOUNT

MFA Selects™

Current Portfolio

Quantity	Security Description	Total Client Investment	Cumulative Investment Return	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain or (Loss)	Estimated Annual Income	Current Yield %
Total of Long Portfolio				64,876*		71,666	*	1,111	1.55

* - Excludes N/A Items

Statement Activity

Date	Transaction	Quantity	Description	Price	Debit	Credit
Security Transactions						
12/03	Divd Reinv	5	AMERICAN AMCAP FUND CL A REINV AMOUNT $104.04 REINV PRICE $18.00000 QUANTITY BOT 5.7800 AS OF 12/02			
12/03	Journal Entry	1	AMERICAN AMCAP FUND CL A FULL SHARE ACCUM			
12/03	Divd Reinv	2	AMERICAN AMCAP FUND CL A REINV AMOUNT $36.62 REINV PRICE $18.00000 QUANTITY BOT 2.0340 AS OF 12/02			
12/06	Divd Reinv	23	FRANKLIN CUST FD INC SER CLASS A REINV AMOUNT $56.71 REINV PRICE $2.46000 QUANTITY BOT 23.0530 AS OF 12/01			
12/06	Divd Reinv	23	FRANKLIN CUST FD INC SER CLASS A REINV AMOUNT $57.15 REINV PRICE $2.46000 QUANTITY BOT 23.2320 AS OF 12/01			
12/06	Journal Entry	1	FRANKLIN CUST FD INC SER CLASS A FULL SHARE ACCUM			
12/09	Divd Reinv	3	VAN KAMPEN COMSTOCK FUND CL A REINV AMOUNT $54.98 REINV PRICE $17.91000 QUANTITY BOT 3.0700			

FBO MICHAEL G OXLEY

Statement Activity

Date		Transaction	Quantity	Description	Price	Debit	Credit
Security Transactions							
12/13		Journal Entry	1	AS OF 12/08 THE OAKMARK FUND FULL SHARE ACCUM			
12/13		Divd Reinv	2	AMERICAN GROWTH FD OF AMERICA CL A REINV AMOUNT $57.51 REINV PRICE $26.60000 QUANTITY BOT 2.1620			
12/13		Journal Entry	1	AS OF 12/10 AMERICAN GROWTH FD OF AMERICA CL A FULL SHARE ACCUM			
Net Total							
Dividends and Interest							
12/03	*	Dividend		AMERICAN AMCAP FUND CL A PAY DATE 12/02/2004			36.62
12/03	*	Lg Tm Cap Gain		AMERICAN AMCAP FUND CL A PAY DATE 12/02/2004			104.04
12/03	*	Dividend		AMERICAN MUTUAL FUND INC CL A PAY DATE 12/02/2004			.20
12/03	*	Lg Tm Cap Gain		AMERICAN MUTUAL FUND INC CL A PAY DATE 12/02/2004			09
12/06	*	Dividend		FRANKLIN CUST FD INC SER CLASS A PAY DATE 12/03/2004			57 15
12/06	*	Lg Tm Cap Gain		FRANKLIN CUST FD INC SER CLASS A PAY DATE 12/03/2004			56 71
12/09	*	Dividend		VAN KAMPEN COMSTOCK FUND CL A PAY DATE 12/08/2004			54 98
12/13	*	Dividend		THE OAKMARK FUND PAY DATE 12/10/2004			14.47
12/13	*	Dividend		AMERICAN GROWTH FD OF AMERICA CL A PAY DATE 12/10/2004			57 51
		Income Total		RETIREMENT RESERVES CL I			8.30
Net Total							390.07

FBO MICHAEL G OXLEY

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IRRA® ACCOUNT

MFA Selects™

Statement Activity

Date	Transaction	Quantity	Description	Price	Debit	Credit
Funds Received, Withdrawals, and Charges						
12/06	Fund Delivery	-1	ML RETIREMENT RSRVS CL I			
12/06	Subscription	1	ML RETIREMENT RSRVS CL I		1.00	
Net Total					1.00	
Other Activity						
12/03	Reinvestment		AMERICAN AMCAP FUND CL A		36 62	
12/03	Reinvestment		AMERICAN AMCAP FUND CL A		104.04	
12/06	Reinvestment		FRANKLIN CUST FD INC SER CLASS A		57.15	
12/06	Reinvestment		FRANKLIN CUST FD INC SER CLASS A		56.71	
12/09	Reinvestment		VAN KAMPEN COMSTOCK FUND CL A		54.98	
12/13	Reinvestment		THE OAKMARK FUND		14.47	
12/13	Divd Reinv		THE OAKMARK FUND REINV AMOUNT $14.47 REINV PRICE $40.73000 QUANTITY BOT .3550 AS OF 12/09			
12/13	Reinvestment		AMERICAN GROWTH FD OF AMERICA CL A		57.51	
Net Total					381.48	

Customer Service For information about your account, please call 1-800-MERRILL (1-800-637-7455). When Requested, enter your Access Code 91-749-72730.

Retirement Money Accounts Summary

Description	Money Account Balances Opening	Closing	Dividends This Statement	Year to Date
Retirement Reserves CL I	$6,141.11	$6,150.41	$8.30	$8.86

Retirement Account Plan Summary

Account Type: IRA ROLLOVER
Year-End Plan Value as of December 31, 2004 $71,666.87
Contributions after December 30, 2003 for 2003 $.00

FBO MICHAEL G OXLEY

019018 0130

Retirement Account Plan Summary

For purposes of calculating required minimum distributions or completing IRS Form 8606, you are required to use the value of your account as of 12/31/04. This value should include your Priced Portfolio total and the value of certain limited partnerships and other direct investments as well as any securities that we are unable to price - marked "Unavailable". The "Year-End Plan Value" shown consists of your Priced Portfolio total plus the estimated value of limited partnerships or other direct investments reported on your year-end statement.

For IRA, IRRA, SEP/IRA, SIMPLE/IRA, Roth IRA and ESA accounts, the Year End Plan Value represents the valuation we must furnish to you and the Internal Revenue Service as part of the IRS Form 5498 reporting requirements.

13:51 04/29/05 PG 1

FWR NPC FINANCIAL CONSULTANTS COB 04/28/05

SECURITY ACTIVITY FC:0130

MICHAEL G OXLEY IRRA

DATE	TYPE	SOL	QTY	DESCRIPTION	PRICE	NET AMOUNT
04/06/05	AIDB		23	FRANKLIN CUST FD INC SE	2.4300	56
03/17/05	AIDB		3	VAN KAMPEN	18.2700	69
03/17/05	JE		1	VAN KAMPEN	.0000	0
03/04/05	AIDB		22	FRANKLIN CUST FD INC SE	2.5000	56
02/04/05	AIDB		22	FRANKLIN CUST FD INC SE	2.4600	55
02/04/05	JE		1	FRANKLIN CUST FD INC SE	.0000	0
01/07/05	AIDB		23	FRANKLIN CUST FD INC SE	2.4800	57
12/13/04	JE		1	THE OAKMARK FUND	.0000	0
12/13/04	AIDB		2	AMERICAN GROWTH FD OF	26.6000	57
12/13/04	JE		1	AMERICAN GROWTH FD OF	.0000	0
12/09/04	AIDB		3	VAN KAMPEN	17.9100	54
12/06/04	AIDB		23	FRANKLIN CUST FD INC SE	2.4600	56
12/06/04	AIDB		23	FRANKLIN CUST FD INC SE	2.4600	57
12/06/04	JE		1	FRANKLIN CUST FD INC SE	.0000	0
12/03/04	AIDB		5	AMERICAN AMCAP FUND	18.0000	104
12/03/04	JE		1	AMERICAN AMCAP FUND	.0000	0
12/03/04	AIDB		2	AMERICAN AMCAP FUND	18.0000	36
11/24/04	SLD		934C	WASATCH MICRO CAP FD	6.5700	6,141C
11/04/04	AIDB		23	FRANKLIN CUST FD INC SE	2.4200	56
10/20/04	AIDB		5	WASATCH MICRO CAP FD	6.0000	35
10/20/04	JE		1	WASATCH MICRO CAP FD	.0000	0
10/20/04	AIDB		132	WASATCH MICRO CAP FD	6.0000	794
10/13/04	JE		1C	AMERICAN GROWTH FD OF	.0000	0
10/12/04	SLD		8C	AMERICAN GROWTH FD OF	25.1200	203C
10/06/04	AIDB		23	FRANKLIN CUST FD INC SE	2.4200	56
09/16/04	AIDB		3	VAN KAMPEN	16.7700	54
09/16/04	JE		1	VAN KAMPEN	.0000	0
09/07/04	AIDB		23	FRANKLIN CUST FD INC SE	2.3900	56
09/07/04	JE		1	FRANKLIN CUST FD INC SE	.0000	0
08/05/04	AIDB		23	FRANKLIN CUST FD INC SE	2.3500	55
08/05/04	JE		1	FRANKLIN CUST FD INC SE	.0000	0
07/13/04	SLD		6C	AMERICAN GROWTH FD OF	25.0300	170C
07/06/04	AIDB		23	FRANKLIN CUST FD INC SE	2.3500	55
06/17/04	AIDB		3	VAN KAMPEN	16.4300	54
06/03/04	AIDB		23	FRANKLIN CUST FD INC SE	2.3200	55
06/03/04	JE		1	FRANKLIN CUST FD INC SE	.0000	0
05/04/04	AIDB		23	FRANKLIN CUST FD INC SE	2.3500	55
05/04/04	JE		1	FRANKLIN CUST FD INC SE	.0000	0
04/05/04	AIDB		22	FRANKLIN CUST FD INC SE	2.4000	54
04/05/04	JE		1	FRANKLIN CUST FD INC SE	.0000	0
03/22/04	JE		1C	AMERICAN MUTUAL FUND	.0000	0
03/22/04	BOT		4,207	FRANKLIN CUST FD INC SE	2.3800	10,015
03/19/04	SLD		410C	AMERICAN MUTUAL FUND	24.3800	10,015C
03/19/04	AIDB		1	AMERICAN MUTUAL FUND	24.5700	45

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<MORE>

FWR NPC FINANCIAL CONSULTANTS COB 04/28/05
SECURITY ACTIVITY FC:0130
MICHAEL G OXLEY IRRA

DATE	TYPE	SOL	QTY	DESCRIPTION	PRICE	NET AMOUNT
03/19/04	JE		1	AMERICAN MUTUAL FUND	.0000	0
03/18/04	AIDB		2	VAN KAMPEN	16.4200	44
03/18/04	JE		1	VAN KAMPEN	.0000	0
12/22/03	AIDB		2	WASATCH MICRO CAP FD	7.0900	20
12/22/03	JE		1	WASATCH MICRO CAP FD	.0000	0
12/11/03	AIDB		2	VAN KAMPEN	15.1100	44
12/11/03	JE		1	VAN KAMPEN	.0000	0
12/05/03	AIDB		1	AMERICAN MUTUAL FUND	23.4600	36
12/05/03	JE		1	AMERICAN MUTUAL FUND	.0000	0
12/05/03	AIDB		2	AMERICAN MUTUAL FUND	23.4600	52
10/16/03	SLD		2C	MFS VALUE FD CL A	18.7200	43C
10/13/03	AIDB		4	WASATCH MICRO CAP FD	6.6400	27
10/13/03	AIDB		57	WASATCH MICRO CAP FD	6.6400	383
10/13/03	JE		1	WASATCH MICRO CAP FD	.0000	0
09/30/03	AIDB		2	MFS VALUE FD CL A	17.8900	41
09/29/03	BOT		94B	VAN KAMPEN	14.3700	13,564
09/26/03	SLD		758C	MFS VALUE FD CL A	17.8900	13,564C
09/22/03	AIDB		2	AMERICAN MUTUAL FUND	22.7800	52
06/27/03	AIDB		2	MFS VALUE FD CL A	17.7900	43
06/27/03	JE		1	MFS VALUE FD CL A	.0000	0
06/23/03	AIDB		2	AMERICAN MUTUAL FUND	22.2600	52
06/12/03	BOT		84	THE OAKMARK FUND	34.2700	2,900
06/12/03	BOT		192	AMERICAN AMCAP FUND	15.1000	2,900
06/11/03	BOT		402	AMERICAN MUTUAL FUND	22.3700	9,000
04/24/03	SLD		78C	JANUS SMALL CAP VAL	22.7900	1,784C
03/27/03	AIDB		2	MFS VALUE FD CL A	15.7700	43
03/27/03	JE		1	MFS VALUE FD CL A	.0000	0
12/30/02	AIDB		3	BERGER SMALL CAP VAL	22.6800	71
12/06/02	AIDB		1	AMERICAN AMCAP FUND	13.4300	15
12/06/02	JE		1	AMERICAN AMCAP FUND	.0000	0
12/04/02	AIDB		2	MFS VALUE FD CL A	16.9200	37
10/07/02	AIDB		53	WASATCH MICRO CAP FD	4.3400	231
09/27/02	AIDB		2	MFS VALUE FD CL A	16.2400	48
09/27/02	JE		1	MFS VALUE FD CL A	.0000	0
09/13/02	SLD		170C	NATIONS INTERNATIONAL	13.3000	2,269C
09/13/02	SLD		526C	AMERICAN FUNDAMENTAL	22.5200	11,850C
08/19/02	AIDB		2	AMERICAN FUNDAMENTAL	23.3100	52
08/19/02	JE		1	AMERICAN FUNDAMENTAL	.0000	0
07/10/02	JE		1C	MFS VALUE FD CL A	.0000	0
07/09/02	SLD		10C	MFS VALUE FD CL A	17.9200	187C
06/27/02	AIDB		1	MFS VALUE FD CL A	18.2500	31
06/27/02	JE		1	MFS VALUE FD CL A	.0000	0
06/24/02	AIDB		4	AMERICAN AMCAP FUND	14.2200	60
05/23/02	JE		1	NATIONS INTERNATIONAL	.0000	0

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FWR NPC FINANCIAL CONSULTANTS COB 04/28/05
SECURITY ACTIVITY FC:0130
MICHAEL G OXLEY IRRA

DATE	TYPE SOL	QTY	DESCRIPTION	PRICE	NET AMOUNT
05/16/02	AIDB	1	AMERICAN FUNDAMENTAL	27.6200	52
04/10/02	JE	1C	MFS VALUE FD CL A	.0000	0
04/09/02	SLD	9C	MFS VALUE FD CL A	19.7800	194C
04/02/02	AIDB	1	MFS VALUE FD CL A	19.7900	20
02/25/02	AIDB	1	AMERICAN FUNDAMENTAL	26.3800	52
02/25/02	JE	1	AMERICAN FUNDAMENTAL	.0000	0
02/01/02	AIDB	2	MFS VALUE FD CL A	19.1300	56
02/01/02	JE	1	MFS VALUE FD CL A	.0000	0
01/23/02	SLD	8C	AMERICAN GROWTH FD OF	23.0800	199C
12/31/01	AIDB	3	BERGER SMALL CAP VAL	27.7700	96
12/31/01	AIDB	2	BERGER SMALL CAP VAL	27.7700	58
12/31/01	JE	1	BERGER SMALL CAP VAL	.0000	0
12/28/01	AIDB	1	NATIONS INTERNATIONAL	15.6000	29
12/28/01	JE	1	NATIONS INTERNATIONAL	.0000	0
12/17/01	AIDB	7	WASATCH MICRO CAP FD	6.3100	45
12/17/01	AIDB	1	AMERICAN FUNDAMENTAL	26.8700	51
12/17/01	JE	1	AMERICAN FUNDAMENTAL	.0000	0
12/17/01	AIDB	1	AMERICAN GROWTH FD OF	23.4100	29
12/07/01	AIDB	5	AMERICAN AMCAP FUND	16.4900	87
12/07/01	AIDB	3	AMERICAN AMCAP FUND	16.4900	53
12/05/01	AIDB	1	MFS VALUE FD CL A	19.0800	36
12/05/01	JE	1	MFS VALUE FD CL A	.0000	0
11/19/01	JE	1	NATIONS INTERNATIONAL	.0000	0
11/19/01	AIDB	2	NATIONS INTERNATIONAL	15.5200	44
10/10/01	JE	1C	AMERICAN GROWTH FD OF	.0000	0
10/09/01	SLD	9C	AMERICAN GROWTH FD OF	20.8400	204C
10/08/01	AIDB	2	WASATCH MICRO CAP FD	5.3400	15
10/08/01	JE	1	WASATCH MICRO CAP FD	.0000	0
10/08/01	AIDB	58	WASATCH MICRO CAP FD	5.3400	310
09/27/01	AIDB	1	MFS VALUE FD CL A	17.2600	29
09/27/01	JE	1	MFS VALUE FD CL A	.0000	0
08/20/01	AIDB	1	AMERICAN FUNDAMENTAL	27.9800	51
08/20/01	JE	1	AMERICAN FUNDAMENTAL	.0000	0
08/14/01	SLD	2C	AMERICAN GROWTH FD OF	24.2200	60C
06/28/01	AIDB	1	MFS VALUE FD CL A	20.1100	29
06/25/01	AIDB	20	AMERICAN AMCAP FUND	16.8200	342
06/25/01	JE	1	AMERICAN AMCAP FUND	.0000	0
05/23/01	BOT	164	NATIONS INTERNATIONAL	18.2200	3,000
05/23/01	BOT	69	BERGER SMALL CAP VAL	28.9800	2,000
05/23/01	BOT	605	AMERICAN AMCAP FUND	18.1600	11,000
05/22/01	SLD	147C	PUTNAM INTERNATIONAL	22.7200	3,351C
05/22/01	SLD	106C	STATE STR RESCH AURORA	33.1900	3,526C
05/22/01	SLD	356C	PBHG LG CAP GR FD	25.9100	9,247C
05/21/01	AIDB	1	AMERICAN FUNDAMENTAL	31.1100	51

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<MORE>

FWR NPC FINANCIAL CONSULTANTS COB 04/28/05

SECURITY ACTIVITY FC:0130

MICHAEL G OXLEY IRRA

DATE	TYPE	SOL	QTY	DESCRIPTION	PRICE	NET AMOUNT
05/21/01	JE		1	AMERICAN FUNDAMENTAL	.0000	0
04/10/01	SLD		10C	AMERICAN GROWTH FD OF	23.4000	238C
03/29/01	AIDB		1	MFS VALUE FD CL A	19.2200	32
03/29/01	JE		1	MFS VALUE FD CL A	.0000	0
02/27/01	AIDB		6	AMERICAN FUNDAMENTAL	29.6100	189
02/27/01	AIDB		1	AMERICAN FUNDAMENTAL	29.6100	50
02/27/01	JE		1	AMERICAN FUNDAMENTAL	.0000	0
02/21/01	SLD		24C	PUTNAM INTERNATIONAL	22.7900	546C
02/20/01	BOT		610	WASATCH MICRO CAP FD	5.1800	3,160
02/20/01	SLD		463C	ALLIANCE PREMIER GROWTH	25.6300	11,885C
02/20/01	SLD		174C	ROBERTSON STEPH EMERGIN	37.8900	6,611C
02/20/01	SLD		576C	AMERN CENTY INC & GR FD	29.4300	16,961C
02/20/01	BOT		106	STATE STR RESCH AURORA	29.7400	3,159
02/20/01	BOT		356	PBHG LG CAP GR FD	27.0100	9,640
02/20/01	BOT		755	MFS VALUE FD CL A	20.5000	15,490
02/20/01	SLD		881C	NATIONS MARSICO GRWTH	16.1800	14,268C
02/20/01	BOT		506	AMERICAN FUNDAMENTAL	30.5800	15,489
02/20/01	BOT		682	AMERICAN GROWTH FD OF	26.2100	17,899
02/20/01	SLD		466C	MASSACHUSETTS INVESTORS	19.1400	8,922C
02/16/01	SLD		295C	VAN KAMPEN AMERICAN	19.0100	5,619C
01/17/01	SLD		7C	AMERN CENTY INC & GR FD	30.1700	230C
12/27/00	AIDB		6	PUTNAM INTERNATIONAL	23.7100	148
12/27/00	JE		1	PUTNAM INTERNATIONAL	.0000	0
12/27/00	AIDB		5	PUTNAM INTERNATIONAL	23.7100	135
12/27/00	AIDB		2	PUTNAM INTERNATIONAL	23.7100	61
12/27/00	JE		1	PUTNAM INTERNATIONAL	.0000	0
12/20/00	AIDB		39	ALLIANCE PREMIER GROWTH	28.4100	1,124
12/20/00	AIDB		5	ROBERTSON STEPH EMERGIN	43.4300	219
12/20/00	JE		1	ROBERTSON STEPH EMERGIN	.0000	0
12/18/00	AIDB		1	AMERN CENTY INC & GR FD	29.7100	44
12/14/00	AIDB		22	VAN KAMPEN AMERICAN	18.9400	432
12/14/00	JE		1	VAN KAMPEN AMERICAN	.0000	0
12/05/00	AIDB		15	MASSACHUSETTS INVESTORS	19.8100	305
12/05/00	JE		1	MASSACHUSETTS INVESTORS	.0000	0
11/22/00	JE		1	NATIONS MARSICO GRWTH	.0000	0
10/10/00	SLD		8C	AMERN CENTY INC & GR FD	31.4500	255C
10/02/00	AIDB		1	AMERN CENTY INC & GR FD	32.5400	40
07/12/00	JE		1C	AMERN CENTY INC & GR FD	.0000	0
07/11/00	SLD		7C	AMERN CENTY INC & GR FD	33.5000	262C
07/03/00	AIDB		1	AMERN CENTY INC & GR FD	32.7000	43
07/03/00	JE		1	AMERN CENTY INC & GR FD	.0000	0
05/24/00	AIDB		4	NATIONS MARSICO GRWTH	18.4600	73
05/24/00	AIDB		2	NATIONS MARSICO GRWTH	18.4600	40
04/12/00	JE		1C	AMERN CENTY INC & GR FD	.0000	0

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<MORE>

13:51 04/29/05 PG 5

FWR NPC FINANCIAL CONSULTANTS COB 04/28/05

SECURITY ACTIVITY FC:0130

MICHAEL G OXLEY IRRA

DATE	TYPE	SOL	QTY	DESCRIPTION	PRICE	NET AMOUNT
04/11/00	SLD		5C	AMERN CENTY INC & GR FD	34.4100	181C
04/06/00	AIDB		2	MASSACHUSETTS INVESTORS	21.2000	62
04/06/00	JE		1	MASSACHUSETTS INVESTORS	.0000	0
04/05/00	AIDB		1	AMERN CENTY INC & GR FD	34.2000	43
04/05/00	JE		1	AMERN CENTY INC & GR FD	.0000	0
12/23/99	AIDB		1	PUTNAM INTERNATIONAL	27.0900	29
12/23/99	AIDB		3	PUTNAM INTERNATIONAL	27.0900	89
12/23/99	JE		1	PUTNAM INTERNATIONAL	.0000	0
12/23/99	AIDB		1	PUTNAM INTERNATIONAL	27.0900	51
12/21/99	AIDB		5	ALLIANCE PREMIER GROWTH	34.5000	191
12/21/99	AIDB		21	ALLIANCE PREMIER GROWTH	34.5000	745
12/21/99	JE		1	ALLIANCE PREMIER GROWTH	.0000	0
12/21/99	AIDB		1	AMERN CENTY INC & GR FD	32.7300	49
12/16/99	AIDB		17	VAN KAMPEN AMERICAN	22.2700	390
12/16/99	AIDB		10	MASSACHUSETTS INVESTORS	20.1600	208
12/16/99	JE		1	MASSACHUSETTS INVESTORS	.0000	0
12/03/99	AIDB		9	ROBERTSON STEPH EMERGIN	48.1200	437
12/03/99	AIDB		1	ROBERTSON STEPH EMERGIN	48.1200	86
11/17/99	JE		1	NATIONS MARSICO GRWTH	.0000	0
10/14/99	JE		1	PHOENIX INTERNATIONAL	.0000	0
10/13/99	BOT	N	150	PUTNAM INTERNATIONAL	23.4500	3,533
10/13/99	JE		1C	PHOENIX INTERNATIONAL	.0000	0
10/12/99	SLD	N	240C	PHOENIX INTERNATIONAL	14.6800	3,533C
10/12/99	SLD	N	238C	PHOENIX INTERNATIONAL	14.6800	3,503C
10/12/99	CXLS		238	PHOENIX INTERNATIONAL	14.6800	3,503
10/05/99	JE		1	MASSACHUSETTS INVESTORS	.0000	0
09/28/99	AIDB		1	AMERN CENTY INC & GR FD	29.7600	48
09/28/99	JE		1	AMERN CENTY INC & GR FD	.0000	0
06/29/99	AIDB		1	AMERN CENTY INC & GR FD	30.4400	54
05/20/99	AIDB		1	NATIONS MARSICO GRWTH	15.4600	28
04/15/99	BOT	N	255	VAN KAMPEN AMERICAN	19.9900	5,100
04/15/99	BOT	N	397	ALLIANCE PREMIER GROWTH	34.2200	13,599
04/15/99	BOT	N	158	ROBERTSON STEPH EMERGIN	32.0800	5,100
04/15/99	BOT	N	595	AMERN CENTY INC & GR FD	30.3500	18,060
04/15/99	BOT	N	872	NATIONS MARSICO GRWTH	15.5900	13,600
04/15/99	BOT	N	240	PHOENIX INTERNATIONAL	14.1200	3,400
04/01/99	AIDB		2	MASSACHUSETTS INVESTORS	20.2900	43
04/01/99	JE		1	MASSACHUSETTS INVESTORS	.0000	0
03/04/99	SLD	N	1,000C	ML GLOBAL TECH FD CL B	12.0900	11,684C
03/04/99	SLD	N	762C	MERC PAN EUROPE GR CL A	10.1700	7,744C
12/14/98	AIDB		18	MASSACHUSETTS INVESTORS	19.1700	350
12/14/98	AIDB		1	MASSACHUSETTS INVESTORS	19.1700	15
10/27/98	BOT		762	MERC PAN EUROPE GR CL A	10.4990	8,000
10/12/98	AIDB		1	MASSACHUSETTS INVESTORS	18.3000	16

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<MORE>

FWR NPC FINANCIAL CONSULTANTS COB 04/28/05

SECURITY ACTIVITY FC:0130

MICHAEL G OXLEY IRRA

DATE	TYPE	SOL	QTY	DESCRIPTION	PRICE	NET AMOUNT
07/06/98	BOT		412	MASSACHUSETTS INVESTORS	21.8100	8,999
06/23/98	BOT		1,000	ML GLOBAL TECH FD CL B	10.0000	10,000

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<END>

SEP ACCOUNT

MFA Selects

Total Account Value As Of 12/31/2004 **$90,858.36**

MLPF& S CUST FPO
PATRICIA A OXLEY SEP
FBO PATRICIA OXLEY
7629 HUNTMASTER LN
MC LEAN VA 22102-2531

YOUR FINANCIAL ADVISOR:
THE GROUP AG

(800) 266-4047

FOR CUSTOMER SERVICE QUESTIONS:
1-800-MERRILL (1-800-637-7455)

Your Merrill Lynch Office:
1850 K ST NW 7TH FLOOR
WASHINGTON DC 20006

Review Your Statement Online at:
www.mlol.ml.com

Portfolio Summary

Asset	11/30/04 Value	%	12/31/04 Value	%
Cash/Money Accounts	5,393	6	5,400	6
CD's/Equivalents				
Government & Agency				
Corporate Bonds				
Municipal Bonds				
Equities				
Mutual Funds	82,958	94	85,456	94
Options				
Other				
Long Market Value	88,352		90,857	
Short Market Value				
Estimated Accrued Interest				
Debit Balance				
Net Portfolio Value	88,353		90,858	

Income Summary

	This Statement	Year-to-Date
Fund Dividends	7.29	10.61
Interest Income		
Dividend Income	727.89	3,094.71
Total	735.18	3,105.32

Merrill Lynch MFA Selects Program

Your Strategy Is:
GLOBAL EQUITY
Risk Category 6 - Strategy Code: GLBL

Please contact your Financial Advisor whenever there are changes in your financial condition or objectives

NEWS

This tax season, TurboTax(R) users can automatically import their 1099 tax information from Merrill Lynch via Merrill Lynch OnLine(R). Enrollment into the free MLOL service will be necessary. See related article inside this month's edition of Total Merrill & You

Important Notice

You may receive a free copy of our written disclosure statement containing important information about the Merrill Lynch MFA program by sending a written request to:
Merrill Lynch
Client Account Services - MFA
4803 Deerlake Drive West
Floor 4
Jacksonville, FL 32246

3574

SEP ACCOUNT

MFA Selects

Cash Flow Summary

Activity Summary	This Statement	Year-to-Date
Opening Balance Cash & Money Accounts	5,394.14	
Net Credits & Debits	7.29	4,968.02
Closing Balance Cash & Money Accounts	5,401.43	

Credits	This Statement	Year-to-Date
Sales		34,398.49
Income	735.18	3,105.32
Funds Received		14,831.00
Electronic Tfrs		
Other		
Total Credits	735.18	52,334.81

Debits	This Statement	Year-to-Date
Purchases		43,332.99
Withdrawals		
Electronic Tfrs		
Other	727.89	4,033.80
Total Debits	727.89	47,366.79

Realized Capital Gain and Loss Summary*

	This Statement	Year-to-Date
Short-Term	.00	2,435.67
Long-Term	.00	902.08

*Excludes transactions for which we have insufficient data

The capital gains and losses shown above may not reflect all transactions which must be reported on your 2004 tax return. These reportable transactions will appear on your January statement.

Current Portfolio

Quantity	Security Description	Date Acquired	Adjust/Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain or (Loss)	Estimated Accrued Interest	Estimated Annual Income	Current Yield %
Cash and Money Accounts										
5,400	RETIREMENT RESERVES CL I		1.00	5,400	1.00	5,400			85	1.59

Current Portfolio

Quantity	Security Description	Total Client Investment	Cumulative Investment Return	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain or (Loss)	Estimated Annual Income	Current Yield %
Mutual Funds and Defined Asset Funds									
Equity Funds									
942	AMERICAN AMCAP FUND	$15,499	$1,767	16,228	18.33	17,266	N/A	41	.24

FBO PATRICIA OXLEY

Statement Period 12/01/04 TO 12/31/04

019018 0130

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SEP ACCOUNT

MFA Selects

Current Portfolio

Quantity	Security Description	Total Client Investment	Cumulative Investment Return	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain or (Loss)	Estimated Annual Income	Current Yield %
Mutual Funds and Defined Asset Funds									
	CL A (.8430 FRACTIONAL SHARE) INITIAL PURCHASE: 05/23/01			N/A	18.33	15	N/A		.24
844	AMERICAN GROWTH FD OF AMERICA CL A	$21,385	$1,723	21,488	27.38	23,108	N/A	75	.32
	(.2060 FRACTIONAL SHARE) INITIAL PURCHASE 02/20/01			N/A	27.38	5	N/A		.32
285	FIRST EAGLE OVERSEAS CL I	$4,802	$1,439	4,977	21.90	6,241	N/A	69	1.11
	(.1790 FRACTIONAL SHARE) INITIAL PURCHASE: 06/11/03			N/A	21.90	3	N/A		1.11
Total Equity Funds			$4,929	42,695		46,642		187	.40
Fixed Income Funds									
15,402	FRANKLIN CUST FD INC SER CLASS A	$34,730	$4,082	36,641	2.52	38,813	N/A	2,402	6.19
	(.7170 FRACTIONAL SHARE) INITIAL PURCHASE: 03/22/04			N/A	2.52	1	N/A		6.19
Total Fixed Income Funds			$4,082	36,641		38,814		2,402	6.19
Total Funds			$9,011	79,336		85,456		2,590	3.03

TOTAL CLIENT INVESTMENT: Cost of shares directly purchased and still held. Does not include shares purchased through reinvestment.
CUMULATIVE INVESTMENT RETURN: Estimated Market Value minus Total Client Investment. Cumulative Investment Return is the capital appreciation (depreciation) of all shares purchased, including shares purchased through reinvestment.

UNREALIZED GAIN or (LOSS): Estimated Market Value minus Total Cost Basis. Provided for Tax Planning purposes only and is not applicable to retirement accounts "denoted by N/A".
INITIAL PURCHASE: Date of your initial investment in this fund.

Total of Long Portfolio	84,737*	90,857	*	2,675	2.95

* - Excludes N/A items

FBO PATRICIA OXLEY

MFA Selects

Statement Activity

Date	Transaction	Quantity	Description	Price	Debit	Credit
Security Transactions						
12/03	Divd Reinv	6	AMERICAN AMCAP FUND CL A REINV AMOUNT $116.76 REINV PRICE $18.00000 QUANTITY BOT 6.4870 AS OF 12/02			
12/03	Divd Reinv	2	AMERICAN AMCAP FUND CL A REINV AMOUNT $41.10 REINV PRICE $18.00000 QUANTITY BOT 2.2830 AS OF 12/02			
12/06	Divd Reinv	79	FRANKLIN CUST FD INC SER CLASS A REINV AMOUNT $196.62 REINV PRICE $2.46000 QUANTITY BOT 79.9270 AS OF 12/01			
12/06	Journal Entry	1	FRANKLIN CUST FD INC SER CLASS A FULL SHARE ACCUM			
12/06	Divd Reinv	80	FRANKLIN CUST FD INC SER CLASS A REINV AMOUNT $198.15 REINV PRICE $2.46000 QUANTITY BOT 80.5490 AS OF 12/01			
12/10	Divd Reinv	1	FIRST EAGLE OVERSEAS CL I REINV AMOUNT $29.44 REINV PRICE $20.93000 QUANTITY BOT 1.4070 AS OF 12/09			
12/10	Divd Reinv	3	FIRST EAGLE OVERSEAS CL I REINV AMOUNT $68.70 REINV PRICE $20.93000 QUANTITY BOT 3.2820 AS OF 12/09			
12/10	Journal Entry	1	FIRST EAGLE OVERSEAS CL I FULL SHARE ACCUM			
12/13	Divd Reinv	2	AMERICAN GROWTH FD OF AMERICA CL A			

FBO PATRICIA OXLEY

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MFA Selects

Statement Activity

Date		Transaction	Quantity	Description	Price	Debit	Credit
Security Transactions							
				REINV AMOUNT $75.72 REINV PRICE $26.60000 QUANTITY BOT 2.8470 AS OF 12/10			
12/13		Journal Entry	1	AMERICAN GROWTH FD OF AMERICA CL A FULL SHARE ACCUM			
Net Total							
Dividends and Interest							
12/03	*	Dividend		AMERICAN AMCAP FUND CL A PAY DATE 12/02/2004			41.10
12/03	*	Lg Tm Cap Gain		AMERICAN AMCAP FUND CL A PAY DATE 12/02/2004			116.76
12/06	*	Dividend		FRANKLIN CUST FD INC SER CLASS A PAY DATE 12/03/2004			198.15
12/06	*	Lg Tm Cap Gain		FRANKLIN CUST FD INC SER CLASS A PAY DATE 12/03/2004			196.62
12/10	*	Dividend		FIRST EAGLE OVERSEAS CL I PAY DATE 12/09/2004			68.70
12/10	*	Lg Tm Cap Gain		FIRST EAGLE OVERSEAS CL I PAY DATE 12/09/2004			29.44
12/10	*	Sh Tm Cap Gain		FIRST EAGLE OVERSEAS CL I PAY DATE 12/09/2004			1.40
12/13	*	Dividend		AMERICAN GROWTH FD OF AMERICA CL A PAY DATE 12/10/2004			75.72
		Income Total		RETIREMENT RESERVES CL I			7.29
Net Total							735.18
Other Activity							
12/03		Reinvestment		AMERICAN AMCAP FUND CL A		41.10	
12/03		Reinvestment		AMERICAN AMCAP FUND CL A		116.76	
12/06		Reinvestment		FRANKLIN CUST FD INC SER CLASS A		198.15	
12/06		Reinvestment		FRANKLIN CUST FD INC SER		196.62	

FBO PATRICIA OXLEY

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SEP ACCOUNT

MFA Selects

Statement Activity

Date	Transaction	Quantity	Description	Price	Debit	Credit
Other Activity						
12/10	Reinvestment		CLASS A FIRST EAGLE OVERSEAS CL I		68.70	
12/10	Reinvestment		FIRST EAGLE OVERSEAS CL I		29.44	
12/10	Reinvestment		FIRST EAGLE OVERSEAS CL I		1.40	
12/10	Divd Reinv		FIRST EAGLE OVERSEAS CL I REINV AMOUNT $1.40 REINV PRICE $20.93000 QUANTITY BOT .0670 AS OF 12/09			
12/13	Reinvestment		AMERICAN GROWTH FD OF AMERICA CL A		75.72	
Net Total					727.89	

Customer Service For Information about your account, please call 1-800-MERRILL (1-800-637-7455)
When Requested, enter your Access Code 92-749-72799.

SEP Retirement Money Accounts Summary

Description	Money Account Balances Opening	Closing	Dividends This Statement	Year to Date
Retirement Reserves CL I	$5,393.33	$5,400.62	$7.29	$10.61

Retirement Account Plan Summary

Account Type:SEP PLAN

Year-End Plan Value as of December 31, 2004	$90,858.36
Contributions after 12/30/03 for 2003	$.00
Employer Contributions after 12/30/03 for 2003	$14,831.00

	Tax Year 2004	Tax Year 2003
Contributions :		
Employer Contributions	$.00	$14,831.00

FBO PATRICIA OXLEY

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Retirement Account Plan Summary

For purposes of calculating required minimum distributions or completing IRS Form 8606, you are required to use the value of your account as of 12/31/04. This value should include your Priced Portfolio total and the value of certain limited partnerships and other direct investments as well as any securities that we are unable to price - marked "Unavailable". The "Year-End Plan Value" shown consists of your Priced Portfolio total plus the estimated value of limited partnerships or other direct investments reported on your year-end statement.

For IRA, IRRA, SEP/IRA, SIMPLE/IRA, Roth IRA and ESA accounts, the Year-End Plan Value represents the valuation we must furnish to you and the Internal Revenue Service as part of the IRS Form 5498 reporting requirements.

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CMA® ACCOUNT

Total Account Value As Of 12/31/2004 $7,805.83

MICHAEL G OXLEY AND
PATRICIA A OXLEY JTWROS
7629 HUNTMASTER LN
MC LEAN VA 22102-2531

YOUR FINANCIAL ADVISOR:
THE GROUP AG

(800) 266-4047

FOR CUSTOMER SERVICE QUESTIONS:
1-800-MERRILL (1-800-637-7455)

Your Merrill Lynch Office:
1850 K ST NW 7TH FLOOR
WASHINGTON DC 20006

Review Your Statement Online at:
www.mlol.ml.com

Portfolio Summary

Asset	11/30/04 Value	%	12/31/04 Value	%
Cash/Money Accounts	7,797	100	7,805	100
CD's/Equivalents				
Government & Agency				
Corporate Bonds				
Municipal Bonds				
Equities				
Mutual Funds				
Options				
Other				
Long Market Value	7,797		7,805	
Short Market Value				
Estimated Accrued Interest				
Debit Balance				
Net Portfolio Value	7,797		7,805	

Income Summary

	This Statement	Year-to-Date
Tax-Exempt Funds		
Tax-Exempt Interest		
Reportable Interest	8.26	47.53
Reportable Dividends		
Income Not Reported		
Total	8.26	47.53

Items for Attention

Security	Message	Date
	No Items For Attention	

Purchasing Power 7,805

NEWS

This tax season, TurboTax(R) users can automatically import their 1099 tax information from Merrill Lynch via Merrill Lynch OnLine(R). Enrollment into the free MLOL service will be necessary. See related article inside this month's edition of Total Merrill & You

Financial Market Indicators

	This Statement	Last Statement	Previous Year-End
S&P 500	1211.92	1173.82	1111.92
Three-Month Treasury Bills	2.22%	2.22%	.92%
Long-Term Treasury Bonds	4.83%	5.01%	5.08%
One-Month LIBOR	2.39%	2.28%	1.12%
NASDAQ	2175.44	2096.81	2003.37

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Cash Flow Summary

Activity Summary	This Statement	Year-to-Date
Opening Balance Cash & Money Accounts	7,797.57	
Net Credits & Debits	8.26	77.470R
Closing Balance Cash & Money Accounts	7,805 83	

Credits	This Statement	Year-to-Date
Sales		
Income	8.26	47.53
Funds Received		
Electronic Tfrs		
Other		
Total Credits	8 26	47 53

Debits	This Statement	Year-to-Date
Purchases		
Withdrawals		
Electronic Tfrs		
Other		125 00
Total Debits		125 00

Bank Deposit Interest Summary

Money Account Description	Opening Balance	Average Deposit Balance	Current Yield %	Interest on Deposits	Closing Balance
Merrill Lynch Bank USA	7,797	7,797	1.25	8.26	7,805
Total ML Bank Deposit Program	7,797			8.26	7,805

Current Portfolio

Quantity	Security Description	Date Acquired	Adjust/Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain or (Loss)	Estimated Accrued Interest	Estimated Annual Income	Current Yield %
Cash and Money Accounts										
7,805	ML Bank Deposit Program		1.00	7,805	1.00	7,805			97	1.25
Total Cash and Money Accounts				7,805		7,805			97	1.25
Total of Long Portfolio				7,805		7,805			97	1.25

Statement Activity

Date	Transaction	Quantity	Description	Price	Debit	Credit
Dividends and Interest						
12/31	II Bank Interest		BANK DEPOSIT INTEREST			.26
	Income Total		ML Bank Deposit Program			8 00

Statement Activity

Date	Transaction	Quantity	Description	Price	Debit	Credit
Net Total						8.26

Customer Service For information about your account, please call 1-800-MERRILL (1-800-637-7455). To report Lost or Stolen Visa Cards or Checks, please call 1-800-262-5678. When requested, enter your Access Code 91-749-66811

13:51 04/29/05 PG 1

FWR NPC FINANCIAL CONSULTANTS COB 04/28/05

SECURITY ACTIVITY FC:0130

PATRICIA A OXLEY SEP

DATE	TYPE	SOL	QTY	DESCRIPTION	PRICE	NET AMOUNT
04/06/05	AIDB		80	FRANKLIN CUST FD INC SE	2.4300	195
04/06/05	JE		1	FRANKLIN CUST FD INC SE	.0000	0
03/04/05	AIDB		77	FRANKLIN CUST FD INC SE	2.5000	194
02/04/05	AIDB		78	FRANKLIN CUST FD INC SE	2.4600	193
02/04/05	JE		1	FRANKLIN CUST FD INC SE	.0000	0
01/07/05	AIDB		80	FRANKLIN CUST FD INC SE	2.4800	200
01/07/05	JE		1	FRANKLIN CUST FD INC SE	.0000	0
12/13/04	AIDB		2	AMERICAN GROWTH FD OF	26.6000	75
12/13/04	JE		1	AMERICAN GROWTH FD OF	.0000	0
12/10/04	AIDB		1	FIRST EAGLE	20.9300	29
12/10/04	AIDB		3	FIRST EAGLE	20.9300	68
12/10/04	JE		1	FIRST EAGLE	.0000	0
12/06/04	AIDB		79	FRANKLIN CUST FD INC SE	2.4600	196
12/06/04	JE		1	FRANKLIN CUST FD INC SE	.0000	0
12/06/04	AIDB		80	FRANKLIN CUST FD INC SE	2.4600	198
12/03/04	AIDB		6	AMERICAN AMCAP FUND	18.0000	116
12/03/04	AIDB		2	AMERICAN AMCAP FUND	18.0000	41
11/24/04	SLD		812C	WASATCH MICRO CAP FD	6.5700	5,335C
11/04/04	AIDB		81	FRANKLIN CUST FD INC SE	2.4200	197
11/04/04	JE		1	FRANKLIN CUST FD INC SE	.0000	0
10/20/04	AIDB		5	WASATCH MICRO CAP FD	6.0000	30
10/20/04	AIDB		115	WASATCH MICRO CAP FD	6.0000	690
10/20/04	JE		1	WASATCH MICRO CAP FD	.0000	0
10/06/04	AIDB		81	FRANKLIN CUST FD INC SE	2.4200	196
09/07/04	AIDB		81	FRANKLIN CUST FD INC SE	2.3900	194
08/05/04	AIDB		82	FRANKLIN CUST FD INC SE	2.3500	193
08/05/04	JE		1	FRANKLIN CUST FD INC SE	.0000	0
07/06/04	AIDB		82	FRANKLIN CUST FD INC SE	2.3500	192
06/03/04	AIDB		82	FRANKLIN CUST FD INC SE	2.3200	191
06/03/04	JE		1	FRANKLIN CUST FD INC SE	.0000	0
05/04/04	AIDB		81	FRANKLIN CUST FD INC SE	2.3500	190
04/20/04	BOT		145	FIRST EAGLE	19.2600	2,800
04/20/04	SLD		5C	AMERICAN MUTUAL FUND	24.5200	130C
04/20/04	BOT		2,447	FRANKLIN CUST FD INC SE	2.3700	5,800
04/20/04	BOT		228	AMERICAN GROWTH FD OF	25.4000	5,799
04/05/04	AIDB		65	FRANKLIN CUST FD INC SE	2.4000	158
04/05/04	JE		1	FRANKLIN CUST FD INC SE	.0000	0
03/22/04	JE		1C	AMERICAN MUTUAL FUND	.0000	0
03/22/04	BOT		12,156	FRANKLIN CUST FD INC SE	2.3800	28,933
03/19/04	SLD		1,186C	AMERICAN MUTUAL FUND	24.3800	28,933C
03/19/04	AIDB		5	AMERICAN MUTUAL FUND	24.5700	130
03/19/04	JE		1	AMERICAN MUTUAL FUND	.0000	0
12/22/03	AIDB		2	WASATCH MICRO CAP FD	7.0900	18
12/15/03	JE		1	AMERICAN GROWTH FD OF	.0000	0

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STATEMENT FOR TAX INFORMATION.

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FWR NPC FINANCIAL CONSULTANTS COB 04/28/05
SECURITY ACTIVITY FC:0130
PATRICIA A OXLEY SEP

DATE	TYPE	SOL	QTY	DESCRIPTION	PRICE	NET AMOUNT
12/05/03	AIDB		4	AMERICAN MUTUAL FUND	23.4600	105
12/05/03	JE		1	AMERICAN MUTUAL FUND	.0000	0
12/05/03	AIDB		6	AMERICAN MUTUAL FUND	23.4600	152
12/04/03	JE		1	FIRST EAGLE	.0000	0
12/04/03	AIDB		3	FIRST EAGLE	17.7400	63
12/04/03	JE		1	FIRST EAGLE	.0000	0
10/13/03	AIDB		3	WASATCH MICRO CAP FD	6.6400	23
10/13/03	JE		1	WASATCH MICRO CAP FD	.0000	0
10/13/03	AIDB		50	WASATCH MICRO CAP FD	6.6400	333
09/22/03	AIDB		6	AMERICAN MUTUAL FUND	22.7800	151
06/23/03	JE		1	AMERICAN AMCAP FUND	.0000	0
06/23/03	AIDB		6	AMERICAN MUTUAL FUND	22.2600	151
06/23/03	JE		1	AMERICAN MUTUAL FUND	.0000	0
06/11/03	BOT		130	FIRST EAGLE	15.3400	2,000
06/11/03	BOT		264	AMERICAN AMCAP FUND	15.1100	3,999
06/11/03	BOT		1,162	AMERICAN MUTUAL FUND	22.3700	26,000
06/11/03	BOT		93	AMERICAN GROWTH FD OF	21.4600	2,000
04/24/03	SLD		105C	JANUS SMALL CAP VAL	22.7900	2,409C
12/30/02	AIDB		4	BERGER SMALL CAP VAL	22.6800	96
12/06/02	AIDB		1	AMERICAN AMCAP FUND	13.4300	16
12/06/02	JE		1	AMERICAN AMCAP FUND	.0000	0
10/07/02	AIDB		46	WASATCH MICRO CAP FD	4.3400	201
09/13/02	SLD		153C	NATIONS INTERNATIONAL	13.3000	2,042C
09/13/02	SLD		612C	MFS VALUE FD CL A	16.7600	10,263C
09/13/02	SLD		419C	AMERICAN FUNDAMENTAL	22.5200	9,447C
08/19/02	AIDB		1	AMERICAN FUNDAMENTAL	23.3100	41
08/19/02	JE		1	AMERICAN FUNDAMENTAL	.0000	0
06/27/02	AIDB		1	MFS VALUE FD CL A	18.2500	25
06/24/02	AIDB		4	AMERICAN AMCAP FUND	14.2200	63
05/23/02	JE		1	NATIONS INTERNATIONAL	.0000	0
05/16/02	AIDB		1	AMERICAN FUNDAMENTAL	27.6200	41
04/09/02	SLD		7C	AMERICAN GROWTH FD OF	22.9100	167C
04/02/02	JE		1	MFS VALUE FD CL A	.0000	0
02/25/02	AIDB		1	AMERICAN FUNDAMENTAL	26.3800	41
02/25/02	JE		1	AMERICAN FUNDAMENTAL	.0000	0
02/01/02	AIDB		2	MFS VALUE FD CL A	19.1300	44
02/01/02	JE		1	MFS VALUE FD CL A	.0000	0
01/23/02	SLD		7C	AMERICAN GROWTH FD OF	23.0800	172C
12/31/01	AIDB		4	BERGER SMALL CAP VAL	27.7700	130
12/31/01	AIDB		2	BERGER SMALL CAP VAL	27.7700	79
12/31/01	JE		1	BERGER SMALL CAP VAL	.0000	0
12/31/01	JE		1	BERGER SMALL CAP VAL	.0000	0
12/28/01	AIDB		1	NATIONS INTERNATIONAL	15.6000	26
12/17/01	AIDB		6	WASATCH MICRO CAP FD	6.3100	39

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FWR NPC FINANCIAL CONSULTANTS COB 04/28/05

SECURITY ACTIVITY FC:0130

PATRICIA A OXLEY SEP

DATE	TYPE	SOL	QTY	DESCRIPTION	PRICE	NET AMOUNT
12/17/01	AIDB		1	AMERICAN FUNDAMENTAL	26.8700	41
12/17/01	AIDB		1	AMERICAN GROWTH FD OF	23.4100	23
12/07/01	AIDB		5	AMERICAN AMCAP FUND	16.4900	91
12/07/01	JE		1	AMERICAN AMCAP FUND	.0000	0
12/07/01	AIDB		3	AMERICAN AMCAP FUND	16.4900	55
12/05/01	AIDB		1	MFS VALUE FD CL A	19.0800	28
11/19/01	AIDB		2	NATIONS INTERNATIONAL	15.5200	39
11/19/01	JE		1	NATIONS INTERNATIONAL	.0000	0
10/10/01	JE		1C	AMERICAN GROWTH FD OF	.0000	0
10/09/01	SLD		8C	AMERICAN GROWTH FD OF	20.8400	174C
10/08/01	AIDB		2	WASATCH MICRO CAP FD	5.3400	13
10/08/01	JE		1	WASATCH MICRO CAP FD	.0000	0
10/08/01	AIDB		50	WASATCH MICRO CAP FD	5.3400	269
10/08/01	JE		1	WASATCH MICRO CAP FD	.0000	0
09/27/01	AIDB		1	MFS VALUE FD CL A	17.2600	23
09/27/01	JE		1	MFS VALUE FD CL A	.0000	0
08/20/01	AIDB		1	AMERICAN FUNDAMENTAL	27.9800	41
08/20/01	JE		1	AMERICAN FUNDAMENTAL	.0000	0
08/14/01	SLD		3C	AMERICAN GROWTH FD OF	24.2200	80C
06/28/01	AIDB		1	MFS VALUE FD CL A	20.1100	23
06/25/01	AIDB		21	AMERICAN AMCAP FUND	16.8200	357
05/23/01	BOT		148	NATIONS INTERNATIONAL	18.2200	2,700
05/23/01	BOT		93	BERGER SMALL CAP VAL	28.9800	2,700
05/23/01	BOT		633	AMERICAN AMCAP FUND	18.1600	11,500
05/22/01	SLD		117C	PUTNAM INTERNATIONAL	22.7200	2,662C
05/22/01	SLD		92C	STATE STR RESCH AURORA	33.1900	3,063C
05/22/01	SLD		284C	PBHG LG CAP GR FD	25.9100	7,377C
05/21/01	AIDB		1	AMERICAN FUNDAMENTAL	31.1100	41
03/29/01	AIDB		1	MFS VALUE FD CL A	19.2200	25
02/27/01	AIDB		5	AMERICAN FUNDAMENTAL	29.6100	151
02/27/01	AIDB		1	AMERICAN FUNDAMENTAL	29.6100	40
02/27/01	JE		1	AMERICAN FUNDAMENTAL	.0000	0
02/21/01	SLD		2C	PUTNAM INTERNATIONAL	22.7900	45C
02/21/01	JE		1	PUTNAM INTERNATIONAL	.0000	0
02/20/01	BOT		529	WASATCH MICRO CAP FD	5.1800	2,745
02/20/01	BOT		16	PUTNAM INTERNATIONAL	22.8800	379
02/20/01	SLD		267C	ALLIANCE PREMIER GROWTH	25.6300	6,858C
02/20/01	SLD		105C	ROBERTSON STEPH EMERGIN	37.8900	3,980C
02/20/01	BOT		92	STATE STR RESCH AURORA	29.7400	2,745
02/20/01	BOT		284	PBHG LG CAP GR FD	27.0100	7,689
02/20/01	BOT		602	MFS VALUE FD CL A	20.5000	12,350
02/20/01	SLD		138C	JANUS TWENTY FUND	48.2900	6,672C
02/20/01	BOT		403	AMERICAN FUNDAMENTAL	30.5800	12,350
02/20/01	BOT		544	AMERICAN GROWTH FD OF	26.2100	14,273

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<MORE>

FWR NPC FINANCIAL CONSULTANTS COB 04/28/05
SECURITY ACTIVITY FC:0130
PATRICIA A OXLEY SEP

DATE	TYPE	SOL	QTY	DESCRIPTION	PRICE	NET AMOUNT
02/16/01	SLD		174C	VAN KAMPEN AMERICAN	19.0100	3,319C
12/27/00	AIDB		3	PUTNAM INTERNATIONAL	23.7100	88
12/27/00	JE		1	PUTNAM INTERNATIONAL	.0000	0
12/27/00	AIDB		3	PUTNAM INTERNATIONAL	23.7100	80
12/27/00	JE		1	PUTNAM INTERNATIONAL	.0000	0
12/27/00	AIDB		1	PUTNAM INTERNATIONAL	23.7100	36
12/20/00	AIDB		22	ALLIANCE PREMIER GROWTH	28.4100	648
12/20/00	JE		1	ALLIANCE PREMIER GROWTH	.0000	0
12/20/00	AIDB		3	ROBERTSON STEPH EMERGIN	43.4300	131
12/20/00	JE		1	ROBERTSON STEPH EMERGIN	.0000	0
12/18/00	AIDB		3	JANUS TWENTY FUND	60.5000	235
12/18/00	JE		1	JANUS TWENTY FUND	.0000	0
12/14/00	AIDB		13	VAN KAMPEN AMERICAN	18.9400	255
04/19/00	SLD		536C	AMERN CENTY INC & GR FD	33.2000	17,827C
04/12/00	JE		1C	AMERN CENTY INC & GR FD	.0000	0
04/11/00	SLD		2C	AMERN CENTY INC & GR FD	34.4100	87C
04/05/00	AIDB		1	AMERN CENTY INC & GR FD	34.2000	39
12/23/99	AIDB		1	PUTNAM INTERNATIONAL	27.0900	53
12/23/99	JE		1	PUTNAM INTERNATIONAL	.0000	0
12/23/99	AIDB		1	PUTNAM INTERNATIONAL	27.0900	30
12/21/99	AIDB		3	ALLIANCE PREMIER GROWTH	34.5000	110
12/21/99	AIDB		12	ALLIANCE PREMIER GROWTH	34.5000	430
12/21/99	AIDB		1	AMERN CENTY INC & GR FD	32.7300	43
12/16/99	AIDB		10	VAN KAMPEN AMERICAN	22.2700	230
12/16/99	JE		1	VAN KAMPEN AMERICAN	.0000	0
12/14/99	AIDB		6	JANUS TWENTY FUND	79.8000	520
12/14/99	JE		1	JANUS TWENTY FUND	.0000	0
12/03/99	AIDB		5	ROBERTSON STEPH EMERGIN	48.1200	263
12/03/99	AIDB		1	ROBERTSON STEPH EMERGIN	48.1200	51
10/13/99	BOT	N	90	PUTNAM INTERNATIONAL	23.4500	2,113
10/12/99	SLD	N	143C	PHOENIX INTERNATIONAL	14.6800	2,113C
09/28/99	AIDB		1	AMERN CENTY INC & GR FD	29.7600	43
09/28/99	JE		1	AMERN CENTY INC & GR FD	.0000	0
06/29/99	AIDB		1	AMERN CENTY INC & GR FD	30.4400	49
06/29/99	JE		1	AMERN CENTY INC & GR FD	.0000	0
05/03/99	JE		1	ALLIANCE PREMIER GROWTH	.0000	0
05/03/99	JE		1	ROBERTSON STEPH EMERGIN	.0000	0
05/03/99	JE		1	JANUS TWENTY FUND	.0000	0
04/30/99	BOT	N	22	VAN KAMPEN AMERICAN	21.5100	480
04/30/99	BOT	N	29	ALLIANCE PREMIER GROWTH	32.8800	960
04/30/99	BOT	N	15	ROBERTSON STEPH EMERGIN	31.6300	479
04/30/99	BOT	N	83	AMERN CENTY INC & GR FD	30.7600	2,560
04/30/99	BOT	N	24	JANUS TWENTY FUND	64.1700	1,600
04/30/99	BOT	N	22	PHOENIX INTERNATIONAL	14.2600	319

FOR INFORMATION PURPOSES ONLY - ACCOUNT STATEMENT IS OFFICIAL RECORD
THIS INFO MUST NOT BE USED IN PLACE OF THE MERRILL LYNCH TAX REPORTING
STATEMENT FOR TAX INFORMATION.

<MORE>

13:51 04/29/05 PG 5

FWR NPC FINANCIAL CONSULTANTS COB 04/28/05

SECURITY ACTIVITY FC:0130

PATRICIA A OXLEY SEP

DATE	TYPE	SOL	QTY	DESCRIPTION	PRICE	NET AMOUNT
04/14/99	BOT	N	128	VAN KAMPEN AMERICAN	19.9900	2,565
04/14/99	BOT	N	199	ALLIANCE PREMIER GROWTH	34.2200	6,840
04/14/99	BOT	N	79	ROBERTSON STEPH EMERGIN	32.0800	2,564
04/14/99	BOT	N	450	AMERN CENTY INC & GR FD	30.3500	13,679
04/14/99	BOT	N	102	JANUS TWENTY FUND	66.7100	6,839
04/14/99	BOT	N	121	PHOENIX INTERNATIONAL	14.1200	1,710
03/09/99	SLD	N	381C	MERC PAN EUROPE GR CL A	10.4000	3,957C
03/09/99	SLD	N	240C	MASSACHUSETTS INVESTORS	20.3800	4,893C
12/14/98	AIDB		10	MASSACHUSETTS INVESTORS	19.1700	194
12/14/98	AIDB		1	MASSACHUSETTS INVESTORS	19.1700	8
10/27/98	BOT		381	MERC PAN EUROPE GR CL A	10.4990	4,000
07/06/98	BOT		229	MASSACHUSETTS INVESTORS	21.8100	4,999

FOR INFORMATION PURPOSES ONLY - ACCOUNT STATEMENT IS OFFICIAL RECORD
THIS INFO MUST NOT BE USED IN PLACE OF THE MERRILL LYNCH TAX REPORTING
STATEMENT FOR TAX INFORMATION.

<END>

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2004

FORM A
For use by Members, officers, and employees

HAND DELIVERED

LEGISLATIVE RESOURCE CENTER
2005 MAY 16 PM 4:44
MC
(Office Use Only)

FRANK J. PALLONE JR.
(Full Name)

1187 OCEAN AVE
(Mailing Address)

LONG BRANCH, N.J. 07740
Daytime Telephone: 202-225-4671

Filer Status	[X] Member of the U.S. House of Representatives	State: NEW JERSEY District: 6th	[ ] Officer or Employee	Employing Office:
Report Type	[X] Annual (May 15)	[ ] Amendment	[ ] Termination	Termination Date:

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

Question	Yes	No
I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	[ ]	[X]
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	[ ]	[X]
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	[X]	[ ]
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	[X]	[ ]
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	[ ]	[X]
VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $285 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	[ ]	[X]
VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $285 from one source)? **If yes, complete and attach Schedule VII.**	[X]	[ ]
VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	[X]	[ ]
IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	[ ]	[X]

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

Question	Yes	No
TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	[ ]	[X]
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	[ ]	[X]

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, § 104 and 18 U.S.C. § 1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	Frank Pallone Jr	5/16/05

3589

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self-directed IRA (i.e., one where you have the power to select the specific investments), provide information on each asset in the account that exceeds the reporting threshold, and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see the instruction booklet for the reporting year.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT). In the optional column on the far left.

BLOCK B — Value of Asset

at close of reporting year.

If you use a valuation method other than fair market value, please specify the method used.

If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

BLOCK D — Amount of Income

For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.

BLOCK E — Transaction

Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.

	Block A		Block B (A–L)	Block C						Block D (I–XI)											Block E
			None / $1 – $1,000 / $1,001 – $15,000 / $15,001 – $50,000 / $50,001 – $100,000 / $100,001 – $250,000 / $250,001 – $500,000 / $500,001 – $1,000,000 / $1,000,001 – $5,000,000 / $5,000,001 – $25,000,000 / $25,000,001 – $50,000,000 / Over $50,000,000	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify: For Example, Partnership Income or Farm Income)	None / $1 – $200 / $201 – $1,000 / $1,001 – $2,500 / $2,501 – $5,000 / $5,001 – $15,000 / $15,001 – $50,000 / $50,001 – $100,000 / $100,001 – $1,000,000 / $1,000,001 – $5,000,000 / Over $5,000,000	P, S, E
SP, DC, JT	Examples: SP	Mega Corp. Stock		X								X									P
		Simon & Schuster	Indefinite							Royalties									X		
		1st Bank of Paducah, KY account				X											X				
JT	Wachovia Securities																				
	Cisco Systems Inc		V X	X							X										
	Nokia Corp		X	X								X									
	Phoenix Companies		X	X								X									
	Time Warner		X	X							X										

For additional assets and unearned income, use next page.

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SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name Frank J. Pallone Jr

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset	BLOCK C Type of Income	BLOCK D Amount of Income	BLOCK E Transaction
		A None; B $1 – $1,000; C $1,001 – $15,000; D $15,001 – $50,000; E $50,001 – $100,000; F $100,001 – $250,000; G $250,001 – $500,000; H $500,001 – $1,000,000; I $1,000,001 – $5,000,000; J $5,000,001 – $25,000,000; K $25,000,001 – $50,000,000; L Over $50,000,000	DIVIDENDS; RENT; INTEREST; CAPITAL GAINS; EXCEPTED TRUST; QUALIFIED BLIND TRUST; Other Type of Income (Specify)	I None; II $1 – $200; III $201 – $1,000; IV $1,001 – $2,500; V $2,501 – $5,000; VI $5,001 – $15,000; VII $15,001 – $50,000; VIII $50,001 – $100,000; IX $100,001 – $1,000,000; X $1,000,001 – $5,000,000; XI Over $5,000,000	P, S, E
JT	Congressional FCU Accounts	B $1 – $1,000	INTEREST	I None	
JT	Wachovia Bank Accounts	B $1 – $1,000	INTEREST	I None	
SP	General Electric Gec Pension		RENT	VI $5,001 – $15,000	
	Wachovia Bank Accounts	B $1 – $1,000	INTEREST	I None	
DC	Wachovia Securities				
	Evergreen Money Mkt	C $1,001 – $15,000	DIVIDENDS	III $201 – $1,000	
	Cisco Systems	C $1,001 – $15,000	DIVIDENDS	I None	
	General Electric	D $15,001 – $50,000	DIVIDENDS	III $201 – $1,000	
	Goldman Sachs Group Inc	D $15,001 – $50,000	DIVIDENDS	III $201 – $1,000	
	Landor Estes Cos Inc	C $1,001 – $15,000	DIVIDENDS	II $1 – $200	
	Pfizer Inc	C $1,001 – $15,000	DIVIDENDS	III $201 – $1,000	
	Disney Walt Co	B $1 – $1,000	DIVIDENDS	II $1 – $200	
	Home Depot Inc	B $1 – $1,000	DIVIDENDS	II $1 – $200	
	Intel Corp	C $1,001 – $15,000	DIVIDENDS	II $1 – $200	
	Micro Soft	C $1,001 – $15,000	DIVIDENDS	IV $2,501 – $5,000	P
	Vertex Pharm	B $1 – $1,000	DIVIDENDS	I None	
	Blackrock Strategic	C $1,001 – $15,000	DIVIDENDS	IV $2,501 – $5,000	P
	Evergreen Aggressive	D $15,001 – $50,000	DIVIDENDS	I None	

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SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name FRANK J. POLLINO JR

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset	BLOCK C Type of Income	BLOCK D Amount of Income	BLOCK E Transaction
		A None; B $1 – $1,000; C $1,001 – $15,000; D $15,001 – $50,000; E $50,001 – $100,000; F $100,001 – $250,000; G $250,001 – $500,000; H $500,001 – $1,000,000; I $1,000,001 – $5,000,000; J $5,000,001 – $25,000,000; K $25,000,001 – $50,000,000; L Over $50,000,000	DIVIDENDS; RENT; INTEREST; CAPITAL GAINS; EXCEPTED TRUST; QUALIFIED BLIND TRUST; Other Type of Income (Specify)	I None; II $1 – $200; III $201 – $1,000; IV $1,001 – $2,500; V $2,501 – $5,000; VI $5,001 – $15,000; VII $15,001 – $50,000; VIII $50,001 – $100,000; IX $100,001 – $1,000,000; X $1,000,001 – $5,000,000; XI Over $5,000,000	P, S, E
SP	WACHOVIA SECURITIES				
	ROTH IRA				
	EVERGREEN FUNDS	C X	DIVIDENDS X	IV N/A; VI IRA	
	FRANKLYN CUST DYNA	C X	DIVIDENDS X	IV N/A; VI IRA	
	WACHOVIA SECURITIES				
	ROTH IRA				
	EVERGREEN FUNDS	C X	DIVIDENDS X	IV N/A; VI IRA	
	FRANKLYN CUST DYNA	C X	DIVIDENDS X	IV N/A; VI IRA	

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SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name Frank J. Pallone Jr

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset: A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	BLOCK C Type of Income: DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify)	BLOCK D Amount of Income: I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	BLOCK E Transaction P, S, E
SP	Wachovia Secs. Inc. IRA																															
	Disney Walt Company			X										X									N/A	IRA								
	General Electric Co.			X										X									N/A	IRA								
	Lauder Estee Cos Inc			X										X									N/A	IRA								
	Merck + Co Inc			X										X									N/A	IRA								
	Texas Instrument Inc			X										X									N/A	IRA								
	Unilever PLC			X										X									N/A	IRA								
	Valero Energy Corp				X									X									N/A	IRA								
	Europacific Growth Fd				X									X									N/A	IRA								
	Energyson Fund				X									X									N/A	IRA								
	MFS Bond Fund			X										X									N/A	IRA								
	Putnam International Growth				X									X									N/A	IRA								
	MEDCO Health Solutions			X										X									N/A	IRA								
	Capital Income DLDR			X										X									N/A	IRA								

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SCHEDULE IV — TRANSACTIONS

Name: FRANK J. PALLONE JR

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction: PURCHASE	SALE	EXCHANGE	Date (MO/DAY/YR)	Amount of Transaction: B $1,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
SP	Example: Mega Corporation Common Stock	X			10-12-04		X								
DC	WorldCom Inc GA NEW		X		6-10-04	Bankrupt									
DC	WorldCom Inc MCI GRP		X		6-10-04	Bankrupt									
SP	CORO Soft Inc		X		12-31-04	Bankrupt									
DC	MICRO Soft.	X			VARIOUS	X									
DC	BlackRock Strategic	X			VARIOUS	X									

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Name FRANK J. PALLONE JR | Page 1 of 8

SCHEDULE VII — TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $285 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor.

Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

	Source	Date(s)	Point of Departure—Destination—Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Any time not at sponsor's expense
Examples:	Chicago Chamber of Commerce	Mar. 2	Wash., D.C.—Chicago—Wash., D.C.	N	N	N	N
	Roycroft Corporation	Aug. 6–11	Wash., D.C.—Los Angeles—Cleveland	Y	Y	Y	2 Days
	ASIAN INDIAN CHAMBER OF COMMERCE INDO-AMERICAN SOCIETY	JAN. 4-12	NEWARK NJ - MUMBAI INDIA - NEWARK NJ.	Y	Y	N	N

SCHEDULE VIII — POSITIONS

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States.

Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
Honorary Member of Board	Rainbow Foundation
Honorary Member of Board	Ranney School Tinton Falls NJ.
Member of Board	Zimmerli Museum, Rutgers

SCHEDULE IX — AGREEMENTS

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2004

FORM A
For use by Members, officers, and employees

LEGISLATIVE RESOURCE CENTER
2005 MAY 10 PM 2:16
HOUSE OF REPRESENTATIVES
MC HAND DELIVERED
(Office Use Only)

William James Pascrell, Jr.
(Full Name)

441 Ninth Avenue
(Mailing Address)

Paterson, New Jersey 07514

Daytime Telephone 973-523-5152

Filer Status	[X] Member of the U.S. House of Representatives	State: NJ District: 8th	[ ] Officer or Employee	Employing Office:
Report Type	[X] Annual (May 15)	[ ] Amendment	[ ] Termination	Termination Date

A *$200 penalty* shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes [X]	No [ ]	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $285 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes [ ]	No [X]
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes [ ]	No [X]	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $285 from one source)? **If yes, complete and attach Schedule VII.**	Yes [X]	No [ ]
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes [X]	No [ ]	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes [ ]	No [X]
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes [X]	No [ ]	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes [ ]	No [X]
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes [ ]	No [X]	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**		

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes [ ]	No [X]
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes [X]	No [ ]

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, § 104 and 18 U.S.C. § 1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	William J. Pascrell Jr.	

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SCHEDULE I — EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

	Source	Type	Amount
Examples:	Keene State	Approved Teaching Fee	$6,000
	State of Maryland	Legislative Pension	$9,000
	Civil War Roundtable (Oct. 2nd)	Spouse Speech	$1,000
	Ontario County Board of Education	Spouse Salary	NA
	State of New Jersey (H)	Pension	$1,044

For payments to charity in lieu of honoraria, use Schedule II.

Name William James Pascrell, Jr. | Page 3 of 8

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self-directed IRA (i.e., one where you have the power to select the specific investments), provide information on *each asset* in the account that exceeds the reporting threshold, and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see the instruction booklet for the reporting year.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Asset

at close of reporting year.
If you use a valuation method other than fair market value, please specify the method used.
If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

BLOCK D — Amount of Income

For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.

BLOCK E — Transaction

Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.

	Block A: Asset and/or Income Source		Block B: Value of Asset	Block C: Type of Income	Block D: Amount of Income	Block E: Transaction
SP	SP	Mega Corp. Stock	X (E)	X DIVIDENDS	X (IV)	P
DC	Examples:	Simon & Schuster	Indefinite	Royalties	X (IX)	
JT		1st Bank of Paducah, KY accounts	X (F)	X INTEREST	X (VI)	
JT	State of New Jersey - Pension		X (F)	Pension	X (VIII)	
JT	Advest General Electric		X (C)	X DIVIDENDS	X (III)	
	Republic Bancorp		X (B)	X DIVIDENDS	X (II)	
	Sprint		X (C)	X DIVIDENDS	X (II)	
	Torrance Energy		X (C)	X DIVIDENDS	X (III)	
	Jersey City G/O		X (D)	X INTEREST	X (II)	

For additional assets and unearned income, use next page.

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SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name William James Pascrell, Jr

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset: A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	BLOCK C Type of Income: DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify)	BLOCK D Amount of Income: I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	BLOCK E Transaction P, S, E
	Passaic County G/O				X											X							X									
	Paterson Park G/O				X											X							X									
	NJ Building Authority				X											X					X											
	Mellowo Twsp MUA				X											X						X										
	NJ Money Fund				X											X							X									
JT	Wachovia Securities																															
	Cash Accounts		X													X						X										
	Evergreen Growth			X										X								X										
	Evergreen Core Bond			X										X								X										
	Evergreen Equity				X									X								X										
	Putnam Tax Exempt		X											X								X										
JT	Washington Mutual					X										X								X								
JT	Evergreen Investments			X										X								X										
	Fidelity Magellan		X											X								X										
SP	Fidelity Magellan						X							X										X								
JT	Transamerica Life Annuity						X									X							X									
	Wachovia - IRA - Certificate			X												X							X									
SP	Hudson United Bank																															
	Certificate of Deposit				X											X							X									
SP	Transamerica Life Annuity						X									X							X									
SP	Transamerica Life Annuity			X												X						X										
	Transamerica Life Annuity					X										X							X									

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SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name William James Russell Jr

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset: A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	BLOCK C Type of Income: DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify)	BLOCK D Amount of Income: I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	BLOCK E Transaction P, S, E
	Transamerica Life Annuity					X										X							X									
	Valic IRA						X									X																
SP	Valic IRA					X										X																
JT	Ryan Bank																															
	NJ Economic Dev Auth			X												X							X									
	Amgen			X										X							X											
	Primus Money Inc Fund			X										X									X									
	Prudential Financial					X										X								X								
SP	Merrill Lynch - IRA																															
	ML Cash USA RASP			X												X						X										
	Retirement Reserves Cl II	X														X						X										
	M Fundamental Growth			X												X						X										
JT	Hudson United Bank						X									X								X								
JT	US Savings Bonds (E+EE)					X										X									X							
	Hudson United Bank - IRA																															
	Certificate of Deposit				X											X							X									

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SCHEDULE IV — TRANSACTIONS

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction: PURCHASE	SALE	EXCHANGE	Date (MO/DAY/YR)	Amount of Transaction: B $1,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
SP	*Example:* Mega Corporation Common Stock	X			10–12–04		X								
JT	Washington Township NJ General Obligation		X		9-20-04		X								
JT	Tortoise Energy Infrastructure Corp	X			2-27-04	X									
JT	Prudential Financial Annuity	X			9-27-04					X					

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SCHEDULE VII —TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $285 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor.

Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

	Source	Date(s)	Point of Departure—Destination—Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Any time not at sponsor's expense
Examples:	Chicago Chamber of Commerce	Mar. 2	Wash., D.C.—Chicago—Wash., D.C	N	N	N	N
	Roycroft Corporation	Aug. 6–11	Wash., D.C.—Los Angeles—Cleveland	Y	Y	Y	2 Days
	Fox News	Jan 11	Paterson- NYC- Paterson	N	N	N	N
	Fox News	Jan 15	Paterson- NYC- Paterson	N	N	N	N
	Fox News	Jan 25	Paterson- NYC- Paterson	N	N	N	N
	Fox News	Feb 8	Paterson- NYC- Paterson	N	N	N	N
	Fox News	Feb 18	Paterson- NYC- Paterson	N	N	N	N
	Fox News	Mar 7	Paterson- NYC- Paterson	N	N	N	N
	Fox News	Mar 20	Paterson- NYC- Paterson	N	N	N	N
	Vision Council of America	Mar 31	Paterson- NYC- Paterson	N	N	N	N
	Fox News	Apr 3	Paterson- NYC- Paterson	N	N	N	N
	Fox News	Apr 5	Paterson- NYC- Paterson	N	N	N	N
	Fox News	Apr 24	Paterson- NYC- Paterson	N	N	N	N
	Fox News	Apr 30	Paterson- NYC- Paterson	N	N	N	N
	Fox News	May 24	Paterson- NYC- Paterson	N	N	N	N
	Fox News	June 13	Paterson- NYC- Paterson	N	N	N	N

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SCHEDULE VII —TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $285 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor.

Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

	Source	Date(s)	Point of Departure—Destination—Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Any time not at sponsor's expense
Examples:	Chicago Chamber of Commerce	Mar. 2	Wash., D.C.—Chicago—Wash., D.C.	N	N	N	N
	Roycroft Corporation	Aug. 6–11	Wash., D.C.—Los Angeles—Cleveland	Y	Y	Y	2 Days
	Fox News	July 16	Peterson - NYC - Peterson	N	N	N	N
	Fox News	Aug 6	Peterson - NYC - Peterson	N	N	N	N
	International Association of Fire Chiefs	Aug 14	Peterson - New Orleans - Peterson	Y	Y	N	N
	Fox News	Aug 20	Peterson - NYC - Peterson	N	N	N	N
	Fox News	Sept 2	Peterson - NYC. Peterson	N	N	N	N
	Fox News	Sept 6	Peterson - NYC - Peterson	N	N	N	N
	Fox News	Sept 17	Peterson - NYC - Peterson	N	N	N	N
	Fox News	Sept 25	Peterson - NYC - Peterson	N	N	N	N
	Fox News	Oct 17	Peterson - NYC - Peterson	N	N	N	N
	John Kerry For President Campaign	Oct 26	Peterson - Des Moines - Peterson	N	Y	N	N
	Fox News	Nov 7	Peterson - NYC - Peterson	N	N	N	N
	Fox News	Dec 4	Peterson - NYC - Peterson	N	N	N	N
	Fox News						

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UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2004

FORM A
For use by Members, officers, and employees

HAND DELIVERED

LEGISLATIVE RESOURCE CENTER

2005 JUN -7 PM 5:05

U.S. HOUSE OF REPRESENTATIVES

(Office Use Only)

A *$200 penalty* shall be assessed against anyone who files more than 30 days late.

William James Pascrell, Jr.
(Full Name)

441 Ninth Avenue
(Mailing Address)

Paterson, New Jersey 07514

Daytime Telephone 973-523-5152

Filer Status	[X] Member of the U.S. House of Representatives — State: NJ District: 8th	[ ] Officer or Employee — Employing Office:	
Report Type	[ ] Annual (May 15)	[X] Amendment	[ ] Termination — Termination Date:

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes [X]	No [ ]	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $285 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes [ ]	No [X]
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes [ ]	No [X]	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $285 from one source)? **If yes, complete and attach Schedule VII.**	Yes [X]	No [ ]
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes [X]	No [ ]	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes [ ]	No [X]
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes [X]	No [ ]	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes [ ]	No [X]
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes [ ]	No [X]	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**		

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes [ ]	No [X]
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes [ ]	No [X]

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4. § 104 and 18 U.S.C. § 1001)

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	William J. Pascrell	6/7/05

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LEGISLATIVE RESOURCE CENTER
2005 MAY 11 AM 10: 12
THE CLERK
HOUSE OF REPRESENTATIVES

UNITED STATES HOUSE OF REPRESENTATIVES
FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2004

FORM A For use by Members, officers, and employees

Edward L. Pastor
(Full Name)

HAND DELIVERED

1151 West Thomas Road Phoenix, AZ 85013-4206
(Mailing Address)

202-225-4065
Daytime Telephone

(Office Use Only) HS

Filer Status ☑ Member of the U.S. House of Representatives State: AZ District: 04 ☐ Officer Or Employee Employing Office

Report Type ☑ Annual (May 15) ☐ Amendment ☐ Termination Termination Date

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? Yes ☑ No ☐
If yes, complete and attach Schedule I

II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? Yes ☐ No ☑
If yes, complete and attach Schedule II.

III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? Yes ☑ No ☐
If yes, complete and attach Schedule III.

IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? Yes ☐ No ☑
If yes, complete and attach Schedule IV.

V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? Yes ☑ No ☐
If yes, complete and attach Schedule V.

VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $285 and not otherwise exempt)? Yes ☐ No ☑
If yes, complete and attach Schedule VI

VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $285 from one source)? Yes ☑ No ☐
If yes, complete and attach Schedule VII.

VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? Yes ☑ No ☐
If yes, complete and attach Schedule VIII.

IX. Did you have any reportable agreement or arrangement with an outside entity? Yes ☐ No ☑
If yes, complete and attach Schedule IX.

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

Trusts- Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child? Yes ☐ No ☑

Exemptions-- Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption? Yes ☐ No ☑

CERTIFICATION -- THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978 as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies or who knowingly and wilfully fails to file this report may be subject to civil penalties and criminal sanctions (See 5 U.S.C. app. 4, § 104 and U.S.C. § 1001)

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief	Edward L Pastor	5-6-5

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SCHEDULE I - EARNED INCOME

Name Edward L. Pastor

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

Source	Type	Amount
Isaac School District	Spouse Salary	$4,200
State of Arizona Retirement System	Spouse Pension	$34,363
US House of Representatives	Salary	$156,552

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Edward L. Pastor

	BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self directed IRA (i.e., one where you have the power to select the specific investments) provide information on each asset in the account that exceeds the reporting threshold and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location *For additional information, see instruction booklet for the reporting year.* Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.	BLOCK B **Year-End Value of Asset** *at close of reporting year.* If you use a valuation method other than fair market value, please specify *the method used.* If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership income or Farm income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by *checking the appropriate* box below. Dividends, even if reinvested, should be listed as income.	BLOCK E **Transaction** Indicate if asset was purchased *(P)*, sold *(S)*, or exchanged (E) in reporting year
	AmerUs Group Life Insurance Cash Value	$15,001 - $50,000	Dividends, premiums	$201 - $1,000	NA
	AZ State Elected Officials Retirement System Pension Fund	$15,001 - $50,000	Capital gains, interest	NA	NA
SP	AZ State Employee Federal Credit Union, Phx, AZ Savings	$15,001 - $50,000	Interest	$201 - $1,000	NA
SP	AZ State Employees Credit Union (IRA)	$1,001 - $15,000	Interest	NA	NA
SP	AZ State Retirement System Pension Fund	$50,001 - $100,000	Other: Pension	NA	NA
	Congressional Federal Credit Union - Savings	$1,001 - $15,000	Interest	$1 - $200	NA

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Edward L. Pastor

SP	Guardian Park Avenue Fund A	$1,001 - $15,000	Capital gains	$1 - $200	NA
	JP MorganChase Bank, Phx, AZ (IRA's)	$1,001 - $15,000	Interest	NA	NA
SP	Nationwide Deferred Compensation Plan, Phx, AZ	$15,001 - $50,000	Capital gains, interest	NA	NA
JT	Residential lot, Guadalupe, AZ	$1,001 - $15,000	Capital gains	NONE	NA
SP	T.I.A.A.-C.R.E.F. Pension Fund	$50,001 - $100,000	Capital gains Interest	NA	NA
	Thrift Savings Plan Pension Fund, Washington, DC	$250,001 - $500,000	Capital gains, contributions	NA	NA

SCHEDULE V - LIABILITIES

Name Edward L. Pastor

Report liabilities of over $10,000 owed to any one creditor at any time during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. Exclude: Any mortgage on your personal residence (unless all or part of it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of your or your spouse. Report "revolving charge accounts" only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability
JT	Bank of America, Phx, AZ	Mortgage on 1151 W Thomas Rd, Phx, AZ	$10,001 - $15,000
JT	JP MorganChase Bank, Phx, AZ	Home Equity Loan on 1151 W Thomas Rd, Phx, AZ	$10,001 - $15,000
JT	Homeside Lending, Inc, DBA Washington Mutual Home Loans, San Antonio, TX	Mortgage on 1015 W Campbell, Phx, AZ	$50,001 - $100,000
	Wells Fargo Bank, Des Moines, IA	Mortgage on 649 C St, #304, Washington, DC	$50,001 - $100,000

SCHEDULE VII - TRAVEL PAYMENTS AND REIMBURSEMENTS

Name Edward L. Pastor

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $285 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor. Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	Point of Departure--Destination--Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Any time not at sponsor's expense
The Aspen Institute	April 13-18	Wash.,D.C. - Great Exuma Is., Bahamas - Wash., D.C.	Y	Y	Y	N/A

SCHEDULE VIII - POSITIONS

Name Edward L. Pastor

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or any business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States. Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
Member	Congressional Hispanic Caucus Washington, DC
Member	Congressional Hispanic Caucus Institute, Washington, DC
Board of Trustees	Neighborhood Housing Services of America, Oakland, CA

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2004

FORM A
For use by Members, officers, and employees

HAND DELIVERED

LEGISLATIVE RESOURCE CENTER
2005 MAY 11 PM 1:52
U.S. HOUSE OF REPRESENTATIVES
(Office Use Only) MC

Ronald E. Paul
(Full Name)

101 Blossom
(Mailing Address)

Lake Jackson, TX 77566

Daytime Telephone (979) 265-3034

Filer Status	[XX] Member of the U.S. House of Representatives	State: Texas District: 14	[ ] Officer or Employee — Employing Office:
Report Type	[XX] Annual (May 15)	[ ] Amendment	[ ] Termination — Termination Date:

A *$200 penalty* shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes [ ]	No [X]
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes [ ]	No [X]
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any *reportable asset worth more than $1,000 at the end of the period?* **If yes, complete and attach Schedule III.**	Yes [X]	No [ ]
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes [X]	No [ ]
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes [ ]	No [X]
VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $285 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes [ ]	No [X]
VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $285 from one source)? **If yes, complete and attach Schedule VII.**	Yes [X]	No [ ]
VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes [X]	No [ ]
IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes [ ]	No [X]

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—*Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?*	Yes [ ]	No [X]
EXEMPTION—*Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?*	Yes [ ]	No [X]

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (See 5 U.S.C. app. 4, § 104 and 18 U.S.C. § 1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	Ron Paul M.C.	5-9-2005

3613

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self-directed IRA (i.e., one where you have the power to select the specific investments), provide information on *each asset* in the account that exceeds the reporting threshold, and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see the instruction booklet for the reporting year.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Asset

at close of reporting year.
If you use a valuation method other than fair market value, *please specify the method used.*
If an asset was sold and is *included only because it generated* income, the value should be "None."

BLOCK C — Type of Income

BLOCK D — Amount of Income

For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.

BLOCK E — Transaction

Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.

	Block A	Block B: A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	Block C: DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify: For Example, Partnership Income or Farm Income)	Block D: I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	Block E: P, S, E
SP, DC, JT	SP Mega Corp Stock					X								X										X								P
	Examples: Simon & Schuster		Indefinite																	Royalties									X			
	1st Bank of Paducah, KY accounts						X									X										X						
	Swim-Ten, LJ, TX	X															X				X	(Closed Corp 12-31-04)										
	Acreage farmland, Hwy 332, LJ, TX (Carona Ltd)						X							Partnership Income							X											
	58 Flag Lake Plaza LJ, TX (CARR Ltd.)					X								Partnership Income												X						
	Placer Dome Inc CStock				X									X							X											
	Dundee Bancorp Inc Class A Common Stock			X										X							X											
	Vista Gold Corp CS		X											X							X											

For additional assets and unearned income, use next page.

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SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name Ronald E. Paul

SP, DC JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset												BLOCK C Type of Income							BLOCK D Amount of Income											BLOCK E Transaction
		A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify)	I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	P, S, E
	Newmont Corp C Stock							X						X										X								
	Goldcorp Inc Class A						X							X									X									
	Kinross Common Stock				X									X										X								
	WMC Limited Common Stk				X									X										X								
	Agnico Eagle Minds CS				X									X									X									
	Barrick Gold Corp CS					X								X										X								
	Glamis Gold Ltd CS					X								X							X											
	Claude Res Inc C Stk			X										X							X											
	Wheaton River Minerals				X									X							X											
	IAM Gold Corp					X								X							X											
	Mag Silver Corp			X										X							X											
	Mines DOR VA Inc			X										X							X											
	Apollo Gold Corp			X										X							X											
	Pan American Silver				X									X							X											
	Pan American Silver Wnts			X										X							X											
	Golden Star Res Ltd				X									X							X											
	Great Basin Gold Ltd			X										X							X											
	Eldorado Gold Corp				X									X							X											
	River Gold Mines Ltd			X										X							X											
	Golden Cycle Gold Corp				X									X							X											

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SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name Ronald E. Paul

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset	BLOCK C Type of Income	BLOCK D Amount of Income	BLOCK E Transaction
		A None; B $1 – $1,000; C $1,001 – $15,000; D $15,001 – $50,000; E $50,001 – $100,000; F $100,001 – $250,000; G $250,001 – $500,000; H $500,001 – $1,000,000; I $1,000,001 – $5,000,000; J $5,000,001 – $25,000,000; K $25,000,001 – $50,000,000; L Over $50,000,000	DIVIDENDS; RENT; INTEREST; CAPITAL GAINS; EXCEPTED TRUST; QUALIFIED BLIND TRUST; Other Type of Income (Specify)	I None; II $1 – $200; III $201 – $1,000; IV $1,001 – $2,500; V $2,501 – $5,000; VI $5,001 – $15,000; VII $15,001 – $50,000; VIII $50,001 – $100,000; IX $100,001 – $1,000,000; X $1,000,001 – $5,000,000; XI Over $5,000,000	P, S, E
	Prudent Bear Mutual Fund, Dallas, TX	C	INTEREST	III	
	Rydex-Ursa Mutual Fund Rockville, MD	D	INTEREST	III	
	Oppenheimer Real Asset Mutual Fund	C	INTEREST	III	
	Rydex Dynamic Venture Mutual Fund Rockville, MD	C	INTEREST	III	
	Condominium, 5300 Holmes Run Pkwy	F	QUALIFIED BLIND TRUST: NONE		
	Acreage - 9 BT Archer Oyster Creek, TX	E	QUALIFIED BLIND TRUST: NONE		
	PARTIAL SALE	A	CAPITAL GAINS	V	S
	First Nat'l Bank of LJ, TX Defined Benefit Account	C	INTEREST	II	
	First Nat'l Bank of LJ, TX Savings	E	INTEREST	IV	
	Congressional Federal Credit Union Wash DC	B	INTEREST	II	

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SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name Ronald E. Paul

SP. DC. JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset											BLOCK C Type of Income						BLOCK D Amount of Income											BLOCK E Transaction
		A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify)	I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	P, S, E
	Texas Dow Employees Credit Union, LJ, TX						X									X								X							
	Texas Gulf Bank, N.A. LJ, TX					X										X							X								
	Mutual Securities Inc. Encino, CA			X												X					X										
	2422 ~~Bluewater Hwy~~ Surfside, TX						X							X											X						

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SCHEDULE IV — TRANSACTIONS

Name Ronald E. Paul

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out		Type of Transaction			Date	Amount of Transaction									
SP, DC, JT	Asset	PURCHASE	SALE	EXCHANGE	(MO/DAY/YR)	B $1,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
SP	*Example:* Mega Corporation Common Stock	X			10-12-04		X								
	Oyster Creek Acreage		X		5-25-04		X								

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SCHEDULE VII —TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $285 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor.

Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

	Source	Date(s)	Point of Departure—Destination—Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Any time not at sponsor's expense
Examples:	Chicago Chamber of Commerce	Mar. 2	Wash., D.C.—Chicago—Wash., D.C.	N	N	N	N
	Roycroft Corporation	Aug. 6–11	Wash., D.C.—Los Angeles—Cleveland	Y	Y	Y	2 Days
	Club for Growth	Feb 20-22	Wash DC - Palm Beach, FL - Houston, TX	Y	Y	N	N

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SCHEDULE VIII — POSITIONS

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States.

Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
President	Ronald E. Paul, M.D. Association
President	Swim-Ten, Inc. **Closed corporation 12-31-2004**
Partner	Carona Limited
Partner	CARR, Ltd.
Honorary Chairman	Foundation for Rational Economics and Education, Inc.
Honorary Chairman	The Liberty Committee

SCHEDULE IX — AGREEMENTS

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement

GPO: 2005 98-729 (mac)

Use additional sheets if more space is required.

UNITED STATES HOUSE OF REPRESENTATIVES
FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2004

FORM A — For use by Members, officers, and employees

HAND DELIVERED

RECEIVED BY ...

2005 MAY 16 PM 5:21

(Office Use Only)

Donald Milford Payne
(Full Name)

21 Bock Avenue Newark,, NJ 07112
(Mailing Address)

(202)2253436
Daytime Telephone

Filer Status	☑ Member of the U.S. House of Representatives — State: NJ District: 10	☐ Officer Or Employee	Employing Office:
Report Type	☑ Annual (May 15) ☐ Amendment	☐ Termination	Termination Date

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I.	Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes ☐ No ☑	
II.	Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes ☑ No ☐	
III.	Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes ☑ No ☐	
IV.	Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes ☐ No ☑	
V.	Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes ☑ No ☐	
VI.	Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $285 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes ☐ No ☑	
VII.	Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $285 from one source)? **If yes, complete and attach Schedule VII.**	Yes ☑ No ☐	
VIII	Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes ☑ No ☐	
IX.	Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes ☐ No ☑	

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

Trusts-	Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes ☐ No ☑
Exemptions--	Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes ☐ No ☑

CERTIFICATION -- THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and wilfully fails to file this report may be subject to civil penalties and criminal sanctions (See 5 U.S.C. app. 4, § 104 and U.S.C. § 1001)

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	Donald M Payne	5-16-05

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SCHEDULE II - PAYMENTS IN LIEU OF HONORARIA MADE TO CHARITY

Name Donald Milford Payne

List the source, activity (i.e., speech, appearance, or article), date, and amount of any payment made by the sponsor of an event to a charitable organization in lieu of an honorarium. A separate confidential list of charities receiving such payments must be filed directly with the Committee on Standards of Official Conduct. An envelope for transmitting the list is included in each Member's filing package.

Source	Activity	Date	Amount
Council for Opprotunity in Education	Speech	Dec. 16, 2004	$2,000

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Donald Milford Payne

BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with *a fair market value exceeding $1,000 at the end of the reporting period*, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self directed IRA (i.e., one where you have the power to select the specific investments) provide information on each asset in the account that exceeds the reporting threshold and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see instruction booklet for the reporting year. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.	BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is *included only because* it is generated income, the value should be "None."	BLOCK C **Type of Income** If other than one of the *listed categories, specify* the type of income by writing a brief description in this block. (For example: Partnership income or Farm Income)	BLOCK D **Amount of Income** For retirement plans or *accounts that do not allow* you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, *should be* listed as income.	BLOCK E **Transaction** Indicate if asset was *purchased* (P), sold (S), or exchanged (E) in reporting year.
Wachovia	$15,001 - $50,000	DIVIDENDS	$1,001 - $2,500	
19 Bock Avenue, Newark, New Jersey	$100,001 - $250,000	RENT	$15,001 - $50,000	
Merck & Co. Stock	$15,001 - $50,000	DIVIDENDS	$201 - $1,000	
Copeland Co. Pension Plan	$50,001 - $100,000	Pension	NONE	
Smith Barney Shearson IRA	$100,001 - $250,000	IRA	NONE	
Laser Master International, Inc.	$1,001 - $15,000	DIVIDENDS	NONE	

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SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Donald Milford Payne

	Prudential Stock	$1,001 - $15,000	DIVIDENDS	$1 - $200
	Medco Health Solutions, Inc.	$1,001 - $15,000	DIVIDENDS	NONE
	Schering Plough Corp.	$1,001 - $15,000	DIVIDENDS	$1 - $200

SCHEDULE V - LIABILITIES

Name Donald Milford Payne

Report liabilities of over $10,000 owed to any one creditor at any time during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. Exclude: Any mortgage on your personal residence (unless all or part of it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of your or your spouse. Report "revolving charge accounts" only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability
	Independence Community Bank, Newark, New Jersey	Mortgage on 19 Bock Avenue	$50,001 - $100,000
	PNC Bank	Home Equity Loan on 19 Bock	$15,001 - $50,000
	Independence Community Bank, Newark, New Jersey	Home Equity Loan on 21 Bock	$15,001 - $50,000

SCHEDULE VII - TRAVEL PAYMENTS AND REIMBURSEMENTS

Name Donald Milford Payne

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $285 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor. Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	Point of Departure--Destination--Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Any time not at sponsor's expense
Aspen Institute	Jan. 5-11	Newark-Hawaii-Newark	Y	Y	N	N/A
Inter-American Economic Council	Jan. 14-18	Wash. D.C.-St. Lucia-Antigua-Wash. D.C.	Y	Y	N	N/A
Deutsch-Amerikanisches Institute	Jan. 23-26	Newark-Frankfurt-Newark	Y	Y	N	N/A
Aspen Institute	Feb. 17-22	Newark-Cancun-Newark	Y	Y	N	N/A
Aspen Institute	April 13-18	Newark-Nassau-Newark	Y	Y	N	N/A
Inter-American Economic Council	May 24-25	Newark-Miami-Newark	Y	Y	N	N/A
Aspen Institute	Aug. 18-27	Newark-Brussels-Venice-Amsterdam-Newark	Y	N	N	N/A
Caribbean Multi-National Business	Nov. 6-9	Newark-San Juan, St. Kitt, San Juan, Newark	Y	Y	N	N/A
Council for Opportunity in Education	Dec,2- 6	Newark-San Juan-Newark	Y	Y	N	N/A
Council for Opportunity in Education	Dec. 16-18	Newark-New Orleans-Newark	Y	Y	N	N/A

SCHEDULE VIII - POSITIONS

Name Donald Milford Payne

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or any business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States. Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
Board of Directors	Newark Boys' and Girls' Clubs
Board of Directors	Newark Day Center
Board of Directors	Newark YMWCA
Board of Directors	Congressional Black Caucus Foundation
Board of Directors	Discovery Channel Foundation

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2004

FORM A
For use by Members, officers, and employees

5

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OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES

STEVAN E. PEARCE
(Full Name)

P.O. BOX 15866
(Mailing Address)

Daytime Telephone:

WASHINGTON D.C. 20003-0866

Filer Status	☒ Member of the U.S. House of Representatives	State: New Mexico District: 02	☐ Officer or Employee	Employing Office:
Report Type	☒ Annual (May 15)	☐ Amendment	☐ Termination	Termination Date

A *$200 penalty* shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes ☒	No ☐	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $285 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes ☐	No ☒
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes ☐	No ☒	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $285 from one source)? **If yes, complete and attach Schedule VII.**	Yes ☐	No ☒
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes ☒	No ☐	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes ☒	No ☐
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes ☐	No ☒	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes ☐	No ☒
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes ☐	No ☒	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**		

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes ☐	No ☒
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes ☐	No ☒

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, § 104 and 18 U.S.C. § 1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I **CERTIFY** that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	Stevan Pearce	5-12-05

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Name STEVAN E. PEARCE | Page 1 of 4

SCHEDULE I — EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

	Source	Type	Amount
Examples:	Keene State	Approved Teaching Fee	$6,000
	State of Maryland	Legislative Pension	$9,000
	Civil War Roundtable (Oct. 2nd)	Spouse Speech	$1,000
	Ontario County Board of Education	Spouse Salary	NA
	TRINITY INDUSTRIES, INC.	SPOUSE SALARY	NA
	LEA COUNTY STATE BANK	SPOUSE DIRECTOR FEE	NA

For payments to charity in lieu of honoraria, use Schedule II.

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self-directed IRA (i.e., one where you have the power to select the specific investments), provide information on *each asset* in the account that exceeds the reporting threshold, and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see the instruction booklet for the reporting year.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Asset

at close of reporting year.
If you use a valuation method other than fair market value, please specify the method used.
If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

BLOCK D — Amount of Income

For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.

BLOCK E — Transaction

Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.

	Block A		Block B: A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	Block C: DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify. For Example, Partnership Income or Farm Income)	Block D: I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	Block E: P, S, E
SP		SP	Mega Corp Stock					X							X										X								P
DC,	Examples:		Simon & Schuster			Indefinite															Royalties									X			
JT			1st Bank of Paducah, KY accounts						X								X									X							
	TRINITY INDUSTRIES, INC. STOCK											X			X							X											
	EQUIP RENTAL HOBBS, NM																																
	EXEDRA, LLC STOCK																																
	EQUIP RENTAL HOBBS, NM								X												PARTNERSHIP	X											
	GREE, LLC STOCK																																
	EQUIP RENTAL HOBBS, NM		X																		PARTNERSHIP	X											
	LFT, LLC STOCK																																
	EQUIP RENTAL HOBBS, NM								X												PARTNERSHIP							X					
	LEA COUNTY BANCSHARES STOCK																																
SP	BANKING HOBBS, NM.									X					X													X					
SP	WELLS FARGO STOCK				X										X									X									

For additional assets and unearned income, use next page.

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name STEVAN E. PEARCE

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset	BLOCK C Type of Income	BLOCK D Amount of Income	BLOCK E Transaction
		A None; B $1 – $1,000; C $1,001 – $15,000; D $15,001 – $50,000; E $50,001 – $100,000; F $100,001 – $250,000; G $250,001 – $500,000; H $500,001 – $1,000,000; I $1,000,001 – $5,000,000; J $5,000,001 – $25,000,000; K $25,000,001 – $50,000,000; L Over $50,000,000	DIVIDENDS; RENT; INTEREST; CAPITAL GAINS; EXCEPTED TRUST; QUALIFIED BL IND TRUST; Other Type of Income (Specify)	I None; II $1 – $200; III $201 – $1,000; IV $1,001 – $2,500; V $2,501 – $5,000; VI $5,001 – $15,000; VII $15,001 – $50,000; VIII $50,001 – $100,000; IX $100,001 – $1,000,000; X $1,000,001 – $5,000,000; XI Over $5,000,000	P, S, E
SP	USAA IRA (Rollover to USAA SEP) PDIC INS MM DEP ACCT IRA	A X	INTEREST X	I X	
SP	USAA SEP/IRA FDIC INS MM DEP ACCT	E X	INTEREST X	III X	
SP	MERRILL LYNCH SIMPLE IRA MM DEP ACCT (Rollover to USAA SIMPLE)	A X	INTEREST X	I X	
SP	USAA SIMPLE IRA MM DEP ACCT	E X	INTEREST X	III X	
	LC&BANK HOBBS, NM ACCOUNTS	F X	INTEREST X	III X	
	1ST NATL BANK HOBBS, NM ACCOUNTS	E X	INTEREST X	VI X	
	CFCU WASHINGTON, DC ACCOUNTS	D X	INTEREST X	III X	

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SCHEDULE VIII — POSITIONS

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States.

Exclude: Positions held in any religious, social, fraternal, or political entities, positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
PRESIDENT	TRINITY INDUSTRIES INC.
MEMBER	EXEDRA, LLC
MEMBER	GREE, LLC
MEMBER	LFT, LLC
DIRECTOR	PEARCE MUSEUM OF ART AND ANTIQUITIES
DIRECTOR	NM 4-H YOUTH FOUNDATION
DIRECTOR	STEVAN AND CYNTHIA PEARCE CHARITABLE FOUNDATION

SCHEDULE IX — AGREEMENTS

Identify the date, parties to, and general terms of any agreement or arrangement with respect to future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement
	NA	

Use additional sheets if more space is required.

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UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2004

FORM A

For use by Members, officers, and employees

Nancy Pelosi
(Full Name)

235 Montgomery Street, Suite 610 San Francisco, CA 94104
(Mailing Address)

415-788-8600
Daytime Telephone:

U.S. HOUSE OF REPRESENTATIVES
(Office Use Only)

Filer Status	☑ Member of the U.S. House of Representative — State: CA, District: 08	☐ Officer Or Employee	Employing Office:
Report Type	☑ Annual (May 15)	☐ Amendment	☐ Termination — Termination Date:

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION – ANSWER EACH OF THESE QUESTIONS

I.	Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes ☑ No ☐	
II.	Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes ☐ No ☑	
III.	Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes ☑ No ☐	
IV.	Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes ☑ No ☐	
V.	Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes ☑ No ☐	
VI.	Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $285 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes ☐ No ☑	
VII.	Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $285 from one source)? **If yes, complete and attach Schedule VII.**	Yes ☐ No ☑	
VIII.	Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes ☑ No ☐	
IX.	Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes ☐ No ☑	

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

Trusts-	Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes ☐ No ☑
Exemptions--	Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes ☐ No ☑

CERTIFICATION -- THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (See 5 U.S.C. app. 4, § 104 and U.S.C. § 1001)

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief	Nancy Pelosi	May 12, 2005

SCHEDULE I - EARNED INCOME

Name Nancy Pelosi

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

Source	Type	Amount
Nancy Pelosi	None	N/A
Financial Leasing	Spouse Salary	N/A
Fairfield Associates	Spouse Management Fee	N/A
Forty Five Belden Corp.	Spouse Management Fee	N/A
Isolep Enterprises	Spouse Management Fee	N/A
Yerac Associates	Spouse Management Fee	N/A

SCHEDULE II - PAYMENTS IN LIEU OF HONORARIA MADE TO CHARITY

Name NANCY PELOSI

List the source, activity (i.e., speech, appearance, or article), date, and amount of any payment made by the sponsor of an event to a charitable organization in lieu of an honoranium. A separate confidential list of charities receiving such payments must be filed directly with the Committee on Standards of Official Conduct. An envelope for transmitting the list is included in each Member's filing package.

Source	Activity	Date	Amount
NONE			

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Nancy Pelosi

	BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self directed IRA (i.e., one where you have the power to select the specific investments) provide information on each asset in the account that exceeds the reporting threshold and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see instruction booklet for the reporting year. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.	BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership income or Farm Income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.	BLOCK E **Transaction** Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.
SP	1600 Atlas Peak Road, Napa, CA - Real estate investment	$500,001 - $1,000,000	RENT	$15,001 - $50,000	N/A
SP	235 Twelve Inc. - LLC Common Stock (Now 235 Second Twelve Inc. - LLC)	$100,001 - $250,000	PARTNERSHIP INCOME (LOSS)	($1,001 - $2,500)	N/A
SP	25 Point Lobos, San Francisco, CA - Commercial property	$1,000,001 - $5,000,000	RENT	$100,001 - $1,000,000	N/A
SP	45 Belden Place, San Francisco, CA - Four-story commercial building	$1,000,001 - $5,000,000	RENT	$100,001 - $1,000,000	N/A
JT	723 Mule Ears Court Norden, CA - Townhome	$1,000,001 - $5,000,000	NONE	NONE	N/A

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Nancy Pelosi

SP	820 Sir Francis Drake Blvd., San Anselmo, CA - Commercial Property	$1,000,001 - $5,000,000	RENT	$100,001 - $1,000,000	N/A
SP	Access Technology Partners, LP	$250,001 - $500,000	PARTNERSHIP INCOME (LOSS)	($15,001 - $50,000)	N/A
SP	Active Networks, LLC - Common Stock	$15,001 - $50,000	NONE	NONE	P
SP	Adaytum Software, Inc. - Common Stock	-0-	CAPITAL LOSS	$1,001 - $2,500	S
SP	Advanced Fiber Communications - Public Common Stock	$50,001 - $100,000	CAPITAL GAINS	$5,001 - $15,000	S
SP	Agile Software Corp. - Public Common Stock	$15,001 - $50,000	NONE	NONE	N/A
SP	Alliance Gaming Corp. - Public Common Stock	$500,001 - $1,000,000	CAPITAL LOSS	($100,001 - $1,000,000)	Incr. Inv./Partial Sale
SP	Americas Doctors.com - Common Stock	$1,001 - $15,000	NONE	NONE	N/A
SP	Aplion, Inc. - Common Stock	-0-	CAPITAL LOSS	($15,001 - $50,000)	S
SP	Aristotle Publishing, Inc. - Common Stock	$15,001 - $50,000	NONE	NONE	N/A
SP	Ashlar, Inc. Common Stock	$15,001 - $50,000	NONE	NONE	N/A
SP	AT &T Public Common Stock	$100,001 - $250,000	DIVIDENDS	$15,001 - $50,000	N/A
SP	Attenza, LLC - Common Stock	$15,001 - $50,000	NONE	NONE	N/A

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Nancy Pelosi

SP	Auberge du Soleil, Rutherford, CA - Owns resort hotel	$1,000,001 - $5,000,000	PARTNERSHIP INCOME (LOSS)	($100,001 - $1,000,000)	N/A
SP	Avaya - Public Common Stock	$1 - $1,000	NONE	NONE	N/A
SP	Bank of America, San Francisco	$15,001 - $50,000	INTEREST	$1 - $200	N/A
JT	Bank of America, San Francisco	$15,001 - $50,000	NONE	NONE	N/A
SP	Bank of America, Washington, DC	$15,001 - $50,000	INTEREST	$1 - $200	N/A
SP	Beacon Education Management - Common Stock	$15,001 - $50,000	NONE	NONE	N/A
SP	BF Enterprises - Public Common Stock	$15,001 - $50,000	NONE	NONE	N/A
SP	Biz360, Inc. - Common Stock	$15,001 - $50,000	NONE	NONE	N/A
SP	Borel Estate Company - Owns shopping center	$100,001 - $250,000	RENT	$5,001 - $15,000	N/A
SP	Briazz - Public Common Stock	$1 - $1,000	NONE	NONE	N/A
SP	Broadcom Corp. - Public Common Stock	$1,001 - $15,000	NONE	NONE	N/A
JT	Broadway Property, San Francisco, CA - Real Estate Option	$1,000,001 - $5,000,000	NONE	NONE	N/A
SP	Builders Info. Group. - Common Stock	$1 - $1,000	NONE	NONE	N/A
SP	Bullhorn - Common Stock	$15,001 - $50,000	NONE	NONE	N/A

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Nancy Pelosi

SP	Chalone Wine Group, Ltd. - Public Common Stock	$15,001 - $50,000	NONE	NONE	N/A
SP	Cierra Photonics - Common Stock	$15,001 - $50,000	NONE	NONE	N/A
SP	Cisco Systems, Inc. - Common Stock	$15,001 - $50,000	NONE	NONE	N/A
SP	City Car Services, LLC	$500,001 - $1,000,000	PARTNERSHIP INCOME (LOSS)	($100,001 - $1,000,000)	P
SP	CMGI, Inc. - Common Stock	$1,001 - $15,000	NONE	NONE	N/A
SP	Co-Nect - Common Stock	$1,001 - $15,000	NONE	NONE	N/A
SP	Coach, Inc. - Public common stock	-0-	CAPITAL GAINS	$15,001 - $50,000	S
SP	Collab.Net - Common Stock	$1,001 - $15,000	NONE	NONE	N/A
SP	Collaborative Group - Common Stock	$1 - $1,000	NONE	NONE	N/A
SP	ComCast - Public Common Stock	$100,001 - $250,000	NONE	NONE	N/A
SP	Command Audio - Common Stock	$1,001 - $15,000	NONE	NONE	N/A
JT	Congressional Credit Union, Washington, DC	$15,001 - $50,000	INTEREST	$201 - $1,000	N/A
SP	Critical Path Inc. - Public Common Stock	$1,001 - $15,000	NONE	NONE	N/A
SP	Currenex - Common Stock	$50,001 - $100,000	NONE	NONE	N/A

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Nancy Pelosi

SP	Digital Fountain - Common Stock	$1,001 - $15,000	NONE	NONE	N/A
SP	Disney Company, Walt - Public Common Stock	$250,001 - $500,000	CAPITAL GAINS	$15,001 - $50,000	P/S
SP	Dreamworks Animation - Public Common Stock	$250,001 - $500,000	NONE	NONE	P
SP	EDI Associates, Mill Valley, CA - Hotel Investment	$250,001 - $500,000	PARTNERSHIP INCOME (LOSS)	($15,001 - $50,000)	N/A
SP	Electro Scientific - Public Common Stock	-0-	CAPITAL GAINS	$15,001 - $50,000	P/S
SP	Emulex-Public Common Stock	$15,001 - $50,000	NONE	NONE	N/A
SP	Evident - Common Stock	$50,001 - $100,000	NONE	NONE	Increased investment
SP	Fastnet - Common Stock	$1 - $1,000	NONE	NONE	N/A
SP	Financial Leasing Services, S.F., CA - Investment Company	$100,001 - $250,000	NONE	NONE	N/A
SP	Forty-Five Belden Corp., S.F., CA - Investment Company	$1,000,001 - $5,000,000	PARTNERSHIP INCOME	$1,000,001 - $5,000,000	N/A
SP	Genitope Corp. - Public Common Stock	$100,001 - $250,000	NONE	NONE	N/A
SP	Getty Images, Inc. - Public Common Stock	$50,001 - $100,000	NONE	NONE	N/A
SP	Granite Ventures, LP	$250,001 - $500,000	PARTNERSHIP INCOME	$1,001 - $2,500	Increased investment
SP	iBiquity Digital Coporation - Common Stock (Formerly USA DR - Common Stock)	$15,001 - $50,000	NONE	NONE	N/A

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Nancy Pelosi

SP	iKnowMed Systems, Inc.	-0-	CAPITAL LOSS	($5,001 - $15,000)	S
SP	Infospace, Inc. - Public Common Stock	$15,001 - $50,000	NONE	NONE	N/A
SP	Interloci, LLC - Common Stock	-0-	CAPITAL LOSS	($15,001 - $50,000)	S
SP	Internap Delaware - Common Stock	$50,001 - $100,000	NONE	NONE	N/A
SP	Internet Cap. Group - Public Stock	$1 - $1,000	NONE	NONE	N/A
SP	Intraop, LLC - Common Stock	$50,001 - $100,000	NONE	NONE	N/A
SP	Japan Partners - Common Stock	$1,001 - $15,000	NONE	NONE	N/A
SP	Johnson & Johnson Public Common Stock	$100,001 - $250,000	DIVIDEND	$2,501 - $5,000	N/A
SP	Learning Technologies, Inc. - Common Stock	$15,001 - $50,000	NONE	NONE	N/A
SP	LEG Partners, III, L.P.	$1,001 - $15,000	PARTNERSHIP INCOME	$2,501 - $5,000	Increased investment
SP	Liberate Technologies - Public Common Stock	$1 - $1,000	NONE	NONE	N/A
SP	Lions Gate Limited Partnership (Cordevalle) - Golf Development Partnership	$500,001 - $1,000,000	PARTNERSHIP INCOME (LOSS)	$100,001 - $1,000,000	Increased investment
SP	Lucent Technologies - Public Common Stock	$1,001 - $15,000	NONE	NONE	N/A
SP	LuxN, LLC - Common Stock	-0-	CAPITAL LOSS	($15,001 - $50,000)	S

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Nancy Pelosi

SP	Marquetry Antiques Partnership	$15,001 - $50,000	PARTNERSHIP INCOME	$2,501 - $5,000	P
SP	McGrath Rentcorp. Inc. - Public Common Stock	$250,001 - $500,000	DIVIDENDS	$5,001 - $15,000	Partial sale
SP	Microsoft Corp. - Public Common Stock	$100,001 - $250,000	CAPITAL GAINS	$15,001 - $50,000	N/A
SP	MontaVista, LLC - Common Stock	$15,001 - $50,000	NONE	NONE	N/A
SP	Mosher Partners, LP, Sacramento, CA - Real Estate Partnership	$250,001 - $500,000	NONE	NONE	N/A
SP	MTI Cephus Partners	$50,001 - $100,000	NONE	NONE	P
SP	Nautilus Leasing Services - Container leasing company	$50,001 - $100,000	NONE	NONE	N/A
SP	Navis Holdings, LLC - Common Stock	$1,001 - $15,000	NONE	NONE	N/A
SP	Net2Phone, Inc. - Public Common Stock	$1 - $1,000	NONE	NONE	N/A
SP	Netcessity - Common Stock	-0-	CAPITAL LOSS	($15,001 - $50,000)	S
SP	New River Pharmaceuticals - Public Common Stock	$50,001 - $100,000	NONE	NONE	P
SP	Niku Corp. - Public Common Stock	$1 - $1,000	NONE	NONE	N/A
SP	Niman Ranch - Common Stock	$50,001 - $100,000	NONE	NONE	N/A
SP	Nine Forty Five Battery, LLC, San Francisco, CA - Real Estate Partnership	$1,000,001 - $5,000,000	RENT	$100,001 - $1,000,000	N/A

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Nancy Pelosi

SP	Nine Hundred One Battery, San Francisco, CA - Real Estate Partnership	$15,001 - $50,000	RENT	$1,001 - $2,500	NA
SP	Oakwood Homes Corp - Public Common Stock	$1 - $1,000	NONE	NONE	N/A
SP	Oakwood Homes Corp. - Bonds	$500,001 - $1,000,000	INTEREST	$15,001 - $50,000	N/A
SP	Oculan Corp. - Common Stock	-0-	CAPITAL LOSS	($15,001 - $50,000)	S
SP	Odyssey, LLC - Common Stock	$15,001 - $50,000	NONE	NONE	N/A
SP	Orlimar, LLC - Common Stock	-0-	CAPITAL LOSS	($15,001 - $50,000)	S
SP	Overstock.Com - Public Common Stock	$100,001 - $250,000	NONE	NONE	N/A
SP	Pacific Island Resources, LLC - Common Stock	$100,001 - $250,000	NONE	NONE	N/A
SP	Peoplesoft Inc. - Public Common Stock	-0-	CAPITAL LOSS	($15,001 - $50,000)	P/S
SP	Pfizer, Inc. - Public Common Stock	$250,001 - $500,000	DIVIDENDS	$5,001 - $15,000	P
SP	Piatti Restaurant Co., Tiburon, CA - Restaurant Operating Company	$1,000,001 - $5,000,000	PARTNERSHIP INCOME	$15,001 - $50,000	N/A
SP	Plato - Common Stock	$1 - $1,000	NONE	NONE	N/A
SP	Plumtree Software, Inc. - Common Stock	$250,001 - $500,000	NONE	NONE	N/A
SP	Polycom - Public Common Stock	$250,001 - $500,000	NONE	NONE	Increased investment

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Nancy Pelosi

SP	Potomac Investment Assoc, Gathersberg, MD - Real Estate Partnership	$1,001 - $15,000	NONE	NONE	N/A
SP	Procure Point - Common Stock	-0-	CAPITAL LOSS	($15,001 - $50,000)	S
SP	QualComm, Inc. - Public Common Stock	$1,001 - $15,000	DIVIDEND	$1 - $200	N/A
SP	Quinton Cardiology Sys., Inc. - Public Common Stock	$100,001 - $250,000	NONE	NONE	N/A
SP	Recommender 2 - Common Stock	-0-	CAPITAL LOSS	($15,001 - $50,000)	S
SP	Redenvelope - Public Common Stock	$100,001 - $250,000	NONE	NONE	N/A
SP	REOF V - Real Estate Partnership	$100,001 - $250,000	NONE	NONE	N/A
SP	REOF VI - Real Estate Partnership	$50,001 - $100,000	PARTNERSHIP INCOME (LOSS)	($5,001 - $15,000)	P
SP	RF Micro Devices, Inc. - Public Common Stock	-0-	CAPITAL LOSS	($100,001 - $1,000,000)	S
SP	Robert Half Intl., Inc. - Public Common Stock	$50,001 - $100,000	DIVIDENDS	$201 - $1,000	N/A
SP	Salesforce.com, LLC - Common Stock	$50,001 - $100,000	NONE	NONE	N/A
SP	Schnitzer Stl. Inds. - Public Common Stock	$250,001 - $500,000	NONE	NONE	P
SP	Shutterfly - Common Stock	$1 - $1,000	NONE	NONE	N/A
SP	Sonus Networks Inc. - Public Stock	$1,001 - $15,000	NONE	NONE	N/A

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Nancy Pelosi

SP	Speakeasy, Inc. - Common Stock	$15,001 - $50,000	NONE	NONE	Increased investment
SP	Spirian, LLC - Common Stock	-0-	CAPITAL LOSS	$15,001 - $50,000	S
SP	Stoneridge, LLC	$500,001 - $1,000,000	CAPITAL GAIN	$100,001 - $1,000,000	N/A
SP	Sun Microsystems - Public Common Stock	$1,001 - $15,000	NONE	NONE	N/A
SP	Support Inc. - Common Stock - AKA Support Soft, Inc.)	$1,001 - $15,000	NONE	NONE	N/A
SP	TeraOp, LLC - Common Stock	$15,001 - $50,000	NONE	NONE	N/A
SP	Thirteen Hundred One Sansome, LLC - Real Estate Partnership	$1,000,001 - $5,000,000	RENT	$100,001 - $1,000,000	N/A
SP	Tripath Technology, Inc. - Common Stock	$1,001 - $15,000	NONE	NONE	N/A
SP	Trivium Systems, Inc. - Common Stock	$15,001 - $50,000	NONE	NONE	N/A
SP	Trux Gate Associates Ltd. - Real Estate Partnership	-0-	CAPITAL GAIN	$100,001 - $1,000,000	S
SP	Unicru - Common Stock	$15,001 - $50,000	NONE	NONE	N/A
SP	Union Bank of California, San Francisco - Money Market	$1,001 - $15,000	INTEREST	$1 - $200	N/A
SP	Union Bank of California, San Francisco, CA - savings account	$1,001 - $15,000	INTEREST	$201 - $1,000	N/A
SP	USA DR - Common Stock (Currently iBiquity Digital Corp.)				

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Nancy Pelosi

SP	Vanguard Airlines, Inc. - Public Common Stock	$1 - $1,000	NONE	NONE	N/A
JT	Wells Fargo Bank, San Francisco, CA - savings account	$15,001 - $50,000	INTEREST	$1 - $200	N/A
SP	Xtreme Spectrum, Inc. - Common Stock	-0-	CAPITAL LOSS	($201 - $1,000)	S
SP	Yantra Corp. - Common Stock	$50,001 - $100,000	NONE	NONE	N/A
SP	Yerac Associates - Investments	$500,001 - $1,000,000	NONE	NONE	N/A
JT	Zinfandel Lane, St. Helena, CA	$5,000,001 - $25,000,000	VINEYARD	$50,001 - $100,000	N/A

SCHEDULE IV - TRANSACTIONS

Name Nancy Pelosi

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
SP	Active Networks LLC - Common Stock	P	10/13/04	$15,001 - $50,000
SP	Adaytum Software, Inc. -Common Stock	S	12/31/04	$1,001 - $15,000
SP	Advanced Fiber Communications	S	06/14/04	$50,001 - $100,000
SP	Alliance Gaming Corp. - Public Common Stock	P	5/13/04	$15,001 - $50,000
SP	Alliance Gaming Corp. - Public Common Stock	P	05/13/04	$15,001 - $50,000
SP	Alliance Gaming Corp. - Public Common Stock	P	05/13/04	$15,001 - $50,000
SP	Alliance Gaming Corp. - Public Common Stock	P	05/18/04	$500,001 - $1,000,000
SP	Alliance Gaming Corp. -Public Common Stock	S	12/30/04	$250,001 - $500,000
SP	Aplion Inc. - Common Stock	S	12/31/04	$15,001 - $50,000
SP	City Car Services, LP	P	8/11/04	$500,001 - $1,000,000
SP	Coach Inc. - Public Common Stock	S	05/13/04	$100,001 - $250,000
SP	Disney, Walt Company - Public Common Stock	P	2/9/04	$100,001 - $250,000

SCHEDULE IV - TRANSACTIONS

Name Nancy Pelosi

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Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
SP	Disney Company, Walt - Public Common Stock	S	02/27/04	$100,001 - $250,000
SP	Disney Company, Walt - Public Common Stock	S	04/14/04	$250,001 - $500,000
SP	Dreamworks Animation - Public Common Stock	P	12/29/04	$250,001 - $500,000
SP	Electro Scientific Inds. - Public Common Stock	P	02/12/04	$100,001 - $250,000
SP	Electro Scientific Inds. - Public Common Stock	P	2/24/04	$100,001 - $250,000
SP	Electro Scientific Inds. - Public Common Stock	S	04/14/04	$250,001 - $500,000
SP	Evident Software Inc. - Common Stock	P	06/02/04	$1,001 - $15,000
SP	Granite Ventures, LP	P	2/19/04	$15,001 - $50,000
SP	Granite Ventures, LP	P	08/10/04	$15,001 - $50,000
SP	IknowMed Systems, Inc. - Common Stock	S	12/31/04	$1,001 - $15,000
SP	Iterloci, LLC - Common Stock	S	12/31/04	$15,001 - $50,000
SP	Leg Partners III, LP	P	01/21/04	$1,001 - $15,000

SCHEDULE IV - TRANSACTIONS

Name Nancy Pelosi

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
SP	Lions Gate LP (Cordevalle)	P	06/29/04	$15,001 - $50,000
SP	Lions Gate LP (Cordevalle)	P	07/21/04	$15,001 - $50,000
SP	Lions Gate LP (Cordevalle)	P	12/30/04	$15,001 - $50,000
SP	LuxN - Common Stock	S	12/31/04	$15,001 - $50,000
SP	Marquetry Antiques Partnership	P	07/21/04	$15,001 - $50,000
SP	Marquetry Antiques Partnership	P	11/10/04	$15,001 - $50,000
SP	McGrath Rentcorp Inc. - Public Common Stock	S	12/30/04	$50,001 - $100,000
SP	MTI Cephus Partners	P	11/01/04	$50,001 - $100,000
SP	Netcessity - Common Stock	S	12/31/04	$15,001 - $50,000
SP	New River Pharmaceuticals - Public Common Stock	P	8/10/04	$15,001 - $50,000
SP	Oculan - Common Stock	S	12/31/04	$15,001 - $50,000
SP	Orlimar LLC - Common Stock	S	12/31/04	$15,001 - $50,000

SCHEDULE IV - TRANSACTIONS

Name Nancy Pelosi

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
SP	Peoplesoft Inc. - Public Common Stock	P	1/8/04	$100,001 - $250,000
SP	Peoplesoft, inc. - Public Common Stock	S	5/13/04	$50,001 - $100,000
SP	Pfizer, Inc. - Public Common Stock	P	4/16/04	$250,001 - $500,000
SP	Polycom, Inc. - Public Common Stock	P	04/08/04	$250,001 - $500,000
SP	Procure Point - Common Stock	S	12/31/04	$15,001 - $50,000
SP	Recommender 2 - Common Stock	S	12/31/04	$15,001 - $50,000
SP	REOF VI, LLC	P	2/25/04	$50,001 - $100,000
SP	RF Micro Devices, Inc. - Public Common Stock	S	12/29/04	$100,001 - $250,000
SP	Schnitzer SH Inds. - Public Common Stock	P	12/29/04	$250,001 - $500,000
SP	Speakeasy, Inc. - Common Stock	P	03/04/04	$1,001 - $15,000
SP	Spirian, LLC - Common Stock	S	12/31/04	$15,001 - $50,000
SP	Trux Gate Associates, Ltd. - Real Estate Partnership	S	2/25/04	$250,001 - $500,000

SCHEDULE IV - TRANSACTIONS

Name Nancy Pelosi

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
SP	Xtreme Spectrum - Common Stock	S	12/31/04	<1,000

SCHEDULE V - LIABILITIES

Name Nancy Pelosi

Report liabilities of over $10,000 owed to any one creditor at any time during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. Exclude: Any mortgage on your personal residence (unless all or part of it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of your or your spouse. Report "revolving charge accounts" only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability
JT	Union Bank of California	Mortgage on Zinfandel Lane, St. Helena, CA	$1,000,001 - $5,000,000
JT	Union Bank of California	Mortgage on Mule Ears Court, Norden, CA	$500,001 - $1,000,000
SP	Orix Capital Markets, LLC	Mortgage on 45 Belden Place, San Francisco, CA	$1,000,001 - $5,000,000
SP	Wachovia Securities	Mortgage on 820 Sir Francis Drake Blvd., San Anselmo, CA	$1,000,001 - $5,000,000
SP	Wachovia Securities	Mortgage on 25 Point Lobos Avenue, San Francisco, CA	$1,000,001 - $5,000,000
JT	World Savings	Morgage on 1600 Atlas Peak Road, Napa, CA	$100,001 - $250,000
SP	Bank of America	Line of Credit	$500,001 - $1,000,000
SP	Union Bank of California	Investment Loan	$500,001 - $1,000,000
SP	Wells Fargo Investments	Margin Account	$1,000,001 - $5,000,000
SP	W.R. Hambrecht + Co.	Margin Account	$100,001 - $250,000

SCHEDULE VI - GIFTS

Name Nancy Pelosi

Report the source, a brief description, and the value of all gifts totalling more than $285 received by you, your spouse, or a dependent child from any source during the year. Exclude: Gifts from relatives, gifts of personal hospitality of an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of $114 or less need not be added towards the $285 disclosure threshold. Note: The gift rule (House Rule 25, clause 5) prohibits acceptance of gifts except as specifically provided in the rule.

Source	Description	Value
NONE		

SCHEDULE VII - TRAVEL PAYMENTS AND REIMBURSEMENTS

Name Nancy Pelosi

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $285 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor. Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	Point of Departure--Destination--Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Any time not at sponsor's expense
NONE			N	N	N	

SCHEDULE VIII - POSITIONS

Name Nancy Pelosi

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or any business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States. Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
Honorary Advisory Board	The Women & Politics Institute at American University
Congressional Advisory Board	Int'l. Women's Democracy Center
Advisory Board	Kennedy Center
Lead America Trustee	Lead America
Trustee	United States Botanical Gardens
Advisory Board	We the People IMAX film Project
Honorary Board of Advisors	Nat'l. Student Leadership Foundation
Ex-Officio Member	Columbia Shuttle Memorial Trust

SCHEDULE IX - AGREEMENTS

Name Nancy Pelosi

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement
	NONE	

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2004

FORM A
For use by Members, officers, and employees

HAND DELIVERED

LEGISLATIVE RECORDS CENTER
2005 MAY -6 PM 4:08
U.S. HOUSE OF REPRESENTATIVES
(Office Use Only)

Michael Richard Pence
(Full Name)
426 Cannon House Office Bldg. 202-225-3021
(Mailing Address) Daytime Telephone
Washington, DC 20515

Filer Status	☒ Member of the U.S. House of Representatives	State: Indiana District: Sixth	☐ Officer or Employee — Employing Office:
Report Type	☒ Annual (May 15)	☐ Amendment	☐ Termination — Termination Date:

A *$200 penalty* shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes ☒	No ☐
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes ☒	No ☐
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes ☒	No ☐
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes ☐	No ☒
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes ☐	No ☒
VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $285 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes ☐	No ☒
VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $285 from one source)? **If yes, complete and attach Schedule VII.**	Yes ☒	No ☐
VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes ☒	No ☐
IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes ☐	No ☒

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes ☐	No ☒
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes ☐	No ☒

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, § 104 and 18 U.S.C. § 1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	Mike Pence	5/6/05

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SCHEDULE I — EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

	Source	Type	Amount
Examples:	Keene State	Approved Teaching Fee	$6,000
	State of Maryland	Legislative Pension	$9,000
	Civil War Roundtable (Oct. 2nd)	Spouse Speech	$1,000
	Ontario County Board of Education	Spouse Salary	NA
	Immanuel Christian School	spouse salary	NA
	Self-employed Artist	Spouse income	NA

For payments to charity in lieu of honoraria, use Schedule II.

Name Michael Richard Pence | Page 3 of ___

SCHEDULE II — PAYMENTS IN LIEU OF HONORARIA MADE TO CHARITY

List the source, activity (i.e., speech, appearance, or article), date, and amount of any payment made by the sponsor of an event to a charitable organization in lieu of an honorarium. A separate confidential list of charities receiving such payments must be filed directly with the Committee on Standards of Official Conduct. An envelope for transmitting the list is included in each Member's filing package.

Source	Activity	Date	Amount
Examples: Association of American Associations, Wash., D.C.	Speech	Feb. 2, 2004	$2,000
XYZ Magazine	Article	Aug. 13, 2004	$500
The Heritage Foundation	Speech	April 30, 2004	$2,000

This page may be copied if more space is required.

Name Michael Richard Pence | Page 4 of __

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self-directed IRA (i.e., one where you have the power to select the specific investments), provide information on *each asset* in the account that exceeds the reporting threshold, and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see the instruction booklet for the reporting year.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Asset

at close of reporting year.
If you use a valuation method other than fair market value, please specify the method used.
If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

BLOCK D — Amount of Income

For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.

BLOCK E — Transaction

Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.

	Block A		Block B: None	$1 – $1,000	$1,001 – $15,000	$15,001 – $50,000	$50,001 – $100,000	$100,001 – $250,000	$250,001 – $500,000	$500,001 – $1,000,000	$1,000,001 – $5,000,000	$5,000,001 – $25,000,000	$25,000,001 – $50,000,000	Over $50,000,000	Block C: DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify: For Example, Partnership Income or Farm Income)	Block D: None	I – $200	$201 – $1,000	$1,001 – $2,500	$2,501 – $5,000	$5,001 – $15,000	$15,001 – $50,000	$50,001 – $100,000	$100,001 – $1,000,000	$1,000,001 – $5,000,000	Over $5,000,000	Block E: P, S, E
SP		SP	Mega Corp. Stock					X							X										X								P
DC	*Examples*		Simon & Schuster			Indefinite															Royalties									X			
JT			1st Bank of Paducah, KY accounts					X									X										X						
DC	Central IN Sch. Emp. FCU			X													X						X										
DC	Central IN Sch. Emp. FCU			X													X						X										
DC	Central IN Sch. Emp. FCU			X													X						X										
JT	Central IN Sch. Emp. FCU			X													X						X										
	Keil Bros Oil (out of business)		X																			X											
	KP Oil Inc (Stock A) (out of business)		X																			X											

For additional assets and unearned income, use next page.

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SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name Michael Richard Pence

Page 5 of _

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset	BLOCK C Type of Income	BLOCK D Amount of Income	BLOCK E Transaction P, S, E
		A None; B $1 – $1,000; C $1,001 – $15,000; D $15,001 – $50,000; E $50,001 – $100,000; F $100,001 – $250,000; G $250,001 – $500,000; H $500,001 – $1,000,000; I $1,000,001 – $5,000,000; J $5,000,001 – $25,000,000; K $25,000,001 – $50,000,000; L Over $50,000,000	DIVIDENDS; RENT; INTEREST; CAPITAL GAINS; EXCEPTED TRUST; QUALIFIED BLIND TRUST; Other Type of Income (Specify)	I None; II $1 – $200; III $201 – $1,000; IV $1,001 – $2,500; V $2,501 – $5,000; VI $5,001 – $15,000; VII $15,001 – $50,000; VIII $50,001 – $100,000; IX $100,001 – $1,000,000; X $1,000,001 – $5,000,000; XI Over $5,000,000	
	-None-				

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SCHEDULE IV — TRANSACTIONS

Name Michael Richard Pence | Page 6 of _

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset		Type of Transaction: PURCHASE	SALE	EXCHANGE	Date (MO/DAY/YR)	Amount of Transaction: B $1,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
SP	*Example:*	Mega Corporation Common Stock	X			10-12-04		X								
	- none -															

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Name Michael Richard Pence | Page 7 of ___

SCHEDULE V — LIABILITIES

Report liabilities of over $10,000 owed to any one creditor ***at any time*** during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. **Exclude:** Any mortgage on your personal residence (unless it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report ***revolving charge accounts*** only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability									
			B $10,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
Example:	First Bank of Wilmington, Delaware	Mortgage on 123 Main St., Dover, Del.				X						
	none											

SCHEDULE VI — GIFTS

Report the source, a brief description, and the value of all gifts totalling more than $285 received by you, your spouse, or a dependent child from any source during the year.

Exclude: Gifts from relatives, gifts of personal hospitality of an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of $114 or less need not be added towards the $285 disclosure threshold.

Note: The gift rule (House Rule 25, clause 5) prohibits acceptance of gifts except as specifically provided in the rule.

Source	Description	Value
Example: Mr. Joseph H. Smith, Anytown, Anystate	Silver Platter (determination on personal friendship received from Committee on Standards)	$290
	none	

Use additional sheets if more space is required.

SCHEDULE VII —TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $285 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor.

Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

	Source	Date(s)	Point of Departure—Destination—Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Any time not at sponsor's expense
Examples:	Chicago Chamber of Commerce	Mar. 2	Wash., D.C.—Chicago—Wash., D.C.	N	N	N	N
	Roycroft Corporation	Aug. 6–11	Wash., D.C.—Los Angeles—Cleveland	Y	Y	Y	2 Days
	Jewish Federation of Greater Dallas	Jan. 8-15	Wash, DC - Tel Aviv - Wash DC	Y	Y	Y	N
	The Heritage Foundation	Jan. 22-23	Wash, DC - Cambridge MD - DC	Y	Y	Y	N
	Council for National Policy	March 6-7	Wash, DC - San Diego, CA - DC	Y	Y	Y	N
	The Heritage Foundation	April 30	Wash, DC - Chicago - Wash DC	N	Y	N	N
	The Richard Norman Co.	Nov. 8-9	Wash, DC - Richmond VA - DC	Y	Y	N	N

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SCHEDULE VIII — POSITIONS

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States.

Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
Partner	HCI/Dawson, Indpls IN
Officer	Hoosier Conservative, Inc / Indpls, IN

SCHEDULE IX — AGREEMENTS

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement
	—none—	

GPO: 2005 98-729 (mac)

Use additional sheets if more space is required.

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2004

FORM A
For use by Members, officers, and employees

MC

2005 MAY 16 PM

HAND DELIVERED

Collin C Peterson
(Full Name)

26192 Floyd Lake Point Road Detroit Lakes, MN 56501-7607
(Mailing Address)

202-225-2165
Daytime Telephone:

(Office Use Only)

Filer Status ☑ Member of the U.S. House of Representative — State: MN District: 7 — ☐ Officer Or Employee — Employing Office:

Report Type ☑ Annual (May 15) ☐ Amendment ☐ Termination — Termination Date:

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? Yes ☑ No ☐
If yes, complete and attach Schedule I.

II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? Yes ☐ No ☑
If yes, complete and attach Schedule II.

III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? Yes ☑ No ☐
If yes, complete and attach Schedule III.

IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? Yes ☑ No ☐
If yes, complete and attach Schedule IV.

V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? Yes ☑ No ☐
If yes, complete and attach Schedule V.

VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $285 and not otherwise exempt)? Yes ☐ No ☑
If yes, complete and attach Schedule VI.

VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $285 *from one source*)? Yes ☑ No ☐
If yes, complete and attach Schedule VII.

VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? Yes ☑ No ☐
If yes, complete and attach Schedule VIII.

IX. Did you have any reportable agreement or arrangement with an outside entity? Yes ☐ No ☑
If yes, complete and attach Schedule IX.

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

Trusts- Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child? Yes ☐ No ☑

Exemptions-- Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption? Yes ☐ No ☑

CERTIFICATION -- THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (See 5 U.S.C. app. 4, § 104 and U.S.C. § 1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.		5-16-05

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SCHEDULE I - EARNED INCOME

Name Collin C. Peterson

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

Source	Type	Amount
Peterson Fox, Inc.	Directors Fees	$9,825

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Collin C. Peterson

BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self directed IRA (i.e., one where you have the power to select the specific investments) provide information on each asset in the account that exceeds the reporting threshold and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see instruction booklet for the reporting year. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.	BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership income or Farm income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.	BLOCK E **Transaction** Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.
Peterson Fox, Inc.-common stock	$50,001 - $100,000	DIVIDENDS	$5,001 - $15,000	
Lakewest Properties-partnership	$15,001 - $50,000	RENT/INTEREST	$5,001 - $15,000	
Bekkerus Schott & Co. - contract	$50,001 - $100,000	INTEREST/CAPITAL GAINS	$5,001 - $15,000	
Thief River Farm	$15,001 - $50,000	RENT	$1 - $200	
Polaris	None	DIVIDEND	$1 - $200	
AVX Corp	None	DIVIDEND	$1 - $200	

SCHEDULE IV - TRANSACTIONS

Name Collin C. Peterson

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
	Polaris	S	7-6-04	$1,001 - $15,000
	AVX Corp	S	7-6-04	$1,001 - $15,000
	Thief River Farm	P	5-1-04	$15,001 - $50,000

SCHEDULE V - LIABILITIES

Name Collin C. Peterson

Report liabilities of over $10,000 owed to any one creditor at any time during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. Exclude: Any mortgage on your personal residence (unless all or part of it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of your or your spouse. Report "revolving charge accounts" only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability
	Peterson Fox, Inc. 50% ownership	demand loan created when stock issued 1/1/90	$15,001 - $50,000

SCHEDULE VII - TRAVEL PAYMENTS AND REIMBURSEMENTS

Name Collin C. Peterson

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $285 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor. Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	Point of Departure--Destination--Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Any time not at sponsor's expense
American Sugarbeet Growers Ass'n	Feb 1-2	Fargo-Gulfport-Wash.,DC	Y	Y	N	
Schwan Food Company	Sept 17	Detroit Lakes-Marshall-Grand Forks	N	Y	N	
Dairy Farmers of America	Oct 15-16	Detroit Lakes-Herreid-Pollack-Detroit Lakes (travel/personal expense)	Y	Y	N	

SCHEDULE VIII - POSITIONS

Name Collin C. Peterson

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or any business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States. Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
Director	Peterson Fox, Inc.

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2004

FORM A
For use by Members, officers, and employees

HAND DELIVERED

LEGISLATIVE RESOURCE CENTER
2005 MAY 16 PM 5:49
(Office Use Only)
MC

John E. Peterson
(Full Name)

248 N. Main St., Pleasantville, PA 16341
(Mailing Address)

814-509-5241
Daytime Telephone

Filer Status	☒ Member of the U.S. House of Representatives	State: PA District 05	☐ Officer or Employee	Employing Office:
Report Type	☒ Annual (May 15)	☐ Amendment	☐ Termination	Termination Date:

A *$200 penalty* shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes ☒	No ☐
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes ☐	No ☒
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes ☒	No ☐
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes ☐	No ☒
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes ☒	No ☐
VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $285 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes ☐	No ☒
VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $285 from one source)? **If yes, complete and attach Schedule VII.**	Yes ☐	No ☒
VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes ☐	No ☒
IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes ☐	No ☒

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes ☐	No ☒
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes ☐	No ☒

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, § 104 and 18 U.S.C. § 1001).

Certification	Signature of Reporting Individual	Date (Month Day Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	John E. Peterson	5-16-05

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SCHEDULE I — EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

	Source	Type	Amount
Examples	Keene State	Approved Teaching Fee	$8,000
	State of Maryland	Legislative Pension	$9,000
	Civil War Roundtable (Oct. 2nd)	Spouse Speech	$1,000
	Ontario County Board of Education	Spouse Salary	NA
	Commonwealth of Pennsylvania	Pension	$5,060

For payments to charity in lieu of honoraria, use Schedule II.

Name John E. Peterson | Page 3 of 5

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self-directed IRA (i.e., one where you have the power to select the specific investments) provide information on *each asset* in the account that exceeds the reporting threshold, and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see the instruction booklet for the reporting year.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Asset

at close of reporting year.
If you use a valuation method other than fair market value, please specify the method used.
If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

BLOCK D — Amount of Income

For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income

BLOCK E — Transaction

Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.

	Examples		A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify: For Example, Partnership Income or Farm Income)	I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	P, S, E
SP		SP	Mega Corp Stock					X							X																		P
DC			Simon & Schuster			Indefinite															Royalties				X					X			
JT			1st Bank of Paducah, KY accounts						X								X										X						
			130 N. 2nd St Pleasantville PA					X								X										X								
			IDS Growth Fund					X																	X									
			Prudential Common Fund				X														Life Insurance	X												
			Prudential Utility Fund				X										X					X												
			Prudential Natural Resource Fund				X														Life Insurance	X											

For additional assets and unearned income, use next page.

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SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name John E Peterson

Page 4 of 5

SP. DC JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset: A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	BLOCK C Type of Income: DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify)	BLOCK D Amount of Income: I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	BLOCK E Transaction P, S, E
	Prudential High Dividend Fund			X																Life Ins	X											
	Prudential Equity Fund			X																IRA	X											
	Advest Investment					X														IRA	X											
	Braintech Stock		X																		X											
	ERHC Stock		X																		X											
	ADB System Stock		X																		X											
	Full Play Media Systems Stock		X																		X											
	Intercell Corp Stock		X																		X											
	Nanogenesis Tech Stock			X																	X											
	ERHC Tech Stock			X																	X											
	Advest Investment					X															X											
	Webco Ind		X																		X											
	Northwest Money Market					X															X											
	Timber Sale																X											X				Sale

This page may be copied if more space is required.

3676

Name John E. Peterson | Page 5 of 5

SCHEDULE V — LIABILITIES

Report liabilities of over $10,000 owed to any one creditor ***at any time*** during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. **Exclude:** Any mortgage on your personal residence (unless it is rented out); loans secured by automobiles, household furniture, or appliances, and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report ***revolving charge accounts*** only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability									
			B	C	D	E	F	G	H	I	J	K
			$10,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $250,000	$250,001 - $500,000	$500,001 - $1,000,000	$1,000,001 - $5,000,000	$5,000,001 - $25,000,000	$25,000,001 - $50,000,000	Over $50,000,000
	Example: First Bank of Wilmington, Delaware	Mortgage on 123 Main St., Dover, Del.				X						
	Northwest Savings Bank	Prime Line of Credit		X								

SCHEDULE VI — GIFTS

Report the source, a brief description, and the value of all gifts totalling more than $285 received by you, your spouse, or a dependent child from any source during the year.

Exclude: Gifts from relatives, gifts of personal hospitality of an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of $114 or less need not be added towards the $285 disclosure threshold.

Note: The gift rule (House Rule 25, clause 5) prohibits acceptance of gifts except as specifically provided in the rule.

Source	Description	Value
Example Mr. Joseph H. Smith, Anytown, Anystate	Silver Platter (determination on personal friendship received from Committee on Standards)	$290

Use additional sheets if more space is required.

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2004

FORM A
For use by Members, officers, and employees

LEGISLATIVE RESOURCE CENTER
2005 APR 29 PM 4:44
U.S. HOUSE OF REPRESENTATIVES

3678

THOMAS E. PETRI
(Full Name)

N5329 DeNovey Lane
Fond du Lac, Wisconsin 54935
(Mailing Address)

920-922-1180
Daytime Telephone

HAND DELIVERED

HC
(Office Use Only)

Filer Status	☑ Member of the U.S. House of Representatives	State: District:	☐ Officer or Employee — Employing Office
Report Type	☑ Annual (May 15)	☐ Amendment	☐ Termination — Termination Date

A *$200 penalty* shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes ☑	No ☐	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $285 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes ☐	No ☑
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes ☑	No ☐	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $285 from one source)? **If yes, complete and attach Schedule VII.**	Yes ☑	No ☐
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes ☑	No ☐	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes ☑	No ☐
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes ☑	No ☐	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes ☐	No ☑
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes ☑	No ☐	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**		

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes ☐	No ☑
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes ☐	No ☑

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, § 104 and 18 U.S.C. § 1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.		April 29, 2005

Name T. E. PETRI | Page 2 of 11

SCHEDULE I — EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

	Source	Type	Amount
Examples	Keene State	Approved Teaching Fee	$6,000
	State of Maryland	Legislative Pension	$9,000
	Civil War Roundtable (Oct. 2nd)	Spouse Speech	$1,000
	Ontario County Board of Education	Spouse Salary	NA
	AMERICAN COUNCIL OF TRUSTEES AND ALUMNI	SPOUSE SALARY	NA

For payments to charity in lieu of honoraria, use Schedule II.

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SCHEDULE II — PAYMENTS IN LIEU OF HONORARIA MADE TO CHARITY

List the source, activity (i.e., speech, appearance, or article), date, and amount of any payment made by the sponsor of an event to a charitable organization in lieu of an honorarium. A separate confidential list of charities receiving such payments must be filed directly with the Committee on Standards of Official Conduct. An envelope for transmitting the list is included in each Member's filing package.

Source	Activity	Date	Amount
Examples Association of American Associations, Wash. D.C.	Speech	Feb. 2, 2004	$2 000
XYZ Magazine	Article	Aug. 13, 2004	$500
RAILWAY SUPPLY INSTITUTE, INC.	SPEECH	APRIL 19, 2004	$1,500
ASSOCIATION OF AMERICAN RAILROADS	SPEECH	JAN. 18, 2004	$1,000
DIALOG, NORTH AMERICA	APPEARANCE	MARCH 9, 2004	$500

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Name T. E. Petri | Page 4 of 11

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self-directed IRA (i.e., one where you have the power to select the specific investments), provide information on *each asset* in the account that exceeds the reporting threshold, and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see the instruction booklet for the reporting year.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Asset

at close of reporting year.

If you use a valuation method other than fair market value, please specify the method used.

If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

BLOCK D — Amount of Income

For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.

BLOCK E — Transaction

Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.

	Block A	Block B: A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	Block C: DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify: For Example, Partnership Income or Farm Income)	Block D: I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	Block E: P, S, E
SP	SP	Mega Corp Stock					X							X										X									P
DC	*Examples:*	Simon & Schuster	Indefinite																	Royalties									X				
JT		1st Bank of Paducah, KY accounts					X									X									X								
		Wisconsin Retirement Funds				X								X		X	X				NA												
		Strong Small Cap Value				X								X		X	X				NA												P
		Janus Worldwide Funds				X								X		X	X				NA												
		Janus Funds				X															NA												
		AIM Funds				X								X		X	X				NA												P
		Bond Fund of America			X									X		X	X				NA												P

For additional assets and unearned income, use next page.

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SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name T.E. Petri

Page 5 of 11

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset: A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	BLOCK C Type of Income: DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify)	BLOCK D Amount of Income: I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	BLOCK E Transaction P, S, E
	IVY INT'L FUND CL B				X																											P
	U.S. BANK STOCK									X				X															X			S
	WYETH CORP, STOCK				X									X									X									P
	AMERICAN EXPRESS STOCK						X							X										X								P
	WALGREEN CORP, STOCK										X			X												X						P
	BANDAG CORP, STOCK					X								X											X							P
	ASSOCIATED BANCORP STOCK			X										X									X									P
	MARSHALL & ILSLEY CORP STOCK								X					X												X						P
	NORTHWEST MUTUAL LIFE INS			X										X		X								X								S
	BERKSHIRE HATHAWAY STOCK									X											X											
	LLOYD'S OF LONDON							X								X	X								X							
	U.S. BANK TRUST, CASH				X											X						X										
	U.S. BANK U.S. TREASURY FUND				X											X						X										
	BAIRD CASH EQUIVALENTS				X											X						X										
	MERRILL LYNCH CASH EQUIVS			X												X						X										
	U.S. TREASURY BONDS						X									X									X							P
	HOSTAGE UNIVERSITY STOCK				X																X											
	EQUITAS TRUST			X																	X											
	RONTEL CORP, NSTAR DELAWARE, FAL				X										X										X							
	LLOYD ASSET HOLDING CORP							X								X										X						P, S
	PERKINS-EMER CORP		X											X									X									
	AMERICAN CO, STOCK	X																			X											S

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SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name T. E. Perri

SP. DC JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset	BLOCK C Type of Income	BLOCK D Amount of Income	BLOCK E Transaction
		A None; B $1 – $1,000; C $1,001 – $15,000; D $15,001 – $50,000; E $50,001 – $100,000; F $100,001 – $250,000; G $250,001 – $500,000; H $500,001 – $1,000,000; I $1,000,001 – $5,000,000; J $5,000,001 – $25,000,000; K $25,000,001 – $50,000,000; L Over $50,000,000	DIVIDENDS; RENT; INTEREST; CAPITAL GAINS; EXCEPTED TRUST; QUALIFIED BLIND TRUST; Other Type of Income (Specify)	I None; II $1 – $200; III $201 – $1,000; IV $1,001 – $2,500; V $2,501 – $5,000; VI $5,001 – $15,000; VII $15,001 – $50,000; VIII $50,001 – $100,000; IX $100,001 – $1,000,000; X $1,000,001 – $5,000,000; XI Over $5,000,000	P, S, E
	Corning Corp., Stock	F		I	
	E Bay, Stock	F		I	
	Washington Post Cos, Stock	F		I	P
	Solomon Smith Barney Bonds	C	INTEREST	II	
	Piper Jaffray Corp	D			E

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SCHEDULE IV — TRANSACTIONS

Name T. E. Petri | Page 7 of 11

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction: PURCHASE	SALE	EXCHANGE	Date (MO/DAY/YR)	B $1,001-$15,000	C $15,001-$50,000	D $50,001-$100,000	E $100,001-$250,000	F $250,001-$500,000	G $500,001-$1,000,000	H $1,000,001-$5,000,000	I $5,000,001-$25,000,000	J $25,000,001-$50,000,000	K Over $50,000,000
SP	*Example.* Mega Corporation Common Stock	X			10-12-04		X								
	Strong Small Company Value	X			IRA Dividend Reinvestment										
	Janus Worldwide Fund	X			"										
	Janus Fund	X			"										
	Bond Fund of America	X			"										
	AIM Fund	X			"										
	IVY Int'l Fund B.C.	X			"										
	Wyeth Corp Stock	X			Dividend Reinvestment										
	American Express Stock	X			"										
	Walgreen Corp Stock	X			"										
	Bancorp Corp., Stock	X			"										
	Association Bank Stock	X			"										
	Marshall & Ilsley Corp., Stock	X			5/6/04		X								
	Northwest Mutual Life Ins.		X		12/04		X								
	U.S. Treasury Bonds	X			2/15/04		X								
	Lord Abbott High Yield Bond Fund	X			12/04										
	Washington Post Corp, Stock	X			12/7/04				X						

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SCHEDULE IV — TRANSACTIONS

Name T. E. Petri

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction: PURCHASE	SALE	EXCHANGE	Date (MO/DAY/YR)	Amount of Transaction: B $1,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
SP	Example: Mega Corporation Common Stock	X			10-12-04		X								
	U.S. Bank, Stock		X		1/4/04				X						
	Walgreen		X		2/3/04		X								
	Lord Abbett High Yield Fund		X		1/23/04				X						
	Piper Jaffray Co, spin off fund			X	1/20/04			X							
	U.S. Bank, spinoff of Piper Jaffray			X	1/20/04			X							

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Name T. E. Poston | Page 9 of 11

SCHEDULE V — LIABILITIES

Report liabilities of over $10,000 owed to any one creditor ***at any time*** during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. **Exclude:** Any mortgage on your personal residence (unless it is rented out); loans secured by automobiles, household furniture, or appliances, and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report ***revolving charge accounts*** only if the balance at the close of the preceding calendar year exceeded $10,000

SP, DC, JT	Creditor	Type of Liability	Amount of Liability										
			B $10,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
Example:	First Bank of Wilmington, Delaware	Mortgage on 123 Main St., Dover, Del.				X						
	Monrail Lenin	Loan Secured by Stock							X			

SCHEDULE VI — GIFTS

Report the source, a brief description, and the value of all gifts totalling more than $285 received by you, your spouse, or a dependent child from any source during the year.

Exclude: Gifts from relatives, gifts of personal hospitality of an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of $114 or less need not be added towards the $285 disclosure threshold.

Note: The gift rule (House Rule 25, clause 5) prohibits acceptance of gifts except as specifically provided in the rule.

Source	Description	Value
Example: Mr. Joseph H. Smith, Anytown, Anystate	Silver Platter (determination on personal friendship received from Committee on Standards)	$290

Use additional sheets if more space is required.

SCHEDULE VII —TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $285 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor.

Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

	Source	Date(s)	Point of Departure—Destination—Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Any time not at sponsor's expense
Examples:	Chicago Chamber of Commerce	Mar 2	Wash., D.C.—Chicago—Wash., D.C.	N	N	N	N
	Roycroft Corporation	Aug. 6–11	Wash., D.C.—Los Angeles—Cleveland	Y	Y	Y	2 Days
	Aspen Institute	12/3/04	Wash, D.C. - New York - Wash, DC	N	Y	N	N
	U.S. Japan Leaders Forum Program	11/8-11/04	Wash, D.C. - Tokyo - Wash, DC	Y	Y	N	N
	Railway Supply Inst, Inc.	4/14/04	Wash, D.C. - Jacksonville - Wash, DC	N	Y	N	N
	Bicycle Leadership Conference	2/6-7/04	Wash, D.C. - San Diego - Wash, DC	Y	Y	N	N
	Assoc. of Am. Railroads	1/17-18/04	Wash, D.C. - La Jolla - Wash, DC	Y	Y	N	N.

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SCHEDULE VIII — POSITIONS

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States.

Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
Partner	Lloyd's Underwriting Insurance Risks, London, England
Director	Society Insurance (A Mutual Company), Fond du Lac, Wisconsin

SCHEDULE IX — AGREEMENTS

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement

Use additional sheets if more space is required.

7

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2004

FORM A
For use by Members, officers, and employees

HAND DELIVERED

2005 MAY 16 P11 4:47

MC (Office Use Only)

Charles W "Chip" Pickering, Jr.
(Full Name)
229 Cannon HOB/US House of Representatives
(Mailing Address)
Washington, DC 20515
Daytime Telephone 202/225-5031

Filer Status	[X] Member of the U.S. House of Representatives	State: MS District: 3	[ ] Officer or Employee	Employing Office
Report Type	[X] Annual (May 15)	[ ] Amendment	[ ] Termination	Termination Date

A *$200 penalty* shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes [X]	No [ ]	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $285 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes [ ]	No [X]
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes [ ]	No [X]	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $285 from one source)? **If yes, complete and attach Schedule VII.**	Yes [ ]	No [X]
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes [X]	No [ ]	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes [X]	No [ ]
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes [ ]	No [X]	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes [ ]	No [X]
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes [X]	No [ ]	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**		

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes [ ]	No [X]
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes [ ]	No [X]

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, § 104 and 18 U.S.C. § 1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I **CERTIFY** that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief	Charles W. "Chip" Pickering, Jr.	5-16-05

3689

SCHEDULE I — EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

	Source	Type	Amount
Examples	Keene State	Approved Teaching Fee	$6,000
	State of Maryland	Legislative Pension	$9,000
	Civil War Roundtable (Oct. 2nd)	Spouse Speech	$1,000
	Ontario County Board of Education	Spouse Salary	NA
	WM Fundraising & Event Planning, Inc.	Spouse Salary	N/A
	Brother's Hill Event Planning, Inc.	Spouse Salary	N/A

For payments to charity in lieu of honoraria, use Schedule II.

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self-directed IRA (i.e., one where you have the power to select the specific investments), provide information on *each asset* in the account that exceeds the reporting threshold, and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see the instruction booklet for the reporting year.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Asset

at close of reporting year.
If you use a valuation method other than fair market value, please specify the method used.
If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

BLOCK D — Amount of Income

For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.

BLOCK E — Transaction

Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.

	Block A	Block B	Block C	Block D	Block E
SP	SP Mega Corp. Stock	X (A: None; B: $1 – $1,000; C: $1,001 – $15,000; D: $15,001 – $50,000; E: $50,001 – $100,000; F: $100,001 – $250,000; G: $250,001 – $500,000; H: $500,001 – $1,000,000; I: $1,000,001 – $5,000,000; J: $5,000,001 – $25,000,000; K: $25,000,001 – $50,000,000; L: Over $50,000,000)	X (DIVIDENDS)	X (I: None; II: $1 – $200; III: $201 – $1,000; IV: $1,001 – $2,500; V: $2,501 – $5,000; VI: $5,001 – $15,000; VII: $15,001 – $50,000; VIII: $50,001 – $100,000; IX: $100,001 – $1,000,000; X: $1,000,001 – $5,000,000; XI: Over $5,000,000)	P, S, E
DC,	Examples: Simon & Schuster	Indefinite			P
JT	1st Bank of Paducah, KY accounts	X	X (INTEREST)	X	
	BankCorp South S.L. (MS)	X	X (INTEREST)	X	
	Washington Mutual Investment Fund	X	X (DIVIDENDS)	X	
	The First BancShares, Inc. (Lamar County, MS)	X	X (DIVIDENDS)	X	
SP	US Treasury Bond Bankman's Bank Memphis, TN	X	X (INTEREST)	X	
SP	CD, Bank of Bartlett Memphis, TN	X	X (INTEREST)	X	
DC	Solomon Smith Barney Mutual Fund Ed-IRA (non self-directed) *	X	X (DIVIDENDS)	X	

For additional assets and unearned income, use next page.

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SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name Charles W. "Chip" Pickering, Jr. | Page 4 of 6

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset: A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	BLOCK C Type of Income: DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify)	BLOCK D Amount of Income: I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	BLOCK E Transaction P, S, E
	Wright Patman Cong. Federal Credit Union, Washington, DC			X												X							X									
	Wilton Investments, LLC Taylorsville, MS (investment in company engages in oil & gas exploration & production in the State of MS								X											income from oil & gas production									X	(See attached schedule)	
	note: * This investment is 5 separate IRA Education Accounts for each of my 5 sons. The accounts range in value from approx $10 to $40 for an aggregate value of approx $200. The funds were invested in a NASDAQ 100 Trust Fund, Morgan Stanley S&P 500 Fund Class A, and a SB Money Funds Cash Plus CL A																															

This page may be copied if more space is required.

SCHEDULE V — LIABILITIES

Report liabilities of over $10,000 owed to any one creditor ***at any time*** during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. **Exclude:** Any mortgage on your personal residence (unless it is rented out), loans secured by automobiles, household furniture, or appliances, and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report ***revolving charge accounts*** only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability: B $10,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
Example	First Bank of Wilmington, Delaware	Mortgage on 123 Main St., Dover, Del				X						
	Charles W. Pickering, Sr (assumption of 50% of liability to AmSouth Bank)	Personal loan for investment purposes				X						

SCHEDULE VI — GIFTS

Report the source, a brief description, and the value of all gifts totaling more than $285 received by you, your spouse, or a dependent child from any source during the year

Exclude: Gifts from relatives, gifts of personal hospitality of an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of $114 or less need not be added towards the $285 disclosure threshold.

Note: The gift rule (House Rule 25, clause 5) prohibits acceptance of gifts except as specifically provided in the rule.

Source	Description	Value
Example Mr. Joseph H. Smith, Anytown, Anystate	Silver Platter (determination on personal friendship received from Committee on Standards)	$290

Use additional sheets if more space is required.

SCHEDULE VIII — POSITIONS

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States.

Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
Member	Wilton Investments, LLC (uncompensated position)

SCHEDULE IX — AGREEMENTS

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer

Date	Parties To	Terms of Agreement

GPO: 2005 98-729 (mac)

Use additional sheets if more space is required.

Attachment to 2004 Financial Disclosure for Congressman Chip Pickering:

Explanation of income from Wilton Investments:

Gross Income	$188,817
Less:	
Intangible Drilling Costs	(113,605)
Depletion	**(55,233)**
Net Income:	**19,979**

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U.S. HOUSE OF REPRESENTATIVES

(Office Use Only)

3696

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2004

FORM A
For use by Members, officers, and employees

JOSEPH RUSSELL PITTS
(Full Name)

905 MITCHELL FARM LANE, KENNETT SQUARE, PA 19348
(Mailing Address)

610-444-4581
Daytime Telephone

Filer Status	[X] Member of the U.S. House of Representatives	State: PA District: 16	[ ] Officer or Employee — Employing Office:
Report Type	[X] Annual (May 15)	[ ] Amendment	[ ] Termination — Termination Date:

A *$200 penalty* shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.** — Yes [X] No [ ]

II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.** — Yes [ ] No [X]

III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.** — Yes [X] No [ ]

IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.** — Yes [ ] No [X]

V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.** — Yes [ ] No [X]

VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $285 and not otherwise exempt)? **If yes, complete and attach Schedule VI.** — Yes [ ] No [X]

VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $285 from one source)? **If yes, complete and attach Schedule VII.** — Yes [X] No [ ]

VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.** — Yes [X] No [ ]

IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.** — Yes [ ] No [X]

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child? — Yes [ ] No [X]

EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption? — Yes [ ] No [X]

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, § 104 and 18 U.S.C. § 1001).

Certification	Signature of Reporting Individual	Date (Month Day Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.		5/16/05

SCHEDULE I — EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

Source	Type	Amount
Examples: Keene State	Approved Teaching Fee	$6,000
State of Maryland	Legislative Pension	$9,000
Civil War Roundtable (Oct. 2nd)	Spouse Speech	$1,000
Ontario County Board of Education	Spouse Salary	NA
COMMONWEALTH OF PENNSYLVANIA	LEGISLATIVE PENSION	$90,867

For payments to charity in lieu of honoraria, use Schedule II.

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SCHEDULE III — ASSETS AND "UNEARNED" INCOME

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self-directed IRA (i.e., one where you have the power to select the specific investments), provide information on *each asset* in the account that exceeds the reporting threshold, and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see the instruction booklet for the reporting year.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Asset

at close of reporting year.
If you use a valuation method other than fair market value, please specify the method used.
If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

BLOCK D — Amount of Income

For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.

BLOCK E — Transaction

Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.

	Block A: Asset and/or Income Source	Block B: Value of Asset	Block C: Type of Income							Block D: Amount of Income											Block E: Transaction
		A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify: For Example, Partnership Income or Farm Income)	I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	P, S, E
SP, DC, JT	*Examples:* Mega Corp. Stock					X								X										X								P
	Examples: Simon & Schuster			Indefinite																Royalties									X			
	Examples: 1st Bank of Paducah, KY accounts						X									X									X							
	National Penn Bank			X												X						X										
	Comm of PA Deferred Comp (admin by Copeland Co.)				X															n/a	n/a											
	IRA (admin by Putnam Inv)							X												n/a	n/a											
SP	TIAA/CREF					X														n/a	n/a											

For additional assets and unearned income, use next page.

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SCHEDULE VII —TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $285 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor.

Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

	Source	Date(s)	Point of Departure—Destination—Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Any time not at sponsor's expense
Examples:	Chicago Chamber of Commerce	Mar. 2	Wash., D.C.—Chicago—Wash., D.C.	N	N	N	N
	Roycroft Corporation	Aug. 6–11	Wash., D.C.—Los Angeles—Cleveland	Y	Y	Y	2 Days
	Jubilee Foundation	Jan 3-14	Wash.,D.C.-India-Pakistan-Wash.,D.C.	Y	Y	Y	N
	International Foundation	May 20-23	Wash.,D.C.-Belarus-Wash DC	Y	Y	N	N
	Defense Forum Institute	Nov 26-29	Wash.,D.C.-Spain-Wash.,D.C.	Y	Y	N	N

This page may be copied if more space is required.

SCHEDULE VIII — POSITIONS

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States.

Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
Advisory Board Member	County Corrections Gospel Mission
Advisory Board Member	Eastern College Advisory Board
Advisory Board Member	Crosslinks
Advisory Board Member	Congressional Youth Leadership Council
Advisory Board Member	International Health Services Board

SCHEDULE IX — AGREEMENTS

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement

Use additional sheets if more space is required.

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2004

FORM A
For use by Members, officers, and employees

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Todd Russell Platts
(Full Name)
1240 Oakdale Drive
(Mailing Address)
York, PA 17403
Daytime Telephone (717) 600-1919

Filer Status: [X] Member of the U.S. House of Representatives — State: PA District: 19th; [ ] Officer or Employee — Employing Office:

Report Type: [X] Annual (May 15); [ ] Amendment; [ ] Termination — Termination Date:

A *$200 penalty* shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes [X]	No [ ]
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes [ ]	No [X]
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes [X]	No [ ]
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes [ ]	No [X]
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes [ ]	No [X]
VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e. aggregating more than $285 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes [ ]	No [X]
VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $285 from one source)? **If yes, complete and attach Schedule VII.**	Yes [ ]	No [X]
VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes [ ]	No [X]
IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes [ ]	No [X]

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes [ ]	No [X]
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes [ ]	No [X]

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

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Certification	Signature of Reporting Individual	Date (Month Day Year)
I **CERTIFY** that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	Todd R. Platts	5/13/05

3701

SCHEDULE I — EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

	Source	Type	Amount
Examples:	Keene State	Approved Teaching Fee	$6,000
	State of Maryland	Legislative Pension	$9,000
	Civil War Roundtable (Oct. 2nd)	Spouse Speech	$1,000
	Ontario County Board of Education	Spouse Salary	NA
	Comm. of PA, State Employees' Retirement System	Legislative Pension	$4,510

For payments to charity in lieu of honoraria, use Schedule II.

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Name Todd R. Platts

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self-directed IRA (i.e., one where you have the power to select the specific investments), provide information on *each asset* in the account that exceeds the *reporting threshold*, and the income earned for the account. For an IRA or retirement plan that is not self-directed, *name the institution holding the account and* provide its value at the end of the reporting period. For an active business that is not *publicly traded*, in Block A state the *nature* of the business and its geographic location. For additional information, see the instruction booklet for the reporting year.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Asset

at close of reporting year.
If you use a valuation method other than fair market value, *please specify the method used.*
If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

BLOCK D — Amount of Income

For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should *be listed as income.*

BLOCK E — Transaction

Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.

	Block A	Block B: A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	Block C: DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify: For Example, Partnership Income or Farm Income)	Block D: I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	Block E: P, S, E
SP, DC, JT	SP Mega Corp. Stock					X								X										X								P
	Examples: Simon & Schuster			Indefinite																Royalties									X			
	1st Bank of Paducah, KY accounts						X									X									X							
DC	T. Rowe Price Blue Chip Fund			X										X								X										P
	Heritage Diversified Growth Fund			X													X					X										P
	MFS Capital Opportunity Fund			X																	X											
	PIMCO PEA Renaissance Fund			X																	X											
	PIMCO PBA Target Fund			X																	X											
	PIMCO Pen Innovation Fund			X																	X											

For additional assets and unearned income, use next page.

3703

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name Todd R. Platts

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset: A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	BLOCK C Type of Income: DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify)	BLOCK D Amount of Income: I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	BLOCK E Transaction P, S, E
	Thornburg Value Fund			X																	X											

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3704

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2004

FORM A
For use by Members, officers, and employees

HAND DELIVERED

LEGISLATIVE RESOURCES CENTER
2005 MAY 16 AM 11: 18
(Office Use Only)

MC

3705

Ted Poe
(Full Name)
P. O. Box 1608
(Mailing Address)
Humble, TX 77347
Daytime Telephone: 202-225-6565

Filer Status	☒ Member of the U.S. House of Representatives — State: TX District: 02	☐ Officer or Employee	Employing Office
Report Type	☒ Annual (May 15)	☐ Amendment	☐ Termination — Termination Date:

A *$200 penalty* shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes ☒	No ☐	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $285 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes ☐	No ☒
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes ☐	No ☒	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $285 from one source)? **If yes, complete and attach Schedule VII.**	Yes ☐	No ☒
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes ☒	No ☐	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes ☒	No ☐
IV. Did you, your spouse, or dependent child purchase, sell or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes ☐	No ☒	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes ☐	No ☒
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes ☒	No ☐	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**		

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes ☐	No ☒
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes ☐	No ☒

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, § 104 and 18 U.S.C. § 1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief		MAY 13, 05

Name Ted Poe	Page 1 of 4

SCHEDULE I — EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

Source	Type	Amount
Examples: Keene State	Approved Teaching Fee	$6,000
State of Maryland	Legislative Pension	$9,000
Civil War Roundtable (Oct 2nd)	Spouse Speech	$1,000
Ontario County Board of Education	Spouse Salary	NA
Harris County Texas	retirement pension	62,715.00
Texas County and District Retirement System	retirement pension	57,229.08
Ramsey Scheduling and Consulting	salary	9,400.00
American Speakers Association	honorarium	750.00
Wyoming Investigators Association	honorarium	563.29
Northland Christian School, Houston, TX	spouse salary	39,183.00

For payments to charity in lieu of honoraria, use Schedule II.

3706

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self-directed IRA (i.e., one where you have the power to select the specific investments), provide information on *each asset* in the account that exceeds the reporting threshold, and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see the instruction booklet for the reporting year.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Asset

at close of reporting year.
If you use a valuation method other than fair market value, please specify the method used.
If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

BLOCK D — Amount of Income

For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.

BLOCK E — Transaction

Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.

	Block A: Asset and/or Income Source	A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify: For Example, Partnership Income or Farm Income)	I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	Block E: Transaction P, S, E
SP, DC, JT	*Examples:* SP Mega Corp. Stock						X							X										X								P
	Simon & Schuster			Indefinite																Royalties									X			
	1st Bank of Paducah, KY accounts							X								X									X							
SP	IRA Account, ADP				X												X				N/A											
JT	Marlin Oil Corp.	X																		royalties					X							S
JT	Harris County Federal Credit Union			X												X						X										
SP	AIG Insurance Co.			X													X								X							

For additional assets and unearned income, use next page.

3707

SCHEDULE V — LIABILITIES

Report liabilities of over $10,000 owed to any one creditor ***at any time*** during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. **Exclude:** Any mortgage on your personal residence (unless it is rented out), loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report ***revolving charge accounts*** only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability									
			B $10,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
Example	First Bank of Wilmington, Delaware	Mortgage on 123 Main St., Dover, Del				X						
JT	Chase Manhattan Bank	revolving charge acct	X									
JT	Capitol One	revolving charge acct	X									
JT	Bank One	revolving charge acct	X									
JT	MNBA	revolving charge acct	X									
JT	Nations Bank	revolving charge acct	X									

SCHEDULE VI — GIFTS

Report the source, a brief description, and the value of all gifts totalling more than $285 received by you, your spouse, or a dependent child from any source during the year.

Exclude: Gifts from relatives, gifts of personal hospitality of an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of $114 or less need not be added towards the $285 disclosure threshold.

Note: The gift rule (House Rule 25, clause 5) prohibits acceptance of gifts except as specifically provided in the rule.

Source	Description	Value
Example: Mr. Joseph H. Smith, Anytown, Anystate	Silver Platter (determination on personal friendship received from Committee on Standards)	$290

Use additional sheets if more space is required.

SCHEDULE VIII — POSITIONS

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States.

Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
Board Member	National Children's Alliance
Board Member	Northland Christian School
Board Member	Childrens Assessment Center

SCHEDULE IX — AGREEMENTS

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement

GPO: 2005 98-729 (mac)

Use additional sheets if more space is required.

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2004

FORM A
For use by Members, officers, and employees

HAND DELIVERED
CIVIL ACTIVE RESOURCE CENTER
2005 MAY 16 PM 1:14
HC (Office Use Only)

Richard William Pombo
(Full Name)

2411 Rayburn Office Building
(Mailing Address)

Washington, DC 20515

202-225-1947
Daytime Telephone

202-225-1947

Filer Status	[X] Member of the U.S. House of Representatives — State: CA District: 11	[ ] Officer or Employee	Employing Office:
Report Type	[X] Annual (May 15)	[ ] Amendment	[ ] Termination — Termination Date:

A *$200 penalty* shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes [X]	No [ ]	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $285 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes [ ]	No [X]
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes [ ]	No [X]	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $285 from one source)? **If yes, complete and attach Schedule VII.**	Yes [ ]	No [ ]
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes [X]	No [ ]	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes [X]	No [ ]
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes [ ]	No [X]	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes [ ]	No [X]
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes [X]	No [ ]	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**		

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes [ ]	No [X]
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes [ ]	No [X]

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, § 104 and 18 U.S.C. § 1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	Richard Pombo	5/13/05

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Name Richard W. Pombo	Page 2 of 6

SCHEDULE I — EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria, list only the source for other spouse earned income exceeding $1,000.

	Source	Type	Amount
Examples:	Keene State	Approved Teaching Fee	$6,000
	State of Maryland	Legislative Pension	$9,000
	Civil War Roundtable (Oct. 2nd)	Spouse Speech	$1,000
	Ontario County Board of Education	Spouse Salary	NA
	Richard Pombo for Congress	Spouse Salary	N/A

For payments to charity in lieu of honoraria, use Schedule II.

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self-directed IRA (i.e., one where you have the power to select the specific investments), provide information on *each asset* in the account that exceeds the reporting threshold, and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see the instruction booklet for the reporting year.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Asset at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

BLOCK D — Amount of Income For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.

BLOCK E — Transaction Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.

SP, DC, JT	Asset	A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	Type of Income	I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	Transaction P, S, E
SP	Mega Corp Stock					X								DIVIDENDS				X								P
DC	Simon & Schuster				Indefinite									Royalties									X			
JT	1st Bank of Paducah, KY accounts						X							RENT, INTEREST, CAPITAL GAINS, EXCEPTED TRUST, QUALIFIED BLIND TRUST					X						
	R. Pombo Ranch II						X							Partnership						X						
	Pombo Ranch Estates							X						LLC				X								

For additional assets and unearned income, use next page.

3712

SCHEDULE V — LIABILITIES

Report liabilities of over $10,000 owed to any one creditor ***at any time*** during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. **Exclude:** Any mortgage on your personal residence (unless it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report ***revolving charge accounts*** only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability									
			B $10,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
Example	First Bank of Wilmington, Delaware	Mortgage on 123 Main St., Dover, Del.				X						
JT	Congressional Federal Credit Union	Revolving Charge		X								

SCHEDULE VI — GIFTS

Report the source, a brief description, and the value of all gifts totalling more than $285 received by you, your spouse, or a dependent child from any source during the year.

Exclude: Gifts from relatives, gifts of personal hospitality of an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of $114 or less need not be added towards the $285 disclosure threshold.

Note: The gift rule (House Rule 25, clause 5) prohibits acceptance of gifts except as specifically provided in the rule.

Source	Description	Value
Example Mr. Joseph H. Smith, Anytown, Anystate	Silver Platter (determination on personal friendship received from Committee on Standards)	$290

Use additional sheets if more space is required

SCHEDULE VII —TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $285 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor.

Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

	Source	Date(s)	Point of Departure—Destination—Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Any time not at sponsor's expense
Examples:	Chicago Chamber of Commerce	Mar 2	Wash., D.C.—Chicago—Wash., D.C.	N	N	N	N
	Roycroft Corporation	Aug. 6–11	Wash., D.C.—Los Angeles—Cleveland	Y	Y	Y	2 Days
	Safari Club Int'l	1/23/04-1/24/04	Wash DC-Denver-Reno-San Francisco	Y	Y	N	N

This page may be copied if more space is required.

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SCHEDULE VIII — POSITIONS

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States.

Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
Partner	R. Pombo Ranch II
Partner	Pombo Ranch Estates

SCHEDULE IX — AGREEMENTS

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement

GPO: 2005 98-729 (mac)

Use additional sheets if more space is required.

HAND DELIVERED

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HOUSE RESOURCE CENTER

2005 MAY 16 AM 10:06

MC

3716

UNITED STATES HOUSE OF REPRESENTATIVES
FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2004

FORM A
For use by Members, officers, and employees

The Honorable Earl Pomeroy
(Full Name)

1501 Longworth House Office Building Washington, DC 20515
(Mailing Address)

202-225-2611
Daytime Telephone

(Office Use Only)

Filer Status ☑ Member of the U.S. House of Representatives State: ND District 00 | Officer Or Employee | Employing Office:

Report Type ☑ Annual (May 15) | Amendment | Termination | Termination Date:

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I.	Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? If yes, complete and attach Schedule I.	Yes	No ☑
II.	Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? If yes, complete and attach Schedule II.	Yes	No ☑
III.	Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? If yes, complete and attach Schedule III.	Yes ☑	No
IV.	Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? If yes, complete and attach Schedule IV.	Yes	No ☑
V.	Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? If yes, complete and attach Schedule V.	Yes	No ☑
VI.	Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $285 and not otherwise exempt)? If yes, complete and attach Schedule VI.	Yes	No ☑
VII.	Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $285 from one source)? If yes, complete and attach Schedule VII.	Yes ☑	No
VIII.	Did you hold any reportable positions on or before the date of filing in the current calendar year? If yes, complete and attach Schedule VIII.	Yes	No ☑
IX.	Did you have any reportable agreement or arrangement with an outside entity? If yes, complete and attach Schedule IX.	Yes	No ☑

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

Trusts--	Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes	No ☑
Exemptions--	Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes	No ☑

CERTIFICATION -- THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and wilfully fails to file this report may be subject to civil penalties and criminal sanctions (See 5 U.S.C. app. 4, § 104 and U.S.C. § 1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief	E. Pomeroy	5/13/05

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name The Honorable Earl Pomeroy

BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self directed IRA (i.e., one where you have the power to select the specific investment(s) provide information on each asset in the account that exceeds the reporting threshold and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see instruction booklet for the reporting year. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.	BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership Income or Farm Income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.	BLOCK E **Transaction** Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.
Jefferson Pilot Financial Insurance	$1,001 - $15,000	INTEREST	$1 - $200	
AIG-Valic Sun America	$1,001 - $15,000		NA	
Tweedy, Browne American Value Fund (IRA)	$15,001 - $50,000		NA	
Tweedy, Browne Global Value Fund (IRA)	$15,001 - $50,000		NA	
Public Employees Retirement System - Blue Chip Growth *	$1,001 - $15,000		NA	
Public Employees Retirement System - Diversified *	$15,001 - $50,000		NA	

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SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name The Honorable Earl Pomeroy

Public Employees Retirement System - PIMCO Small Cap *	$15,001 - $50,000		NA
Public Employees Retirement System - Spartan US Equity Index *	$15,001 - $50,000		NA

SCHEDULE VII - TRAVEL PAYMENTS AND REIMBURSEMENTS

Name The Honorable Earl Pomeroy

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $285 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor. Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	Point of Departure–Destination–Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Any time not at sponsor's expense
National Council on Compensation Insurance	May 6-7	DC - Orlando-Bismarck, ND	Y	Y	N	N/A

FOOTNOTES

Name The Honorable Earl Pomeroy

Number	Section / Schedule	Footnote	This note refers to the following item
1	Schedule III	* Invested with Institution: Individual has no discretion regarding purchases or sales of assets in account.	Public Employees Retirement System

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2004

FORM A
For use by Members, officers, and employees

HAND DELIVERED

2005 MAY 16 PM 5:16

(Office Use Only) MC

Jon Christopher Porter, SR
(Full Name)

PO BOX 26087, LAS VEGAS, NV 89126
(Mailing Address)

202 225 3252
Daytime Telephone

Filer Status	[X] Member of the U.S. House of Representatives — State: NV District: 03	[ ] Officer or Employee	Employing Office:
Report Type	[X] Annual (May 15)	[ ] Amendment	[ ] Termination — Termination Date:

A *$200 penalty* shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes [ ]	No [X]	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $285 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes [ ]	No [X]
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes [ ]	No [X]	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $285 from one source)? **If yes, complete and attach Schedule VII.**	Yes [X]	No [ ]
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes [X]	No [ ]	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes [ ]	No [X]
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes [ ]	No [X]	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes [X]	No [ ]
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes [X]	No [ ]	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**		

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes [ ]	No [X]
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes [ ]	No [X]

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, § 104 and 18 U.S.C. § 1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	JMC Porter	5/14/05

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SCHEDULE I — EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

	Source	Type	Amount
Examples:	Keene State	Approved Teaching Fee	$6,000
	State of Maryland	Legislative Pension	$9,000
	Civil War Roundtable (Oct. 2nd)	Spouse Speech	$1,000
	Ontario County Board of Education	Spouse Salary	NA
	N/A		

For payments to charity in lieu of honoraria, use Schedule II.

3722

SCHEDULE II — PAYMENTS IN LIEU OF HONORARIA MADE TO CHARITY

List the source, activity (i.e., speech, appearance, or article), date, and amount of any payment made by the sponsor of an event to a charitable organization in lieu of an honorarium. A separate confidential list of charities receiving such payments must be filed directly with the Committee on Standards of Official Conduct. An envelope for transmitting the list is included in each Member's filing package.

Source	Activity	Date	Amount
Examples: Association of American Associations, Wash., D.C.	Speech	Feb. 2, 2004	$2,000
XYZ Magazine	Article	Aug. 13, 2004	$500
N/A			

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Name Jon C Porter | Page 4 of 11

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self-directed IRA (i.e., one where you have the power to select the specific investments), provide information on *each asset* in the account that exceeds the reporting threshold, and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see the instruction booklet for the reporting year.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Asset

at close of reporting year.
If you use a valuation method other than fair market value, please specify the method used.
If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

BLOCK D — Amount of Income

For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.

BLOCK E — Transaction

Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.

	Block A	Block B	Block C	Block D	Block E
SP	SP Mega Corp. Stock	E: $50,001 – $100,000	DIVIDENDS	I: $1 – $200	P
DC, *Examples:*	Simon & Schuster	Indefinite	RENT	II: $201 – $1,000	
JT	1st Bank of Paducah, KY accounts	F: $100,001 – $250,000	INTEREST	III: $1,001 – $2,500	
JT	JON C + LAURIE B Porter TRUST		CAPITAL GAINS	IV: $2,501 – $5,000	
	- Real Estate * See ATTACHED	I: $1,000,001 – $5,000,000	EXCEPTED TRUST	V: $5,001 – $15,000	
	- LLC's * See ATTACHED	A: None	QUALIFIED BLIND TRUST	VI: $15,001 – $50,000	
			Other Type of Income (Specify: For Example, Partnership Income or Farm Income): Royalties	VIII: $50,001 – $100,000	
				IX: $100,001 – $1,000,000	
				X: $1,000,001 – $5,000,000	
				XI: Over $5,000,000	

For additional assets and unearned income, use next page.

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SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name Jon C Ponter

Page 5 of 11

SP. DC. JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset: A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	BLOCK C Type of Income: DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify)	BLOCK D Amount of Income: I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	BLOCK E Transaction P, S, E
	FARMERS NEW WORLD Life						X													SEP	X					X						
	Boulder Dam Credit Union					X										X										X						
	Farmers Credit Union					X										X									X							
	Wright Patman Credit Union				X											X									X							
	Jon Ponter For Congress 2000						X									X				LOAN	X											
	Farmers Ins Group Deferred * See Schedule IX							X												*									X			
	Greater Nev. Credit Union			X												X							X									
	Edward Jones - Growth Fund of America - Washington Mutual Invest - Euro pac Fic Growth Fund			X	X															(mutual funds)	X		X									

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SCHEDULE IV — TRANSACTIONS

Name Jon C Porter | Page 6 of 11

SP, DC, JT	Asset	Type of Transaction: PURCHASE	SALE	EXCHANGE	Date (MO/DAY/YR)	Amount of Transaction: B $1,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
SP	*Example:* Mega Corporation Common Stock	X			10-12-04		X								
	N/A														

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

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SCHEDULE V — LIABILITIES

Report liabilities of over $10,000 owed to any one creditor ***at any time*** during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. **Exclude:** Any mortgage on your personal residence (unless it is rented out), loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report ***revolving charge accounts*** only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability										
			B $10,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
Example:	First Bank of Wilmington, Delaware	Mortgage on 123 Main St., Dover, Del.				X						
	US BANK	MORTGAGES				X						
	BOULDER DAM CREDIT UNION	MORTGAGE				X						

SCHEDULE VI — GIFTS

Report the source, a brief description, and the value of all gifts totalling more than $285 received by you, your spouse, or a dependent child from any source during the year.

Exclude: Gifts from relatives, gifts of personal hospitality of an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of $114 or less need not be added towards the $285 disclosure threshold.

Note: The gift rule (House Rule 25, clause 5) prohibits acceptance of gifts except as specifically provided in the rule.

Source	Description	Value
Example: Mr. Joseph H. Smith, Anytown, Anystate	Silver Platter (determination on personal friendship received from Committee on Standards)	$290
N/A		

Use additional sheets if more space is required.

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SCHEDULE VII —TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $285 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor.

Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. § 7342); political travel that is required to be reported under the Federal Election Campaign Act, travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

	Source	Date(s)	Point of Departure—Destination—Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Any time not at sponsor's expense
Examples:	Chicago Chamber of Commerce	Mar. 2	Wash., D.C.—Chicago—Wash., D.C.	N	N	N	N
	Roycroft Corporation	Aug. 6–11	Wash., D.C.—Los Angeles—Cleveland	Y	Y	Y	2 Days
	NATIONAL WORKFORCE ASSO	Dec. 4-5	WASH, DC St Petersburg - DC	Y	Y	N	N
	Education Finance Council	MAR - 11-12	WASH, DC - PHX - WASH DC	Y	N	N	N

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SCHEDULE VIII — POSITIONS

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States.

Exclude: Positions held in any religious, social, fraternal, or political entities, positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
LLC's	-established For Rental Properties. Have Been
* See Attached	Organized but Titles have Not been Transferred

SCHEDULE IX — AGREEMENTS

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government, or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement
1983	Nevada Public Employee Retirement System	
1983-'03	Farmers Ins Corp	Current Value - deferred based on value established by Farmers

Use additional sheets if more space is required.

Jon C Porter 10 of 11 page
Jon C Port

15-May-05
Jon C Porter, Sr
United States House of Representatives
Financial Disclosure Statement

Jon Christopher and Laurie Beth Porter Trust

Real Estate					
Condominiums	Value	Type	Income	Loan Amount	Liabilities
1312 A Capri Drive, Boulder City, Nv	F	Rent	VI	C	US Bank
1312 C Capri Drive, Boulder City, NV	F	Rent	VI	C	US Bank
1324 A Georgia, Boulder City, NV	F	Rent	VI	C	US Bank
1328 A Georgia, Boulder City, NV	F	Rent	VI	C	Boulder Dam Credit Union
1328 B Georgia, Boulder City, NV	F	Rent	VI	C	US Bank
1328 C Georgia, Boulder City, NV	F	Rent	VI	C	US Bank
1328 D Georgia, Boulder City, NV	F	Rent	VI	C	US Bank
Single Family Dwellings					
779 San Remo Way, Boulder City, NV	G	Rent	VI	E	Boulder Dam Credit Union
Duplex Residence					
667 Avenue A, Boulder City, NV	G	Rent	VII		Family
TOTAL	I		VIII	F	

LLC's established for above properties. Titles not transferred as of 05/15/05

1312 A Capri Drive, LLC
1312 C Capri Drive, LLC

1324 A Georgia Avenue, LLC

1328 A Georgia Avenue, LLC
1328 B Georgia Avenue, LLC
1328 C Georgia Avenue, LLC
1328 D Georgia Avenue, LLC

779 San Remo, LLC

Jon Christopher Porter, Sr.
P.O. Box 26087
Las Vegas, NV 89126

May 14, 2003

Committee on Standards of Official Conduct
B-106 Cannon HOB
Washington, DC 20515-6612

Dear Committee Members:

Please find the enclosed U.S. House of Representatives Financial Disclosure Statement and additional information for the record.

I am a beneficiary of the R&B Porter Trust, a trust agreement by and between my parents Ronald Devere Porter and Bene Ilene Porter. The R&B Porter Trust was divided into two separate trusts by the death of Ronald D. Porter. The two trusts are (1) the Surviving Grantor's Trust and (2) the Unified Credit Equivalent Distribution Trust. The Surviving Grantor's Trust is a revocable trust of which Bene Porter is the trustee and current beneficiary. My interest in the Surviving Grantor's Trust is strictly contingent, similar to that of a child named in his parent's will. The trustee can change or amend the Surviving Grantor's Trust, including changing the named beneficiaries of the trust, as she sees fit. I am one of two contingent beneficiaries of the Surviving Grantor's Trust

I am also a beneficiary, along with my brother, of the Unified Credit Equivalent Distribution Trust. Neither my brother or I are entitled to the assets of the Unified Equivalent Trust until the death of our mother. This trust, unlike the Surviving Grantor's Trust, is irrevocable.

Laurie Porter is also a named beneficiary in her parent's revocable trust. As both of Laurie Porter's parents are still living, the trust is completely revocable, and her parents can change the beneficiaries at any time without any right of legal recourse by Laurie. Laurie Porter's interests in this revocable trust are strictly contingent, being similar to any child named an heir in their parent's will.

Laurie Porter is also the named beneficiary of the Laurie Beth Porter Irrevocable Trust. The only property held in the Laurie Beth Porter Irrevocable Trust is one policy of insurance issued by Sun Life Insurance Company. The death benefit of the policy is $400,000. Laurie Porter's right to the proceeds of the insurance policy vest on the death of both her parents.

Thank you for this opportunity.

Sincerely,

Jon C. Porter, Sr.

7/14/04

5/16/05

11 of 11 pages

HAND DELIVERED

LEGISLATIVE RESOURCE CENTER

2005 MAY 13 PM 3:57

OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES

(Office Use Only)

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2004

FORM A
For use by Members, officers, and employees

David Eugene Price
(Full Name)

2200 N. Lakeshore Dr. Chapel Hill, NC 27514
(Mailing Address)

202.225.1784
Daytime Telephone

Filer Status	☑ Member of the U.S. House of Representatives	State: NC District: 04	☐ Officer Or Employee — Employing Office:
Report Type	☑ Annual (May 15)	☐ Amendment	☐ Termination — Termination Date:

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION – ANSWER EACH OF THESE QUESTIONS

I.	Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? If yes, complete and attach Schedule I.	Yes ☑ No ☐	
II.	Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? If yes, complete and attach Schedule II.	Yes ☑ No ☐	
III.	Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? If yes, complete and attach Schedule III.	Yes ☑ No ☐	
IV.	Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? If yes, complete and attach Schedule IV.	Yes ☑ No ☐	
V.	Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? If yes, complete and attach Schedule V.	Yes ☐ No ☑	
VI.	Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $285 and not otherwise exempt)? If yes, complete and attach Schedule VI.	Yes ☐ No ☑	
VII.	Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $285 from one source)? If yes, complete and attach Schedule VII.	Yes ☑ No ☐	
VIII.	Did you hold any reportable positions on or before the date of filing in the current calendar year? If yes, complete and attach Schedule VIII.	Yes ☑ No ☐	
IX.	Did you have any reportable agreement or arrangement with an outside entity? If yes, complete and attach Schedule IX.	Yes ☑ No ☐	

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION – ANSWER EACH OF THESE QUESTIONS

Trusts-	Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes ☐ No ☑
Exemptions--	Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes ☐ No ☑

CERTIFICATION – THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (See 5 U.S.C. app. 4, § 104 and U.S.C. § 1001)

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	David E. Price	May 13, 2005

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SCHEDULE I - EARNED INCOME

Name David Eugene Price

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

Source	Type	Amount
Westview Press	Book Royalties	$2,202.73
North Carolinians Against Gun Violence Education Fund	Spouse Salary	N/A

SCHEDULE II - PAYMENTS IN LIEU OF HONORARIA MADE TO CHARITY

Name David Eugene Price

List the source, activity (i.e., speech, appearance, or article), date, and amount of any payment made by the sponsor of an event to a charitable organization in lieu of an honorarium. A separate confidential list of charities receiving such payments must be filed directly with the Committee on Standards of Official Conduct. An envelope for transmitting the list is included in each Member's filing package.

Source	Activity	Date	Amount
Brookings Institution, Wash., D.C.	Article	April 16, 2004	$500
The Pew Research Center (Panel on "One Electorate Under God?")	Appearance on panel	July 21, 2004	$1,000

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name David Eugene Price

	BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self directed IRA (i.e., one where you have the power to select the specific investments) provide information on each asset in the account that exceeds the reporting threshold and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see instruction booklet for the reporting year. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.	BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership income or Farm Income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.	BLOCK E **Transaction** Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.
JT	Smith Barney Bank Deposit Program	$100,001 - $250,000	INTEREST	$1,001 - $2,500	
JT	NC Eastern Municipal Power Agency Bonds	$1,001 - $15,000	INTEREST	$201 - $1,000	
JT	NC Educational Facilities Bonds - Wake Forest University	$1,001 - $15,000	INTEREST	$201 - $1,000	
JT	Winston - Salem Municipal Bonds	$1,001 - $15,000	INTEREST	$201 - $1,000	
JT	NC Community Health Systems Bonds - St. Joseph	$1,001 - $15,000	INTEREST	$201 - $1,000	
JT	RDU Airport Authority Bonds	$1,001 - $15,000	INTEREST	$201 - $1,000	

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SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name David Eugene Price

JT	Charlotte - Mecklenburg Hospital Bonds	$1,001 - $15,000	INTEREST	$201 - $1,000	
JT	NC Health Systems Bonds - Charlotte-Mecklenburg	$15,001 - $50,000	INTEREST	$201 - $1,000	
JT	NC Health Facilities Bonds - Duke University	$1,001 - $15,000	INTEREST	$201 - $1,000	
JT	NC Hospital Bonds - Pitt County	$15,001 - $50,000	INTEREST	$201 - $1,000	P (part)
JT	Wake County, NC Hospital Bonds	$1,001 - $15,000	INTEREST	$201 - $1,000	
JT	NC Health Facilities Bonds - WakeMed	$15,001 - $50,000	INTEREST	$201 - $1,000	P (part)
JT	NC Hospital Bonds - Gaston County	$15,001 - $50,000	INTEREST	$201 - $1,000	P (part)
JT	Raleigh, NC Municipal Bonds	$1,001 - $15,000	INTEREST	$201 - $1,000	
JT	Air Products & Chemicals Stock	$1,001 - $15,000	DIVIDENDS	$1 - $200	
JT	Alcoa Stock	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
JT	Alltel Stock	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	
JT	Apache Stock	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
JT	BB&T Stock	$50,001 - $100,000	DIVIDENDS	$1,001 - $2,500	
JT	Bank of America Stock	$1,001 - $15,000	DIVIDENDS	$1 - $200	P

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name David Eugene Price

JT	Biovail Stock	$1,001 - $15,000	DIVIDENDS	NONE	
JT	Black & Decker Stock	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
JT	Briggs & Stratton Stock	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
JT	Charter One Financial Stock	None	DIVIDENDS	$1 - $200	S
JT	Chevron Texaco Stock	$15,001 - $50,000	DIVIDENDS	$201 - $1,000	P
JT	Cisco Systems Stock	$15,001 - $50,000	DIVIDENDS	NONE	
JT	Citigroup Stock	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	
JT	Coca-Cola Stock	$15,001 - $50,000	DIVIDENDS	$201 - $1,000	
JT	Corning, Inc. Stock	$1,001 - $15,000	DIVIDENDS	NONE	
JT	DTE Energy Stock	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	
JT	Devon Energy Stock	$15,001 - $50,000	DIVIDENDS	$1 - $200	P (part)
JT	Electronic Data Systems Stock	None	DIVIDENDS	$1-200	S
JT	EMC Stock	$1,001 - $15,000	DIVIDENDS	NONE	
JT	Emerson Electric Stock	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name: David Eugene Price

JT	Exxon Mobil Stock	$100,001 - $250,000	DIVIDENDS	$2,501 - $5,000	S (part)
JT	Fifth Third Bancorp Stock	$1,001 - $15,000	DIVIDENDS	$1 - $200	P (part)
JT	First Data Stock	$1,001 - $15,000	DIVIDENDS	$1 - $200	
JT	General Electric Stock	$15,001 - $50,000	DIVIDENDS	$201 - $1,000	
JT	Gillette Stock	$1,001 - $15,000	DIVIDENDS	$1 - $200	
JT	Home Depot Stock	$15,001 - $50,000	DIVIDENDS	$1 - $200	
JT	Intel Corp. Stock	$1,001 - $15,000	DIVIDENDS	$1 - $200	
JT	Jos Bank Clothiers Stock	$1,001 - $15,000	DIVIDENDS	NONE	P
JT	Kimberly - Clark Stock	$1,001 - $15,000	DIVIDENDS	$1 - $200	
JT	Lowes Cos. Stock	$1,001 - $15,000	DIVIDENDS	$1 - $200	
JT	Lucent Technologies Stock	$1,001 - $15,000	DIVIDENDS	NONE	P (part)
JT	McDonalds Corp. Stock	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	
JT	Motorola Inc. Stock	$1,001 - $15,000	DIVIDENDS	$1 - $200	
JT	NY Comm. Bancorp Stock	$1,001 - $15,000	DIVIDENDS	$1 - $200	P (part)

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name David Eugene Price

JT	Nokia Corp. Stock	$1,001 - $15,000	DIVIDENDS	$1 - $200	
JT	Nortel Networks Stock	$1 - $1,000	DIVIDENDS	NONE	
JT	North Fork Bancorp Stock	$1,001 - $15,000	DIVIDENDS	$1 - $200	
JT	Oracle Corp. Stock	$1,001 - $15,000	DIVIDENDS	NONE	
JT	PepsiCo Inc. Stock	$15,001 - $50,000	DIVIDENDS	$201 - $1,000	
JT	Prudential Financial Stock	$1,001 - $15,000	DIVIDENDS	$1 - $200	
JT	Regions Financial Stock	$1,001 - $15,000	DIVIDENDS	$1 - $200	E (merger)
JT	SCP Pool Stock	$1,001 - $15,000	DIVIDENDS	NONE	P
JT	Staples Stock	$1,001 - $15,000	DIVIDENDS	$1 - $200	
JT	Sun Microsystems Stock	$1 - $1,000	DIVIDENDS	NONE	
JT	TCF Financial Stock	$1,001 - $15,000	DIVIDENDS	$1 - $200	
JT	TECO Energy Stock	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	
JT	Teva Stock	$1,001 - $15,000	DIVIDENDS	$1 - $200	
JT	3M Corporation Stock	$15,001 - $50,000	DIVIDENDS	$201 - $1,000	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name David Eugene Price

JT	Tuesday Morning Stock	$1,001 - $15,000	DIVIDENDS	NONE	P (part)
JT	Tyco Int'l Stock	$1,001 - $15,000	DIVIDENDS	$1 - $200	
JT	Union Planters Corp. Stock	None	DIVIDENDS	$1 - $200	E (merger)
JT	XTO Energy Stock	$1,001 - $15,000	DIVIDENDS	$1 - $200	
JT	Xerox Stock	$1,001 - $15,000	DIVIDENDS	NONE	P
JT	Yum Brands Stock	$1,001 - $15,000	DIVIDENDS	$1 - $200	
JT	Metropolitan Life Insurance Policy	$1,001 - $15,000	DIVIDENDS	$1 - $200	
JT	NW Mutual Life Insurance Policy	$15,001 - $50,000	DIVIDENDS	$201 - $1,000	
JT	TIAA/CREF Retirement Plan	$500,001 - $1,000,000	Retirement Plan	NA	

SCHEDULE IV - TRANSACTIONS

Name David Eugene Price

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
JT	Charter One Financial Stock	S	8-31-04	$1,001 - $15,000
JT	Electronic Data Systems Stock	S	6-04-04	$1,001 - $15,000
JT	Exxon Mobil Stock	S	10-06-04	$15,001 - $50,000
JT	NC Hospital Bonds - Pitt County	P	4-08-04	$1,001-$15,000
JT	NC Health Facilities Bonds - WakeMed	P	10-07-04	$1,001 - $15,000
JT	NC Hospital Bonds - Gaston County	P	12-22-04	$1,001 - $15,000
JT	Alcoa Stock	P	4-12-04	$1,001 - $15,000
JT	Apache Stock	P	2-12-04	$1,001 - $15,000
JT	Apache Stock	P	6-4-04	$1,001 - $15,000
JT	Bank of America Stock	P	9-03-04	$1,001 - $15,000
JT	Black & Decker Stock	P	4-12-04	$1,001 - $15,000
JT	Briggs & Stratton Stock	P	4-12-04	$1,001 - $15,000

SCHEDULE IV - TRANSACTIONS

Name David Eugene Price

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
JT	Chevron Texaco Stock	P	2-12-04	$1,001 - $15,000
JT	Devon Energy Stock	P	2-12-04	$1,001 - $15,000
JT	Fifth Third Bancorp Stock	P	12-22-04	$1,001 - $15,000
JT	Jos Bank Clothiers Stock	P	12-22-04	$1,001 - $15,000
JT	Lucent Technologies Stock	P	9-03-04	$1,001 - $15,000
JT	Lucent Technologies Stock	P	12-22-04	$1,001 - $15,000
JT	NY Comm. Bancorp Stock	P	12-22-04	$1,001 - $15,000
JT	SCP Pool Stock	P	12-22-04	$1,001 - $15,000
JT	Tuesday Morning Stock	P	5-10-04	$1,001 - $15,000
JT	Xerox Stock	P	4-12-04	$1,001 - $15,000

SCHEDULE VII - TRAVEL PAYMENTS AND REIMBURSEMENTS

Name David Eugene Price

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $285 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor. Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	Point of Departure--Destination--Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Any time not at sponsor's expense
Miller Center of Public Affairs, University of Virginia	Jan. 15-16	Chapel Hill, NC -- Charlottesville, VA -- Chapel Hill, NC	Y	Y	Y	Yes
The Aspen Institute	May 23-28	Raleigh, NC - Barcelona, Spain - Raleigh, NC	Y	Y	Y	No

SCHEDULE VIII - POSITIONS

Name David Eugene Price

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or any business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States. Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
Professor on Leave	Duke University
Advisory Board Member	American Political Science Association Congressional Fellowship Program
Advisory Council Member	Health Sector Advisory Council, Fuqua School of Business, Duke University
Advisory Board Member	Center for the Study of the American South, UNC-Chapel Hill
Consulting Editor	Current Magazine
Board of Trustees Member	United States Capitol Historical Society
Advisory Board Member	Yale University Divinity School
Advisory Board Member	The Aspen Institute-Rodel Fellowship in Public Leadership
Advisory Board Member	Presidential Classroom

SCHEDULE IX - AGREEMENTS

Name David Eugene Price

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement
N/A	Duke University	Unpaid Leave of Absence

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2004

FORM A — *For use by Members, officers, and employees*

HAND DELIVERED

ATIVE RESOURCE CENTER
2005 MAY 16 PM 4:00
(Office Use Only) MC

THOMAS EDMUNDS PRICE
(Full Name)

P.O. BOX 425 ROSWELL, GA 30077
(Mailing Address)

(202) 225-4501
Daytime Telephone

Filer Status ✓ Member of the U.S. House of Representatives — State GA, District 06 — Officer Or Employee — Employing Office

Report Type ✓ Annual (May 15) — Amendment — Termination — Termination Date:

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I.	Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes ✓	No	VI.	Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $285 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes	No ✓
II.	Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes	No ✓	VII.	Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $285 from one source)? **If yes, complete and attach Schedule VII.**	Yes	No ✓
III.	Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes ✓	No	VIII.	Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes ✓	No
IV.	Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes ✓	No	IX.	Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes	No ✓
V.	Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes	No ✓		**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**		

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

Trusts-	Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes	No ✓
Exemptions--	Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes	No ✓

CERTIFICATION -- THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (See 5 U.S.C. app. 4, § 104 and U.S.C. § 1001)

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	Thomas Price	5-16-05

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SCHEDULE I - EARNED INCOME

Name THOMAS EDMUNDS PRICE

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

Source	Type	Amount
Emory University	Salary	$25,207
Georgia General Assembly	Salary	$23,624

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name THOMAS EDMUNDS PRICE

BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self directed IRA (i.e., one where you have the power to select the specific investments) provide information on each asset in the account that exceeds the reporting threshold and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see instruction booklet for the reporting year. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.	BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership Income or Farm Income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income	BLOCK E **Transaction** Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.
Wachovia Bank	$250,001 - $500,000	INTEREST	$2,501 - $5,000	
BankNorth GA	$250,001 - $500,000	INTEREST	$2,501 - $5,000	
SouthTrust Bank - stock	$15,001 - $50,000	DIVIDENDS	$2,501 - $5,000	
Main Street Bank - stock	$15,001 - $50,000	DIVIDENDS	$201 - $1,000	
Integrity Bank Shares	$50,001 - $100,000	N/A	NONE	
Carolina Properties	$50,001 - $100,000	Ltd. Partnership/INTEREST	$201 - $1,000	

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SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name THOMAS EDMUNDS PRICE

	RMC III Bldg	$100,001 - $250,000	Ltd. Partnership	$5,001 - $15,000	
	Diagnostic Ventures Roswell	$100,001 - $250,000	Ltd. Partnership	$15,001 - $50,000	
SP	Marietta Outpatient	$15,001 - $50,000	Ltd. Partnership	$5,001 - $15,000	
	North Fulton Professional Bldg	$250,001 - $500,000	Ltd. Partnership (loss)	NONE	
	Chattahoochee Associates	$100,001 - $250,000	Ltd. Partnership/INTEREST	$5,001 - $15,000	
	Foundation Realty Fund, Ltd.	$15,001 - $50,000	Ltd. Partnership(loss)	NONE	S
	Shurguard Storage	$1,001 - $15,000	Ltd. Partnership/DIVIDENDS	$201 - $1,000	
	UBS Financial (rolled over to Fidelity)	$1 - $1,000	N/A	NONE	Other
	Baja Group, LLC	$100,001 - $250,000	Ltd. Partnership (loss)	NONE	
	Morgan Stanley	$50,001 - $100,000	DIVIDENDS	$1 - $200	
	Raymond James - IRA	$50,001 - $100,000	NONE	N/A	
SP	Fidelity - IRA #2800	$100,001 - $250,000	NONE	N/A	
	Resurgens, P.C.	$1,001 - $15,000	INTEREST	$201 - $1,000	
SP	Fidelity - SEP IRA #9584	$500,001 - $1,000,000	N/A	N/A	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name THOMAS EDMUNDS PRICE

	Fidelity - IRA #6610	$500,001 - $1,000,000	N/A	N/A	
SP	Fidelity IRA #6571	$15,001 - $50,000	N/A	N/A	
	Home Depot Stock	$15,001 - $50,000	DIVIDENDS	NONE	
	AG Edwards	$500,001 - $1,000,000	DIVIDENDS/INTEREST	$5,001 - $15,000	
	Ortholink	$100,001 - $250,000	Pension	N/A	
JT	Minnesota Life Annuities	$500,001 - $1,000,000	N/A	N/A	
	Fidelity - GV Capital #0360	$250,001 - $500,000	INTEREST	$1,001 - $2,500	
JT	Fidelity - GV Capital #4016	$250,001 - $500,000	DIVIDENDS/INTEREST	$5,001 - $15,000	S
JT	Fidelity - GV Capital #3913	$500,001 - $1,000,000	DIVIDENDS/CAPITAL GAINS/INTEREST	$5,001 - $15,000	S
	Emory Retirement Plan	$1,001 - $15,000	N/A	N/A	

SCHEDULE IV - TRANSACTIONS

Name THOMAS EDMUNDS PRICE

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
JT	Fidelity - GV Capital #4016 (partial sale of security)	S	Various	$50,001 - $100,000
JT	Fidelity - GV Capital #3913 (partial sale of security)	S	Various	$100,001 - $250,000
JT	Fidelity investments - sale of Easton Vance Large Cap	S	2-17-04	$15,001 - $50,000
	Foundation Realty Fund	S	6-15-04	$15,001 - $50,000

SCHEDULE VIII - POSITIONS

Name THOMAS EDMUNDS PRICE

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or any business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States. Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
Board of Directors	Arthritis Foundation
Managing Partner	Chattahoochee Associates (Professional Building)
Alternate Delegate	American Medical Association
Limited Partner	See Schedule III investments

May. 15 2005 07:34PM P5
FAX NO. :
FROM :

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Member SIPC A.G. Edwards & Sons, Inc.

ACCOUNT INFORMATION

THOMAS PRICE &
BETTY CLARK-PRICE
IT TEN
286 BROADMEADOW COVE
ROSWELL GA 30076-2960

BRANCH ADDRESS:
2520 NORTHWINDS PARKWAY
SUITE 200
ALPHARETTA GA 30004

PHONE:
(770) 475-8101

FOR YOUR CONSIDERATION

You can speed up the tax-preparation process with our Internet tax tools. With client account access, you can:

- Save time by using the Internet to access your 1099s and directly import 1099 data into TurboTax* returns
- View account statements and year-end tax reports for all of your A.G. Edwards accounts conveniently on the Internet

For more information, contact your financial consultant.
*TurboTax is a registered trademark, and TurboTax for the Web is a service mark of Intuit, Inc.

Market Indices	12/31/2003	12/31/2004
DJIA	10453	10783
S&P 500	1111	1211
NASDAQ	2003	2175
10 Yr. T Bonds	4.25%	4.21%
Russell 1000	568	650
Russell 2000	556	651

PORTFOLIO AT-A-GLANCE

YOUR ALLOCATION ON 12/31/2004
TOTAL ACCOUNT VALUE $770,174.25

	Value on 11/26/2004	%	Value on 12/31/2004	%	Net Change
Cash & Money Fund	92,233.24	12.35	93,883.13	12.19	1,649.89
Equities	629,174.40	84.21	650,702.47	84.48	21,528.07
Taxable Bonds	25,704.55	3.44	25,588.65	3.32	-115.90
Total Account Value	$747,112.19	100.00%	$770,174.25	100.00%	$23,062.06

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ACCOUNT #: 242-107543-007 | THOMAS PRICE & BETTY CLARK-PRICE
FINANCIAL CONSULTANT: JIM BROADWAY * | (770) 475-8101

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FOR THE PERIOD: *November 27, 2004 - December 31, 2004*

Primary Investment Objective - Growth Aggressive

CASH FLOW SUMMARY

	This Period	Year to Date
Beginning Balance	$82,233.24	
Income	1,653.19	12,374.17
Tax Withheld	-5.60	-42.53
Cash In Lieu	2.30	2.30
Security Redemptions		5,000.00
Ending Balance	$83,883.13	
Net Change	$1,649.89	

INCOME SUMMARY

	This Period	Year to Date
Taxable		
Dividends	1,395.29	9,870.71
Interest	92.88	1,851.85
Money Fund	165.02	651.61
Total Income	$1,653.19	$12,374.17

PORTFOLIO HOLDINGS

	Quantity	Description	Symbol	% of Account	Current Price	Current Value	Estimated Annual Income	% Yield	Type
CASH & MONEY FUND									
		CASH BALANCE				32.40			
	83,850.73	CENTENNIAL MONEY MKT TR		12.19	1	83,850.73	1,408	1.60	C
		TOTAL CASH & MONEY FUND		12.19		$83,883.13	$1,408	1.68	
Equities									
♦	100	ABBOTT LABORATORIES	ABT	0.61	48.65	4,865.00	104	2.23	C
♦	600	ALTRIA GROUP INC	MO	4.78	61.10	36,660.00	1,752	4.78	C
♦	219	AMERICAN INTL GROUP INC	AIG	1.87	65.67	14,381.73	66	0.46	C
♦	1,730	* AMGEN	AMGN	14.42	64.15	110,979.50			C
♦	220	AUTOMATIC DATA PROCESSING INC	ADP	1.27	44.35	9,757.00	136	1.39	C
♦	160	BANK OF AMERICA CORP	BAC	0.98	46.99	7,518.40	288	3.83	C
	2	BERKSHIRE HATHAWAY INC DEL CLASS B	BRK B	0.78	2936	5,872.00			C
♦	1,000	BOEING COMPANY	BA	6.72	51.77	51,770.00	1,000	1.93	C
♦	100	CSX CORP	CSX	0.52	40.08	4,008.00	40	1.00	C
♦	80	COCA-COLA CO	KO	0.43	41.64	3,331.20	80	2.40	C

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ACCOUNT #: 242-107543-007 | THOMAS PRICE & BETTY CLARK-PRICE
FINANCIAL CONSULTANT: JIM BROADWAY * | (770) 475-8101

FOR THE PERIOD: *November 27, 2004 - December 31, 2004*

PORTFOLIO HOLDINGS *continued*

	Quantity	Description	Symbol	% of Account	Current Price	Current Value	Estimated Annual Income	% Yield	Type
EQUITIES									
♦	200	COLGATE-PALMOLIVE CO	CL	1.33	51.16	10,232.00	192	1.88	C
♦	200	DELTA AIRLINES INC	DAL	0.19	7.48	1,496.00			C
♦	180	WALT DISNEY CO	DIS	0.65	27.80	5,004.00	43	0.86	C
♦	200	EMERSON ELECTRIC CO	EMR	1.82	70.10	14,020.00	332	2.37	C
♦	350	EXELON CORP	EXC	2.00	44.07	15,424.50	560	3.63	C
♦	152	EXXON MOBIL CORP	XOM	1.01	51.26	7,791.52	164	2.10	C
♦	108	FREDDIE MAC	FRE	1.03	73.70	7,959.60	130	1.63	C
♦	152	FANNIE MAE	FNM	1.41	71.21	10,823.92	316	2.92	C
♦	152	FIRST DATA CORP	FDC	0.84	42.54	6,465.08	12	0.19	C
♦	33	FREESCALE SEMICONDUCTOR INC CLASS B	FSL B	0.08	18.38	606.66			C
♦	330	GENERAL ELECTRIC CO	GE	1.56	36.50	12,045.00	290	2.41	C
♦	140	GILLETTE CO	G	0.81	44.78	6,269.20	91	1.45	C
♦	300	JOHN H HARLAND CO	JH	1.41	36.10	10,830.00	150	1.39	C
♦	212	HEWLETT-PACKARD CO	HPQ	0.58	20.97	4,445.64	66	1.53	C
♦	264	HOME DEPOT INC	HD	1.47	42.74	11,289.36	90	0.80	C
♦	10	HOSPIRA INC	HSP	0.04	33.50	335.00			C
♦	200	* INTEL CORP	INTC	0.61	23.39	4,678.00	64	1.37	C
♦	129	JPMORGAN CHASE & CO WAS J. P. MORGAN CHASE & CO	JPM	0.65	39.01	5,032.29	175	3.48	C
♦	340	JOHNSON & JOHNSON	JNJ	2.80	63.42	21,562.80	388	1.80	C
♦	224	MCDONALD'S CORP	MCD	0.93	32.06	7,181.44	123	1.71	C
♦	83	MEDCO HEALTH SOLUTIONS INC	MHS	0.45	41.60	3,452.80			C

ACCOUNT #: 242-107543-007 | THOMAS PRICE & BETTY CLARK-PRICE
FINANCIAL CONSULTANT: JIM BROADWAY • | (770) 475-8101

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FOR THE PERIOD: *November 27, 2004 - December 31, 2004*

PORTFOLIO HOLDINGS *continued*

	Quantity	Description	Symbol	% of Account	Current Price	Current Value	Estimated Annual Income	% Yield	Type
EQUITIES									
♦	690	MERCK & COMPANY INC	MRK	2.88	32.14	22,176.60	1,049	4.73	C
♦	110	MONSANTO CO NEW	MON	0.79	55.55	6,110.50	75	1.23	C
♦	300	MOTOROLA INC	MOT	0.67	17.20	5,160.00	48	0.93	C
♦	142	PEPSICO INC	PEP	0.96	52.20	7,412.40	131	1.77	C
♦	1,599	PFIZER INC	PFE	5.56	26.89	42,997.11	1,215	2.83	C
♦	220	PROCTER & GAMBLE CO	PG	1.57	55.08	12,117.60	220	1.82	C
♦	100	ROYAL DUTCH PETROLEUM CO # NY REGISTRY SH PAR EUR 0.66 WAS ROYAL DUTCH PET NEW NY REG PAR1.25 GUILDER	RD	0.76	57.38	5,738.00	211	3.68	C
♦	200	SCHERING-PLOUGH CORP	SGP	0.54	20.88	4,176.00	44	1.05	C
♦	680	SCIENTIFIC-ATLANTA INC	SFA	2.91	33.01	22,446.80	27	0.12	C
♦	660	SONY CORP ADR NEW	SNE	3.34	38.98	25,713.80	149	0.58	C
♦	200	SUNTRUST BANKS INC	STI	1.92	73.88	14,776.00	400	2.71	C
♦	2,000	TENET HEALTHCARE CORP	THC	2.85	10.98	21,960.00			C
♦	400	VITALWORKS INC	VWKS	0.23	4.45	1,780.00			C
♦	800	WAL-MART STORES INC	WMT	5.49	52.82	42,256.00	416	0.98	C
		TOTAL EQUITIES		84.49		$386,782.47	$10,639	1.66	
TAXABLE BONDS									
	5,000	U.S. T-NOTE 6.5% 5-15-2005	912827T85	0.66	101.461	5,073.05	325	6.41	C

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ACCOUNT #: 242-107543-007 | THOMAS PRICE & BETTY CLARK-PRICE
FINANCIAL CONSULTANT: JIM BROADWAY * | (770) 475-8101

FOR THE PERIOD: *November 27, 2004 - December 31, 2004*

PORTFOLIO HOLDINGS *continued*

Quantity	Description	Symbol	% of Account	Current Price	Current Value	Estimated Annual Income	% Yield	Type
TAXABLE BONDS								
20,000	FIRSTBANK OF PUERTO RICO 5.65% CTF OF DEPOSIT DUE 5-23-2008 SANTURCE, PR/MONTHLY PAYMENT FEDERALLY INSURED PAR VALUE (100) AT MATURITY	33761KTJ4	2.68	102.578	20,515.60	1,130	5.51	C
26,000	TOTAL TAXABLE BONDS		3.32		$28,888.65	$1,466	5.69	
	TOTAL ACCOUNT VALUE				$770,174.25	$13,802	1.76	

Bond prices are approximations and may differ from current market quotes. Computerized pricing services are often unable to supply us with up-to-the-minute information. These prices are provided only as a general guide to value your account. All rating information is obtained from external sources considered to be reliable, but its accuracy is not guaranteed by A.G. Edwards & Sons Inc.

♦ *Research Report Available*

ACTIVITY DETAIL

Date	Transaction Type	Quantity	Description	Price	Amount
11/28			Beginning Cash Balance		79.04
11/30	Dividend On	300	JOHN H HARLAND CO		37.50
11/30	Dividend On	200	SCHERING-PLOUGH CORP		11.00
12/01	Dividend On	200	* INTEL CORP		8.00
12/01	Dividend On	224	MCDONALD'S CORP		123.20
12/02	Spin Off	33	FREESCALE SEMICONDUCTOR INC CLASS B 11.04% DISTRIBUTION FROM MOTOROLA INC		
12/03	Dividend On	1,000	BOEING COMPANY		200.00
12/03	Dividend On	140	GILLETTE CO		22.75
12/03	Dividend On	1,569	PFIZER INC		271.83
12/06	Investment	753.32	CENTENNIAL MONEY MKT TR	1	-753.32
12/06	Cash in Lieu	.1246	FREESCALE SEMICONDUCTOR INC. CLASS B 11.04% DISTRIBUTION FROM MOTOROLA INC		2.30
12/07	Dividend On	340	JOHNSON & JOHNSON		[illegible].90

ACCOUNT #: 242-107543-007 | THOMAS PRICE & BETTY CLARK-PRICE
FINANCIAL CONSULTANT: JM BROADWAY * | (770) 475-6101

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FOR THE PERIOD: *November 27, 2004 - December 31, 2004*

ACTIVITY DETAIL *continued*

Date	Transaction Type	Quantity	Description	Price	Amount
12/08	Dividend On	680	SCIENTIFIC-ATLANTA INC		6.80
12/08	Withholding		FOREIGN TAX AT 7%		-5.80
12/08	Dividend On	660	SONY CORP ADR NEW		80.00
12/10	Dividend On	200	EMERSON ELECTRIC CO		83.00
12/10	Dividend On	350	EXELON CORP		140.00
12/10	Dividend On	152	EXXON MOBIL CORP		41.04
12/13	Investment	444.44	CENTENNIAL MONEY MKT TR	1	-444.44
12/15	Dividend On	100	CSX CORP		10.00
12/15	Dividend On	80	COCA-COLA CO		20.00
12/15	Dividend On	200	SUNTRUST BANKS INC		100.00
12/16	Dividend On	284	HOME DEPOT INC		22.44
12/17	Dividend On	219	AMERICAN INTL GROUP INC		16.43
12/17	Dividend	97.54	CENTENNIAL MONEY MKT TR 01.39% 28 DAY AVERAGE YIELD FOR PERIOD ENDING 12/16/04 AUTOMATICALLY REINVESTED		
12/22	Dividend On	160	BANK OF AMERICA CORP		72.00
12/23	Interest On	20,000	FIRSTBANK OF PUERTO RICO S.65% CTF OF DEPOSIT DUE 5-23-2006 SANTURCE, PR/MONTHLY PAYMENT FEDERALLY INSURED		92.88
12/27	Investment	333.75	CENTENNIAL MONEY MKT TR	1	-333.75
12/31	Dividend	67.48	CENTENNIAL MONEY MKT TR 01.65% 17 DAY AVERAGE YIELD FOR PERIOD ENDING 12/31/04 AUTOMATICALLY REINVESTED		
12/31	Dividend On	108	FREDDIE MAC		32.40
12/31			Ending Cash Balance		32.40

INCOME DETAIL

Date	Transaction Type	Quantity	Description	Amount
11/30	Dividend On	300	JOHN H HARLAND CO	37.50
11/30	Dividend On	200	SCHERING-PLOUGH CORP	11.00
12/01	Dividend On	200	* INTEL CORP	8.00
12/01	Dividend On	224	MCDONALD'S CORP	123.20
12/03	Dividend On	1,000	BOEING COMPANY	200.00

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ACCOUNT #: 242-107543-007 | THOMAS PRICE & BETTY CLARK-PRICE
FINANCIAL CONSULTANT: JIM BROADWAY * | (770) 475-8101

FOR THE PERIOD: *November 27, 2004 - December 31, 2004*

INCOME DETAIL *continued*

Date	Transaction Type	Quantity	Description	Amount
12/03	Dividend On	140	GILLETTE CO	22.75
12/03	Dividend On	1,589	PFIZER INC	271.83
12/07	Dividend On	340	JOHNSON & JOHNSON	95.90
12/08	Dividend On	680	SCIENTIFIC-ATLANTA INC	6.80
12/08	Dividend On	800	SONY CORP ADR NEW	80.00
12/10	Dividend On	200	EMERSON ELECTRIC CO	83.00
12/10	Dividend On	350	EXELON CORP	140.00
12/10	Dividend On	152	EXXON MOBIL CORP	41.04
12/15	Dividend On	100	CSX CORP	10.00
12/15	Dividend On	80	COCA-COLA CO	20.00
12/15	Dividend On	200	SUNTRUST BANKS INC	100.00
12/16	Dividend On	284	HOME DEPOT INC	22.44
12/17	Dividend On	219	AMERICAN INTL GROUP INC	16.43
12/17	Dividend		CENTENNIAL MONEY MKT TR 01.39% 28 DAY AVERAGE YIELD FOR PERIOD ENDING 12/16/04 AUTOMATICALLY REINVESTED	97.54
12/22	Dividend On	160	BANK OF AMERICA CORP	72.00
12/23	Interest On	20,000	FIRSTBANK OF PUERTO RICO 5.65% CTF OF DEPOSIT DUE 5-23-2008 SANTURCE, PR/MONTHLY PAYMENT FEDERALLY INSURED	92.58
12/31	Dividend		CENTENNIAL MONEY MKT TR 01.65% 17 DAY AVERAGE YIELD FOR PERIOD ENDING 12/31/04 AUTOMATICALLY REINVESTED	67.48
12/31	Dividend On	108	FREDDIE MAC	32.40
			Total	**$1,483.19**

END OF STATEMENT

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2004

FORM A
For use by Members, officers, and employees

2005 MAY 13 AM 10:46
HOUSE OF REPRESENTATIVES
HAND DELIVERED

DEBORAH D. PRYCE
(Full Name)

2920 DORCHESTER ROAD
(Mailing Address)

COLUMBUS OH 43221

Daytime Telephone
614-469-5614

MC (Office Use Only)

Filer Status [X] Member of the U.S. House of Representatives — State: OH District: 15th [ ] Officer or Employee — Employing Office.

Report Type [X] Annual (May 15) [ ] Amendment [ ] Termination — Termination Date.

A *$200 penalty* shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes [ ]	No [X]	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $285 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes [ ]	No [X]
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes [ ]	No [X]	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $285 from one source)? **If yes, complete and attach Schedule VII.**	Yes [X]	No [ ]
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes [X]	No [ ]	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes [X]	No [ ]
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes [ ]	No [X]	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes [X]	No [ ]
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes [X]	No [ ]	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**		

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes [ ]	No [X]
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes [ ]	No [X]

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, § 104 and 18 U.S.C. § 1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.		5/12/05

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SCHEDULE II — PAYMENTS IN LIEU OF HONORARIA MADE TO CHARITY

List the source, activity (i.e., speech, appearance, or article), date, and amount of any payment made by the sponsor of an event to a charitable organization in lieu of an honorarium. A separate confidential list of charities receiving such payments must be filed directly with the Committee on Standards of Official Conduct. An envelope for transmitting the list is included in each Member's filing package.

	Source	Activity	Date	Amount
Examples	Association of American Associations, Wash., D.C.	Speech	Feb 2, 2004	$2,000
	XYZ Magazine	Article	Aug 13, 2004	$500
	NONE			

This page may be copied if more space is required.

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Name DEBORAH D. PRYCE

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self-directed IRA (i.e., one where you have the power to select the specific investments), provide information on *each asset* in the account that exceeds the reporting threshold, and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see the instruction booklet for the reporting year.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT). In the optional column on the far left.

BLOCK B — Value of Asset

at close of reporting year.

If you use a valuation method other than fair market value, please specify the method used.

If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

BLOCK D — Amount of Income

For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.

BLOCK E — Transaction

Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.

	Block A: Asset and/or Income Source	Block B: Value of Asset (A None; B $1 – $1,000; C $1,001 – $15,000; D $15,001 – $50,000; E $50,001 – $100,000; F $100,001 – $250,000; G $250,001 – $500,000; H $500,001 – $1,000,000; I $1,000,001 – $5,000,000; J $5,000,001 – $25,000,000; K $25,000,001 – $50,000,000; L Over $50,000,000)	Block C: Type of Income (DIVIDENDS; RENT; INTEREST; CAPITAL GAINS; EXCEPTED TRUST; QUALIFIED BLIND TRUST; Other Type of Income (Specify: For Example, Partnership Income or Farm Income))	Block D: Amount of Income (I None; II $1 – $200; III $201 – $1,000; IV $1,001 – $2,500; V $2,501 – $5,000; VI $5,001 – $15,000; VII $15,001 – $50,000; VIII $50,001 – $100,000; IX $100,001 – $1,000,000; X $1,000,001 – $5,000,000; XI Over $5,000,000)	Block E: Transaction (P, S, E)
SP, DC, JT (Examples)	SP Mega Corp. Stock	E	DIVIDENDS	IV	P
	Simon & Schuster	Indefinite	Royalties	IX	
	1st Bank of Paducah, KY accounts	F	INTEREST	VI	
	Congressional Federal Credit Union	D	INTEREST	III	
	Ohio Savings Bank	C	INTEREST	II	
	JP Morgan Chase (2542 sh @ $39.01)	E	DIVIDENDS	V	
	Steel Technologies	C	DIVIDENDS	II	
	5/3 Bank (IRA Retirement)	C	(See Broker Statement)		
	Merrill Lynch IRA Retirement	D	(See Broker Statement)		

For additional assets and unearned income, use next page.

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SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name DEBORAH D. PRYCE

BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset												BLOCK C Type of Income						BLOCK D Amount of Income											BLOCK E Transaction
SP, DC, JT	A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify)	I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	P, S, E
Charing Road Assoc.					X									X						X											
Mass Mutual Life Ins.			X										X							X											
Northwestern Mutual Life			X										X									X									
601 Pennsylvania Ave NW 202						X														X											
College Advantage Savings			X										X		X					X											

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3763

SCHEDULE V — LIABILITIES

Report liabilities of over $10,000 owed to any one creditor ***at any time*** during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. **Exclude:** Any mortgage on your personal residence (unless it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report ***revolving charge accounts*** only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability										
			B $10,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
Example:	First Bank of Wilmington, Delaware	Mortgage on 123 Main St., Dover, Del.				X						
	Sky Bank	Mtg on Charing Rd. Cols., OH		X								
	Bank of America	Mtg on Pennsylvania Ave.					X					

SCHEDULE VI — GIFTS

Report the source, a brief description, and the value of all gifts totalling more than $285 received by you, your spouse, or a dependent child from any source during the year.

Exclude: Gifts from relatives, gifts of personal hospitality of an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of $114 or less need not be added towards the $285 disclosure threshold

Note: The gift rule (House Rule 25, clause 5) prohibits acceptance of gifts except as specifically provided in the rule.

Source	Description	Value
Example: Mr. Joseph H. Smith, Anytown, Anystate	Silver Platter (determination on personal friendship received from Committee on Standards)	$290
NONE		

Use additional sheets if more space is required.

SCHEDULE VII —TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $285 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor.

Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

	Source	Date(s)	Point of Departure—Destination—Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Any time not at sponsor's expense
Examples:	Chicago Chamber of Commerce	Mar. 2	Wash., D.C.—Chicago—Wash., D.C.	N	N	N	N
	Roycroft Corporation	Aug. 6–11	Wash., D.C.—Los Angeles—Cleveland	Y	Y	Y	2 Days
	Congressional Institute elected leadership retreat	1-14-04 1-16-04	WAsh.,DC - St. Michael, MD St. Michael, MD - Wash.,DC	Y	Y	N	N
	Congressional Institute elected leadership retreat	11-30-04 12-02-04	Cols., OH - Irvington, VA Irvington, VA - Wash., DC	Y	Y	N	N

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SCHEDULE VIII — POSITIONS

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States.

Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature, and positions listed on Schedule I.

Position	Name of Organization
Board Member	Trustee of the National Fund for the US Bontanical Gardens
Board Member	Kennedy Center
Board of Advisors	Cornerstone Community School

SCHEDULE IX — AGREEMENTS

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement
1978	State of Ohio Public Employee Retirement Chapter 145.01 Ohio Revised Code	Qualified Retirement Plan to begin at retirement age 65

GPO: 2005 98-729 (mac)

Use additional sheets if more space is required.

Account Number: 017-260819
Account Name: PRYCE D D

Statement Date: 12/01/2004 to 12/31/2004

Fifth Third Securities, Inc.

SUMMARY

PORTFOLIO VALUE	This Period	Prior Period
Securities		
Mutual Funds		
Equity	$7,863.18	$7,644.62
Total Securities	$7,863.18	$7,644.62
TOTAL PORTFOLIO VALUE	$7,863.18	$7,644.62

ALERT:
All income is tax deferred until it is distributed from the account.

DETAIL

PORTFOLIO VALUE

Fifth Third Securities is not a bank and securities offered by it are not insured by the FDIC or any other government agency, are not deposits or obligations of any bank, are not endorsed or guaranteed by any bank, and are subject to investment risks, including the possible loss of principal

MUTUAL FUNDS 100.00%

Description	Symbol/Cusip Account Type	Quantity	Price on 12/31/04	Current Market Value	Prior Market Value	Estimated Annual Income
Equity						
FIFTH THIRD QUALITY GROWTH CLASS A Dividend Option Reinvest Capital Gain Option Reinvest	FSQGX CASH	508.286	$15.47	$7,863.18	$7,644.62	
Total Mutual Funds				$7,863.18		
Total Securities				$7,863.18		
TOTAL PORTFOLIO VALUE				$7,863.18		

Fifth Third Securities, Inc.
See last page for important information about your brokerage account and this statement.
Account carried with National Financial Services LLC, Member NYSE, SIPC

3767

Merrill Lynch
Pierce, Fenner & Smith Inc.
Member, Securities Investor Protection Corporation (SIPC)

Statement of Retirement Account

ACCOUNT #	F/A#	PAGE #
54H 82448	9699	1

STATEMENT PERIOD
09/25/04 TO 12/31/04

FINANCIAL ADVISOR
THOMAS C PULERI
(614) 428-2571

ACCOUNT TYPE
IRA

OFFICE SERVING YOUR ACCOUNT:
2 EASTON OVAL SUITE 100
COLUMBUS OH 43219

MLPF& S CUST FPO
DEBORAH PRYCE IRA
FBO DEBORAH PRYCE
2920 S DORCHESTER RD
UPPER ARLNGTN OH 43221-3038

***** ACCOUNT SUMMARY *****

PRICED PORTFOLIO		DIVIDEND/INTEREST	
AS OF 12/31/04. . . .	$25,222.05	THIS STATEMENT.....	$264.07CR
AS OF 09/24/04. . . .	$22,701.44	THIS YEAR	$345.04CR

***** CONTRIBUTION INFORMATION *****

TAX YEAR 2003	TAX YEAR 2004
$.00	$.00

***** DISTRIBUTION INFORMATION *****

TAX YEAR 2003	TAX YEAR 2004
$.00	$.00

***** MONEY ACCOUNT QUARTERLY DIVIDEND/INTEREST RECAP *****

MONEY ACCOUNT	OCTOBER	NOVEMBER	DECEMBER	CURRENT MONTH'S YIELD %
RETIREMENT RESERVES CL I	$.65	$.53	$.68	1.58
ML BANK USA RASP	$.59	$.72	$1.19	.54

***** DAILY ACCOUNT ACTIVITY *****

DATE	TRANSACTION	DESCRIPTION	PRICE	AMOUNT
09 25	OPENING BALANCE			$.92CR
12 03	Received	56 ML RETIREMENT RSRVS CL I		
12 03	Redeemed	56 ML RETIREMENT RSRVS CL I		$56.00CR
12 03	Journal Entry	ANNUAL ACCOUNT FEE 010104-123104 $ 56.75		$56.75
12 21	*Dividend	ML GLOBAL ALLOCATION I PAY DATE 12/20/2004		$166.23CR
12 21	Reinvestment	ML GLOBAL ALLOCATION I		$166.23
12 21	*Lg Tm Cap Gain	ML GLOBAL ALLOCATION I PAY DATE 12/20/2004		$93.48CR
12 21	Reinvestment	ML GLOBAL ALLOCATION I		$93.48
12 21	Divd Reinv	6 ML GLOBAL ALLOCATION I AGENT AS OF 12/15/04 REINV AMOUNT $93.48 REINV PRICE $16.23 QUANTITY BOT 5.7600		
12 21	Divd Reinv	10 ML GLOBAL ALLOCATION I AGENT AS OF 12/15/04 REINV AMOUNT $166.23 REINV PRICE $16.23		

-000018507

DECEMBER, 2004

Please advise your Financial Advisor immediately of any discrepancies on your statement or if you contemplate changing your address. When making inquiries, please, mention your account number and address at correspondence to the office servicing your account. Please retain this statement for your tax records. See reverse side for explanation of key terms.

CODE 5029R (R7-04)

Merrill Lynch

Merrill Lynch
Pierce, Fenner & Smith Inc.
Member, Securities Investor Protection Corporation (SIPC)

Statement of Retirement Account

ACCOUNT #	F/A#	PAGE #	
54H 82448	9699	2	

MLPF& S CUST FPO
DEBORAH PRYCE IRA

***** DAILY ACCOUNT ACTIVITY *****

DATE	TRANSACTION	DESCRIPTION	PRICE	AMOUNT
		QUANTITY BOT 10.2420		
12 31	CLOSING BALANCE			$.17CR

***** CURRENT PORTFOLIO *****

QUANTITY	INVESTMENT DESCRIPTION	CURRENT PRICE	MARKET VALUE	CURR. YIELD	EST. INCOME
429.5850	MFS EMERGING GROWTH FUND CLASS A	31.960	$13729		
531.5710	ML GLOBAL ALLOCATION I	16.510	$8776	1.78	$156
TOTALS FOR PRICED INVESTMENTS			$22505		$156

MONEY ACCOUNT	OPENING BALANCE AS OF 09/25	CLOSING BALANCE AS OF 12/31	DIVIDENDS/INTEREST THIS STMT.	YEAR TO DATE
RETIREMENT RESERVES CL I	$471.22	$417.08	$1.86	$4.38
ML BANK USA RASP	$2,297.30	$2,299.80	$2.50	$5.56

FOR PURPOSES OF CALCULATING REQUIRED MINIMUM DISTRIBUTIONS OR COMPLETING IRS FORM 8606, YOU ARE REQUIRED TO USE THE VALUE OF YOUR ACCOUNT AS OF 12/31/04. THIS VALUE SHOULD INCLUDE YOUR PRICED PORTFOLIO TOTAL AND THE VALUE OF CERTAIN LIMITED PARTNERSHIPS AND OTHER DIRECT INVESTMENTS AS WELL AS ANY SECURITIES THAT WE ARE UNABLE TO PRICE - MARKED "UNAVAILABLE". THE "YEAR-END PLAN VALUE" SHOWN CONSISTS OF YOUR PRICED PORTFOLIO TOTAL PLUS THE ESTIMATED VALUE OF LIMITED PARTNERSHIPS OR OTHER DIREC INVESTMENTS REPORTED ON YOUR YEAR-END STATEMENT.

YEAR-END PLAN VALUE AS OF 12/31/04: $25,222.05

FOR IRA, IRRA, SEP/IRA, SIMPLE/IRA, ROTH IRA AND COVERDELL ESA ACCOUNTS, THE YEAR-END PLAN VALUE REPRESENTS THE VALUATION WE MUST FURNISH TO YOU AND THE INTERNAL REVENUE SERVICE AS PART OF THE IRS FORM 5498 REPORTING REQUIREMENTS.

-000018508 END OF STATEMENT DECEMBER, 2004

Please advise your Financial Advisor immediately of any discrepancies on your statement or if you contemplate changing your address. When making inquiries, please, mention your account number and address all correspondence to the office servicing your account. Please retain this statement for your tax records. See reverse side for explanation of key terms.

CODE 5029R (R7-04)

Merrill Lynch

HAND DELIVERED

2005 MAY 16 AM 9:54

HC

(Office Use Only)

3770

UNITED STATES HOUSE OF REPRESENTATIVES
FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2004

FORM A For use by Members, officers, and employees

ADAM HUGHES PUTNAM
(Full Name)

P O BOX 2426 BARTOW, FL 33831-2426
(Mailing Address)

202-225-1252
Daytime Telephone:

Filer Status	☑ Member of the U.S. House of Representatives — State: FL, District: 12	☐ Officer Or Employee	Employing Office:
Report Type	☑ Annual (May 15)	☐ Amendment	☐ Termination — Termination Date:

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I.	Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? If yes, complete and attach Schedule I.	Yes ☐ No ☑	VI.	Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $285 and not otherwise exempt)? If yes, complete and attach Schedule VI.	Yes ☐ No ☑
II.	Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? If yes, complete and attach Schedule II.	Yes ☐ No ☑	VII.	Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $285 from one source)? If yes, complete and attach Schedule VII.	Yes ☐ No ☑
III.	Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? If yes, complete and attach Schedule III.	Yes ☑ No ☐	VIII.	Did you hold any reportable positions on or before the date of filing in the current calendar year? If yes, complete and attach Schedule VIII.	Yes ☑ No ☐
IV.	Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? If yes, complete and attach Schedule IV.	Yes ☑ No ☐	IX.	Did you have any reportable agreement or arrangement with an outside entity? If yes, complete and attach Schedule IX.	Yes ☐ No ☑
V.	Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? If yes, complete and attach Schedule V.	Yes ☐ No ☑		**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**	

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

Trusts-	Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes ☐ No ☑
Exemptions--	Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes ☐ No ☑

CERTIFICATION -- THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (See 5 U.S.C. app. 4, § 104 and U.S.C. § 1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	[signature]	05/14/05

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name ADAM HUGHES PUTNAM

	BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self directed IRA (i.e., one where you have the power to select the specific investments) provide information on each asset in the account that exceeds the reporting threshold and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see instruction booklet for the reporting year. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.	BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership income or Farm income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.	BLOCK E **Transaction** Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.
	CITRUS AND CHEMICAL BANK STOCK	$50,001 - $100,000	DIVIDENDS	$1,001 - $2,500	
	PUTNAM GROVES, INC. OWNERSHIP SHARE BOOK VALUE	$250,001 - $500,000	S CORPORATION INCOME	$50,001 - $100,000	
	DUDLEY PUTNAM, INC. OWNERSHIP SHARE BOOK VALUE	$15,001 - $50,000		NONE	
	MFS GROWTH ALLOCATION CLASS A	$15,001 - $50,000	DIVIDENDS/CAPITAL GAINS	$201 - $1,000	
	UNITED STATES SAVINGS BONDS	$1,001 - $15,000	INTEREST	DEFERRED TO MATURITY	
	FLORIDA PREPAID COLLEGE PLAN	$15,001 - $50,000		NONE	P

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name ADAM HUGHES PUTNAM

	STI CLASSIC FUNDS:				
	TAX EXEMPT MONEY MARKET	$1,001 - $15,000		NONE	
	CAPITAL APPRECIATION TRUST	$15,001 - $50,000	CAPITAL GAINS	$201 - $1,000	PS
	INTERNATIONAL EQUITY FUND	$1,001 - $15,000	DIVIDENDS	$1 - $200	PS
	MID-CAP EQUITY FUND TRUST SHARES	$1,001 - $15,000	DIVIDENDS	$1 - $200	PS
	MID-CAP VALUE EQUITY FUND TRUST SHRS	$1,001 - $15,000	DIVIDENDS	$1 - $200	PS
	SMALL CAP GROWTH TRUST SHARES	$1,001 - $15,000	DIVIDENDS/CAPITAL GAINS	$201 - $1,000	PS
	SMALL CAP VALUE EQUITY	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	PS
	GROWTH & INCOME FUND TRUST SHARES	$15,001 - $50,000	DIVIDENDS	$201 - $1,000	PS
	CAPITAL APPRECIATION FLEX	$1,001 - $15,000	CAPITAL GAINS	$1 - $200	
	SMALL CAP VALUE EQUITY CL L	$1,001 - $15,000	DIVIDENDS/CAPITAL GAINS	$1 - $200	
	PRIME QUALITY MONEY MARKET	$1,001 - $15,000	DIVIDENDS	$1 - $200	
	SMALL CAP GROWTH CL L	$1,001 - $15,000	DIVIDENDS/CAPITAL GAINS	$1 - $200	
	AGGRESSIVE GROWTH STOCK FUND TRUST SHRS	$1,001 - $15,000	DIVIDENDS	$1 - $200	PS

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name ADAM HUGHES PUTNAM

	EMERGING GROWTH STOCK FUND TRUST SHRS	$1,001 - $15,000	DIVIDENDS	$1 - $200	PS
	VALUE INCOME STOCK FLEX	$1,001 - $15,000	DIVIDENDS	$1 - $200	

SCHEDULE IV - TRANSACTIONS

Name ADAM HUGHES PUTNAM

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
	FLORIDA PREPAID COLLEGE PLAN	P	MONTHLY BEGINNING 4-20-04	$1,001 - $15,000
	CAPITAL APPRECIATION FUND TRUST SHRS	PS	7 TRANSACTIONS ON VARIOUS DATES THROUGHOUT YEAR	$15,001 - $50,000
	INTERNATIONAL EQUITY FUND TRUST SHRS	PS	4 TRANSACTIONS ON VARIOUS DATES THROUGHOUT YEAR	$1,001 - $15,000
	MID-CAP EQUITY FUND TRUST SHARES	PS	5 TRANSACTIONS ON VARIOUS DATES THROUGHOUT YEAR	$1,001 - $15,000
	MID-CAP VALUE EQUITY FUND TRUST SHRS	PS	5 TRANSACTIONS ON VARIOUS DATES THROUGHOUT YEAR	$1,001 - $15,000

SCHEDULE IV - TRANSACTIONS

Name ADAM HUGHES PUTNAM

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
	SMALL CAP GROWTH TRUST SHARES	PS	6 TRANSACTIONS ON VARIOUS DATES THROUGHOUT YEAR	$1,001 - $15,000
	SMALL CAP VALUE EQUITY	PS	6 TRANSACTIONS ON VARIOUS DATES THROUGHOUT YEAR	$1,001 - $15,000
	GROWTH & INCOME FUND TRUST SHARES	PS	6 TRANSACTIONS ON VARIOUS DATES THROUGHOUT YEAR	$15,001 - $50,000
	AGGRESSIVE GROWTH STOCK FUND TRUST SHRS	PS	5 TRANSACTIONS ON VARIOUS DATES THROUGHOUT YEAR	$1,001 - $15,000
	EMERGING GROWTH STOCK FUND TRUST SHRS	PS	5 TRANSACTIONS ON VARIOUS DATES THROUGHOUT YEAR	$1,001 - $15,000

SCHEDULE IV - TRANSACTIONS

Name ADAM HUGHES PUTNAM

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
	VAN KAMPEN EMERGING GROWTH FUND B	S	09-27-04	$1,001 - $15,000
	STI CLASSIC FUNDS STRATEGIC QUAN EQUITY TRUST SHRS	S	02-19-04	$1,001 - $15,000

SCHEDULE VIII - POSITIONS

Name ADAM HUGHES PUTNAM

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or any business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States. Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
BOARD MEMBER	POLK COUNTY FAMILY CAREGIVER, INC.
BOARD MEMBER	SUCCESS BY 6
ADVISORY BOARD MEMBER	VOLUNTEERS IN SERVICE TO THE ELDERLY
BOARD OF GOVERNORS	CENTER FOR FLORIDA HISTORY, FLORIDA SOUTHERN COLLEGE
BOARD OF DIRECTORS	POLK MUSEUM OF ART
BOARD MEMBER	YOUNG PATRIOTS' FOUNDATION
BOARD OF DIRECTORS	PUTNAM GROVES, INC.
BOARD OF DIRECTORS	DUDLEY PUTNAM, INC.

Barbara S. Semon, CPA
Certified Public Accountant
1409 Meadowbrook Avenue
Lakeland, Florida 33803
PHONE (863) 683-5425 FAX (863) 688-8673

HAND DELIVERED

LEGISLATIVE RESOURCE CENTER
2005 JUN 14 AM 11:53
U.S. HOUSE OF REPRESENTATIVES

MC

June 7, 2005

Congressman Adam H. Putnam
P. O. Box 2426
Bartow, Fl 33831-2426

Dear Congressman Putnam:

Enclosed please find the original and two copies of the amended Form A, Financial Disclosure Statement for Calendar Year 2004. We have also included a copy for your files.

On Page 3, Schedule 3, we have identified the STI Classic Fund Small Cap Value Equity CL L as formerly know as Small Cap Value Equity Flex. This is due to a name change in the fund.

Also on Schedule 3, the Type of Income and Amount of Income columns have been corrected to reflect the capital gains on the numerous sales of SunTrust Funds. Although these sales were reported on your Federal Income Tax Return Form 1040, we inadvertently omitted this information from your Financial Disclosure. Included in our reporting is the capital gain from the sale of the STI Classic Fund Strategic Quan Equity Trust Shares.

The sale of the VanKampen Emerging Growth Fund B does not need to be reported on Schedule 3, since there was a loss on this sale.

We regret this omission on our part. We look forward to serving you in the future.

Sincerely,

Barbara S. Semon

Barbara S. Semon, CPA

bss/chd

enc.

HAND DELIVERED

2005 JUN 14 AM 11:53

U.S. HOUSE OF REPRESENTATIVES

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2004

FORM A

For use by Members, officers, and employees

ADAM HUGHES PUTNAM
(Full Name)

P O BOX 2426 BARTOW, FL 33831-2426
(Mailing Address)

202-225-1252
Daytime Telephone

(Office Use Only)

Filer Status	☑ Member of the U.S. House of Representatives — State: FL District: 12	☐ Officer Or Employee — Employing Office:	A $200 penalty shall be assessed against anyone who files more than 30 days late.
Report Type	☐ Annual (May 15) ☑ Amendment ☐ Termination	Termination Date:	

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I.	Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? If yes, complete and attach Schedule I.	Yes ☐ No ☑	VI.	Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $285 and not otherwise exempt)? If yes, complete and attach Schedule VI.	Yes ☐ No ☑
II.	Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? If yes, complete and attach Schedule II.	Yes ☐ No ☑	VII.	Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $285 from one source)? If yes, complete and attach Schedule VII.	Yes ☐ No ☑
III.	Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? If yes, complete and attach Schedule III.	Yes ☑ No ☐	VIII.	Did you hold any reportable positions on or before the date of filing in the current calendar year? If yes, complete and attach Schedule VIII.	Yes ☑ No ☐
IV.	Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? If yes, complete and attach Schedule IV.	Yes ☑ No ☐	IX.	Did you have any reportable agreement or arrangement with an outside entity? If yes, complete and attach Schedule IX.	Yes ☐ No ☑
V.	Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? If yes, complete and attach Schedule V.	Yes ☐ No ☑		Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.	

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

Trusts-	Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes ☐ No ☑
Exemptions--	Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes ☐ No ☑

CERTIFICATION -- THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (See 5 U.S.C. app. 4, § 104 and U.S.C. § 1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief		June 8, 2005

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SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name ADAM HUGHES PUTNAM

	BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self directed IRA (i.e., one where you have the power to select the specific investments) provide information on each asset in the account that exceeds the reporting threshold and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see instruction booklet for the reporting year. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.	BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership Income or Farm Income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.	BLOCK E **Transaction** Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.
	CITRUS AND CHEMICAL BANK STOCK	$50,001 - $100,000	DIVIDENDS	$1,001 - $2,500	
	PUTNAM GROVES, INC. OWNERSHIP SHARE BOOK VALUE	$250,001 - $500,000	S CORPORATION INCOME	$50,001 - $100,000	
	DUDLEY PUTNAM, INC. OWNERSHIP SHARE BOOK VALUE	$15,001 - $50,000		NONE	
	MFS GROWTH ALLOCATION CLASS A	$15,001 - $50,000	DIVIDENDS/CAPITAL GAINS	$201 - $1,000	
	UNITED STATES SAVINGS BONDS	$1,001 - $15,000	INTEREST	DEFERRED TO MATURITY	
	FLORIDA PREPAID COLLEGE PLAN	$15,001 - $50,000		NONE	P

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name ADAM HUGHES PUTNAM

	STI CLASSIC FUNDS:				
	TAX EXEMPT MONEY MARKET	$1,001 - $15,000		NONE	
	CAPITAL APPRECIATION TRUST	$15,001 - $50,000	CAPITAL GAINS	$1,001 - $2,500	PS
	INTERNATIONAL EQUITY FUND	$1,001 - $15,000	DIVIDENDS/CAPITAL GAINS	$201 - $1,000	PS
	MID-CAP EQUITY FUND TRUST SHARES	$1,001 - $15,000	DIVIDENDS/CAPITAL GAINS	$201 - $1,000	PS
	MID-CAP VALUE EQUITY FUND TRUST SHRS	$1,001 - $15,000	DIVIDENDS/CAPITAL GAINS	$201 - $1,000	PS
	SMALL CAP GROWTH TRUST SHARES	$1,001 - $15,000	DIVIDENDS/CAPITAL GAINS	$1,001 - $2,500	PS
	SMALL CAP VALUE EQUITY	$1,001 - $15,000	DIVIDENDS/CAPITAL GAINS	$1,001 - $2,500	PS
	GROWTH & INCOME FUND TRUST SHARES	$15,001 - $50,000	DIVIDENDS/CAPITAL GAINS	$1,001 - $2,500	PS
	CAPITAL APPRECIATION FLEX	$1,001 - $15,000	CAPITAL GAINS	$1 - $200	
	SMALL CAP VALUE EQUITY CL L, fka SMALL CAP VALUE EQUITY FLEX	$1,001 - $15,000	DIVIDENDS/CAPITAL GAINS	$1 - $200	
	PRIME QUALITY MONEY MARKET	$1,001 - $15,000	DIVIDENDS	$1 - $200	
	SMALL CAP GROWTH CL L	$1,001 - $15,000	DIVIDENDS/CAPITAL GAINS	$1 - $200	
	AGGRESSIVE GROWTH STOCK FUND TRUST SHRS	$1,001 - $15,000	DIVIDENDS	$1 - $200	PS

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name ADAM HUGHES PUTNAM

	EMERGING GROWTH STOCK FUND TRUST SHRS	$1,001 - $15,000	DIVIDENDS	$1 - $200	PS
	VALUE INCOME STOCK FLEX	$1,001 - $15,000	DIVIDENDS	$1 - $200	
	STRATEGIC QUAN EQUITY TRUST SHRS	None	CAPITAL GAINS	$201 - $1,000	S

SCHEDULE IV - TRANSACTIONS

Name ADAM HUGHES PUTNAM

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
	FLORIDA PREPAID COLLEGE PLAN	P	MONTHLY BEGINNING 4-20-04	$1,001 - $15,000
	CAPITAL APPRECIATION FUND TRUST SHRS	PS	7 TRANSACTIONS ON VARIOUS DATES THROUGHOUT YEAR	$15,001 - $50,000
	INTERNATIONAL EQUITY FUND TRUST SHRS	PS	4 TRANSACTIONS ON VARIOUS DATES THROUGHOUT YEAR	$1,001 - $15,000
	MID-CAP EQUITY FUND TRUST SHARES	PS	5 TRANSACTIONS ON VARIOUS DATES THROUGHOUT YEAR	$1,001 - $15,000
	MID-CAP VALUE EQUITY FUND TRUST SHRS	PS	5 TRANSACTIONS ON VARIOUS DATES THROUGHOUT YEAR	$1,001 - $15,000

SCHEDULE IV - TRANSACTIONS

Name ADAM HUGHES PUTNAM

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
	SMALL CAP GROWTH TRUST SHARES	PS	6 TRANSACTIONS ON VARIOUS DATES THROUGHOUT YEAR	$1,001 - $15,000
	SMALL CAP VALUE EQUITY	PS	6 TRANSACTIONS ON VARIOUS DATES THROUGHOUT YEAR	$1,001 - $15,000
	GROWTH & INCOME FUND TRUST SHARES	PS	6 TRANSACTIONS ON VARIOUS DATES THROUGHOUT YEAR	$15,001 - $50,000
	AGGRESSIVE GROWTH STOCK FUND TRUST SHRS	PS	5 TRANSACTIONS ON VARIOUS DATES THROUGHOUT YEAR	$1,001 - $15,000
	EMERGING GROWTH STOCK FUND TRUST SHRS	PS	5 TRANSACTIONS ON VARIOUS DATES THROUGHOUT YEAR	$1,001 - $15,000

SCHEDULE IV - TRANSACTIONS

Name ADAM HUGHES PUTNAM

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
	VAN KAMPEN EMERGING GROWTH FUND B	S	09-27-04	$1,001 - $15,000
	STI CLASSIC FUNDS STRATEGIC QUAN EQUITY TRUST SHRS	S	02-19-04	$1,001 - $15,000

SCHEDULE VIII - POSITIONS

Name ADAM HUGHES PUTNAM

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or any business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States. Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
BOARD MEMBER	POLK COUNTY FAMILY CAREGIVER, INC.
BOARD MEMBER	SUCCESS BY 6
ADVISORY BOARD MEMBER	VOLUNTEERS IN SERVICE TO THE ELDERLY
BOARD OF GOVERNORS	CENTER FOR FLORIDA HISTORY, FLORIDA SOUTHERN COLLEGE
BOARD OF DIRECTORS	POLK MUSEUM OF ART
BOARD MEMBER	YOUNG PATRIOTS' FOUNDATION
BOARD OF DIRECTORS	PUTNAM GROVES, INC.
BOARD OF DIRECTORS	DUDLEY PUTNAM, INC.

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2004

FORM A
For use by Members, officers, and employees

HAND DELIVERED
LEGISLATIVE RESOURCE CENTER
2005 JUN -9 AM 10: 02
U.S. HOUSE OF REPRESENTATIVES
(Office Use Only)

Nick Joe Rahall, II
(Full Name)

1801 Harper Road
(Mailing Address)

Beckley, WV 25801

Daytime Telephone: (202) 225-3452

Filer Status	[X] Member of the U.S. House of Representatives	State: WV District: 3rd	[ ] Officer or Employee — Employing Office:
Report Type	[X] Annual (May 15)	[ ] Amendment	[ ] Termination — Termination Date:

A *$200 penalty* shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

Question	Yes	No
I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	X	
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**		X
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	X	
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	X	
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	X	
VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $285 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**		X
VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $285 from one source)? **If yes, complete and attach Schedule VII.**	X	
VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	X	
IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**		X

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

Question	Yes	No
TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?		X
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?		X

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, § 104 and 18 U.S.C. § 1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	Nick Joe Rahall, II	5/13/05

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Name	Nick Joe Rahall, II	Page 2 of 14

SCHEDULE I — EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

	Source	Type	Amount
Examples:	Keene State	Approved Teaching Fee	$6,000
	State of Maryland	Legislative Pension	$9,000
	Civil War Roundtable (Oct. 2nd)	Spouse Speech	$1,000
	Ontario County Board of Education	Spouse Salary	NA
	Enviance Company	Spouse Salary	N/A

For payments to charity in lieu of honoraria, use Schedule II.

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SCHEDULE II — PAYMENTS IN LIEU OF HONORARIA MADE TO CHARITY

List the source, activity (i.e., speech, appearance, or article), date, and amount of any payment made by the sponsor of an event to a charitable organization in lieu of an honorarium. A separate confidential list of charities receiving such payments must be filed directly with the Committee on Standards of Official Conduct. An envelope for transmitting the list is included in each Member's filing package.

Source	Activity	Date	Amount
Examples: Association of American Associations, Wash., D.C.	Speech	Feb. 2, 2004	$2,000
XYZ Magazine	Article	Aug. 13, 2004	$500
NONE			

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SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Name Nick Joe Rahall, II

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self-directed IRA (i.e., one where you have the power to select the specific investments), provide information on *each asset* in the account that exceeds the reporting threshold, and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see the instruction booklet for the reporting year.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts, any financial interest in or income derived from U.S Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Asset

at close of reporting year.
If you use a valuation method other than fair market value, please specify the method used.
If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

BLOCK D — Amount of Income

For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for Income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.

BLOCK E — Transaction

Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.

			A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify: For Example, Partnership Income or Farm Income)	I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	P, S, E
SP, DC, JT	*Examples*	SP	Mega Corp. Stock					X							X										X								P
			Simon & Schuster		Indefinite																Royalties									X			
			1st Bank of Paducah, KY accounts						X								X										X						
JT	EGR Industries, Inc. -								X							X												X					
	Investment Bldg & Land -																																
	Harper Road Co-Mac																																
	Cellular One, Beckley, WV																																
	1/2 with brother																																

For additional assets and unearned income, use next page.

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SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name Nick Joe Rahall, II

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset											BLOCK C Type of Income							BLOCK D Amount of Income											BLOCK E Transaction
		A None	B $1 - $1,000	C $1,001 - $15,000	D $15,001 - $50,000	E $50,001 - $100,000	F $100,001 - $250,000	G $250,001 - $500,000	H $500,001 - $1,000,000	I $1,000,001 - $5,000,000	J $5,000,001 - $25,000,000	K $25,000,001 - $50,000,000	L Over $50,000,000	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify)	I None	II $1 - $200	III $201 - $1,000	IV $1,001 - $2,500	V $2,501 - $5,000	VI $5,001 - $15,000	VII $15,001 - $50,000	VIII $50,001 - $100,000	IX $100,001 - $1,000,000	X $1,000,001 - $5,000,000	XI Over $5,000,000	P, S, E
JT	H.L. & R Corp.-Raleigh							X					X		X						X										
	County, WV Land and money																														
	market - 1/2 with brother																														
	Rahall Realty - Beckley			X									X								X										
	WV (12½%)																														
	Hilton Head SC Time -			X																	X										
	Share Property-2 week																														
	peak season																														
	Wachovia Securities								X					X		X	X								X						Attach. 15
	Account - See Attachment																														
	1																														
	Salomon Smith Barney									X				X		X	X											X			Attach. 2
	Account - See Attachment																														P. 6 & 7
	2																														
SP	Incentive Stock Options -			X																	X										
	31,158 sh Enviance																														
	Corporation																														

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SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name Nick Joe Rahall, II

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset	BLOCK C Type of Income	BLOCK D Amount of Income	BLOCK E Transaction
		A None; B $1 – $1,000; C $1,001 – $15,000; D $15,001 – $50,000; E $50,001 – $100,000; F $100,001 – $250,000; G $250,001 – $500,000; H $500,001 – $1,000,000; I $1,000,001 – $5,000,000; J $5,000,001 – $25,000,000; K $25,000,001 – $50,000,000; L Over $50,000,000	DIVIDENDS; RENT; INTEREST; CAPITAL GAINS; EXCEPTED TRUST; QUALIFIED BLIND TRUST; Other Type of Income (Specify)	I None; II $1 – $200; III $201 – $1,000; IV $1,001 – $2,500; V $2,501 – $5,000; VI $5,001 – $15,000; VII $15,001 – $50,000; VIII $50,001 – $100,000; IX $100,001 – $1,000,000; X $1,000,001 – $5,000,000; XI Over $5,000,000	P, S, E
	Morgan Stanley Access #1 Acct. See Attachments 3 and 4 (Acct. 642108600022)	E	DIVIDENDS, INTEREST, CAPITAL GAINS	VI	Attach. 16
	Morgan Stanley Access #2 Acct. See Attachments 5 and 6 (Acct. 642113487022)	E	DIVIDENDS, INTEREST, CAPITAL GAINS	VI	Attach. 17
	Morgan Stanley Access #3 Acct. See Attachments 7 and 8 (Acct. 642122048022)	D	DIVIDENDS, INTEREST, CAPITAL GAINS	VI	Attach. 18
	Morgan Stanley IRA Account. See Attachment 9	D	DIVIDENDS, INTEREST, CAPITAL GAINS	III	Attach. 19
	Congressional Fed Credit Union Account	C	INTEREST	II	

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SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name Nick Joe Rahall, II

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset											BLOCK C Type of Income						BLOCK D Amount of Income											BLOCK E Transaction
		A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify)	I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	P, S, E
DC	Suzanne Rahall-daughter-					X							X		X	X								X							Attach.20
	Wachovia Securities																															
	(Attachments 12 and 13)																															
	.1712% Interest Qualified	X																	Partnership	X											S
	Housing Partners Limited																															
	Partnership																															
	AR, IN,KY,LA,MS,NC,Ok,PA,Tn,TX,VA																															
	5.38% Interest SNI					X													Partnership						X							
	Development Company																															
	Partnership real estate																															
	rental, NY																															
	2.80% Interest Cambridge		X																Partnership	X												
	Heights Apartments																															
	Partnership, real estate																															
	rental, MS																															
	.006449% Interest SB			X															Partnership	X												
	Partners Limited																															
	Partnership, real estate																															
	rental, FL,MO,SC,Mn,PA																															
	Wheat First Securities						X						X		X	X							X								Attach.14
	SEP IRA - Attachment 14																															

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SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name Nick Joe Rahall, II

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset: A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	BLOCK C Type of Income: DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify)	BLOCK D Amount of Income: I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	BLOCK E Transaction P, S, E
	.0966% Portfolio Strategy Fund Partnership - Attachment 10, investments NY						X													Partnership						X						
	Morgan Stanley Dean Witter Account #642115916 Attachment 10		X											X		X	X				X											
	Wachovia Bank N.A. Checking Account				X											X							X									
	James Monroe Bank - Escrow Account					X										X							X									
	10 Acres Real Estate - 3533 Marathon Avenue Castle Hayne, NC							X						X												X						
	Nick Rahall Charitable Remainder Unit Trust Attachment 11								X											Trust	X											

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SCHEDULE IV — TRANSACTIONS

Name Nick Joe Rahall, II

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction: PURCHASE	SALE	EXCHANGE	Date (MO/DAY/YR)	Amount of Transaction: B $1,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
SP	*Example:* Mega Corporation Common Stock	X			10-12-04		X								
	Wachovia Securities Account - Attachment 15	X	X		See Attached				X						
	Salomon Smith Barney Account - Attachment 2	X	X		See Attached				X						
	Page 6 and 7														
	Morgan Stanley Dean Witter Access #1	X	X		See Attached					X					
	(Account 642108600022) - Attachment 16														
	Morgan Stanley Dean Witter Access #2	X	X		See Attached		X								
	(Account 642113487022) - Attachment 17														
	Morgan Stanley Dean Witter Access #3	X	X		See Attached				X						
	(Account 642122048022) - Attachment 18														
DC	Suzanne Rahall - Wachovia Securities Acct.	X	X		See Attached				X						
	Attachment 20														

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SCHEDULE IV — TRANSACTIONS

Name Nick Joe Rahall, II

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction: PURCHASE	SALE	EXCHANGE	Date (MO/DAY/YR)	Amount of Transaction: B $1,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
SP	*Example:* Mega Corporation Common Stock	X			10-12-04		X								
	Wheat First Securities SEP IRA:	X	X		Various				X						
	.1712% Interest Qualified Housing Partners Limited Partnership		X		12-31-04	X									
	Morgan Stanley IRA Account Attachment 19	X			Various	X									

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SCHEDULE V — LIABILITIES

Report liabilities of over $10,000 owed to any one creditor ***at any time*** during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. **Exclude:** Any mortgage on your personal residence (unless it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report ***revolving charge accounts*** only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability									
			B $10,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
	Example: First Bank of Wilmington, Delaware	Mortgage on 123 Main St., Dover, Del.				X						
	Citi Cards	Cash Advances & Credit Charges		X								
	Chase Manhattan Mortgage Corp. Columbus, OH	Mortgage on 3533 Marathon Avenue					X					
	MBNA America	Cash Advances & Credit Charges		X								
	John Deer	Tractor		X								
	American Express	Cash Advances & Credit Charges		X								

SCHEDULE VI — GIFTS

Report the source, a brief description, and the value of all gifts totalling more than $285 received by you, your spouse, or a dependent child from any source during the year.

Exclude: Gifts from relatives, gifts of personal hospitality of an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of $114 or less need not be added towards the $285 disclosure threshold.

Note: The gift rule (House Rule 25, clause 5) prohibits acceptance of gifts except as specifically provided in the rule

Source	Description	Value
Example: Mr. Joseph H. Smith, Anytown, Anystate	Silver Platter (determination on personal friendship received from Committee on Standards)	$290
NONE		

Use additional sheets if more space is required.

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SCHEDULE V — LIABILITIES

Name Nick Joe Rahall, II

Report *liabilities* of over $10,000 owed to any one creditor ***at any time*** during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. **Exclude:** Any mortgage on your personal residence (unless it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report ***revolving charge accounts*** only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability: B $10,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
Example:	First Bank of Wilmington, Delaware	Mortgage on 123 Main St., Dover, Del.				X						
	AT&T Credit Card	Cash Advances & Credit Charges		X								
	Bank One	Cash Advances & Credit Charges		X								

SCHEDULE VI — GIFTS

Report the source, a brief description, and the value of all gifts totalling more than $285 received by you, your spouse, or a dependent child from any source during the year.

Exclude: *Gifts from relatives, gifts of personal hospitality of an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you.* Gifts with a value of $114 or less need not be added towards the $285 disclosure threshold.

Note: The gift rule (House Rule 25, clause 5) prohibits acceptance of gifts except as specifically provided in the rule.

Source	Description	Value
Example Mr. Joseph H. Smith, Anytown, Anystate	Silver Platter (determination on personal friendship received from Committee on Standards)	$290

Use additional sheets if more space is required.

SCHEDULE VII —TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $285 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor.

Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	Point of Departure—Destination—Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Any time not at sponsor's expense
Examples: Chicago Chamber of Commerce	Mar. 2	Wash., D.C.—Chicago—Wash., D.C.	N	N	N	N
Examples: Roycroft Corporation	Aug. 6–11	Wash., D.C —Los Angeles—Cleveland	Y	Y	Y	2 Days
CSX	5/29-31/04	White Sulphur Springs, WV (No Travel Provided)	Y	Y	Y	N
National Motor Freight Traffic Association	7/29-8/2/04	Wash. Dulles to San Diego roundtrip	Y	Y	N	N
Association of American Railroads	11/6-10/04	Wash, DC to Palm Beach, FL roundtrip	Y	Y	N	1

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SCHEDULE VIII — POSITIONS

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States.

Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
Vice President & Secretary	H.L. & R. Corporation
Vice President & Secretary	E G R Industries, Inc.
Member	Professional Advisory Board of ALSAC/St. Jude's Children's Research Hospital
Member	American Task Force for Lebanon Board of Directors

SCHEDULE IX — AGREEMENTS

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement

Use additional sheets if more space is required.

Capital Resource Account

48,061

NICK JOE RAHALL II

WACHOVIA SECURITIES

ACCOUNT STATEMENT

Sub / Branch / Rep / Account No.
001 / BK / BK08 / 6539-9650

December 1 - December 31, 2004

Your Financial Advisor:
EDWARD G RAHALL
122 MAIN STREET
POST OFFICE BOX 1328
BECKLEY, WEST VIRGINIA 25802
304-252-6221

Investment Objective/Risk Tolerance: GROWTH + AGGRESSIVE

Portfolio Summary

Portfolio Assets

	Value on Nov 30	Value on Dec 31	Est. Ann. Income	% Total Assets
Cash and Money Market	$478,826.83	$523,612.92	5,812.11	89.59
Stocks and Options	$1,320.00	$650.00	0.00	0.11
Preferred Stocks	$0.00	$0.00	0.00	
Fixed Income Securities	$58,933.80	$60,237.80	1,200.00	10.30
Open End Mutual Funds	$14,636.89	$0.00	0.00	
Closed End Mutual Funds	$0.00	$0.00	0.00	
Managed Futures/Hedge Funds	$0.00	$0.00	0.00	
Annuities/Insurance	$0.00	$0.00	0.00	
Unit Investment Trusts	$0.00	$0.00	0.00	
Total Assets	**$563,717.52**	**$584,700.72**	7,012.11	100%
Margin Balance	$0.00	$0.00	0.00	
Net Portfolio Value	**$563,717.52**	**$584,700.72**		

Other Assets

These positions reflect purchases made through us or information supplied to us; they are displayed for informational purposes only. If you no longer own any of these investments, please tell us so we can update this section. These assets are not included in the net portfolio value, and are not protected by SIPC.

	Value/Cost on Nov 30	Value/Cost on Dec 31
Dir[illegible] Investments	$0.00	$0.00
Sp[illegible] Products	$0.00	$0.00
Repurchase Agreements/Bonds Borrowed	$0.00	$0.00
Total Other Assets	**$0.00**	**$0.00**

Cash Activity Summary

Total Cash and Money Market Funds on Nov 30: $478,826.83

	Credits	Debits	Year to Date
Securities Purchased	$0.00	- $2,982.00	- $25,644.33
Securities Sold and Redeemed	$24,150.43	$0.00	$108,895.64
Deposits and Withdrawals	$24,350.00	$0.00	$219,439.00
Withdrawals by Check	$0.00	$0.00	- $87,500.00
Electronic Banking Activity	$5,000.00	- $6,000.00	- $42,000.00
Dividends	$0.00	$0.00	$0.00
Interest	$467.66	$0.00	$5,319.26
Money Market Income	$0.00	$0.00	$0.00
Short-term Distributions	$0.00	$0.00	$0.00
Long-term Distributions	$0.00	$0.00	$0.00
Return of Principal	$0.00	$0.00	$0.00
Partnership Distributions	$0.00	$0.00	$0.00
Interest Charged	$0.00	$0.00	$0.00
Withholding	$0.00	$0.00	$0.00
Other Activity	$0.00	$0.00	- $20.00
Total Activity	$53,968.09	- $8,982.00	
Total Cash and Money Market Funds on Dec 31:			**$523,812.92**

Tax-Exempt Income Year-to-Date: $1,750.69
Other Income Year-to-Date: $0.00

Tax-exempt income and other income year-to-date totals are taken from the tax reporting system. They may not match back to the activity summary due to reclassification activity. Money market income can be either taxable or tax exempt and is not reflected in either of these totals. The YTD Money market income is displayed in the Cash Activity Summary. (Money market income from FDIC funds is shown in the Interest line of the Cash Activity Summary, not the Money market income line.) The Cash Activity Summary is based on the activity that has been shown on this statement, not what will be reported to you on your forms 1099. This statement is not a tax document, and should be used for informational purposes only.

WSS73FLD 096797 002000036273 NNYYN NNNNN NNNNNNNN 000002

Sub / Branch / Rep / Account No
001 / BK / BK08 / 6539-9650

December 1 - December 31, 2004

Portfolio Assets

Estimated Current Yield on Money Market funds, when available, reflects the current estimated yield for the Interest Period dates displayed. Estimated Annual Income, when available, reflects the estimated amount you would earn on a security if your current position and its related income remained constant for a year. Estimated Annual Yield, when available, reflects the current estimated annual income divided by the current value of the security as of the statement closing date. The information used to derive these estimates is obtained from various outside vendors; FCC is not responsible for incorrect or missing estimated annual income and yields.

Cash and Money Market Funds

Description	Current market value	Est. ann. Income	Est current yield(%)
CE	$198.99		
BANK DEPOSIT SWEEP OPTION Interest Period 12/01/04 - 12/31/04	$523,613.93	$5,812.11	1.11
Total Cash and Money Market Funds	**$523,812.92**		

Stocks and Options

Options

Description	Symbol	Quantity	Current Price	Current Market Value
PUT CITIGROUP INC $47.50 EXP 03/19/05	COW..	5	$1.3000	$650.00
Total options				**$650.00**
Total stocks and options				**$650.00**

Fixed Income Securities

Municipal Bonds

Description	Quantity	Current Price	Current Market Value	Est. Accrued Interest	Est. Ann. Income	Est. Ann. Yield (%)
KANAWHA PUTNAM CNTY HUNTINGTON CHARLESTON WV S/F MTG 84A OY=11.5% CPN 0.000% DUE 12/01/16 DTD 09/18/84 Moody AAA , S&P AAA CUSIP 483666BF7	75,000	59.2000	$44,400.00	N/A	N/A	N/A

WACHOVIA SECURITIES

NICK JOE RAHALL II

ACCOUNT STATEMENT

Sub / Branch / Rep / Account No.
001 / BK / BK08 / 6539-9650

December 1 - December 31, 2004

Fixed Income Securities

Municipal Bonds continued

Description	Quantity	Current Price	Current Market Value	Est. Accrued Interest	Est. Ann. Income	Est. Ann Yield (%)
PRINCETON W VA HOSP REV REDG-PRINCETON CMNTY HOSP B/E CPN 6.000% DUE 05/01/18 DTD 05/01/93 FC 11/01/93 CALL 01/05/04 @ 102.000 Moody B2, S&P B- CUSIP 742501AS8	20,000	79.1890	$15,837.80	$200.00	$1,200.00	7.57
Total Municipal Bonds	95,000		$60,237.80	$200.00	$1,200.00	1.99
Total Fixed Income Securities			$60,237.80	$200.00	$1,200.00	1.99

Attachment 2 - p.1

SMITH BARNEY citigroup

Ref: 00009931 00065824

Select Client Statement
December 1 - December 31, 2004

685L042910350300000993 1 304366AP01 SELFO017A
CONGRESSMAN NICK JOE RAHALL II
SAM ACCOUNT
106 MAIN STREET
BECKLEY WV 25801-4611

Account number 685-03503-12 29

Your Broker/Dealer is
CITIGROUP GLOBAL MKTS INC.
Your Financial Consultant
JAMES A JOSEPH/PETER JOSEPH
701 MAIN STREET
SUITE 100
STROUDSBURG PA 18360
570-421-3100
Email: peter.t.joseph@smithbarney.com
www.smithbarney.com

Select Service Center:1-800-423-7248
Branch: 800-445-2291

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Account value

	Last period	This period	%
Money fund	$ 184,039.09	$ 191,556.67	9.99
Accrued money fund dividends	87.39	0.00	
Common stocks & options	1,666,059.72	1,726,531.00	90.01
Total value	**$ 1,850,186.20**	**$ 1,918,087.67**	**100.00**
Total value (excluding accrued interest)	**$ 1,850,098.81**	**$ 1,918,087.67**	

Earnings summary

	This period		This year	
	Taxable	Non-taxable	Taxable	Non-taxable
Qualified dividends	$ 9,209.50	$ 0.00	$ 25,592.50	$ 0.00
Money fund earnings	0.00	285.52	0.00	1,333.42
Total	**$ 9,209.50**	**$ 285.52**	**$ 25,592.50**	**$ 1,333.42**

Gain/loss summary

	This period	This year
Realized gain or (loss)	$ 0.00	$ 141,686.37 LT
		$ 0.00 ST
Unrealized gain or (loss)	988,264.52	*Not applicable*

Cash, money fund, bank deposits

	This period	This year
Opening balance	**$ 184,039.09**	
Securities bought and other subtractions	0.00	
Securities sold and other additions	4.46	
Withdrawals	(1,981.92)	(7,897.93)
Dividends credited	9,209.50	
Money fund earnings reinvested	285.52	
Closing balance	**$ 191,556.67**	

A free credit balance in any securities account may be paid to you on demand. Although properly accounted for on our books and records, these funds may be used for our business purposes.

Portfolio summary

	This period	This year
Beginning total value (excl. accr. int.)	$ 1,850,098.81	$ 1,832,153.88
Net security deposits/withdrawals	0.00	0.00
Net cash deposits/withdrawals	(1,981.92)	(7,897.93)
Beginning value net of deposits/withdrawals	1,848,116.89	1,824,255.95
Total income	9,495.02	26,925.92
Asset appreciation	60,475.76	66,905.80
Total value as of 12/31/2004(excl.accr.int.)	$ 1,918,087.67	$ 1,918,087.67
Total return	$ 69,970.78	$ 93,831.72

Ref: 00009931 00065925

Select Client Statement
December 1 - December 31, 2004

CONGRESSMAN NICK JOE RAHALL II Account number 685-03503-12 291

PORTFOLIO DETAILS — The values of your holdings are as of 12/31/04.

Securities purchased or sold are included or excluded in this section as of the trade-date. This section may include securities that have not settled as of this statement closing date. Please see the "Unsettled purchases/sales" section for more information. Dividend yield is the estimated annual income, assuming the current dividend, divided by the security's market price at the end of the statement period. We do not guarantee the accuracy of the prices reflected on the statement nor do these prices represent levels at which securities can be bought or sold. Please note unrealized gain/(loss) is being prepared for informational purposes only and should not be used for tax preparation without the assistance of your tax advisor.

***Please note:** unrealized gain/(loss) is being calculated for informational purposes only and should not be used for tax preparation without the assistance of your tax advisor.*

Money fund

Number of shares	Description	Current value	Accrued dividends	Annualized % dividend yield	Anticipated income (annualized)
191,556.67	SMITH BARNEY MUNICIPAL MONEY MARKET FD CL A	$ 191,556.67		1.21%	$ 2,317.83
Total money fund		$ 191,556.67	$ 0.00	1.21%	$ 2,317.83

Common stocks & options

Quantity	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)	Average % yield	Anticipated income (annualized)
3,600	AMERICAN EXPRESS CO	AXP	03/13/95	$ 40,054.98	$ 11.006	$ 56.37	$ 202,932.00	$ 162,877.02 LT	.851%	$ 1,728.00
3,600	AMGEN INC	AMGN	06/14/90	5,700.00	1.583	64.15	230,940.00	225,240.00 LT		
1,800	BRISTOL MYERS SQUIBB CO	BMY	07/12/83	7,784.56	4.871	25.62	40,992.00	33,187.44# LT	4.371	1,792.00
1,000	COCA-COLA CO	KO	12/28/93	22,644.75	22.257	41.64	41,640.00	19,095.25 LT	2.401	1,000.00
1,000	COLGATE PALMOLIVE CO	CL	07/23/04	52,710.00	52.46	51.16	51,160.00	(1,550.00) ST	1.876	960.00
6.2846	COMCAST CORP CL A	CMCSA	02/03/87	279.98	44.688	33.28	209.15	(70.83)# LT		
241.7154			02/03/85	8,481.86	35.199	33.28	8,044.29	(437.57) LT		
248				8,761.84	35.33		8,253.44	(508.40)		
331	FREESCALE SEMICONDUCTOR INC CLASS B	FSLB	07/23/04	4,880.06	14.754	18.36	6,077.16	1,197.10 ST		
3,000	GENERAL ELECTRIC CO	GE	08/07/03	83,799.90	27.683	36.50	108,500.00	25,700.10 LT	2.41	2,640.00
4,500	HOME DEPOT INC	HD	01/05/94	40,000.00	8.888	42.74	192,330.00	152,330.00 LT	.795	1,530.00
1,020	IAC INTERACTIVECORP	IACI	08/14/03	36,674.00	35.954	27.62	28,172.40	(8,501.60) LT		
300			09/17/03	10,279.83	34.266	27.62	8,286.00	(1,993.83) LT		
1,320				46,953.83	35.571		36,458.40	(10,495.43)		
1,000	INTEL CORP	INTC	04/12/04	27,510.00	27.51	23.39	23,390.00	(4,120.00) ST	1.368	320.00
1,000	JOHNSON & JOHNSON	JNJ	08/07/03	50,680.00	50.43	63.42	63,420.00	12,740.00 LT	1.797	1,140.00
2,400	MCDONALDS CORP	MCD	08/22/94	32,046.24	13.187	32.06	76,944.00	44,897.76 LT	1.716	1,320.00

SMITH BARNEY citigroup

Ref: 00009931 00035626

Select Client Statement
December 1 - December 31, 2004

CONGRESSMAN NICK JOE RAHALL II Account number 685-03503-12 291

Common stocks & options *continued*

Quantity	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)	Average % yield	Anticipated income (annualized)
1,000	MICROSOFT CORP	MSFT	02/04/04	$ 27,170.00	$ 27.17	$ 26.72	$ 26,720.00	($ 450.00) ST		
1,000			04/12/04	25,650.00	25.65	26.72	26,720.00	1,070.00 ST		
2,000				52,820.00	26.41		53 440.00	620.00	1.197	640.00
3,000	MOTOROLA INC DE	MOT	07/23/04	41,827.43	13.875	17.20	51,600.00	9,972.57 ST	.93	480.00
2,000	PFIZER INC	PFE	08/07/03	65,539.00	32.519	26.89	53,780.00	(11,759.00) LT	2.826	1,520.00
1,200	3M COMPANY	MMM	11/25/92	29,718.21	24.765	82.07	98,484.00	68,765.79 LT	1.754	1,728.00
3,000	TIME WARNER INC	TWX	01/18/93	32,783.72	10.927	19.45	58,350.00	25,566.28 LT		
1,200	VIACOM INC CL B	VIAB	08/30/95	29,372.31	24.167	36.39	43,668.00	14,295.69 LT		
800			02/04/04	31,542.00	39.177	36.39	29,112.00	(2,430.00) ST		
2,000				60,914.31	30.457		72,780.00	11,865.69	.769	560.00
3,500	WAL-MART STORES INC	WMT	01/15/85	4,527.89	1.275	52.82	184,870.00	180,342.01 ST LT	.984	1,820.00
1,000	WM WRIGLEY JR CO	WWY	05/22/96	26,899.66	26.577	69.19	69,190.00	42,290.34 LT	1.358	940.00
Total common stocks and options				$ 738,268.48			$ 1,726,531.00	$ 3,689.67 ST	1.16	
								$ 984,574.85 LT		$ 20,118.00
Total portfolio value				$ 929,823.15			$ 1,918,087.67	$ 3,689.67 ST	1.16	$ 22,435.83
								$ 984,574.85 LT		

3807

citigroup

Ref: 00013143 00049045

Select Client Statement Forms 1099 for 2004

Account Number 685-03503-12 291

Payer

CITIGROUP GLOBAL MKTS INC.
388 GREENWICH STREET
NEW YORK, NEW YORK 10013
Attn: Tax Reporting Service Center
Tax ID Number 11-2418191

Your Financial Consultant

JAMES A JOSEPH/PETER JOSEPH
CITIGROUP GLOBAL MKTS INC.
701 MAIN STREET
SUITE 100
STROUDSBURG PA 18360
Branch Office : 570-421-3100
Email: peter.t.joseph@smithbarney.com

CONGRESSMAN NICK JOE RAHALL II
SAM ACCOUNT
106 MAIN STREET
BECKLEY WV
25801-4811

This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

1099-DIV Dividends and Distributions 2004

(OMB No.1545-0110)

Total ordinary dividends	Qualified dividends	Total capital gain distributions	Unrecaptured Sec. 1250 gain	Section 1202 gain	Collectibles (28%) gain
$ 25,582.50	$ 25,582.50	$ 44.36			
Box 1a	Box 1b	Box 2a	Box 2b	Box 2c	Box 2d

Nontaxable distributions	Federal income tax withheld	Investment expenses	Foreign tax paid	Foreign country or US possession	Cash liquidation distributions	Non cash liquidation distributions
Box 3	Box 4	Box 5	Box 6	Box 7	Box 8	Box 9

SMITH BARNEY citigroup

Ref: 00013143 00089048

Select Client Statement
2004 Year End Summary

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CONGRESSMAN NICK JOE RAHALL II

Account Number 685-03503-12 291 SS #

Details of 1099 Reported Dividends and Distributions 2004, Boxes 1a - 2d

The following details show how we derived your total dividends reported on Form 1099-DIV. Amount displayed for dividends include dividend reinvestments, money fund earnings, and accrued dividends received.

Reference number	Description	Total Ordinary Dividends: Dividends* (Box 1a)	Total Ordinary Dividends: Short term capital gain*	Qualified dividends (Box 1b)	Total capital gain distributions (Box 2a)	Unrecaptured Sec. 1250 gain (Box 2b)	Section 1202 gain (Box 2c)	Collectibles (28%) gain (Box 2d)
150000100	AMERICAN EXPRESS CO	$ 1,512.00		$ 1,512.00				
150000200	BRISTOL MYERS SQUIBB CO	1,792.00		1,792.00				
150000300	COCA-COLA CO	1,000.00		1,000.00				
150000400	COLGATE PALMOLIVE CO	240.00		240.00				
150000500	GENERAL ELECTRIC CO	2,400.00		2,400.00				
150000600	HOME DEPOT INC	1,462.50		1,462.50				
150000700	INTEL CORP	120.00		120.00				
150000800	JOHNSON & JOHNSON	1,095.00		1,095.00				
150000900	MCDONALDS CORP	1,320.00		1,320.00				
150001000	MERCK & CO INC	2,086.00		2,086.00				
150001100	MICROSOFT CORP	6,320.00		6,320.00				
150001200	MOTOROLA INC DE	120.00		120.00				
150001300	PFIZER INC	1,360.00		1,360.00				
150001400	SMITH BARNEY MUNICIPAL MONEY MARKET FD CL A A PORTION OF THIS INCOME HAS BEEN RECLASSIFIED				44.36			
150001500	3M COMPANY	1,728.00		1,728.00				
150001600	VIACOM INC CL B	432.00		432.00				
150001700	WAL-MART STORES INC	1,680.00		1,680.00				
150001800	WM WRIGLEY JR CO	925.00		925.00				
Totals		**$ 25,592.50**		**$ 25,592.50**	**$ 44.36**			

**The total of these two categories equals the amount reported in Form 1099-DIV, Box 1a.*

Please note:

- *"Dividends" includes both Qualified and non-qualified dividends received.*
- *"Total capital gain distributions" is a total of long term capital gain distributions and the amounts reported in Boxes 2b through 2d.*

2004 YEAR END SUMMARY

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Select Client Statement
2004 Year End Summary

CONGRESSMAN NICK JOE RAHALL II
Account Number 685-03503-12 291 SS #

Details of 1099 Exempt Dividends 2004

Amounts displayed for dividends include dividend reinvestments, money fund earnings, and accrued dividends received.

Reference number	Description	Ordinary dividends	Alternative minimum tax
160000100	SMITH BARNEY MUNICIPAL MONEY MARKET FD CL A	$ 1,289.06	$ 204.06 *
Totals		$ 1,289.06	$ 204.06

*This amount is the portion of "Ordinary dividends" that is subject to the Alternative Minimum Tax.

Details of Short Term Gain (Loss) 2004

This section shows your sales of securities during the year. The "Sale Proceeds" column excludes any accrued income you may have received. In addition, although cash in lieu less than $20 is not included on Form 1099-B (if applicable), it is included in this section. Please note, this material is being prepared for informational purposes only and should not be used for tax preparation without the assistance of your tax advisor.

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125000200	.245	FREESCALE SEMICONDUCTOR INC CLASS B CASH IN LIEU OF .24500 RECORD 11/26/04 PAY 12/02/04	07/23/04	12/14/04	$ 4.48	$ 3.61		$ .87
Total					$ 4.48	$ 3.61		$ .87

Details of Long Term Gain (Loss) 2004

This section shows your sales of securities during the year. The "Sale Proceeds" column excludes any accrued income you may have received. In addition, although cash in lieu less than $20 is not included on Form 1099-B (if applicable), it is included in this section. Please note, this material is being prepared for informational purposes only and should not be used for tax preparation without the assistance of your tax advisor.

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125000100	2,000	FEDERAL HOME LN MTG CORP	11/23/94	02/04/04	$ 124,436.15	$ 24,363.54		$ 100,072.61
125000300	1,400	MERCK & CO INC	12/23/86	11/02/04	38,244.29	8,808.11		29,436.18 b

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2004 YEAR END SUMMARY

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CONGRESSMAN NICK JOE RAHALL II
Account Number 685-03503-12 291 SS #

Details of Long-Term Gain (Loss) 2004 *continued*

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125000400	160	ZIMMER HOLDINGS INC	07/12/83	04/12/04	$ 12,572.50	$ 394.92		$ 12,177.58 [b]
Total					$ 176,252.84	$ 35,566.57		$ 141,686.27

[b] *Based on information supplied by your Financial Consultant.*

Details of Deposits and Withdrawals 2004

This section reflects any deposits or withdrawals made to your account during the year.

Withdrawals

Reference number	Date	Description	Referral number	Amount
220000100	03/19/04	INVESTMENT & ADVISORY SERVICES FROM 03/01/04 TO 05/31/04		$ 2,006.10
220000300	09/17/04	INVESTMENT & ADVISORY SERVICES FROM 09/01/04 TO 11/30/04		1,941.88
Total				$ 7,897.93

Reference number	Date	Description	Referral number	Amount
220000200	06/18/04	INVESTMENT & ADVISORY SERVICES FROM 06/01/04 TO 08/31/04		$ 1,968.05
220000400	12/17/04	INVESTMENT & ADVISORY SERVICES FROM 12/01/04 TO 02/28/05		1,981.92

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2004 YEAR END SUMMARY

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Morgan Stanley

ACTIVE ASSETS® ACCESS ACCOUNT
FOR YEAR ENDING DECEMBER 31, 2004

Your Financial Advisor
MR PEAR/MR HANDO
MORGAN STANLEY
1775 EYE STREET, NW SUITE 200
WASHINGTON, DC 20006
(202) 862-9000

Your Investment Manager:
BRANDES INVESTMENT PARTNERS, LLC

Brandes Investments

@BWNJGWM
00168705 3 AB 0.742 03 TR 1189 -000
THE HONORABLE NICK JOE RAHALL
106 MAIN STREET
BECKLEY WV 25801-4611

642

Account Number
642 108600 022

AAA Customer Service
1-800-869-3326
Householding Anniv. Date:
05/29/2003

Access Your Account at:
www.morganstanley.com/online

Asset Summary

	Value	% of Assets
Money Market Funds	$5,984.03	7.0%
Stocks	78,482.65	93.0
Municipal Bonds	0.00	0.0
Corporate Fixed Income	0.00	0.0
Government Securities	0.00	0.0
Mutual Funds	0.00	0.0
Unit Investment Trusts	0.00	0.0
Certificates of Deposit	0.00	0.0
Annuities/Insurance	0.00	0.0
Other	0.00	0.0
Asset Value	$84,466.68	100.0%
Cash	15.60	
Total Asset Value	$84,482.28	

Income Summary

	Year To Date
Money Market Funds	$32.50
Stocks	1,326.81
Municipal Bonds	0.00
Corporate Fixed Income	0.00
Government Securities	0.00
Mutual Funds	0.00
Unit Investment Trusts	0.00
Certificates of Deposit	0.00
Other	0.00
Total Income	$1,359.31
Taxable Income	$1,359.31
Tax Exempt Income	$0.00

Activity Summary

Total Asset Value January 01, 2004		$76,629.80
Cash/Money Market Activity for 2004		
Credits To Your Account		
Dividend and Interest	1,359.31	
Deposits	0.00	
Sales Proceeds/Redemptions	131,195.29	
Other Credits	58.22	
Total Credits	132,612.82	
Debits To Your Account		
Checking	0.00	
Debit Card	0.00	
Withdrawals	0.00	
Funds to Purchase Securities	-129,478.43	
Other Debits	-2,116.16	
Total Debits	-131,594.59	
Changes in Asset Value for 2004		
Value of Priced Assets 1/01/04	$71,602.30	
Securities Bought	129,478.43	
Securities Received	0.00	
Securities Sold/Redeemed	-131,195.29	
Securities Delivered	0.00	
Transactions at Fund Company	0.00	
Change in Value of Priced Assets	8,597.21	
Value of Priced Assets 12/31/04	78,482.65	
Net Change in Asset Value		6,880.35
Total Asset Value as of December 31, 2004		$84,482.28

Investments and services are offered through Morgan Stanley DW Inc., member SIPC.

Morgan Stanley

ACTIVE ASSETS® ACCESS ACCOUNT
FOR YEAR ENDING DECEMBER 31, 2004

THE HONORABLE NICK JOE RAHALL
106 MAIN STREET

Account Number
642 108600 022

ASSET DETAILS

Cash and Money Market Funds	Amount	Pct of Assets	Est Yrly Income
ACTIVE ASSETS MONEY TRUST	15.60	---	---
	5,984.03	7.0%	104
Total Cash and Money Market Funds	[illegible]	[illegible]	$104

Net Change Since January 1, 2004 $[illegible]

Annualized 30 Day Yields

Active Assets Money Trust.....1.75%
Active Assets Govt. Sec. Tr.....1.58%
Active Assets California Tax Free.....1.04%
Active Assets Tax Free Trust.....1.16%
Active Assets Insured Account..... 1.46%
Active Assets Deposit Account.....1.46%

Stocks		Current Price	Value	Pct of Assets	Est Yrly Income	Dividend Yield	Symbol	Additional Information
Common Stocks								
525	ADERE SYS INC CL B	1.35	708.75	0.9%	0	0.00%	AGR'B	
85	ALBERTSONS INC	23.88	2,029.80	2.5%	64	3.18%	ABS	Next Dividend Payable 02/05
50	ALTRIA GROUP INC	61.10	3,055.00	3.6%	146	4.77%	MO	Next Dividend Payable 01/10/05
65	AMR CORP DE	10.95	711.75	0.8%	0	0.00%	AMR	
65	AON CORP	25.86	1,550.90	1.8%	39	2.51%	AOC	Next Dividend Payable 02/05
120	BELLSOUTH CORP	27.79	3,334.80	3.9%	129	3.86%	BLS	Next Dividend Payable 02/05
90	BRISTOL MYERS SQUIBB CO	25.62	2,305.80	2.7%	100	4.37%	BMY	Next Dividend Payable 02/05
20	CIT GROUP NEW	45.82	916.40	1.1%	10	1.15%	CIT	Next Dividend Payable 02/05
195	DELPHI CORPORATION	9.02	1,758.90	2.1%	54	3.10%	DPH	Next Dividend Payable 01/18/05
115	DELTA AIRLINES	7.48	860.20	1.0%	0	0.00%	DAL	
240	EL PASO CORPORATION	10.40	2,496.00	3.0%	58	1.53%	EP	Next Dividend Payable 01/03/05
95	ELECTR DATA SYSTEMS CORP NEW	23.10	2,194.50	2.6%	19	.86%	EDS	Next Dividend Payable 05/05
95	FORD MOTOR CO NEW	14.64	1,390.80	1.6%	38	2.73%	F	Next Dividend Payable 03/05
65	GENERAL MOTORS CORP	40.06	2,603.90	3.1%	130	4.99%	GM	Next Dividend Payable 03/05
115	GOODYEAR TIRE & RUBBER	14.66	1,685.90	2.0%	0	0.00%	GT	
55	HEWLETT PACKARD	20.97	1,153.35	1.4%	17	1.52%	HPQ	Next Dividend Payable 01/05/05
40	JPMORGAN CHASE & CO	39.01	1,560.40	1.8%	54	3.46%	JPM	Next Dividend Payable 01/05
200	KROGER CO	17.54	3,508.00	4.2%	0	0.00%	KR	
30	LOEWS CORP DELAWARE	70.30	2,109.00	2.5%	18	.85%	LTR	Next Dividend Payable 05/05
300	LUCENT TECHNOLOGIES	3.76	1,128.00	1.3%	0	0.00%	LU	
65	MATTEL INC	19.49	1,266.85	1.5%	29	2.30%	MAT	Next Dividend Payable 12/05
40	MAYTAG CORP	21.10	844.00	1.0%	28	3.41%	MYG	Next Dividend Payable 03/05
105	MERCK & CO	32.14	3,374.70	4.0%	159	4.72%	MRK	Next Dividend Payable 01/03/05
155	MICRON TECH INC	12.35	1,914.25	2.3%	0	0.00%	MU	
30	NATIONWIDE FIN SVCS INC CL A	38.23	1,146.90	1.4%	21	1.86%	NFS	Next Dividend Payable 01/17/05
75	NEWELL RUBBERMAID INC	24.19	1,814.25	2.1%	63	3.47%	NWL	Next Dividend Payable 03/05
105	PFIZER INC	26.89	2,823.45	3.3%	79	2.82%	PFE	Next Dividend Payable 03/05
15	REYNOLDS AMERICAN INC	78.60	1,179.00	1.4%	57	4.83%	RAI	Next Dividend Payable 01/03/05
165	SAFEWAY INC COM NEW	19.74	3,257.10	3.9%	0	0.00%	SWY	
95	SBC COMMUNICATIONS	25.77	2,448.15	2.9%	122	5.00%	SBC	Next Dividend Payable 02/05

Investments and services are offered through Morgan Stanley DW Inc., member SIPC.

Morgan Stanley

ACTIVE ASSETS® ACCESS ACCOUNT
FOR YEAR ENDING DECEMBER 31, 2004

THE HONORABLE NICK JOE RAHALL
108 MAIN STREET

Account Number
642 108600 022

Stocks		Current Price	Value	Pct of Assets	Est Yrly Income	Dividend Yield	Symbol	Additional Information
Common Stocks								
150	SCHERING PLOUGH CORP	20.88	3,132.00	3.7%	33	1.05%	SGP	Next Dividend Payable 02/05
105	SERVICE CORP INTL	7.45	782.25	0.9%	0	0.00%	SCI	
185	TENET HEALTHCARE CORP	10.98	2,031.30	2.4%	0	0.00%	THC	
95	TOYS R US	20.47	1,944.65	2.3%	0	0.00%	TOY	
40	TUPPERWARE CORP	20.72	828.80	1.0%	35	4.24%	TUP	Next Dividend Payable 01/04/05
250	UNISYS CORP	10.18	2,545.00	3.0%	0	0.00%	UIS	
125	UNUMPROVIDENT CORP	17.94	2,242.50	2.7%	37	1.67%	UNM	Next Dividend Payable 02/05
65	VERIZON COMMUNICATIONS	40.51	2,633.15	3.1%	100	3.80%	VZ	Next Dividend Payable 02/05
75	VISTEON CORP	9.77	732.75	0.9%	18	2.45%	VC	Next Dividend Payable 03/05
25	WASTE MGMT INC (DELA)	29.94	748.50	0.9%	16	2.50%	WMI	Next Dividend Payable 03/05
30	WYETH	42.59	1,277.70	1.5%	27	2.16%	WYE	Next Dividend Payable 03/05
105	XEROX CORP	17.01	1,786.05	2.1%	0	0.00%	XRX	
160	3COM CORPORATION	4.17	667.20	0.8%	0	0.00%	COMS	
Total Stocks			$78,482.65	93.0%	$1,692	2.15%		
Net Change Since January 1, 2004			$61,860.35					

Asset Summary	Value	Est Yrly Income
Total Asset Value	$84,482.26	$1,794
Total Net Change In Priced Assets Since January 1, 2004	$61,860.35	

CREDITS TO YOUR ACCOUNT

Dividends and Interest

Activity	Description	Amount	Income Category
Dividend	ACTIVE ASSETS MONEY TRUST	32.50	Money Market Funds
Dividend	A T & T CORP NEW	54.62	Stocks
Dividend	ALBERTSONS INC	32.30	Stocks
Dividend	ALCAN INC	2.40	Stocks
Dividend	ALTRIA GROUP INC	29.20	Stocks
Dividend	AMERICA MOVIL SA DE CV ADR L	2.00	Stocks
Dividend	AMERICAN EXPRESS CO	2.40	Stocks
Dividend	AMERICAN INTERNATIONAL GP	.85	Stocks
Dividend	AMERICAN GREETINGS A	3.00	Stocks

Morgan Stanley

1099-DIV
TAX YEAR 2004

COPY B
FOR RECIPIENT

DIVIDENDS AND DISTRIBUTIONS

OMB NO. 1545-0110

PAYER:
MORGAN STANLEY DW INC.
HARBORSIDE FINANCIAL CENTER
JERSEY CITY, NJ 07311
IDENTIFICATION NUMBER: 94-1671384

BBWNJGWM
00117859 S AB 0.742 03 TR 1421 -000
THE HONORABLE NICK JOE RAHALL
106 MAIN STREET
BECKLEY WV 25801-4811 642

Account Number
642 108600 022

FEDERAL TAX ID

Customer Service
(800) 869-3326

This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

The following dividend information is reported to the IRS by payer. When preparing your income tax return you should list payer information separately as shown below.

Payer	1a. Total Ordinary Dividends	1b. Qualified Dividends	2a. Total Capital Gain Distributions	2b. Unrecap Section 1250 Gain	3. Nontaxable Distributions	4. Federal Income Tax Withheld	5. Investment Expenses	6. Foreign Tax Paid	7. Foreign Country or US Posses	8. Cash Liquidation Distributions
Morgan Stanley DW Inc. PAYER'S FED. ID. # 94-1671384	1,323.01	1,293.84							42.50 *	
ACTIVE ASSETS MONEY TRUST PAYER'S FED. ID. # 13-3075405 PLAN NUMBER 268-014465391	32.50									

There is no reportable information for Boxes 2c, 2d, and 9; these Boxes are therefore not shown above.

** See Detail*

The dividend income earned from each mutual fund within the fund complex is separately stated on this form. Each fund and its earnings may have to be separately stated on your tax return on Schedule B (Form 1040) or Schedule 1 (Form 1040A).

IMPORTANT TAX INFORMATION - PLEASE RETAIN FOR YOUR RECORDS

Attachment 4 - p.1

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THE HONORABLE NICK JOE RAHALL
108 MAIN STREET

Account Number
642 108600 022

Realized Gain/(Loss)	Quantity	Date Acquired	Date Sold	Adjusted Total Cost	Proceeds	Realized Gain/(Loss)	Additional Information
Short Term							
A T & T CORP NEW	115	06-24-04	12-03-04	1,721.55	2,131.48	409.93	Short Term
ADECCO SA SP ADR	35	11-04-03	01-20-04	510.34	374.84	(135.50)	Short Term
ADECCO SA SP ADR	80	05-12-04	06-24-04	563.37	640.48	77.11	Short Term
ADVANTEST CORP	36	09-02-03	01-29-04	670.09	724.86	54.77	Short Term
AGILENT TECHNOLOGIES	16	08-14-03	06-24-04	345.98	442.87	96.89	Short Term
AGILENT TECHNOLOGIES	7	08-27-03	06-24-04	172.87	193.76	20.89	Short Term
AGILENT TECHNOLOGIES	6	04-21-04	06-24-04	175.80	166.08	(9.72)	Short Term
ALCAN INC	16	04-02-04	06-24-04	750.34	670.38	(79.96)	Short Term
ALCATEL ADS	25	05-12-04	06-24-04	344.75	379.49	34.74	Short Term
AMERICA MOVIL SA DE CV ADR L	25	01-30-04	06-24-04	785.04	904.47	119.43	Short Term
AMERICAN ELECTRIC POWER CO	25	06-24-04	11-24-04	804.00	880.94	76.94	Short Term
AMERICAN EXPRESS CO	12	12-29-03	05-13-04	580.25	583.66	3.41	Short Term
AMERICAN GREETINGS A	50	06-24-04	11-17-04	1,109.94	1,376.56	266.62	Short Term
AMERICAN INTERNATIONAL GP	13	03-23-04	06-24-04	919.06	935.58	16.52	Short Term
AMGEN INC	6	09-12-03	06-24-04	406.54	320.42	(86.12)	Short Term
ATI TECHNOLOGIES INC	28	04-16-04	06-24-04	451.96	512.69	60.73	Short Term
AVON PRODUCTS INC	24	02-03-04	06-24-04	764.81	1,096.53	331.72	Short Term
BANCO BILBAO VIZ ARG SA ADS	59	03-25-04	06-24-04	765.58	802.97	37.39	Short Term
BANCO BRAD SA SP ADR PFD NEW	17	03-26-03	02-24-04	299.92	407.75	107.83	Short Term
BARR LABORATORIES INC	15	12-16-03	03-24-04	762.50	644.23	(118.27)	Short Term
BAYER AG SPON ADR	20	04-29-04	06-24-04	536.61	569.38	32.57	Short Term
BAYER AG SPON ADR	15	06-03-04	06-24-04	435.40	427.04	(8.36)	Short Term
BED BATH & BEYOND INC	9	11-20-03	04-21-04	366.22	332.54	(33.68)	Short Term
BEST BUY CO	11	12-29-03	06-24-04	572.55	584.41	11.86	Short Term
BEST BUY CO	10	04-30-04	06-24-04	531.15	531.29	.14	Short Term
BHP BILLITON PLC SPONS ADR	26	04-07-03	02-12-04	282.82	458.51	175.69	Short Term
BNP PARIBAS SP ADR REPSTG	12	01-15-04	04-16-04	380.91	366.62	(14.29)	Short Term
BNP PARIBAS SP ADR REPSTG	12	01-15-04	06-04-04	380.91	370.81	(10.10)	Short Term
BOSTON SCIENTIFIC CORP	22	06-13-03	03-18-04	671.33	861.64	190.31	Short Term
BOSTON SCIENTIFIC CORP	10	06-17-03	05-18-04	323.05	391.75	68.70	Short Term
BRITISH AIRWAYS FINL INST ADR	16	07-24-03	06-09-04	434.93	779.81	344.88	Short Term
BRITISH AMER TOB SPON ADR	26	04-01-04	06-24-04	804.67	823.66	18.99	Short Term
BRITISH SKY BRDCSTG GRP PLC	9	11-13-03	04-02-04	420.77	445.43	24.66	Short Term
C R BARD INC NJ	10	05-26-04	06-24-04	549.98	552.38	2.40	Short Term
CAPITAL ONE FINANCIAL CORP	10	03-13-04	06-24-04	661.50	703.98	42.48	Short Term
CELESTICA INC SUB VTG SHS	35	01-16-04	06-24-04	707.00	699.28	(7.72)	Short Term
CISCO SYS INC	30	08-27-03	06-24-04	573.00	719.08	146.08	Short Term
CISCO SYS INC	17	03-19-04	06-24-04	391.51	407.48	15.97	Short Term
CLEAR CHANNEL COMMUNICATION	13	09-04-03	02-24-04	594.15	545.97	(48.18)	Short Term
CNOOC LTD ADS	14	10-03-03	01-06-04	504.90	589.49	84.59	Short Term
COMPUTER SCIENCES CP	25	06-24-04	09-20-04	1,145.75	1,189.19	43.44	Short Term
COUNTRYWIDE FINANCIAL CORP		10-10-03	04-12-04	0.00	28.39	28.39	Cash in Lieu

This summary is not part of your account statement. It is for information purposes only and should not be used for tax preparation.

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THE HONORABLE NICK JOE RAHALL
108 MAIN STREET

Account Number
642 108800 022

Realized Gain/(Loss)	Quantity	Date Acquired	Date Sold	Adjusted Total Cost	Proceeds	Realized Gain/(Loss)	Additional Information
COUNTRYWIDE FINANCIAL CORP	13	10-10-03	06-24-04	655.20	927.39	272.19	Short Term
CREDIT SUISSE GROUP	25	05-16-03	03-23-04	662.96	864.73	201.77	Short Term
CROWN CASTLE INTL	57	08-27-03	01-16-04	598.69	716.25	117.56	Short Term
CROWN CASTLE INTL	44	09-30-03	01-16-04	414.42	552.90	138.48	Short Term
DEUTSCHE BK AG REG SHS	7	02-26-04	05-18-04	605.46	539.35	(66.11)	Short Term
DOW CHEMICAL CO	15	05-26-04	06-24-04	587.15	611.23	24.08	Short Term
DUKE ENERGY CORP	75	06-24-04	10-27-04	1,533.99	1,836.63	302.64	Short Term
EASTMAN KODAK CO	60	06-24-04	09-22-04	1,603.80	1,931.95	328.15	Short Term
EL PASO CORPORATION	80	06-24-04	12-06-04	600.00	800.77	200.77	Short Term
ELAN PLC ADR	20	05-18-04	06-24-04	451.29	469.19	17.90	Short Term
ELAN PLC ADR	15	06-03-04	06-24-04	351.95	351.89	(.06)	Short Term
ELECTR DATA SYSTEMS CORP NEW	30	07-09-04	12-20-04	554.87	673.32	118.45	Short Term
EMC CORP MASS	44	10-10-03	06-24-04	628.28	509.07	(119.21)	Short Term
EMC CORP MASS	25	04-30-04	06-24-04	283.21	289.24	6.03	Short Term
EMI GROUP PLC SPOS ADR NEW	65	04-13-04	06-24-04	646.61	555.73	(90.88)	Short Term
EPCOS A G	22	10-29-03	06-24-04	448.40	452.75	4.35	Short Term
EPCOS A G	14	11-13-03	06-24-04	326.97	288.11	(38.86)	Short Term
ERICSSON LM TEL ADR CL B NEW	11	07-24-03	04-26-04	146.52	316.24	169.72	Short Term
ERICSSON LM TEL ADR CL B NEW	4	08-01-03	04-26-04	57.24	114.99	57.75	Short Term
ERICSSON LM TEL ADR CL B NEW	15	08-01-03	06-24-04	214.65	441.28	226.63	Short Term
FEDERATED DEPT STRS INC DEL	20	03-23-04	06-15-04	978.96	988.78	9.82	Short Term
FORD MOTOR CO NEW	40	12-19-03	03-22-04	610.39	517.97	(92.42)	Short Term
FOREST LABORATORIES INC	19	09-30-03	06-22-04	965.74	1,106.72	140.98	Short Term
FPL GROUP INC	10	06-24-04	08-16-04	641.70	680.16	38.46	Short Term
FRANCE TELECOM	26	01-13-04	05-17-04	765.58	574.05	(191.53)	Short Term
FUJI PHOTO ADR NEW	21	12-24-03	06-24-04	680.57	679.96	(.61)	Short Term
GAP INC	55	06-04-03	01-29-04	988.22	1,015.89	27.67	Short Term
GAP INC	35	03-11-04	06-24-04	777.48	882.67	105.19	Short Term
GEMPLUS INTL SA ADS	110	04-29-04	06-24-04	529.38	432.29	(97.09)	Short Term
GEMPLUS INTL SA ADS	100	06-04-04	06-24-04	404.58	392.99	(11.59)	Short Term
GENENTECH INC	18	12-03-03	06-24-04	786.98	989.25	202.27	Short Term
GENERAL ELECTRIC CO	10	09-30-03	06-24-04	296.90	330.79	33.89	Short Term
GILEAD SCIENCE	15	05-18-04	06-24-04	936.00	989.37	53.37	Short Term
GILLETTE CO	8	12-29-03	04-21-04	292.40	305.83	13.43	Short Term
GILLETTE CO	8	12-29-03	06-24-04	292.40	342.87	50.47	Short Term
GILLETTE CO	10	03-22-04	06-24-04	379.63	428.59	48.96	Short Term
GLAXOSMITHKLINE PLC ADS	6	05-07-03	03-02-04	254.58	252.61	(1.97)	Short Term
GLAXOSMITHKLINE PLC ADS	8	09-11-03	03-02-04	331.89	336.82	4.93	Short Term
GREAT LAKES CHEMICAL CORP	20	06-24-04	11-30-04	531.40	583.85	52.45	Short Term
GREAT LAKES CHEMICAL CORP	10	06-24-04	12-01-04	265.70	294.10	28.40	Short Term
HENDERSON LD DEV CO LTD SP ADR	185	08-29-03	06-24-04	695.71	750.28	54.57	Short Term
HOLCIM LTD ADR		06-14-04	06-14-04	0.00	14.36	14.36	Short Term
HOLCIM LTD ADR	30	04-07-04	06-24-04	815.22	800.98	(14.24)	Short Term
HSBC HOLDINGS PLC SPON ADR NEW	5	10-03-03	04-13-04	348.50	376.24	27.74	Short Term

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THE HONORABLE NICK JOE RAHALL
108 MAIN STREET

Account Number
642 108600 022

Realized Gain/(Loss)	Quantity	Date Acquired	Date Sold	Adjusted Total Cost	Proceeds	Realized Gain/(Loss)	Additional Information
HUTCHISON WHAMPOA ADR	20	08-08-03	04-26-04	638.26	685.71	47.45	Short Term
HYPO REAL ESTATE HLDGS AG ADR	20	05-16-04	06-24-04	508.24	572.98	64.74	Short Term
INTERCONTINENTAL HOTELS GRP PL	67	05-07-03	03-01-04	452.34	660.09	207.75	Short Term
INTERNATIONAL GAME TECH	12	07-28-03	04-01-04	314.29	539.38	225.09	Short Term
INTERNATIONAL GAME TECH	18	07-28-03	06-15-04	471.44	661.92	190.48	Short Term
ITO YOKADO CO LTD ADR NEW	15	06-04-04	06-24-04	585.26	644.98	59.72	Short Term
JP MORGAN CHASE&CO	13	06-04-03	01-14-04	446.94	486.90	39.96	Short Term
JP MORGAN CHASE&CO	16	06-04-03	01-15-04	550.08	623.01	72.93	Short Term
KOBE STEEL LTD SPONS ADR	77	12-03-03	06-24-04	447.93	569.78	121.85	Short Term
KONINKLIJKE AHOLD NV ADS	91	03-12-04	06-24-04	762.14	693.38	(68.76)	Short Term
KONINKLIJKE PHIL EL SP ADR NEW	21	04-12-04	06-22-04	654.76	556.73	(98.03)	Short Term
KRAFT FOODS INC CL A	35	06-24-04	12-13-04	1,075.55	1,225.97	150.42	Short Term
KYOCERA CP ADR	10	07-07-03	06-24-04	677.34	838.98	161.64	Short Term
KYOCERA CP ADR	6	07-24-03	06-24-04	369.37	503.39	134.02	Short Term
LOWES COMPANIES INC	17	11-20-03	04-02-04	993.99	933.28	(60.71)	Short Term
LVMH MOET HENNESSY LOUIS VUITT	47	09-08-03	06-24-04	584.50	676.78	92.28	Short Term
MAGNA INTL A CDN	10	05-12-03	02-10-04	666.98	808.06	141.08	Short Term
MARUBENI CORP ADR	35	08-29-03	06-24-04	533.56	836.48	302.92	Short Term
MARUICO LTD ADR NEW	22	03-01-04	05-10-04	675.27	592.41	(82.86)	Short Term
MATSUSHITA EL INDL ADR	63	07-08-03	06-24-04	744.17	921.03	176.86	Short Term
MC DONALDS CORP	35	08-27-03	06-24-04	786.38	956.52	170.14	Short Term
MC DONALDS CORP	7	02-06-04	06-24-04	187.81	191.31	3.50	Short Term
MICHAELS STORES	13	10-10-03	02-04-04	592.44	583.90	(8.54)	Short Term
MOTOROLA INC	30	06-15-04	06-24-04	576.56	555.58	(20.98)	Short Term
NESTLE SPON ADR REP REG SHR	9	01-30-04	06-24-04	594.56	609.73	15.17	Short Term
NEXTEL COMMUNICATIONS INC CL A	29	10-10-03	06-24-04	620.60	758.33	137.73	Short Term
NOVARTIS AG ADR	17	11-24-03	06-24-04	711.70	771.61	59.91	Short Term
NOVARTIS AG ADR	5	05-18-04	06-24-04	224.62	226.94	2.32	Short Term
PEARSON PLC SP ADR	53	03-01-04	06-24-04	619.19	649.76	30.57	Short Term
PEARSON PLC SP ADR	35	05-19-04	06-24-04	422.16	429.09	6.93	Short Term
PENNEY J C CO	25	06-15-04	06-24-04	905.70	971.47	65.77	Short Term
PEOPLESOFT INC	39	12-03-03	03-11-04	854.21	731.61	(122.60)	Short Term
PIONEER CP SPON ADR	21	12-04-03	06-24-04	546.50	543.67	(2.83)	Short Term
PRECISION DRILLING CORP	12	10-03-03	06-24-04	474.33	569.50	95.17	Short Term
PROCTER & GAMBLE	6	03-22-04	06-24-04	312.90	333.17	20.27	Short Term
QUALCOMM INC	10	06-03-04	06-24-04	676.94	692.58	15.64	Short Term
ROCHE HOLDINGS ADR	4	12-23-03	06-29-04	395.32	411.99	16.67	Short Term
ROYAL DUTCH PETE NY 1.25 GU	18	12-22-03	01-09-04	915.21	890.41	(24.80)	Short Term
RWE AG SPONSORED ADR	16	01-16-04	06-24-04	611.04	748.78	137.74	Short Term
SANPAOLO IMI SPA ADS	23	11-26-03	03-23-04	592.86	524.09	(68.77)	Short Term
SAP AKTIENGESELLSCHAFT	13	11-25-03	06-24-04	500.11	534.67	34.56	Short Term
SCHLUMBERGER LTD	12	03-22-04	06-02-04	757.55	686.15	(71.40)	Short Term
SCHLUMBERGER LTD	5	04-30-04	06-02-04	292.25	285.89	(6.36)	Short Term
SEGA ENTERPR LTD SPON ADR	135	09-08-03	06-04-04	364.22	335.84	(28.38)	Short Term

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Morgan Stanley

ACTIVE ASSETS® ACCESS ACCOUNT
FOR YEAR ENDING DECEMBER 31, 2004

THE HONORABLE NICK JOE RAHALL
108 MAIN STREET

Account Number
842 108600 022

Realized Gain/(Loss)	Quantity	Date Acquired	Date Sold	Adjusted Total Cost	Proceeds	Realized Gain/(Loss)	Additional Information
SEGA ENTERPR LTD SPON ADR	122	02-19-04	06-04-04	326.00	303.50	(22.50)	Short Term
SEKISUI HOUSE LTD ADR	63	03-25-04	06-24-04	723.64	718.18	(5.46)	Short Term
SIEMENS AKTIENGESELLSCHAFT	4	08-07-03	01-29-04	223.77	322.98	99.21	Short Term
SIEMENS AKTIENGESELLSCHAFT	7	08-07-03	06-24-04	391.59	494.25	102.66	Short Term
SPRINT CP(FON GP) SERS 1	85	06-24-04	12-07-04	1,433.10	1,960.64	527.54	Short Term
SPRINT CP(FON GP) SERS 1	50	06-24-04	12-14-04	843.00	1,266.19	423.19	Short Term
ST JUDE MEDICAL INC	10	05-18-04	06-24-04	735.54	746.38	10.94	Short Term
SUMITOMO TR & BK CO SPON ADR	97	11-28-03	06-24-04	551.22	664.43	113.21	Short Term
SUN MICROSYSTEMS INC	200	07-19-04	11-04-04	794.00	912.37	118.37	Short Term
TDC A/S	20	12-11-03	03-24-04	354.91	377.64	22.73	Short Term
TDC A/S	21	12-12-03	03-24-04	373.92	396.52	22.60	Short Term
TELECOMUNICACOES BRAS SA ADS	16	05-22-03	02-24-04	427.52	511.54	84.02	Short Term
TELEFONICA SA ADR	13	05-14-04	06-24-04	614.98	662.38	47.43	Short Term
TELENOR ASA ADS	33	02-24-04	06-24-04	695.31	678.13	(17.18)	Short Term
TEXAS INSTRUMENTS	17	10-10-03	06-02-04	435.43	427.87	(7.56)	Short Term
TEXAS INSTRUMENTS	15	10-10-03	06-15-04	384.21	371.99	(12.22)	Short Term
THE DIRECTV GROUP INC	47	01-21-04	06-24-04	816.51	782.53	(33.98)	Short Term
TOYOTA MOTOR CP ADR NEW	7	09-19-03	06-24-04	451.60	567.61	116.01	Short Term
TURKCELL ILETISH HIZM AS NEW	13	01-13-04	04-29-04	359.75	335.38	(24.37)	Short Term
TURKCELL ILETISH HIZM AS NEW	9	03-25-04	04-29-04	303.29	232.19	(71.10)	Short Term
UNITED BUSINESS MEDIA PLC ADR	64	01-15-04	03-01-04	649.94	659.64	9.70	Short Term
UNITED TECHNOLOGIES CORP	6	12-29-03	03-19-04	568.20	516.63	(51.57)	Short Term
UST INC	30	06-24-04	12-16-04	1,099.50	1,396.44	296.94	Short Term
VEOLIA ENVIRONMENT	27	02-10-04	04-07-04	789.59	768.23	(21.36)	Short Term
VERITAS SOFTWARE DE	6	02-06-04	03-16-04	154.88	107.84	(27.04)	Short Term
VODAFONE GP PLC ADS	31	12-03-03	06-24-04	736.25	690.97	(45.28)	Short Term
WILLIAMS CO INC	60	07-08-04	11-04-04	725.91	783.38	57.47	Short Term
WMC RES LTD SPONSORED ADR	37	02-13-04	06-24-04	650.68	509.84	(140.84)	Short Term
ZIMMER HLDGS INC	11	04-02-04	06-24-04	858.19	963.68	105.49	Short Term
Sub Total Short Term				$92,215.94	$101,011.98	$8,796.54	
Long Term							
ALCATEL ADS	39	02-18-03	06-24-04	312.08	592.00	279.92	Long Term
AMGEN INC	7	09-26-02	05-24-04	300.74	373.82	73.08	Long Term
AMGEN INC	5	10-24-02	05-24-04	249.05	267.02	17.97	Long Term
APOLLO GROUP INC A	5	01-22-03	03-11-04	208.80	390.82	182.02	Long Term
APOLLO GROUP INC A	10	01-22-03	06-24-04	417.60	860.47	442.87	Long Term
APPLIED MATERIALS INC	30	03-11-03	06-24-04	351.90	575.08	223.18	Long Term
AXA ADS	36	03-20-02	05-07-04	805.46	727.45	(78.01)	Long Term
BASF AG SP ADR	14	04-15-03	06-24-04	576.47	741.84	165.37	Long Term
BED BATH & BEYOND INC	9	06-20-01	04-21-04	280.94	332.54	51.60	Long Term
BED BATH & BEYOND INC	4	08-03-01	04-21-04	126.52	147.80	21.28	Long Term

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Investments and services are offered through Morgan Stanley DW Inc., member SIPC.

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THE HONORABLE NICK JOE RAHALL
108 MAIN STREET

Account Number
642 108600 022

Realized Gain/(Loss)	Quantity	Date Acquired	Date Sold	Adjusted Total Cost	Proceeds	Realized Gain/(Loss)	Additional Information
BHP BILLITON PLC SPONS ADR	17	12-05-02	02-12-04	181.07	299.79	118.72	Long Term
BRITISH SKY BRDCSTG GRP PLC	10	01-14-02	04-02-04	459.89	494.93	35.04	Long Term
CISCO SYS INC	4	03-25-03	06-24-04	54.88	95.88	41.00	Long Term
CISCO SYS INC	19	06-04-03	06-24-04	329.84	455.42	125.58	Long Term
COACH INC	4	12-30-02	06-24-04	64.63	181.44	116.81	Long Term
COACH INC	12	02-26-03	06-24-04	200.66	544.31	343.65	Long Term
DAI NIPPON PRTG LTD JAPAN ADR	22	05-07-02	06-24-04	582.57	703.98	121.61	Long Term
DELL INC	1	04-18-01	06-24-04	29.05	35.07	6.02	Long Term
DELL INC	4	08-03-01	06-24-04	112.92	140.28	27.36	Long Term
DELL INC	16	01-18-02	06-24-04	452.16	561.10	108.94	Long Term
EBAY INC	6	06-04-03	06-24-04	304.66	533.80	229.14	Long Term
ENCANA CORP	19	12-18-02	02-02-04	598.50	732.05	133.55	Long Term
GENERAL ELECTRIC CO	2	02-28-02	03-22-04	78.70	59.22	(19.48)	Long Term
GENERAL ELECTRIC CO	10	03-13-02	03-22-04	400.50	296.08	(104.42)	Long Term
GENERAL ELECTRIC CO	1	11-21-02	03-22-04	26.29	29.61	3.32	Long Term
GENERAL ELECTRIC CO	12	11-21-02	06-24-04	315.54	396.95	81.41	Long Term
GENERAL ELECTRIC CO	23	04-03-03	06-24-04	631.12	760.82	129.70	Long Term
GENERAL ELECTRIC CO	13	04-28-03	06-24-04	380.12	430.03	49.91	Long Term
GLAXOSMITHKLINE PLC ADS	8	10-15-02	03-02-04	343.20	336.82	(6.38)	Long Term
HSBC HOLDINGS PLC SPON ADR NEW	3	05-24-02	01-14-04	186.21	238.10	51.89	Long Term
HSBC HOLDINGS PLC SPON ADR NEW	2	11-29-02	01-14-04	118.52	158.73	40.21	Long Term
HSBC HOLDINGS PLC SPON ADR NEW	3	11-29-02	04-13-04	177.78	225.74	47.96	Long Term
ING GROEP NV ADR	19	11-29-02	02-24-04	359.07	467.96	108.89	Long Term
ING GROEP NV ADR	16	11-29-02	06-24-04	302.38	375.67	73.29	Long Term
ING GROEP NV ADR	21	05-07-03	06-24-04	365.44	493.06	127.62	Long Term
INTEL CORP	19	11-07-02	06-24-04	353.02	539.21	186.19	Long Term
INTEL CORP	24	11-21-02	06-24-04	485.28	681.10	195.82	Long Term
MICROSOFT CORP	6	08-03-01	06-24-04	200.10	171.17	(28.93)	Long Term
MICROSOFT CORP	16	10-24-02	06-24-04	417.25	456.47	39.22	Long Term
MICROSOFT CORP	13	03-25-03	06-24-04	333.19	370.88	37.69	Long Term
MICROSOFT CORP	12	04-07-03	06-24-04	313.08	342.35	29.27	Long Term
MITSUBISHI TOKYO FIN GP ADR	60	09-30-02	06-24-04	437.95	541.79	103.84	Long Term
MITSUBISHI TOKYO FIN GP ADR	75	06-02-03	06-24-04	300.62	677.23	376.61	Long Term
NEWS CP LTD ADR NEW	7	11-11-02	06-24-04	175.47	250.94	75.47	Long Term
NEWS CP LTD ADR NEW	13	11-29-02	06-24-04	366.08	466.04	99.96	Long Term
OMNICOM GROUP	14	06-04-03	06-24-04	995.10	1,063.97	68.87	Long Term
PEPSICO INC NC	16	12-30-02	06-24-04	674.37	882.21	207.84	Long Term
PEPSICO INC NC	9	04-28-03	06-24-04	389.69	496.25	106.56	Long Term
PROCTER & GAMBLE	14	06-27-02	06-24-04	633.44	777.40	143.96	Long Term
PROCTER & GAMBLE	8	07-26-02	06-24-04	334.24	444.23	109.99	Long Term
PROVIDIAN FINCL CORP	105	06-04-03	06-24-04	1,008.54	1,576.01	567.47	Long Term
PUMA AG R DASSLER SPORT ADR	2	06-17-02	01-13-04	132.47	367.29	234.82	Long Term
PUMA AG R DASSLER SPORT ADR	2	06-17-02	04-27-04	132.47	475.01	342.54	Long Term
PUMA AG R DASSLER SPORT ADR	1	06-17-02	06-24-04	66.24	245.49	179.25	Long Term

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THE HONORABLE NICK JOE RAHALL
108 MAIN STREET

Account Number
642 108800 022

Realized Gain/(Loss)

	Quantity	Date Acquired	Date Sold	Adjusted Total Cost	Proceeds	Realized Gain/(Loss)	Additional Information
ROCHE HOLDINGS ADR	5	06-23-03	06-24-04	389.37	514.98	125.61	Long Term
TOTAL FINA ELF SA	11	09-18-01	06-03-04	781.22	1,047.20	265.98	Long Term
UNITEDHEALTH GP INC	16	07-26-02	06-24-04	679.51	988.61	309.10	Long Term
VERITAS SOFTWARE DE	11	09-26-02	03-16-04	168.74	296.56	127.82	Long Term
VERITAS SOFTWARE DE	15	11-07-02	03-16-04	260.06	404.40	144.34	Long Term
XTO ENERGY INC		12-30-02	03-17-04	0.00	12.12	12.12	Cash in Lieu
XTO ENERGY INC	42	12-30-02	06-24-04	641.94	1,257.45	615.51	Long Term
Sub Total Long Term				$21,354.50	$29,402.04	$8,047.54	
Totals for closing transactions with cost data available				$113,561.98	$130,610.02	$16,048.04	
Total Proceeds					$130,610.02		

Summary

	Short Term	Long Term	Total
Unrealized gain	$6,981.08	$0.00	$6,981.08
Unrealized (loss)	($3,414.48)	($145.63)	($3,560.11)
Realized gain YTD	$11,218.49	$8,284.76	$19,503.25
Realized (loss) YTD	($2,421.95)	($237.22)	($2,659.17)

3820

Morgan Stanley

ACTIVE ASSETS® ACCESS ACCOUNT

FOR YEAR ENDING DECEMBER 31, 2004

Attachment 5 - p.1

3821

Your Financial Advisor
MR PEAR/MR HANDO
MORGAN STANLEY
1775 EYE STREET, NW SUITE 200
WASHINGTON, DC 20006
(202) 862-9000

Your Investment Manager:
LAZARD ASSET MANAGEMENT, LLC

BWNJGWH
0016869 2 AB 0.558 G2 TR 1189 -000
THE HONORABLE NICK JOE RAHALL
108 MAIN STREET
BECKLEY WV 25801-4611 642

Account Number
642 113487 022

AAA Customer Service
1-800-869-3326
Household Anniv. Date:
05/29/2003

Access Your Account at:
www.morganstanley.com/online

Asset Summary

	Value	% of Assets
Money Market Funds	$1,359.69	1.8%
Stocks	70,313.66	98.2
Municipal Bonds	0.00	0.0
Corporate Fixed Income	0.00	0.0
Government Securities	0.00	0.0
Mutual Funds	0.00	0.0
Unit Investment Trusts	0.00	0.0
Certificates of Deposit	0.00	0.0
Annuities/Insurance	0.00	0.0
Other	0.00	0.0
Asset Value	$71,673.35	100.0%
Cash	0.00	
Total Asset Value	$71,673.35	

Income Summary

	Year To Date
Money Market Funds	$17.48
Stocks	2,077.58
Municipal Bonds	0.00
Corporate Fixed Income	0.00
Government Securities	0.00
Mutual Funds	0.00
Unit Investment Trusts	0.00
Certificates of Deposit	0.00
Other	0.00
Total Income	$2,095.06
Taxable Income	$2,095.06
Tax Exempt Income	$0.00

Activity Summary

Total Asset Value January 01, 2004		$78,940.82
Cash/Money Market Activity for 2004		
Credits To Your Account		
Dividend and Interest	2,111.80	
Deposits	0.00	
Sales Proceeds/Redemptions	25,123.91	
Other Credits	27.61	
Total Credits	27,263.32	
Debits To Your Account		
Checking	0.00	
Debit Card	0.00	
Withdrawals	0.00	
Funds to Purchase Securities	-10,703.35	
Other Debits	-16,061.55	
Total Debits	-26,764.90	
Changes In Asset Value for 2004		
Value of Priced Assets 1/01/04	$77,980.24	
Securities Bought	10,703.35	
Securities Received	0.00	
Securities Sold/Redeemed	-25,123.91	
Securities Delivered	0.00	
Transactions at Fund Company	0.00	
Change In Value of Priced Assets	6,755.98	
Value of Priced Assets 12/31/04	70,313.66	
Net Change in Asset Value		-7,666.58
Total Asset Value as of December 31, 2004		$71,673.35

Investments and services are offered through Morgan Stanley DW Inc., member SIPC

THE HONORABLE NICK JOE RAHALL
108 MAIN STREET

Account Number
642 113487 022

ASSET DETAILS

Cash and Money Market Funds	Amount	Pct of Assets	Est Yrly Income
	.00	---	---
ACTIVE ASSETS MONEY TRUST	1,359.69	1.8%	23
Total Cash and Money Market Funds	$1,359.69	1.8%	$23

Net Change Since January 2, 2004 $490.42

Annualized 30 Day Yields

Active Assets Money Trust	1.75%
Active Assets Govt. Sec. Tr	1.58%
Active Assets California Tax Free	1.04%
Active Assets Tax Free Trust	1.18%
Active Assets Insured Account	1.46%
Active Assets Deposit Account	1.46%

Stocks		Current Price	Value	Pct of Assets	Est Yrly Income	Dividend Yield	Symbol	Additional Information
Common Stocks								
36	BANK OF AMERICA CORP	46.99	1,691.64	2.4%	64	3.83%	BAC	Next Dividend Payable 03/05
65	BARCLAYS PLC ADR	45.68	2,812.40	3.6%	85	3.40%	BCS	
30	BP PLC ADS	58.40	1,752.00	2.4%	49	2.84%	BP	
35	CADBURY SCHW PLC ADR 10 ORD NW	37.70	1,319.50	1.8%	30	2.34%	CSG	
25	CANON INC ADR NEW	54.26	1,356.50	1.9%	14	1.05%	CAJ	Next Dividend Payable 01/31/05
28	CITIGROUP INC	48.18	1,349.04	1.9%	44	3.32%	C	Next Dividend Payable 02/05
30	COCA COLA CO	41.64	1,249.20	1.7%	30	2.40%	KO	Next Dividend Payable 03/05
45	CREDIT SUISSE GROUP	42.19	1,898.55	2.6%	0	0.00%	CSR	
33	DIAGEO PLC SPON ADR NEW	57.88	1,910.04	2.7%	66	3.48%	DEO	
30	ENEL SOCIETA PER AZIONI	49.44	1,483.20	2.1%	95	6.44%	EN	
10	ENI SPA AMER DEP RCPT	125.84	1,258.40	1.8%	32	2.61%	E	
42	EXXON MOBIL CORP	51.26	2,152.92	3.0%	45	2.10%	XOM	Next Dividend Payable 03/05
35	FIRST DATA CORP	42.54	1,488.90	2.1%	2	.18%	FDC	Next Dividend Payable 01/12/05
65	GENERAL ELECTRIC CO	36.50	2,372.50	3.3%	57	2.41%	GE	Next Dividend Payable 01/25/05
59	GLAXOSMITHKLINE PLC ADS	47.39	2,796.01	3.9%	94	3.38%	GSK	Next Dividend Payable 01/06/05
41	HEINEKEN NV ADR	33.34	1,367.02	1.9%	11	.87%	HINKY	
45	HOME DEPOT INC	42.74	1,923.30	2.7%	15	.79%	HD	Next Dividend Payable 03/05
25	HSBC HOLDINGS PLC SPON ADR NEW	85.14	2,128.50	3.0%	78	3.69%	HBC	Next Dividend Payable 01/20/05
15	INTL BUSINESS MACHINES CORP	98.58	1,478.70	2.1%	10	.73%	IBM	Next Dividend Payable 03/05
35	JOHNSON & JOHNSON	63.42	2,219.70	3.1%	39	1.79%	JNJ	Next Dividend Payable 03/05
52	JPMORGAN CHASE & CO	39.01	2,028.52	2.8%	70	3.48%	JPM	Next Dividend Payable 01/03
7	KAO CORP SPONS ADR	255.68	1,789.79	2.5%	21	1.20%	KCRPY	
95	MICROSOFT CORP	26.72	2,538.40	3.5%	30	1.19%	MSFT	Next Dividend Payable 03/05
20	NESTLE SPON ADR REP REG SHR	65.40	1,308.16	1.8%	25	1.78%	NSRGY	
120	NOKIA CP ADR	15.67	1,880.40	2.6%	36	1.94%	NOK	
135	NOMURA HLDGS INC	14.56	1,965.60	2.7%	20	1.05%	NMR	
34	NOVARTIS AG ADR	50.54	1,718.36	2.4%	22	1.31%	NVS	
185	ORACLE CORP	13.72	2,538.20	3.5%	0	0.00%	ORCL	
55	PFIZER INC	26.89	1,478.95	2.1%	41	2.82%	PFE	Next Dividend Payable 03/05
20	SCHERING AG	74.25	1,485.00	2.1%	17	1.18%	SHR	

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THE HONORABLE NICK JOE RAHALL
106 MAIN STREET

Account Number
642 113487 022

Stocks

Common Stocks

		Current Price	Value	Pct of Assets	Est Yrly Income	Dividend Yield	Symbol	Additional Information
22	SIEMENS AKTIENGESELLSCHAFT	84.67	1,862.74	2.6%	28	1.51%	SI	
90	SOCIETE GENERALE SP ADR	20.23	1,821.51	2.5%	45	2.48%	SCGLY	
20	SWISS REINSURANCE CO SPON ADR	71.32	1,426.44	2.0%	14	1.02%	SWCEY	
18	TOTAL FINA ELF SA	109.84	1,977.12	2.8%	67	3.38%	TOT	
15	UBS AG NEW	83.84	1,257.60	1.8%	30	2.38%	UBS	
40	UNILEVER PLC (NEW) ADS	39.52	1,580.80	2.2%	52	3.31%	UL	
20	UNITED TECHNOLOGIES CORP	103.35	2,067.00	2.9%	28	1.35%	UTX	Next Dividend Payable 03/05
85	VODAFONE GP PLC ADS	27.38	2,327.30	3.2%	47	2.02%	VOD	Next Dividend Payable 02/04/05
25	WELLS FARGO & CO NEW	62.15	1,553.75	2.2%	48	3.08%	WFC	Next Dividend Payable 03/05
Total Stocks			67,813.66	94.2%	41,617	2.15%		

Net Change Since January 1, 2004 7,666.58

Asset Summary

	Value	Est Yrly Income
Total Asset Value	71,673.85	41,541
Total Net Change In Priced Assets Since January 1, 2004	7,666.58	

CREDITS TO YOUR ACCOUNT

Dividends and Interest

Activity	Description	Amount	Income Category
Dividend	ACTIVE ASSETS MONEY TRUST	17.48	Money Market Funds
Dividend	AVENTIS ADS	16.74	Stocks
Dividend	BANK OF AMERICA CORP	61.20	Stocks
Dividend	BANK ONE CORP	41.93	Stocks
Dividend	BARCLAYS PLC ADR	104.75	Stocks
Dividend	BP PLC ADS	37.71	Stocks
Dividend	CADBURY SCHW PLC ADR 10 ORD NW	40.12	Stocks
Dividend	CANON INC ADR NEW	17.27	Stocks
Dividend	CITIGROUP INC	76.80	Stocks
Dividend	COCA COLA CO	30.00	Stocks
Dividend	DIAGEO PLC SPON ADR NEW	78.16	Stocks
Dividend	ENDESA SA ADS	36.44	Stocks
Dividend	ENI SPA AMER DEP RCPT	45.15	Stocks

Investments and services are offered through Morgan Stanley DW Inc., member SIPC.

Morgan Stanley

1099-DIV
TAX YEAR 2004

COPY B
FOR RECIPIENT

DIVIDENDS AND DISTRIBUTIONS

OMB NO. 1545-0110

PAYER:
MORGAN STANLEY DW INC.
HARBORSIDE FINANCIAL CENTER
JERSEY CITY, NJ 07311
IDENTIFICATION NUMBER: 94-1671384

BBHNJGWM
00117824 2 AB 0.558 02 TR 1421 -000
THE HONORABLE NICK JOE RAHALL
108 MAIN STREET
BECKLEY WV 25801-4611 642

Account Number
642 113487 022

FEDERAL TAX ID

Customer Service
(800) 869-3326

Attachment 6 - p.1

3824

This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

The following dividend information is reported to the IRS by payer. When preparing your income tax return you should list payer information separately as shown below.

Payer	1a. Total Ordinary Dividends	1b. Qualified Dividends	2a. Total Capital Gain Distributions	2b. Unrecap Section 1250 Gain	3. Nontaxable Distributions	4. Federal Income Tax Withheld	5. Investment Expenses	6. Foreign Tax Paid	7. Foreign Country or US Posses	8. Cash Liquidation Distributions
Morgan Stanley DW Inc. PAYER'S FED. ID. # 94-1671384	2,882.35	2,882.35						84.64	*	
ACTIVE ASSETS MONEY TRUST PAYER'S FED. ID. # 13-3875005 PLAN NUMBER 260-014981854	17.48									

There is no reportable information for Boxes 2c, 2d, and 9; these Boxes are therefore not shown above.

** See Detail*

The dividend income earned from each mutual fund within the fund complex is separately stated on this form. Each fund and its earnings may have to be separately stated on your tax return on Schedule B (Form 1040) or Schedule 1 (Form 1040A).

IMPORTANT TAX INFORMATION - PLEASE RETAIN FOR YOUR RECORDS

Realized Gain/(Loss)	Quantity	Date Acquired	Date Sold	Adjusted Total Cost	Proceeds	Realized Gain/(Loss)	Additional Information
Short Term							
CANON INC ADR NEW	10	12-24-03	06-17-04	457.42	517.38	59.96	Short Term
MARSH & MCLENNAN COS INC	30	11-10-03	05-03-04	1,358.65	1,340.68	(17.97)	Short Term
Sub Total Short Term				$1,816.07	$1,858.06	$41.99	

Investments and services are offered through Morgan Stanley DW Inc., member SIPC.

Morgan Stanley

ACTIVE ASSETS® ACCESS ACCOUNT
FOR YEAR ENDING DECEMBER 31, 2004

THE HONORABLE NICK JOE RAHALL
108 MAIN STREET

Account Number
642 113487 022

Realized Gain/(Loss)	Quantity	Date Acquired	Date Sold	Adjusted Total Cost	Proceeds	Realized Gain/(Loss)	Additional Information
Long Term							
BANK ONE CORP	20	08-14-02	06-17-04	764.00	985.77	221.77	Long Term
BARCLAYS PLC ADR	20	05-17-02	06-17-04	737.82	722.98	(14.84)	Long Term
CADBURY SCHW PLC ADR 10 ORD NW	15	06-19-95	06-17-04	227.81	520.18	292.37	Long Term
CITIGROUP INC	10	01-03-95	06-17-04	52.39	470.58	418.19	Long Term
CITIGROUP INC	15	01-03-95	11-01-04	78.59	666.01	587.42	Long Term
COCA COLA CO	15	02-05-02	03-01-04	676.65	741.88	65.23	Long Term
DIAGEO PLC SPON ADR NEW	15	11-22-96	06-17-04	552.03	821.23	269.20	Long Term
ENDESA SA ADS	70	03-07-96	03-19-04	936.25	1,209.75	273.50	Long Term
ENDESA SA ADS	40	10-18-96	03-19-04	640.27	691.28	51.01	Long Term
ENI SPA AMER DEP RCPT	15	10-28-96	06-17-04	706.87	1,613.06	906.19	Long Term
EXXON MOBIL CORP	10	03-30-95	06-17-04	174.49	447.48	272.99	Long Term
GLAXOSMITHKLINE PLC ADS	15	06-13-99	06-17-04	952.48	637.03	(315.45)	Long Term
HEINEKEN NV ADR		11-15-94	05-14-04	0.00	8.26	8.26	Cash in Lieu
HEINEKEN NV ADR	20	11-15-94	06-17-04	250.65	663.98	413.33	Long Term
HEINEKEN NV ADR	12.250	11-15-94	09-09-04	153.52	359.78	206.26	Long Term
HEINEKEN NV ADR	7.750	06-29-00	09-09-04	309.16	227.62	(81.54)	Long Term
HSBC HOLDINGS PLC SPON ADR NEW	10	09-15-95	06-17-04	240.46	743.08	502.62	Long Term
JP MORGAN CHASE&CO	.800	08-14-02	07-01-04	22.80	30.46	7.66	Long Term
NESTLE SPON ADR REP REG SHR	10	09-19-96	06-17-04	279.38	661.98	382.60	Long Term
PFIZER INC	35	01-29-03	04-16-04	1,068.05	1,316.71	248.66	Long Term
PROCTER & GAMBLE	30	01-11-02	09-13-04	1,171.80	1,682.12	510.32	Long Term
ROYAL DUTCH PETE NY 1.25 GU	38	11-29-93	01-21-04	952.37	1,837.57	885.20	Long Term
SOCIETE GENERALE SP ADR	10	03-19-97	02-02-04	56.88	181.56	124.68	Long Term
							ADJUSTED 12/19/01
TOTAL FINA ELF SA	15	11-21-96	06-17-04	454.30	1,465.91	1,011.61	Long Term
UBS AG NEW	20	02-20-03	06-17-04	878.56	1,466.76	588.20	Long Term
UNILEVER PLC (NEW) ADS	10	08-16-00	03-03-04	271.63	408.78	137.15	Long Term
VERIZON COMMUNICATIONS	35	03-14-00	04-01-04	1,976.91	1,296.13	(680.78)	Long Term
VERIZON COMMUNICATIONS	25	01-10-02	04-01-04	1,235.79	925.80	(309.99)	Long Term
VODAFONE GP PLC ADS	20	05-13-03	06-17-04	397.84	470.38	72.54	Long Term
Sub Total Long Term				$16,219.75	$23,274.11	$7,054.36	
Totals for closing transactions with cost data available				$16,055.82	$23,132.17	$7,096.35	
Total Proceeds					$23,132.17		

This summary is not part of your account statement. It is for information purposes only and should not be used for tax preparation.

Morgan Stanley

ACTIVE ASSETS ACCOUNT®
FOR YEAR ENDING DECEMBER 31, 2004

Attachment 7 – p.1

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Your Financial Advisor
MR PEAR/MR HANDO
MORGAN STANLEY
1775 EYE STREET, NW SUITE 200
WASHINGTON, DC 20006
(202) 862-9000

BWNJCWM
00166492 2 AB 0.558 02 TR 1189 -006
THE HONORABLE NICK JOE RAHALL
108 MAIN STREET
BECKLEY WV 25801-4611 642

Account Number
642 122048 022

AAA Customer Service
1-800-869-3328
Householding Anniv. Date:
05/29/2003

Access Your Account at:
www.morganstanley.com/online

Asset Summary

	Value	% of Assets
Money Market Funds	$14,946.40	100.0%
Stocks	0.00	0.0
Municipal Bonds	0.00	0.0
Corporate Fixed Income	0.00	0.0
Government Securities	0.00	0.0
Mutual Funds	0.00	0.0
Unit Investment Trusts	0.00	0.0
Certificates of Deposit	0.00	0.0
Annuities/Insurance	0.00	0.0
Other	0.00	0.0
Asset Value	$14,946.40	100.0%
Cash	0.00	
Total Asset Value	$14,946.40	

Income Summary

	Year To Date
Money Market Funds	$97.66
Stocks	753.84
Municipal Bonds	0.00
Corporate Fixed Income	0.00
Government Securities	0.00
Mutual Funds	0.00
Unit Investment Trusts	0.00
Certificates of Deposit	0.00
Other	0.00
Total Income	$851.50
Taxable Income	$851.50
Tax Exempt Income	$0.00

Activity Summary

Total Asset Value January 01, 2004		$66,841.47
Cash/Money Market Activity for 2004		
Credits To Your Account		
Dividend and Interest	851.50	
Deposits	0.00	
Sales Proceeds/Redemptions	96,102.55	
Other Credits	460.23	
Total Credits	97,414.28	
Debits To Your Account		
Checking	0.00	
Debit Card	0.00	
Withdrawals	-50,000.00	
Funds to Purchase Securities	-33,628.74	
Other Debits	-1,463.94	
Total Debits	-85,092.68	
Changes in Asset Value for 2004		
Value of Priced Assets 1/01/04	$64,216.67	
Securities Bought	33,628.74	
Securities Received	0.00	
Securities Sold/Redeemed	-96,102.55	
Securities Delivered	0.00	
Transactions at Fund Company	0.00	
Change in Value of Priced Assets	-1,742.66	
Value of Priced Assets 12/31/04	0.00	
Net Change in Asset Value		-64,216.67
Total Asset Value as of December 31, 2004		$14,946.40

Investments and services are offered through Morgan Stanley DW Inc., member SIPC

Morgan Stanley

1099-DIV
TAX YEAR 2004

COPY B
FOR RECIPIENT

DIVIDENDS AND DISTRIBUTIONS

OMB NO. 1545-0110

PAYER:
MORGAN STANLEY DW INC.
HARBORSIDE FINANCIAL CENTER
JERSEY CITY, NJ 07311
IDENTIFICATION NUMBER: 94-1671384

BBKNJGWN
00117831 5 AB 0.742 03 TR 1421 -000
THE HONORABLE NICK JOE RAHALL
106 MAIN STREET
BECKLEY WV 25801-4811 642

Account Number
642 122048 022

FEDERAL TAX ID

Customer Service
(800) 869-3326

Attachment 8 – p.1

3828

This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

The following dividend information is reported to the IRS by payer. When preparing your income tax return you should list payer information separately as shown below.

Payer	1a. Total Ordinary Dividends	1b. Qualified Dividends	2a. Total Capital Gain Distributions	2b. Unrecap Section 1250 Gain	3. Nontaxable Distributions	4. Federal Income Tax Withheld	5. Investment Expenses	6. Foreign Tax Paid	7. Foreign Country or US Posses	8. Cash Liquidation Distributions
Morgan Stanley DW Inc. PAYER'S FED. ID. # 94-1671384	753.84	753.84								
ACTIVE ASSETS MONEY TRUST PAYER'S FED. ID. # 13-3975085 PLAN NUMBER 260-000144788	97.66									

There is no reportable information for Boxes 2c, 2d, and 9; these Boxes are therefore not shown above.

The dividend income earned from each mutual fund within the fund complex is separately stated on this form. Each fund and its earnings may have to be separately stated on your tax return on Schedule B (Form 1040) or Schedule 1 (Form 1040A).

IMPORTANT TAX INFORMATION - PLEASE RETAIN FOR YOUR RECORDS

3829

Morgan Stanley

ACTIVE ASSETS ACCOUNT®
FOR YEAR ENDING DECEMBER 31, 2004

Your Financial Advisor
MR PEAR/MR HANDO
MORGAN STANLEY
1775 EYE STREET, NW SUITE 200
WASHINGTON, DC 20006
(202) 862-9000

THE HONORABLE NICK JOE RAHALL
106 MAIN STREET
BECKLEY WV 25801-4811

Account Number
642 122048 022

AAA Customer Service
1-800-869-3326
Householding Anniv. Date:
05/29/2003

Access Your Account at:
www.morganstanley.com/online

GAIN AND LOSS SUMMARY

The Gain and Loss information is provided for informational purposes only. We recommend that you contact your tax advisor to determine the appropriate information to be used in the preparation of your tax return. This Gain and Loss Summary is not a substitute 1099 form (or any other appropriate tax form) and should not be filed with your taxes.

For most securities purchased after 1985 through Morgan Stanley DW Inc., the necessary data, including cost basis (purchase price), is provided to estimate gain or loss. Please note that although Morgan Stanley DW Inc. makes every effort to adjust the cost basis for such securities' capital changes, it does not adjust the cost basis for all events, including amortization of non-municipal bond premiums; exercise of stock options; securities deemed to have been sold and simultaneously repurchased; receipt of cash in lieu of fractional shares; or the net effect of wash sales and/or short sales against the box.

For securities not purchased through Morgan Stanley DW Inc., for example, securities purchased elsewhere and later transferred to it, you will need to supply the relevant information either to your Financial Advisor or directly onto ClientServ. Independently of Morgan Stanley DW Inc. In either case, it is your responsibility to ensure the accuracy of this information, since Morgan Stanley DW Inc. has no knowledge of such assets' cost basis. To correct any information supplied by Morgan Stanley DW Inc., please inform your Financial Advisor; to correct any information supplied by you, you may either inform your Financial Advisor or input the data directly yourself through ClientServ.

For Managed Futures funds, these funds may typically be redeemed only once monthly, at the fund's final net asset value ("NAV") as of each month's end. The fund's final NAV for each month - and therefore the exact amount of any investor's gain or loss realized that month- becomes available, however, only midway into the following month. Separately, all unrealized gain and loss figures are only estimates. Morgan Stanley DW Inc. does not guarantee nor will it independently verify the accuracy of the information in the Gain and Loss Summary.

Realized Gain/(Loss)	Quantity	Date Acquired	Date Sold	Adjusted Total Cost	Proceeds	Realized Gain/(Loss)	Additional Information
Short Term							
A T & T CORP NEW	35	02-19-03	02-02-04	645.07	676.87	31.80	Short Term
A T & T CORP NEW	5	03-17-03	02-02-04	85.60	96.70	11.10	Short Term
ALBERTSONS INC	30	09-05-03	07-20-04	684.71	722.98	38.27	Short Term
ALBERTSONS INC	30	09-11-03	07-20-04	658.60	722.98	64.38	Short Term
ALBERTSONS INC	10	06-21-04	07-20-04	256.52	240.99	(15.53)	Short Term
ALLTEL CORP DE	50	05-19-04	07-20-04	1,514.86	1,492.76	(22.10)	Short Term
ANADARKO PETE	15	04-19-04	07-20-04	838.98	908.83	69.85	Short Term
ANADARKO PETE	10	04-28-04	07-20-04	567.46	605.88	38.42	Short Term
ANADARKO PETE	5	06-21-04	07-20-04	289.48	302.94	13.46	Short Term
AUTOMATIC DATA PROCESSING INC	35	02-11-04	07-20-04	1,558.04	1,401.01	(157.03)	Short Term
BANK OF NEW YORK	20	09-22-03	04-21-04	614.64	592.60	(22.04)	Short Term

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Morgan Stanley

ACTIVE ASSETS ACCOUNT®
FOR YEAR ENDING DECEMBER 31, 2004

THE HONORABLE NICK JOE RAHALL
106 MAIN STREET

Account Number
642 122048 022

Realized Gain/(Loss)	Quantity	Date Acquired	Date Sold	Adjusted Total Cost	Proceeds	Realized Gain/(Loss)	Additional Information
BANK OF NEW YORK	25	09-22-03	04-29-04	768.30	741.86	(26.44)	Short Term
BAXTER INTL INC	50	01-06-04	07-20-04	1,524.87	1,617.96	93.09	Short Term
BELLSOUTH CORP	25	02-09-04	07-20-04	743.82	633.99	(109.83)	Short Term
BELLSOUTH CORP	25	02-10-04	07-20-04	750.62	633.99	(116.63)	Short Term
CAMPBELL SOUP	10	06-18-03	06-16-04	259.08	257.93	(1.15)	Short Term
CAMPBELL SOUP	25	09-11-03	07-20-04	647.39	651.23	3.84	Short Term
CIGNA CP	15	01-15-04	07-20-04	855.80	970.78	114.98	Short Term
CIGNA CP	10	02-02-04	07-20-04	628.58	647.18	18.60	Short Term
COCA COLA CO	30	11-12-03	07-20-04	1,413.29	1,503.26	89.97	Short Term
COCA COLA CO	5	05-14-04	07-20-04	249.11	250.54	1.43	Short Term
CVS CORPORATION	10	08-08-03	05-24-04	301.67	398.44	96.77	Short Term
CVS CORPORATION	10	08-08-03	07-20-04	301.67	428.79	127.12	Short Term
CVS CORPORATION	20	09-03-03	07-20-04	635.85	857.57	221.74	Short Term
DEVON ENERGY CORP NEW	10	08-11-03	07-20-04	523.31	694.98	171.67	Short Term
DEVON ENERGY CORP NEW	10	12-11-03	07-20-04	533.14	694.98	161.84	Short Term
DOW CHEMICAL CO	15	10-24-03	05-03-04	550.26	592.39	42.13	Short Term
DOW CHEMICAL CO	5	10-24-03	05-18-04	183.42	187.04	3.62	Short Term
DOW CHEMICAL CO	15	10-24-03	07-13-04	550.26	586.24	35.98	Short Term
DST SYSTEMS INC	15	01-21-04	07-20-04	650.53	665.23	14.70	Short Term
ELI LILLY & CO	5	10-23-03	07-12-04	322.10	341.58	19.48	Short Term
ELI LILLY & CO	15	10-23-03	07-20-04	966.31	973.92	7.61	Short Term
FPL GROUP INC	10	03-23-04	07-20-04	664.71	643.48	(21.23)	Short Term
GAP INC	15	03-17-03	01-06-04	221.40	344.63	123.23	Short Term
GAP INC	65	03-17-03	02-04-04	959.39	1,245.52	286.13	Short Term
GEORGIA-PAC (GEORGIA PAC GP)	10	07-07-03	01-06-04	202.21	308.69	106.48	Short Term
GEORGIA-PAC (GEORGIA PAC GP)	10	07-07-03	03-25-04	202.21	323.58	121.37	Short Term
GEORGIA-PAC (GEORGIA PAC GP)	20	07-22-03	07-20-04	403.72	700.18	296.46	Short Term
GILLETTE CO	20	03-02-04	07-20-04	772.58	830.38	57.80	Short Term
GILLETTE CO	20	04-21-04	07-20-04	762.76	830.38	67.62	Short Term
GLOBALSANTAFE CORP	25	01-23-04	07-20-04	691.01	685.98	(5.03)	Short Term
GLOBALSANTAFE CORP	25	02-03-04	07-20-04	696.88	685.98	(10.90)	Short Term
HEWLETT PACKARD	30	02-03-04	07-20-04	719.41	598.18	(121.23)	Short Term
HEWLETT PACKARD	40	05-11-04	07-20-04	805.96	797.58	(8.38)	Short Term
HOME DEPOT INC	35	06-12-03	01-05-04	1,144.50	1,222.21	77.71	Short Term
INTL BUSINESS MACHINES CORP	5	03-17-03	01-08-04	410.97	460.84	49.87	Short Term
KEYCORP NEW	20	05-24-04	07-20-04	627.83	588.98	(38.85)	Short Term
KING PHARMACEUTICALS	35	03-03-04	05-19-04	665.53	466.14	(199.39)	Short Term
KING PHARMACEUTICALS	5	03-03-04	06-16-04	95.08	64.99	(30.09)	Short Term
KING PHARMACEUTICALS	20	04-29-04	06-16-04	367.41	259.94	(107.47)	Short Term
KING PHARMACEUTICALS	25	04-29-04	07-06-04	459.26	270.44	(188.82)	Short Term
KOHLS CORPORATION WISC	15	05-14-04	07-20-04	656.81	647.08	(9.73)	Short Term
LEHMAN BROS HOLDING INC DELA	5	06-12-03	02-02-04	368.60	413.30	44.70	Short Term
LEHMAN BROS HOLDING INC DELA	10	07-07-03	02-02-04	683.40	826.60	143.20	Short Term
MAY DEPARTMENT STORES	10	07-23-03	02-26-04	230.13	348.19	118.06	Short Term

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Investments and services are offered through Morgan Stanley DW Inc. member SIPC

Morgan Stanley

ACTIVE ASSETS ACCOUNT®
FOR YEAR ENDING DECEMBER 31, 2004

THE HONORABLE NICK JOE RAHALL
108 MAIN STREET

Account Number
642 122043 022

Realized Gain/(Loss)

	Quantity	Date Acquired	Date Sold	Adjusted Total Cost	Proceeds	Realized Gain/(Loss)	Additional Information
MAY DEPARTMENT STORES	15	07-23-03	07-09-04	345.20	388.43	43.23	Short Term
MAY DEPARTMENT STORES	5	07-23-03	07-14-04	115.07	130.14	15.07	Short Term
MAY DEPARTMENT STORES	20	07-31-03	07-14-04	502.71	520.57	17.86	Short Term
MAYTAG CORP	15	04-14-04	07-19-04	461.90	326.68	(135.22)	Short Term
MAYTAG CORP	10	04-14-04	07-20-04	307.93	216.99	(90.94)	Short Term
MAYTAG CORP	30	06-01-04	07-20-04	786.55	650.98	(135.57)	Short Term
MERRILL LYNCH & CO INC	15	06-12-03	04-30-04	709.35	817.30	107.95	Short Term
METLIFE INCORPORATED	20	10-21-03	07-20-04	616.75	682.18	65.43	Short Term
MICROSOFT CORP	30	03-19-03	03-02-04	780.55	793.71	13.16	Short Term
MICROSOFT CORP	15	11-26-03	04-14-04	381.90	384.63	2.73	Short Term
MONSANTO CO/NEW	10	06-12-03	03-25-04	210.70	345.18	134.48	Short Term
MONSANTO CO/NEW	10	06-12-03	05-14-04	210.70	326.36	115.66	Short Term
MONSANTO CO/NEW	10	06-12-03	05-14-04	210.70	326.36	115.66	Short Term
MOTOROLA INC	40	07-15-03	02-25-04	390.82	693.03	302.51	Short Term
MOTOROLA INC	60	09-03-03	07-20-04	648.75	944.97	296.22	Short Term
NEWELL RUBBERMAID INC	20	02-18-04	07-20-04	521.95	441.39	(80.56)	Short Term
NEWELL RUBBERMAID INC	40	05-14-04	07-20-04	932.40	882.77	(49.63)	Short Term
NEWMONT MINING CORP (NEW)	20	06-12-03	01-21-04	632.80	867.62	234.82	Short Term
NEWMONT MINING CORP (NEW)	15	03-23-04	07-15-04	670.22	627.52	(42.70)	Short Term
NEWMONT MINING CORP (NEW)	25	04-29-04	07-20-04	953.08	1,025.22	72.14	Short Term
NORTHERN TRUST CORP	15	09-22-03	04-30-04	654.29	632.73	(21.56)	Short Term
NORTHERN TRUST CORP	15	09-22-03	07-13-04	684.29	602.00	(82.29)	Short Term
NORTHROP GRUMMAN CP(HLDG CO)	15	06-30-04	07-20-04	804.56	811.33	6.77	Short Term
NORTHROP GRUMMAN CP(HLDG CO)	10	07-14-04	07-20-04	540.59	540.88	.29	Short Term
NUCOR CORPORATION	10	04-14-04	07-20-04	631.87	770.38	138.51	Short Term
PEOPLESOFT INC	30	06-12-03	03-19-04	514.50	544.01	29.51	Short Term
PEOPLESOFT INC	35	06-12-03	03-23-04	600.25	629.56	29.31	Short Term
PITNEY BOWES INC	20	09-03-03	07-20-04	783.09	857.78	74.69	Short Term
PUBLIC SERVICE ENTERPRISE GP	15	03-19-04	07-06-04	702.21	595.34	(106.87)	Short Term
RAYTHEON CO (NEW)	20	06-29-04	07-20-04	697.31	660.18	(37.13)	Short Term
RAYTHEON CO (NEW)	20	07-06-04	07-20-04	679.17	660.18	(18.99)	Short Term
SAFEWAY INC COM NEW	30	03-02-04	07-20-04	688.41	686.68	(1.73)	Short Term
SAFEWAY INC COM NEW	30	07-15-04	07-20-04	701.03	686.68	(14.35)	Short Term
SBC COMMUNICATIONS	25	06-25-03	02-02-04	661.38	654.57	(6.81)	Short Term
SCIENTIFIC ATLANTA	20	03-03-04	07-20-04	667.66	609.58	(58.08)	Short Term
SCIENTIFIC ATLANTA	25	03-11-04	07-20-04	793.21	761.98	(31.23)	Short Term
STANLEY WORKS	5	11-12-03	05-06-04	162.22	213.54	51.32	Short Term
STANLEY WORKS	10	11-12-03	05-24-04	324.44	416.82	92.38	Short Term
STANLEY WORKS	5	11-12-03	07-20-04	162.22	223.64	61.42	Short Term
STANLEY WORKS	20	11-26-03	07-20-04	655.05	894.58	239.53	Short Term
SYMBOL TECHNLGS	20	08-01-03	07-07-04	270.40	270.37	(.03)	Short Term
SYMBOL TECHNLGS	65	08-01-03	07-20-04	878.60	895.67	16.07	Short Term
TECO ENERGY	20	10-03-03	05-03-04	290.00	252.43	(37.57)	Short Term
TECO ENERGY	10	10-23-03	05-19-04	121.81	117.54	(4.27)	Short Term

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3831

Morgan Stanley

ACTIVE ASSETS ACCOUNT®
FOR YEAR ENDING DECEMBER 31, 2004

THE HONORABLE NICK JOE RAHALL
108 MAIN STREET

Account Number
642 122048 022

Realized Gain/(Loss)	Quantity	Date Acquired	Date Sold	Adjusted Total Cost	Proceeds	Realized Gain/(Loss)	Additional Information
TECO ENERGY	25	10-25-03	06-08-04	304.52	293.53	(10.99)	Short Term
TECO ENERGY	15	02-10-04	06-16-04	221.76	170.27	(51.49)	Short Term
TECO ENERGY	30	02-10-04	07-20-04	443.51	395.09	(48.42)	Short Term
TELLABS INC DELAWARE	70	01-09-04	05-27-04	708.46	554.33	(154.13)	Short Term
TELLABS INC DELAWARE	5	01-09-04	07-07-04	50.60	39.85	(10.76)	Short Term
TELLABS INC DELAWARE	75	02-13-04	07-07-04	741.98	597.74	(144.24)	Short Term
TEXAS INSTRUMENTS	5	09-22-03	04-01-04	118.28	148.60	30.32	Short Term
TEXAS INSTRUMENTS	15	09-22-03	05-03-04	354.83	369.98	15.15	Short Term
TEXAS INSTRUMENTS	10	09-22-03	06-29-04	236.55	240.02	3.47	Short Term
TEXAS INSTRUMENTS	20	10-13-03	06-29-04	508.56	480.04	(28.52)	Short Term
TIME WARNER INC NEW	85	12-03-03	07-20-04	1,415.29	1,439.01	23.72	Short Term
VALERO ENERGY CP DELA NEW	15	08-11-03	01-12-04	571.33	703.57	132.24	Short Term
WELLS FARGO & CO NEW	20	06-23-04	07-20-04	1,173.31	1,153.37	(19.94)	Short Term
Sub Total Short Term				$64,762.55	$67,623.77	$2,861.22	
Long Term							
BANK OF AMERICA CORP	10	01-26-01	03-15-04	534.38	794.86	260.48	Long Term
BRISTOL MYERS SQUIBB CO	48	03-10-99	03-29-04	2,695.62	1,091.12	(1,604.50)	Long Term
BRISTOL MYERS SQUIBB CO	40	04-04-02	03-29-04	1,248.00	969.88	(278.12)	Long Term
CAMPBELL SOUP	15	06-18-03	06-28-04	388.62	403.16	14.54	Long Term
CHEVRON TEXACO CORP	5	06-21-02	01-08-04	436.40	427.22	(9.18)	Long Term
CHEVRON TEXACO CORP	15	06-21-02	07-20-04	1,309.20	1,421.51	112.31	Long Term
CITIGROUP INC	15	06-12-03	06-29-04	654.60	694.74	40.14	Long Term
CONOCOPHILLIPS	31	03-10-99	07-20-04	1,293.41	2,443.67	1,150.26	Long Term
DELPHI CORPORATION	65	09-12-02	07-20-04	672.81	632.44	(40.37)	Long Term
DELPHI CORPORATION	65	09-25-02	07-20-04	587.93	632.44	44.51	Long Term
ELECTR DATA SYSTEMS CORP	10	10-17-02	02-04-04	138.15	230.55	92.40	Long Term
EXELON CORP	5	06-07-02	03-03-04	257.25	336.01	78.76	Long Term
EXELON CORP	5	09-26-02	03-03-04	236.85	336.01	99.16	Long Term
EXELON CORP	10	09-26-02	05-18-04	236.85	317.31	80.46	Long Term
EXELON CORP	10	09-26-02	06-09-04	236.85	322.83	85.98	Long Term
EXXON MOBIL CORP	42	03-10-99	07-20-04	1,471.01	1,928.59	457.58	Long Term
GENERAL ELECTRIC CO	75	03-10-99	07-20-04	2,642.19	2,480.19	(162.00)	Long Term
GENERAL ELECTRIC CO	20	04-12-02	07-20-04	685.00	661.38	(23.62)	Long Term
GEORGIA-PAC (GEORGIA PAC GP)	10	07-07-03	07-15-04	202.21	354.92	152.71	Long Term
HONEYWELL INTERNATIONAL INC	50	01-30-02	07-20-04	1,632.31	1,792.95	160.64	Long Term
INTL BUSINESS MACHINES CORP	10	10-31-00	01-08-04	981.88	921.69	(60.19)	Long Term
LINCOLN NTL CORP IND	35	07-09-03	07-20-04	1,331.63	1,512.66	181.03	Long Term
MICROSOFT CORP	15	03-19-03	04-14-04	390.27	384.63	(5.64)	Long Term
MOTOROLA INC	25	07-16-03	07-20-04	244.07	393.74	149.67	Long Term
PFIZER INC	40	09-21-00	03-03-04	1,717.47	1,474.76	(242.71)	Long Term
PFIZER INC	20	09-21-00	07-20-04	858.73	650.98	(207.75)	Long Term

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Morgan Stanley

ACTIVE ASSETS ACCOUNT®
FOR YEAR ENDING DECEMBER 31, 2004

THE HONORABLE NICK JOE RAHALL
108 MAIN STREET

Account Number
642 122048 022

Realized Gain/(Loss)

	Quantity	Date Acquired	Date Sold	Adjusted Total Cost	Proceeds	Realized Gain/(Loss)	Additional Information
PITNEY BOWES INC	15	06-12-03	07-20-04	567.00	643.33	76.33	Long Term
SBC COMMUNICATIONS	5	09-25-02	02-02-04	114.91	130.92	16.01	Long Term
ST PAUL TRAVELERS COS INC	20	10-17-02	07-15-04	683.22	780.08	96.86	Long Term
ST PAUL TRAVELERS COS INC	15	10-17-02	07-19-04	512.42	571.97	59.55	Long Term
TARGET CORPORATION	30	09-07-00	01-05-04	740.63	1,111.87	371.24	Long Term
WALT DISNEY CO HLDG CO	55	06-12-03	07-20-04	1,137.95	1,295.21	157.26	Long Term
Sub Total Long Term				$26,839.82	$28,143.62	$1,303.80	
Total Cost for Trades Transactions With Cost Data Available				$91,607.73	$95,767.59	$4,159.86	
Total Proceeds					$95,767.59		

Summary

	Short Term	Long Term	Total
Unrealized gain	$0.00	$0.00	$0.00
Unrealized (loss)	$0.00	$0.00	$0.00
Realized gain YTD	$5,466.90	$3,937.88	$9,404.78
Realized (loss) YTD	($2,605.68)	($2,634.08)	($5,239.76)

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Morgan Stanley

PORTFOLIO ARCHITECT ACCOUNT

FOR MONTH ENDING DECEMBER 31, 2004

Your Financial Advisor
MR PEAR/MR HANDO
MORGAN STANLEY
1775 EYE STREET, NW SUITE 200
WASHINGTON, DC 20006
(202) 862-9000

THE HONORABLE NICK JOE RAHALL
108 MAIN STREET
BECKLEY WV 25801-4811

Account Number
842 032828 022

IRA Rollover
Dated 02/25/03
Householding Anniv. Date:
05/29/2003

Access Your Account at:
www.morganstanley.com/online

Asset Summary

	Value	% of Assets
Money Market Funds	$941.66	2.4%
Stocks	0.00	0.0
Municipal Bonds	0.00	0.0
Corporate Fixed Income	0.00	0.0
Government Securities	0.00	0.0
Mutual Funds	37,025.54	97.6
Unit Investment Trusts	0.00	0.0
Certificates of Deposit	0.00	0.0
Annuities/Insurance	0.00	0.0
Other	0.00	0.0
Asset Value	$37,967.20	100.0%
Cash	0.00	
Total Asset Value	$37,967.20	

Income Summary

	This Month	Year-To-Date
Money Market Funds	$1.19	$7.21
Stocks	0.00	0.00
Municipal Bonds	0.00	0.00
Corporate Fixed Income	0.00	0.00
Government Securities	0.00	0.00
Mutual Funds	166.72	605.81
Unit Investment Trusts	0.00	0.00
Certificates of Deposit	0.00	0.00
Other	0.00	0.00
Total Income	$167.91	$615.02

Activity Summary

Total Asset Value November 30 2004		$37,871.75
Cash/Money Market Activity for December		
Closing Balance 11/30	$940.39	
Credits To Your Account		
Dividends and Interest	167.91	
Deposits	0.00	
Sales Proceeds/Redemptions	0.00	
Other Credits	84.82	
Total Credits	252.73	
Debits To Your Account		
Withdrawals	0.00	
Funds to Purchase Securities	-251.46	
Other Debits	0.00	
Total Debits	-251.46	
Closing Balance 12/31	941.66	
Net Change Cash/Money Market Activity		1.27
Changes in Asset Value for December		
Value of Priced Assets 11/30	$36,131.36	
Securities Bought	251.46	
Securities Received	0.00	
Securities Sold/Redeemed	0.00	
Securities Delivered	0.00	
Transactions at Fund Company	0.00	
Change in Value of Priced Assets	642.72	
Value of Priced Assets 12/31	37,025.54	
Net Change in Asset Value		$94.18
Total Asset Value as of December 31 2004		$37,967.20

Investments and services are offered through Morgan Stanley DW Inc., member SIPC

THE HONORABLE NICK JOE RAHALL
106 MAIN STREET

Account Number
842 032928 022

ASSET DETAILS

Cash and Money Market Funds	Amount	Pct of Assets	Est Yrly Income
CASH	.00	---	---
LIQUID ASSET FUND	941.66	2.4%	15
Total Cash and Money Market Funds	$941.66	2.4%	$15
Net Change Since Last Statement	$1.22		

Annualized 30 Day Yields

Liquid Asset Fund..... 1.60%
U.S. Gov't Money Market Tr..... 1.47%

Mutual Funds	Current Price	Value	Pct of Assets	Est Yrly Income	Distribution Rate	Fund Plan Number	Symbol	Dividend/ Cap Gains Option
Morgan Stanley Mutual Funds								
299.184 AMERICAN OPPORTUNITIES FD D	24.9100	7,452.67	19.7%	N/A	N/A	174944823	AMODX	Reinv/Reinv
Other Mutual Funds								
443.272 VAN KAMPEN GROWTH & INCOME A	20.1900	8,949.66	23.6%	N/A	N/A		ACGIX	Reinv/Reinv
200.217 MSIF US CORE FIX INC INST	10.9500	2,192.38	5.8%	N/A	N/A		MFSFX	Reinv/Reinv
747.417 MSIF TR LTD DURATION INST	10.4100	7,780.61	20.5%	N/A	N/A		MPLDX	Reinv/Reinv
213.871 MSIF TR HI YIELD PORT INST	5.5200	1,178.91	3.1%	N/A	N/A		MPHYX	Reinv/Reinv
511.686 VAN KAMPEN COMSTOCK A	18.5100	9,471.31	24.9%	N/A	N/A		ACSTX	Reinv/Reinv
Total Other Mutual Funds		29,572.87	77.9%	N/A	N/A			
Total Mutual Funds		$37,025.54	97.6%	N/A	N/A			
Net Change Since Last Statement		$1,979.16						

Asset Summary	Value	Est Yrly Income
Total Asset Value	$37,967.20	$15
Total Net Change In Priced Assets Since Last Statement	$1,934.18	

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Morgan Stanley

ACTIVE ASSETS ACCOUNT®

FOR YEAR ENDING DECEMBER 31, 2004

Your Financial Advisor
MR PEAR/MR HANDO
MORGAN STANLEY
1775 EYE STREET, NW SUITE 200
WASHINGTON, DC 20006
(202) 862-9000

BBWLJCWM
00166691 2 AB 0.558 02 TR 1169 -000

THE HONORABLE NICK JOE RAHALL
106 MAIN STREET
BECKLEY WV 25801-4611

642

Account Number
642 115918 022

AAA Customer Service
1-800-869-3326
Householding Anniv. Date:
05/29/2003

Access Your Account at:
www.morganstanley.com/online

Asset Summary

	Value	% of Assets
Money Market Funds	$19.95	0.0%
Stocks	0.00	0.0
Municipal Bonds	0.00	0.0
Corporate Fixed Income	0.00	0.0
Government Securities	0.00	0.0
Mutual Funds	0.00	0.0
Unit Investment Trusts	0.00	0.0
Certificates of Deposit	0.00	0.0
Annuities/Insurance	0.00	0.0
Other	158,431.49	100.0
Asset Value	$158,451.44	100.0%
Cash	0.00	
Total Asset Value	$158,451.44	

Income Summary

	Year To Date
Money Market Funds	$0.00
Stocks	0.00
Municipal Bonds	0.00
Corporate Fixed Income	0.00
Government Securities	0.00
Mutual Funds	0.00
Unit Investment Trusts	0.00
Certificates of Deposit	0.00
Other	0.00
Total Income	$0.00
Taxable Income	$0.00
Tax Exempt Income	$0.00

Activity Summary

Total Asset Value January 01, 2004		$143,580.77
Cash/Money Market Activity for 2004		
Credits To Your Account		
Dividend and Interest	0.00	
Deposits	0.00	
Sales Proceeds/Redemptions	0.00	
Other Credits	0.00	
Total Credits	0.00	
Debits To Your Account		
Checking	0.00	
Debit Card	0.00	
Withdrawals	0.00	
Funds to Purchase Securities	0.00	
Other Debits	0.00	
Total Debits	0.00	
Changes in Asset Value for 2004		
Value of Priced Assets 1/01/04	$143,560.82	
Securities Bought	0.00	
Securities Received	0.00	
Securities Sold/Redeemed	0.00	
Securities Delivered	0.00	
Transactions at Fund Company	0.00	
Change in Value of Priced Assets	14,870.67	
Value of Priced Assets 12/31/04	158,431.49	
Net Change in Asset Value		14,870.67
Total Asset Value as of December 31, 2004		$158,451.44

Investments and services are offered through Morgan Stanley DW Inc., member SIPC.

Morgan Stanley

ACTIVE ASSETS ACCOUNT®
FOR YEAR ENDING DECEMBER 31, 2004

THE HONORABLE NICK JOE RAHALL
106 MAIN STREET

Account Number
842 115918 022

ASSET DETAILS

Cash and Money Market Funds	Amount	Pct of Assets	Est Yrly Income
	.00	---	---
ACTIVE ASSETS TAX FREE TRUST	19.95		0
Total Cash and Money Market Funds	$19.95		$0
Net Change Since January 1, 2004	$19.95		

Annualized 30 Day Yields	
Active Assets Money Trust	1.75%
Active Assets Govt. Sec. Tr.	1.88%
Active Assets California Tax Free	1.04%
Active Assets Tax Free Trust	1.18%
Active Assets Insured Account	1.46%
Active Assets Deposit Account	1.46%

Other	Est. NAV	Valuation Est	Pct of Assets	Fund Number	Date	Additional Information
Managed Futures						
43.609 PRT STRATEG FUND	(EST.VAL) 3,633.00	158,431.49	100.0%	0	12/30	
Total Other		$158,431.49	100.0%			
Net Change Since January 1, 2004		$10,870.62				

Asset Summary	Value	Est Yrly Income
Total Asset Value	$158,451.44	$0
Total Net Change In Priced Assets Since January 1, 2004	$10,870.62	

Messages

Please note that sell trades executed, checks written and debit card transactions made in 2004, and which settle early in 2005, will be reflected as 2004 transactions under the Assets, Checking and Debit Card sections of the Activity Details portion of the statement.

Investments and services are offered through Morgan Stanley DW Inc., member SIPC

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WACHOVIA SECURITIES

Investment Account

19,665

NICK RAHALL CHARITABLE
REMAINDER UNIT TRUST
U/A DTD 12/16/99
NICK J RAHALL II TTEE

Sub / Branch / Rep / Account No.
001 / BK / BK08 / 7175-5621

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ACCOUNT STATEMENT

December 1 - December 31, 2004

Your Financial Advisor:
EDWARD G RAHALL
122 MAIN STREET
POST OFFICE BOX 1328
BECKLEY, WEST VIRGINIA 25802
304-252-6221

Investment Objective/Risk Tolerance: GROWTH&INCOME + MODERATE

Portfolio Summary

Portfolio Assets

	Value on Nov 30	Value on Dec 31	Est. Ann. Income	% Total Assets
Cash and Money Market	$178,319.76	$202,630.38	2,249.19	41.22
Stocks and Options	$43,602.86	$36,782.82	829.92	7.48
Preferred Stocks	$0.00	$0.00	0.00	
Fixed Income Securities	$254,331.86	$241,798.07	14,116.73	49.19
Open End Mutual Funds	$10,061.65	$10,375.31	2,264.11	2.11
Closed End Mutual Funds	$0.00	$0.00	0.00	
Managed Futures/Hedge Funds	$0.00	$0.00	0.00	
Annuities/Insurance	$0.00	$0.00	0.00	
Unit Investment Trusts	$0.00	$0.00	0.00	
Total Assets	$486,316.03	$491,586.58	19,459.95	100%
Margin Balance	$0.00	$0.00	0.00	
Net Portfolio Value	$486,316.03	$491,586.58		

Other Assets

These positions reflect purchases made through us or information supplied to us; they are displayed for informational purposes only. If you no longer own any of these investments, please tell us so we can update this section. These assets are not included in the net portfolio value, and are not protected by SIPC.

	Value/Cost on Nov 30	Value/Cost on Dec 31
Direct Investments	$0.00	$0.00
Special Products	$0.00	$0.00
Repurchase Agreements/Bonds Borrowed	$0.00	$0.00
Total Other Assets	$0.00	$0.00

Gain/Loss Summary

Realized Gain/Loss	This Period	Year to Date
Short-term	$430.77	$3,144.23
Long-term	$0.00	- $484.47
Total Realized Gain/Loss	$430.77	$2,659.76

Cash Activity Summary

Total Cash and Money Market Funds on Nov 30: $178,319.76

	Credits	Debits	Year to Date
Securities Purchased	$0.00	- $25,083.48	- $370,090.56
Securities Sold and Redeemed	$16,068.77	$0.00	$157,147.02
Deposits and Withdrawals	$0.00	$0.00	- $51,418.00
Withdrawals by Check	$0.00	$0.00	- $2,000.00
Electronic Banking Activity	$0.00	$0.00	$0.00
Dividends	$740.48	$0.00	$2,057.59
Interest	$1,253.29	$0.00	$15,212.24
Money Market Income	$0.00	$0.00	$0.00
Short-term Distributions	$0.00	$0.00	$0.00
Long-term Distributions	$117.06	$0.00	$117.06
Return of Principal	$31,214.50	$0.00	$175,925.01
Partnership Distributions	$0.00	$0.00	$0.00
Interest Charged	$0.00	$0.00	$0.00
Withholding	$0.00	$0.00	- $22.92
Other Activity	$0.00	$0.00	$0.00
Total Activity	$49,394.10	- $25,083.48	

Total Cash and Money Market Funds on Dec 31: $202,630.38

Tax-Exempt Income Year-to-Date: $0.00
Other Income Year-to-Date: $15,896.44

Tax-exempt income and other income year-to-date totals are taken from the tax reporting system. They may not match back to the activity summary due to reclassification activity. Money market income can be either taxable or tax exempt and is not reflected in either of these totals. The YTD Money market income is displayed in the Cash Activity Summary. (Money market income from FDIC funds is shown in the interest line of the Cash Activity Summary, not the Money market income line.) The Cash Activity Summary is based on the activity that has been shown on this statement, not what will be reported to you on your forms 1099. This statement is not a tax document, and should be used for informational purposes only.

NICK RAHALL CHARITABLE
REMAINDER UNIT TRUST
U/A DTD 12/16/99
NICK J RAHALL II TTEE

Sub / Branch / Rep / Account No.
001 / BK / BK08 / 7175-5621

December 1 - December 31, 2004

Portfolio Assets

This section includes estimated or unrealized gains or losses for your information only and should not be used for tax purposes. If acquisition information is not available, the gain/loss information may not be displayed and section and summary totals may not reflect your complete portfolio. Cost basis information provided by the account owner is not verified by First Clearing, LLC and should not be relied upon for legal or tax purposes. Bonds purchased at a premium or O.I.D. (Original Issue Discount) will be carried at the original cost basis. Factored bonds (GNMA, CMO, etc.) will be adjusted for paydown of principal. Systematic investments in mutual funds and reinvested dividends for mutual funds and stocks have been consolidated for each position. Unit cost data for systematic investments and dividend reinvestment securities is provided for informational purposes only and is a non-weighted average. To update your cost information or provide omitted cost information, contact your Financial Advisor. Estimated Current Yield on Money Market funds, when available, reflects the current estimated yield for the Interest Period dates displayed. Estimated Annual Income, when available, reflects the estimated amount you would earn on a security if your current position and its related income remained constant for a year. Estimated Annual Yield, when available, reflects the current estimated annual income divided by the current value of the security as of the statement closing date. The information used to derive these estimates is obtained from various outside vendors; FCC is not responsible for incorrect or missing estimated annual income and yields

Cash and Money Market Funds

Description	Current market value	Est. ann. Income	Est current yield(%)
BANK DEPOSIT SWEEP OPTION Interest Period 12/01/04 - 12/31/04	$202,630.38	$2,249.19	1.11
Total Cash and Money Market Funds	**$202,630.38**		

Curious how your securities are performing? The "Unrealized Gain/Loss" column tells you how much each stock has increased or decreased in value since you bought it (based on cost data supplied by you or by outside services, which may not be complete). Revisions to this information (because of corporate mergers, tenders and other reorganizations, for example) may be necessary from time to time. To update your cost information or provide omitted costs, contact Your Financial Advisor.

Stocks and Options

Stocks

Description	Symbol	Quantity	Price or Adj. Cost	Cost or Other Basis	Current Price	Current Market Value	Unrealized Gain/Loss	Est. Ann. Income	Est. Ann. Yield (%)
COCA-COLA COMPANY Acquired 07/29/04	KO	100	44.75	$4,562.00	41.6400	$4,164.00	- $398.00	$100.00	2.40
GANNETT CO INC DEL Acquired 08/29/04	GCI	100	84.30	$8,515.50	81.7000	$8,170.00	- $345.50	$108.00	1.32
MERCK & CO INC Acquired 03/16/04 Acquired 09/30/04 Acquired 11/02/04	MRK	100 100 100	44.35 32.86 27.01	$4,517.50 $3,342.00 $2,782.00	32.1400	$3,214.00 $3,214.00 $3,214.00	- $1,303.50 - $128.00 $432.00	$456.00	4.72
Total		300		$10,641.50	32.1400	$9,642.00	- $999.50	$456.00	4.73
MICROSOFT CORP Acquired 08/06/01 Acquired 08/20/02 Acquired 09/09/02	MSFT	136 40 30	32.68 25.59 23.51	$4,445.16 $1,023.81 $705.30	26.7200	$3,633.92 $1,068.80 $801.60	- $811.24 $44.99 $96.30	$65.92	1.19
Total		206		$6,174.27	26.7200	$5,504.32	- $669.95	$65.92	1.20

WACHOVIA SECURITIES

NICK RAHALL CHARITABLE
REMAINDER UNIT TRUST
U/A DTD 12/16/99
NICK J RAHALL II TTEE
Sub / Branch / Rep / Account No.
001 / BK / BK08 / 7175-5621

ACCOUNT STATEMENT

December 1 - December 31, 2004

Stocks and Options

Stocks continued

Description	Symbol	Quantity	Price or Adj. Cost	Cost or Other Basis	Current Price	Current Market Value	Unrealized Gain/Loss		Est. Ann. Income	Est. Ann. Yield (%)
SILICON LABORATORIES INC	SLAB									
Acquired 07/12/04		100	41.21	$4,233.32	35.3100	$3,531.00	- $702.32		N/A	N/A
Acquired 07/27/04		50	32.98	$1,706.50		$1,765.50	$59.00			
Total		150		$5,939.82	35.3100	$5,296.50	- $643.32		N/A	N/A
SPX CORP	SPW									
Acquired 06/21/04		100	44.50	$4,547.60	40.0600	$4,006.00	- $541.60		$100.00	2.49
Total Stocks				**$40,380.69**		**$36,782.82**	**- $3,597.87**		**$829.92**	**2.26**
Total Stocks and Options				**$40,380.69**		**$36,782.82**	**- $3,597.87**		**$829.92**	**2.26**

Fixed Income Securities

Corporate Bonds

Description	Quantity	Price or Adj. Cost	Cost or Other Basis	Current Price	Current Market Value	Unrealized Gain/Loss	Est. Accrued Interest	Est. Ann. Income	Est. Ann. Yield (%)
UNION CARBIDE GLOBAL NOTES NON CALL LIFE CPN 7.875% DUE 04/01/23 DTD 04/01/93 FC 10/01/93 Moody B1, S&P BBB- CUSIP 905372AD6									
Acquired 10/05/04	25,000	100.12	$25,037.25	103.8750	$25,968.75	$931.50	$492.25	$1,968.75	7.58
Total Corporate Bonds	**25,000**		**$25,037.25**		**$25,968.75**	**$931.50**	**$492.25**	**$1,968.75**	**7.58**

Mortgage-Backed Securities

Description	Quantity	Price or Adj. Cost	Cost or Other Basis	Current Price	Current Market Value	Unrealized Gain/Loss	Est. Accrued Interest	Est. Ann. Income	Est. Ann. Yield (%)
FHLMC 2733 GB REMIC MULTICLASS CMO CPN 6.500% DUE 12/15/31 DTD 01/01/04 REMAIN BAL 25,000.00 DEC FACTOR 1.00000000 CUSIP 31394NTA6									
Acquired 04/01/04	25,000	99.75	$24,943.50	99.6750	$24,918.75	- $24.75	$114.50	$1,375.00	5.51

NICK RAHALL CHARITABLE
REMAINDER UNIT TRUST
U/A DTD 12/16/99
NICK J RAHALL II TTEE

Sub / Branch / Rep / Account No.
001 / BK / BK08 / 7175-5621

December 1 - December 31, 2004

Fixed Income Securities

Mortgage-Backed Securities continued

Description	Quantity	Price or Adj. Cost	Cost or Other Basis	Current Price	Current Market Value	Unrealized Gain/Loss	Est. Accrued Interest	Est. Ann. Income	Est. Ann. Yield (%)
FHLMC 2719 AA REMIC MULTICLASS CMO RETAIL LOTTERY CPN 5.750% DUE 03/15/32 DTD 12/01/03 REMAIN BAL 8,000.00 DEC FACTOR 1.00000000 CUSIP 31394MRD4 Acquired 12/16/03	8,000	100.00	$8,000.00	100.2690	$8,021.52	$21.52	$38.32	$460.00	5.73
FNMA 03-79 BA REMIC MULTICLASS CMO CPN 5.000% DUE 03/25/32 CPN 5.000% DUE 03/25/32 DTD 07/01/03 REMAIN BAL 5,612.38 DEC FACTOR .28081913 CUSIP 31393EDJ5 Acquired 10/21/03	20,000	98.50	$5,528.19	99.2300	$5,569.16	$40.97	$23.40	$280.61	5.03
FHLMC 2719 AK REMIC MULTICLASS CMO CPN 5.750% DUE 04/15/32 DTD 12/01/03 REMAIN BAL 6,576.07 DEC FACTOR .26304295 CUSIP 31394MRH5 Acquired 12/18/03	25,000	100.00	$6,576.07	100.4160	$6,603.43	$27.36	$31.50	$378.12	5.72
FHLMC 2795 MM RETAIL LOTTERY REMIC MULTICLASS CMO CPN 8.000% DUE 03/15/33 DTD 05/01/04 REMAIN BAL 8,000.00 DEC FACTOR 1.00000000 CUSIP 31394YUZ6 Acquired 05/28/04	8,000	100.00	$8,000.00	100.0970	$8,007.76	$7.76	$40.00	$480.00	5.99

WACHOVIA SECURITIES

NICK RAHALL CHARITABLE
REMAINDER UNIT TRUST
U/A DTD 12/16/99
NICK J RAHALL II TTEE
Sub / Branch / Rep / Account No.
001 / BK / BK08 / 7175-5621

ACCOUNT STATEMENT

December 1 - December 31, 2004

Fixed Income Securities

Mortgage-Backed Securities continued

Description	Quantity	Price or Adj. Cost	Cost or Other Basis	Current Price	Current Market Value	Unrealized Gain/Loss	Est. Accrued Interest	Est. Ann. Income	Est. Ann. Yield (%)
FHLMC 2760 EE RETAIL LOTTERY REMIC MULTICLASS CMO CPN 5.500% DUE 05/15/33 DTD 03/01/04 REMAIN BAL 15,000.00 DEC FACTOR 1.00000000 CUSIP 31394T4E2 Acquired 05/03/04	15,000	99.00	$14,856.00	98.0670	$14,710.05	-$145.95	$68.70	$825.00	5.60
FHLMC 2646 LL RETAIL LOTTERY REMIC MULTICLASS CMO CPN 5.000% DUE 07/15/33 DTD 07/01/03 REMAIN BAL 15,000.00 DEC FACTOR 1.00000000 CUSIP 31393VZT1 Acquired 07/14/03	15,000	100.00	$15,000.00	95.0180	$14,252.70	-$747.30	$62.55	$750.00	5.26
GNMA 04-17 KB REMIC MULTICLASS CMO CPN 5.500% DUE 07/16/33 DTD 03/01/04 REMAIN BAL 25,000.00 DEC FACTOR 1.00000000 CUSIP 38374FYH1 Acquired 03/18/04	25,000	99.90	$24,975.00	100.3020	$25,075.50	$100.50	$114.50	$1,375.00	5.48
GNMA 04-46 WA REMIC MULTICLASS CMO SUPPORT BOND CPN 6.000% DUE 07/20/33 DTD 06/01/04 REMAIN BAL 6,354.53 DEC FACTOR .25416145 CUSIP 38374G7C9 Acquired 05/02/04	25,000	99.75	$6,338.64	100.0530	$6,357.90	$19.26	$31.75	$381.27	5.99

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Fixed Income Securities

Mortgage-Backed Securities continued

Description	Quantity	Price or Adj. Cost	Cost or Other Basis	Current Price	Current Market Value	Unrealized Gain/Loss	Est. Accrued Interest	Est. Ann. Income	Est. Ann. Yield (%)
GNMA 04-44 LA REMIC MULTICLASS CMO SUPPORT BOND CPN 6.000% DUE 08/20/33 DTD 07/01/04 REMAIN BAL 8,736.99 DEC FACTOR .34947998 CUSIP 38374HJB7 Acquired 06/24/04	25,000	99.75	$8,715.15	100.1170	$8,747.22	$32.07	$43.75	$524.21	5.99
FNMA 04-42 KA REMIC MULTICLASS CMO SUPPORT BOND CPN 6.000% DUE 08/25/33 DTD 05/01/04 REMAIN BAL 7,812.92 DEC FACTOR .39264649 CUSIP 31383YUU7 Acquired 05/05/04	20,000	100.00	$7,812.92	100.2560	$7,832.93	$20.01	$7.80	$468.77	5.98
FHLMC 2668 MM RETAIL LOTTERY REMIA MULTICLASS CMO CPN 6.000% DUE 09/15/33 DTD 09/01/03 REMAIN BAL 20,000.00 DEC FACTOR 1.00000000 CUSIP 31394HU74 Acquired 10/21/03	20,000	100.50	$20,100.00	100.6565	$20,131.60	$31.60	$100.00	$1,200.00	5.96
GNMA 04-69 GB REMIC MULTICLASS CMO SEQUENTIAL PAYER CPN 5.500% DUE 04/20/34 DTD 08/01/04 REMAIN BAL 25,000.00 DEC FACTOR 1.00000000 CUSIP 38374H6L9 Acquired 09/17/04	25,000	99.75	$24,943.50	100.2890	$25,072.25	$128.75	$114.50	$1,375.00	5.48

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WACHOVIA SECURITIES

NICK RAHALL CHARITABLE
REMAINDER UNIT TRUST
U/A DTD 12/16/99
NICK J RAHALL II TTEE
Sub / Branch / Rep / Account No.
001 / BK / BK08 / 7175-5621

ACCOUNT STATEMENT

December 1 - December 31, 2004

Fixed Income Securities

Mortgage-Backed Securities continued

Description	Quantity	Price or Adj. Cost	Cost or Other Basis	Current Price	Current Market Value	Unrealized Gain/Loss	Est. Accrued Interest	Est. Ann. Income	Est. Ann. Yield (%)
GNMA 04-102 MA REMIC MULTICLASS CMO CPN 5.500% DUE 04/20/34 DTD 11/01/04 REMAIN BAL 28,000.00 DEC FACTOR 1.00000000 CUSIP 38374JZ66 Acquired 12/02/04	25,000	99.75	$24,943.50	101.5730	$25,393.25	$449.75	$114.50	$1,375.00	5.41
BANK OF AMERICA MORTGAG 04-07 1A2 MULTICLASS CMO CPN 6.000% DUE 06/25/34 DTD 07/01/04 Moody AAA , S&P AAA REMAIN BAL 15,000.00 DEC FACTOR 1.00000000 CUSIP 05948AN82 Acquired 06/14/04	15,000	99.75	$14,962.50	100.9020	$15,135.30	$172.80	$75.00	$900.00	5.94
Total Mortgage-Backed Securities			**$215,694.97**		**$215,829.32**	**$134.35**	**$980.77**	**$12,147.98**	**5.63**
Total Remaining Balance on all Mortgage-Backed Securities $216,092.89									
Total Fixed Income Securities			**$240,732.22**		**$241,798.07**	**$1,065.85**	**$1,473.02**	**$14,116.73**	**5.84**

Open End Mutual Funds

Estimated annual income and yield refer to dividends and interest income only, and typically do not reflect total return.

Description	Symbol	Quantity	Price or Adj. Cost	Cost or Other Basis	Current Price	Current Market Value	Unrealized Gain/Loss	Est. Ann. Income	Est. Ann. Yield (%)
HANCOCK JOHN CAP SER LARGE CAP SELECT FD CL B	JHLBX								
Acquired 07/13/04		559.59700	17.87	$10,000.00	18.3300	$10,257.41	$251.41	$2,264.11	21.62
Dividend reinvestment		6.43200	18.20	$117.06		$117.90	$0.84		
Total		566.02900		$10,123.06	18.3300	$10,375.31	$252.25	$2,264.11	21.82
Total Open End Mutual Funds				**$10,123.06**		**$10,375.31**	**$252.25**	**$2,264.11**	**21.82**

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Morgan Stanley

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ACTIVE ASSETS ACCOUNT®
FOR YEAR ENDING DECEMBER 31, 2004

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Your Financial Advisor
MR PEAR/MR HANDO
MORGAN STANLEY
1775 EYE STREET, NW SUITE 200
WASHINGTON, DC 20006
(202) 862-9000

BBWNJCWH
00168695 2 AB 0.558 02 TR 1189 -000
NICK RAHALL II TTEE
NICK RAHALL CHAR REM UNITRUST U/A
DTD 12/16/1999
106 MAIN STREET
BECKLEY WV 25801-4811

642

Account Number
842 153998 022

AAA Customer Service
1-800-869-3326
Householding Anniv. Date:
05/29/2003

Access Your Account at:
www.morganstanley.com/online

Asset Summary

	Value	% of Assets
Money Market Funds	$0.00	0.0%
Stocks	0.00	0.0
Municipal Bonds	0.00	0.0
Corporate Fixed Income	0.00	0.0
Government Securities	0.00	0.0
Mutual Funds	0.00	0.0
Unit Investment Trusts	0.00	0.0
Certificates of Deposit	0.00	0.0
Annuities/Insurance	0.00	0.0
Other	41,638.00	100.0
Asset Value	$41,638.00	100.0%
Cash	0.00	
Total Asset Value	$41,638.00	

Income Summary

	Year To Date
Money Market Funds	$0.00
Stocks	0.00
Municipal Bonds	0.00
Corporate Fixed Income	0.00
Government Securities	0.00
Mutual Funds	0.00
Unit Investment Trusts	0.00
Certificates of Deposit	0.00
Other	0.00
Total Income	$0.00
Taxable Income	$0.00
Tax Exempt Income	$0.00

Activity Summary

Total Asset Value January 01, 2004 $39,654.75

Cash/Money Market Activity for 2004

Credits To Your Account		
Dividend and Interest	0.00	
Deposits	0.00	
Sales Proceeds/Redemptions	0.00	
Other Credits	54.11	
Total Credits	54.11	
Debits To Your Account		
Checking	0.00	
Debit Card	0.00	
Withdrawals	0.00	
Funds to Purchase Securities	0.00	
Other Debits	0.00	
Total Debits	0.00	

Changes In Asset Value for 2004

Value of Priced Assets 1/01/04	$39,708.86	
Securities Bought	0.00	
Securities Received	0.00	
Securities Sold/Redeemed	0.00	
Securities Delivered	0.00	
Transactions at Fund Company	0.00	
Change In Value of Priced Assets	1,929.14	
Value of Priced Assets 12/31/04	41,638.00	
Net Change in Asset Value		1,929.14
Total Asset Value as of December 31, 2004		$41,638.00

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Morgan Stanley

ACTIVE ASSETS ACCOUNT®
FOR YEAR ENDING DECEMBER 31, 2004

NICK RAHALL II TTEE
NICK RAHALL CHAR REM UNITRUST U/A

Account Number
642 153988 022

ASSET DETAILS

Cash and Money Market Funds	Amount	Pct of Assets	Est Yrly Income
	.00	---	---
Total Cash and Money Market Funds	$.00		$

Net Change Since January 1, 2004 $54.11

Annualized 30 Day Yields

Active Assets Money Trust	1.75%
Active Assets Govt. Sec. Tr	1.58%
Active Assets California Tax Free	1.04%
Active Assets Tax Free Trust	1.16%
Active Assets Insured Account	1.46%
Active Assets Deposit Account	1.46%

Other	Est. NAV	Valuation Est	Pct of Assets	Fund Number	Date	Additional Information
Managed Futures						
951.993 MS SPECTRUM STRATEGC (EST.VAL)	14.66	13,956.21	33.5%	0	12/30	
1,159.204 MS SPECTRUM TECH - (EST.VAL)	23.88	27,681.79	66.5%	0	12/30	
Total Other		$41,638.00	100.0%			

Net Change Since January 1, 2004 $13,929.14

Asset Summary	Value	Est Yrly Income
Total Assets Value	$41,638.00	$
Total Net Change in Priced Assets Since January 1, 2004	$13,929.14	

CREDITS TO YOUR ACCOUNT

Other Credits	Date	Activity	Description	Amount	Additional Information
	02-25	Adjustment	WRITE OFF	54.11	
			Total Other Credits	454.11	
Total Credits To Your Account				$454.11	

Investments and services are offered through Morgan Stanley DW Inc., member SIPC

3847

Capital Resource Account

19317

WACHOVIA SECURITIES

NICK JOE RAHALL II AS CUST FOR
SUZANNE NICOLE RAHALL UNDER
THE WV GIFTS TO MINORS ACT

ACCOUNT STATEMENT

Sub / Branch	/ Rep	/ Account No.
001 / BK	/ BK08	/ 6540-1050

December 1 - December 31, 2004

Your Financial Advisor:
EDWARD G RAHALL
122 MAIN STREET
POST OFFICE BOX 1328
BECKLEY, WEST VIRGINIA 25802
304-252-6221

Investment Objective/Risk Tolerance: GROWTH&INCOME + AGGRESSIVE

Portfolio Summary

Portfolio Assets

	Value on Nov 30	Value on Dec 31	Est. Ann. Income	% Total Assets
Cash and Money Market	$27,554.87	$47,228.89	524.24	52.43
Stocks and Options	$19,697.00	$11,269.00	100.00	12.51
Preferred Stocks	$0.00	$0.00	0.00	
Fixed Income Securities	$890.46	$815.80	68.15	0.91
Open End Mutual Funds	$35,832.31	$26,647.51	1,165.64	29.58
Closed End Mutual Funds	$4,425.00	$4,113.00	6.00	4.57
Managed Futures/Hedge Funds	$0.00	$0.00	0.00	
Annuities/Insurance	$0.00	$0.00	0.00	
Unit Investment Trusts	$0.00	$0.00	0.00	
Total Assets	$88,499.64	$90,074.20	1,864.03	100%
Margin Balance	$0.00	$0.00	0.00	
Net Portfolio Value	$88,499.64	$90,074.20		

Other Assets

These positions reflect purchases made through us or information supplied to us; they are displayed for informational purposes only. If you no longer own any of these investments, please tell us so we can update this section. These assets are not included in the net portfolio value, and are not protected by SIPC.

	Value/Cost on Nov 30	Value/Cost on Dec 31
Direct Investments	$0.00	$0.00
Special Products	$0.00	$0.00
Repurchase Agreements/Bonds Borrowed	$0.00	$0.00
Total Other Assets	$0.00	$0.00

Cash Activity Summary

Total Cash and Money Market Funds on Nov 30:			$27,554.87
	Credits	Debits	Year to Date
Securities Purchased	$0.00	- $61.04	- $109,315.92
Securities Sold and Redeemed	$19,490.98	$0.00	$112,083.04
Deposits and Withdrawals	$0.00	$0.00	$0.00
Withdrawals by Check	$0.00	$0.00	$0.00
Electronic Banking Activity	$0.00	$0.00	$0.00
Dividends	$82.35	$0.00	$943.66
Interest	$44.92	$0.00	$234.94
Money Market Income	$0.00	$0.00	$0.00
Short-term Distributions	$0.00	$0.00	$0.00
Long-term Distributions	$59.94	$0.00	$59.94
Return of Principal	$56.85	$0.00	$585.27
Partnership Distributions	$0.00	$0.00	$0.00
Interest Charged	$0.00	$0.00	$0.00
Withholding	$0.02	$0.00	- $18.82
Other Activity	$0.00	$0.00	$0.00
Total Activity	$19,735.06	- $61.04	
Total Cash and Money Market Funds on Dec 31:			$47,228.89

Tax-Exempt Income Year-to-Date: $0.00
Other Income Year-to-Date: $1,285.95

Tax-exempt income and other income year-to-date totals are taken from the tax reporting system. They may not match back to the activity summary due to reclassification activity. Money market income can be either taxable or tax exempt and is not reflected in either of these totals. The YTD Money market income is displayed in the Cash Activity Summary. (Money market income from FDIC funds is shown in the Interest line of the Cash Activity Summary, not the Money market income line.) The Cash Activity Summary is based on the activity that has been shown on this statement, not what will be reported to you on your forms 1099. This statement is not a tax document, and should be used for informational purposes only.

NICK JOE RAHALL II AS CUST FOR
SUZANNE NICOLE RAHALL UNDER
THE WV GIFTS TO MINORS ACT

Sub / Branch / Rep / Account No.
001 / BK / BK0S / 6540-1050

December 1 - December 31, 2004

Portfolio Assets

Estimated Current Yield on Money Market funds, when available, reflects the current estimated yield for the Interest Period dates displayed. Estimated Annual Income, when available, reflects the estimated amount you would earn on a security if your current position and its related Income remained constant for a year. Estimated Annual Yield, when available, reflects the current estimated annual income divided by the current value of the security as of the statement closing date. The information used to derive these estimates is obtained from various outside vendors; FCC is not responsible for incorrect or missing estimated annual income and yields.

Cash and Money Market Funds

Description	Current market value	Est. ann. Income	Est current yield(%)
BANK DEPOSIT SWEEP OPTION Interest Period 12/01/04 - 12/31/04	$47,228.89	$524.24	1.11
Total Cash and Money Market Funds	**$47,228.89**		

Stocks and Options

Stocks

Description	Symbol	Quantity	Current Price	Current Market Value	Est. Ann. Income	Est. Ann. Yield (%)
CHARTER COMMUNICATIONS INC	CHTR	500	2.2400	$1,120.00	N/A	N/A
COCA-COLA COMPANY	KO	100	41.6400	$4,164.00	$100.00	2.40
PERFORMANCE FOOD GROUP CO	PFGC	100	28.9100	$2,891.00	N/A	N/A
TENET HEALTHCARE CORP	THC	300	10.9800	$3,294.00	N/A	N/A
Total Stocks				**$11,269.00**	**$100.00**	**0.89**
Total Stocks and Options				**$11,269.00**	**$100.00**	**0.89**

3848

WACHOVIA SECURITIES

NICK JOE RAHALL II AS CUST FOR
SUZANNE NICOLE RAHALL UNDER
THE WV GIFTS TO MINORS ACT

ACCOUNT STATEMENT

Sub / Branch / Rep / Account No.
001 / BK / BK08 / 6540-1050

December 1 - December 31, 2004

Fixed Income Securities

Mortgage-Backed Securities

Description	Quantity	Current Price	Current Market Value	Est. Accrued Interest	Est. Ann. Income	Est. Ann. Yield (%)
FNMA 91 6ZD PAC 9% COMPOUNDED RATE MULTICLASS CMO CPN 9.000% DUE 01/25/21 DTD 01/01/91 FC 02/25/91 Moody NR , S&P NR REMAIN BAL 647.53 DEC FACTOR .21544659 CUSIP 31358FZB8	3,000	106.3470	$688.63	N/A	$58.27	8.46
FNMA 92 11E MULTICLASS CMO CPN 8.000% DUE 12/25/21 CPN 8.000% DUE 12/25/21 DTD 01/01/92 FC 02/25/92 REMAIN BAL 123.56 DEC FACTOR .04118608 CUSIP 31358LBZ8	3,000	102.9240	$127.17	$0.84	$9.88	7.77
Total Mortgage-Backed Securities			**$815.80**	**$0.84**	**$68.15**	**8.35**
Total Remaining Balance on all Mortgage-Backed Securities $771.09						
Total Fixed Income Securities			**$815.80**	**$0.84**	**$68.15**	**8.35**

Open End Mutual Funds

Estimated annual income and yield refer to dividends and interest income only, and typically do not reflect total return.

Description	Symbol	Quantity	Current Price	Current Market Value	Est. Ann. Income	Est. Ann. Yield (%)
AIM BLUE CHIP FUND B	ABCBX	386.99700	11.0800	$4,287.92	N/A	N/A
HANCOCK JOHN CAP SER LARGE CAP SELECT FD CL B	JHLBX	289.82600	18.3300	$5,312.51	$1,159.30	21.82
MASS INV TRUST CLASS A	MITTX	58.71300	17.2600	$1,013.38	$6.34	0.62
MFS MASSACHUSETTS INVT TR CL B	MITBX	473.17200	16.9000	$7,996.60	N/A	N/A
VK PACE FUND CL B	ACPBX	909.17500	8.6400	$8,037.10	N/A	N/A
Total Open End Mutual Funds				**$26,647.51**	**$1,165.64**	**4.37**

NICK JOE RAHALL II AS CUST FOR
SUZANNE NICOLE RAHALL UNDER
THE WV GIFTS TO MINORS ACT

Sub / Branch / Rep / Account No.
001 / BK / BK08 / 6540-1050

December 1 - December 31, 2004

Closed End Mutual Funds

Estimated annual income and yield refer to dividends and interest income only, and typically do not reflect total return.

Description	Symbol	Quantity	Current Price	Current Market Value	Est. Ann. Income	Est. Ann Yield (%)
SCUDDER GLOBAL COMMODITIES STOCK FUND	GCS	300	13.7100	$4,113.00	$6.00	0.14
Total Closed End Mutual Funds				**$4,113.00**	**$6.00**	**0.15**

Activity Detail

Date	Account Type	Transaction	Quantity	Description	Price	Amount
12/01	Cash	DIVIDEND		HCA INC 120104 100		$13.00
12/01	Cash	SALE	- 100.00000	WENDYS INTL INC	37.6200	$3,699.61
12/03	Cash	SALE	- 100.00000	HCA INC	40.0000	$3,989.90
12/06	Cash	DIVIDEND		MFS MASSACHUSETTS INVT TR CL B 120204 533.15200 AS OF 12/02/04		$1.10
12/06	Cash	WITHHOLDING		SANOFI-AVENTIS ADR FOREIGN W/H TAX REFUND 100504		$0.02
12/06	Cash	REINVEST DIV	0.06700	MFS MASSACHUSETTS INVT TR CL B	16.5400	-$1.10
12/06	Cash	TRANSFER TO		BANK DEPOSIT SWEEP OPTION		-$3,712.81
12/07	Cash	TRANSFER TO		BANK DEPOSIT SWEEP OPTION		-$0.02
12/08	Cash	TRANSFER TO		BANK DEPOSIT SWEEP OPTION		-$3,989.90
12/09	Cash	DIVIDEND		ARAMARK CORP CLASS B 120904 150		$8.25
12/13	Cash	SALE	- 200.00000	IPASS INC	7.3300	$1,458.95
12/14	Cash	DIVIDEND		DU PONT E.I. DE NEMOURS AND COMPANY 121404 100		$35.00
12/14	Cash	TRANSFER TO		BANK DEPOSIT SWEEP OPTION		-$8.25

6,991

2004 AMENDED FORMS 1099

WACHOVIA SECURITIES

Sub / Branch / Rep / Account No
001 / BK / BK08 / 65401050

Your Financial Advisor:
EDWARD G RAHALL
122 MAIN STREET
POST OFFICE BOX 1328
BECKLEY, WEST VIRGINIA 25802
304-252-6221

Payer:
FIRST CLEARING, LLC
10700 WHEAT FIRST DRIVE
GLEN ALLEN, VA 23060
Payer ID #: 23-2384840

Amended Date: 2/18/05

NICK JOE RAHALL II AS CUST FOR
SUZANNE NICOLE RAHALL UNDER
THE WV GIFTS TO MINORS ACT
106 MAIN ST
BECKLEY WV 25801-4611

Your Federal Identification Number

Summary of Reportable Tax Information

1099-DIV Dividends and Distributions for 2004

OMB No. 1545-0110

	IRS Box		Amount
X	1a	Total Ordinary Dividends	490.95
X	1b	Qualified Dividends	386.53
	2a	Total Capital Gain Distributions	148.92
	2b	Unrecaptured Sec. 1250 Gain	0.00
	2c	Section 1202 Gain	0.00
	2d	Collectibles (28%) Gain	0.00
	3	Non-Taxable Distributions	561.78
	4	Federal Income Tax Withheld	0.00
	5	Investment Expenses	0.00
	6	Foreign Tax Paid	18.82
	7	Foreign Country or U.S. Possession	See Detail Section
	8	Cash Liquidation Distributions	0.00
	9	Noncash Liquidation Distributions	0.00

1099-INT Interest Income for 2004

OMB No. 1545-0112

IRS Box		Amount
1	Interest Income Not Included In Box 3	146.22
3	Interest on U.S. Savings Bonds and Treasury Obligations	0.00
4	Federal Income Tax Withheld	0.00
5	Investment Expenses	0.00
6	Foreign Tax Paid	0.00
7	Foreign Country or U.S. Possession	See Detail Section

1099-B Summary of Proceeds from Broker and Barter Exchange for 2004

IRS Box		Amount
1a	Date of Sale or Exchange	See Detail Section
1b	CUSIP Number	See Detail Section
2	Gross Proceeds Less Commissions and Option Premium	112,083.04
4	Federal Income Tax Withheld	0.00
5	Number of Shares Exchanged	Not Applicable
6	Classes of Stock Exchanged	Not Applicable
7	Description	See Detail Section
12	Cannot take loss on amount in box 2 if box is checked	Not Applicable

The amount in IRS Box 2 is composed of the item(s) reported on the FORM 1099-B on a later page in this package. Each item is reported to the Internal Revenue Service individually.

THIS PACKAGE INCLUDES YOUR FORMS 1099 (COPY B FOR RECIPIENT) - PLEASE RETAIN FOR TAX PREPARATION PURPOSES
The above is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction will be imposed on you if the income is taxable and the IRS determines that it has not been reported.

YT111054 082080 054114204113 NNNNN NNNNN NNNNNN 000002

2004 1099-OID, REMIC

WACHOVIA SECURITIES

Sub / Branch / Rep / Account No
001 / BK / BK08 / 65401050

Your Financial Advisor:
EDWARD G RAHALL
122 MAIN STREET
POST OFFICE BOX 1328
BECKLEY, WEST VIRGINIA 25802
304-252-6221

Payer:
FIRST CLEARING, LLC
10700 WHEAT FIRST DRIVE
GLEN ALLEN, VA 23060
Payer ID # 23-2384840

Issue Date: 3/08/05

Your Federal Identification Numbe

NICK JOE RAHALL II AS CUST FOR
SUZANNE NICOLE RAHALL UNDER
THE WV GIFTS TO MINORS ACT
108 MAIN ST
BECKLEY WV 25801-4611

Summary of Reportable Tax Information

1099-OID Original Issue Discount - Summary

IRS Box		Amount
1	Original Issue Discount for 2004	83.98
2	Other Periodic Interest	13.41
4	Federal Income Tax Withheld	0.00
5	Description	See Below
6	Original Issue Discount on Treasury Obligations	0.00
7	Investment Expense	0.00

The amount in Box 1 is comprised of the items reported on the FORM 1099-OID below. Each item is reported to the Internal Revenue Service individually.

THIS IS YOUR FORMS 1099 OID, REMIC (COPY B FOR RECIPIENT) - PLEASE RETAIN FOR TAX PREPARATION PURPOSES
The above is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction will be imposed on you if the income is taxable and the IRS determines that it has not been reported.

IR111069 013089 069153951111 YYNNN NNNNN NNNNNN 000002

Individual Retirement Account

19261

NICK JOE RAHALL II SEP IRA
FCC AS CUSTODIAN
U/A DTD 12/17/86

Sub / Branch / Rep / Account No
001 / BK / BK08 / 6539-9300

December 1 - December 31, 2004

Your Financial Advisor:
EDWARD G RAHAL
122 MAIN STREET
POST OFFICE BOX 1328
BECKLEY, WEST VIRGINIA 25802
304-252-4221

Investment Objective/Risk Tolerance: GROWTH&INCOME + CONSERVATIVE

Portfolio Summary

Portfolio Assets

	Value on Nov 30	Value on Dec 31	Est. Ann. Income	% Total Assets
Cash and Money Market	$1,502.79	$32,707.16	963.04	29.51
Stocks and Options	$0.00	$0.00	0.00	
Preferred Stocks	$0.00	$0.00	0.00	
Fixed Income Securities	$48,598.45	$49,316.35	786.60	44.50
Open End Mutual Funds	$46,772.15	$15,959.18	3,481.32	14.39
Closed End Mutual Funds	$13,201.00	$12,855.00	491.50	11.60
Managed Futures/Hedge Funds	$0.00	$0.00	0.00	
Annuities/Insurance	$0.00	$0.00	0.00	
Unit Investment Trusts	$0.00	$0.00	0.00	
Total Assets	$110,164.39	$110,831.69	5,122.46	100%
Margin Balance	$0.00	$0.00	0.00	
Net Portfolio Value	$110,164.39	$110,831.69		

Other Assets

These positions reflect purchases made through us or information supplied to us; they are displayed for informational purposes only. If you no longer own any of these investments, please tell us so we can update this section. These assets are not included in the net portfolio value, and are not protected by SIPC.

	Value/Cost on Nov 30	Value/Cost on Dec 31
Direct Investments	$0.00	$0.00
Special Products	$0.00	$0.00
Repurchase Agreements/Bonds Borrowed	$0.00	$0.00
Total Other Assets	$0.00	$0.00

Cash Activity Summary

Total Cash and Money Market Funds on Nov 30:			$1,502.79
	Credits	Debits	Year to Date
Securities Purchased	$0.00	- $860.58	- $45,490.04
Securities Sold and Redeemed	$31,027.04	$0.00	$71,867.95
Deposits and Withdrawals	$0.00	$0.00	$3,500.00
Withdrawals by Check	$0.00	$0.00	$0.00
Electronic Banking Activity	$0.00	$0.00	$0.00
Dividends	$160.50	$0.00	$218.51
Interest	$16.83	$0.00	$973.76
Money Market Income	$0.00	$0.00	$0.00
Short-term Distributions	$0.00	$0.00	$0.00
Long-term Distributions	$860.58	$0.00	$860.58
Return of Principal	$0.00	$0.00	$0.00
Partnership Distributions	$0.00	$0.00	$0.00
Interest Charged	$0.00	$0.00	$0.00
Withholding	$0.00	$0.00	- $14.50
Other Activity	$0.00	$0.00	$0.00
Total Activity	$32,054.65	- $860.58	
Total Cash and Money Market Funds on Dec 31:			$32,707.16

WSGPLT 000727 002114535455 NNYYN NNNNN NNNNNNNN 000004

NICK JOE RAHALL II SEP IRA
FCC AS CUSTODIAN
U/A DTD 12/17/86

Sub / Branch / Rep / Account No
001 / BK / BK08 / 6539-9300

December 1 - December 31, 2004

Portfolio Assets

Estimated Current Yield on Money Market funds, when available, reflects the current estimated yield for the Interest Period dates displayed. Estimated Annual Income, when available, reflects the estimated amount you would earn on a security if your current position and its related income remained constant for a year. Estimated Annual Yield, when available, reflects the current estimated annual income divided by the current value of the security as of the statement closing date. The information used to derive these estimates is obtained from various outside vendors; FCC is not responsible for incorrect or missing estimated annual income and yields.

Cash and Money Market Funds

Description	Current market value	Est. ann. income	Est current yield (%)
BANK DEPOSIT SWEEP OPTION Interest Period 12/01/04 - 12/31/04	$32,707.16	$363.04	1.11
Total Cash and Money Market Funds	**$32,707.16**		

Fixed Income Securities

Corporate Bonds

Description	Quantity	Current Price	Current Market Value	Est Accrued Interest	Est. Ann. Income	Est. Ann. Yield (%)
FLEMING COMPANIES INC GLOBAL NOTES SERIES D CALLABLE CPN 10.625% DUE 07/01/07 DTD 01/31/02 FC 07/31/02 CALL 07/01/03 @ 103.542 Moody NR , S&P NR CUSIP 339130AT3	3,000	2.0000	$60.00	N/A	N/A	N/A
GOODYEAR TIRE & RUBBER NOTES CPN 7.857% DUE 08/15/11 DTD 08/15/01 FC 02/15/02 Moody B3 , S&P B- CUSIP 382550AH4	5,000	101.0000	$5,050.00	$148.40	$392.85	7.77
UNION CARBIDE GLOBAL NOTES NON CALL LIFE CPN 7.875% DUE 04/01/23 DTD 04/01/93 FC 10/01/93 Moody B1 , S&P BBB- CUSIP 905572AD5	5,000	103.8750	$5,193.75	$96.45	$393.75	7.58
Total Corporate Bonds	**10,000**		**$10,303.75**	**$244.85**	**$786.60**	**7.63**

NICK JOE RAHALL II SEP IRA
FCC AS CUSTODIAN
U/A DTD 12/17/86

Sub / Branch / Rep / Account No.
001 / BK / BK08 / 8539-9300

December 1 - December 31, 2004

Fixed Income Securities

Government Bonds

Description	Quantity	Current Price	Current Market Value	Est. Accrued Interest	Est. Ann. Income	Est. Ann. Yield (%)
FICO STRIP SR 1 11/11/11 INTEREST PMT DUE 11/11/11 DTD 06/16/88 Moody NR, S&P NR CUSIP 31771C8Y0	20,000	74.0400	$14,808.00	N/A	N/A	N/A
U S TREAS STRIPS 08/13 INTEREST PMT DUE 08/16/13 CUSIP 912803DE7	35,000	69.1550	$24,204.60	N/A	N/A	N/A
Total Government Bonds	55,000		$39,012.60			
Total Fixed Income Securities			$49,316.35	$246.65	$786.60	1.60

Open End Mutual Funds

Estimated annual income and yield refer to dividends and interest income only, and typically do not reflect total return.

Description	Symbol	Quantity	Current Price	Current Market Value	Est. Ann. Income	Est. Ann. Yield (%)
HANCOCK JOHN CAP SER LARGE CAP SELECT FC CL B	JHLBX	870.33200	18.3300	$15,953.18	$3,481.32	21.82
Total Open End Mutual Funds				$15,953.18	$3,481.32	21.82

Closed End Mutual Funds

Estimated annual income and yield refer to dividends and interest income only, and typically do not reflect total return.

Description	Symbol	Quantity	Current Price	Current Market Value	Est. Ann. Income	Est. Ann. Yield (%)
FIRST TRUST/FIDUCIARY ASSET MANAGEMENT COVERED CALL FUND	FFA	300	20.0000	$6,000.00	$481.50	8.02
SCUDDER GLOBAL COMMODITIES STOCK FUND	GCS	500	13.7100	$6,855.00	$10.00	0.14
Total Closed End Mutual Funds				$12,855.00	$491.50	3.82

```
YEAR 65399300                                          05/16/05 14:26:04 001/001
FUNC FRWD WHO    ITEM                    <<  YEAR END REPORTING SUMMARY  >>
APPLICABLE YEAR: 2004
INQUIRY A 65399300 CUSIP/SEC TRANS  T-DATE SEQNO   INST: 1 IRA/KEOGH
6539-9300 NICK JOE PARALL II  SEP IRA     TAX FORM: IRA
FORM 1099- T-DATE CUSIP    SECURITY DESCRIPTION     TRANSACTION AMOUNT SOURCE
01 INT 01  073004 09339052 BANK DEPOSIT SWEEP OPT          $0.85CR INT
02 INT 01  083104 09339052 BANK DEPOSIT SWEEP OPT          $0.85CR INT
03 INT 01  093004 09339052 BANK DEPOSIT SWEEP OPT          $7.51CR INT
04 INT 01  102904 09339052 BANK DEPOSIT SWEEP OPT          $3.21CR INT
05 INT 01  113004 09339052 BANK DEPOSIT SWEEP OPT          $4.97CR INT
06 INT 01  122104 09339052 BANK DEPOSIT SWEEP OPT         $16.63CR INT
07 B   02  083004 03242104 SANOFI-SYNTHELABO SA-AD      $3,549.91CR    35.61
08 B   02  090104 897907AA5 TRUMP ATL 11.254 5/01/0     $11,044.00CR    69.00
09 B   02  092204 31771CKE7 FICO STR 1 05/11/12  IN     $10,561.50CR    70.45
10 B   02  092304 31771CKE7 FICO STR 1 05/11/12  IN      $3,529.00CR    70.70
11 B   02  101204 31771CMU6 FICO STR 5 04/08/10         $11,956.50CR    79.75
12 B   02  120704 538983540 MFS I NEW DISCOVERY CL      $15,680.97CR    15.28
13 B   02  122004 19764J203 COLUMBIA MID CAP VALU-B     $15,346.07CR    23.60
14 NR ACCR 092404 382550AH4 GOODYEAR 7.8574 8/15/11        $648.02DR:PURAI
15 NR ACCR 092404 908272AD5 UNION CARBID7.87544/1/2        $134.69DR:PURAI
16 BUY     030404 03242104 SANOFI-SYNTHELABO SA-AD      $3,432.50DR    33.50

'ENTER' TO CONTINUE INQUIRY
```

0.00 *

3,549.91 +
11,044.00 +
10,561.50 +
3,529.00 +
11,956.50 +
15,680.97 +
15,346.07 +
71,667.95 *

Attachment: #14 p.4

```
YEAR 65399300                                          05/16/03 14:26:09 001/001
FUNC NEXT WHO     ITEM:                   << YEAR END REPORTING SUMMARY >>
APPLICABLE YEAR: 2004
INQUIRY A 65399300 CUSIP/SEC TRANS   T-DATE SEQNO   INST: I IRA/KEOGH
6539-9300 NICK JOE RANALL II  SEP IRA   TAX FORM: IRA
FORM 2099- T-DATE CUSIP    SECURITY DESCRIPTION    TRANSACTION AMOUNT SOURCE
01 BUY    031594 552848346 MFS I NEW DISCOVERY CL        3300.00DR    14.91
02 BUY    092204 409902731 HANCOCK JOHN L/C SLCT B      $20,000.00DR   17.51
03 BUY    092404 382333AA4 GOODYEAR 7.857% 8/15/11       34,881.00DR   97.50
04 BUY    092404 811419108 SCUDDER GLBL COMM STK F       34,594.53DR   15.01
05 BUY    092404 405512AD5 UNION PARSIP7.875%4/1/2       65,081.00DR  101.50
06 BUY    101304 409902731 HANCOCK JOHN L/C SLCT B       34,994.00DR   17.26
07 BUY    101404 811419108 SCUDDER GLBL COMM STK F       33,083.00DR   15.01
08 BUY    102004 552848346 MFS I NEW DISCOVERY CL        62,000.00DR   13.78
09 BUY    112204 337319109 FT SID/C ASSET MGMT FD        36,020.72DR   19.56
10 REINV  121504 197643201 COLUMBIA MID CAP VALU-B        $680.58DR RLCP
11 REINV  122704 409902731 HANCOCK JOHN L/C SLCT B        $180.00DR RLCP

END OF ACCOUNT                    PRESS ENTER FOR NEXT ACCOUNT
```

0.00 *
40.02 +
194.69 +
3,432.50 +
300.00 +
10,000.00 +
4,881.00 +
4,594.53 +
5,081.00 +
4,994.00 +
3,083.00 +
2,000.00 +
6,020.72 +
680.58 +
180.00 +
45,490.04 *

Attachment: #14 p.5

14,434

2004 ENHANCED 1099

Sub / Branch / Rep / Account No
001 / BK / BK08 / 65399650

Issue Date: 1/21/05

NICK JOE RAHALL II

Your Financial Advisor:
EDWARD D RAHALL
122 MAIN STREET
POST OFFICE BOX 1328
BECKLEY, WEST VIRGINIA 25802
304-252-6221

Payer:
FIRST CLEARING, LLC
10700 WHEAT FIRST DRIVE
GLEN ALLEN, VA 23060
Payer ID #: 23-2384840

Your Federal Identification Number:

Reportable Tax Information

Tax reporting requirements can create differences with the amounts previously reported in monthly statements.

1099-B Proceeds from Broker and Barter Exchange Transactions for 2004

OMB NO. 1545-0715

Description (Box 7)	Cusip (Box 1b)	Price	Quantity	Trade Date (Box 1a)	Amount	IRS Box	Transaction Description	Reported On
DIGITAL RIVER INC	25388B104	28.71000	200.00000	09/16/2004	5,645.18	2	SALE	1099-B
MFS INTL VALUE CL-B	55273E400	23.34000	627.65400	12/08/2004	14,343.44	2	SALE	1099-B
PR ELEC PWR 0.00%17	745268JW3	56.25000	30,000.00000	09/28/2004	16,869.00	2	SALE	1099-B
SILICON LABORATORIES	826919102	30.30000	300.00000	11/26/2004	8,978.10	2	SALE	1099-B
TAMPA 0.00% 10/01/2005	875290BN7	96.14000	10,000.00000	12/08/2004	9,608.00	2	SALE	1099-B
TAMPA 0.00% 10/0110010	875290907	0.00000	10,000.00000	10/01/2004	10,000.00	2	REDEMPTION	1099-B
WV ECON 6.50% 11/1/2013	956486AJ3	0.00000	25,000.00000	03/03/2004	25,500.00	2	REDEMPTION	1099-B
WVA PKWYS 0.0% 7/1/2004	956651AS1	0.00000	15,000.00000	07/01/2004	15,000.00	2	REDEMPTION	1099-B
TOTAL PROCEEDS FROM BROKER AND BARTER EXCHANGE					*105,943.72*	*2*		

THIS IS YOUR FORMS 1099 (COPY B FOR RECIPIENT) - PLEASE RETAIN FOR TAX PREPARATION PURPOSES
The above is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction will be imposed on you if the income is taxable and the IRS determines that it has not been reported.

2004 ENHANCED 1099

WACHOVIA SECURITIES

Sub / Branch / Rep / Account No
001 / BK / BK08 / 65390650

Issue Date: 1/21/05

Your Financial Advisor:
EDWARD G RAHALL
122 MAIN STREET
POST OFFICE BOX 1328
BECKLEY, WEST VIRGINIA 25802
304-252-6221

Payer:
FIRST CLEARING, LLC
10700 WHEAT FIRST DRIVE
GLEN ALLEN, VA 23060
Payer ID # 23-2384840

NICK JOE RAHALL II

Federal Non-Reportable Information

Purchase Activity Detail

Description	Cusip	Date	Activity	Quantity	Price	Amount
DIGITAL RIVER INC	25388B104	07/14/2004	PURCHASE	200 00000	26 73000	-5,475 22
SILICON LABORATORIES	826919102	07/14/2004	PURCHASE	100 00000	40.50000	-4,145 69
SILICON LABORATORIES	826919102	07/27/2004	PURCHASE	100 00000	34.22000	-3,504 50
SILICON LABORATORIES	826919102	10/26/2004	PURCHASE	100 00000	28.95000	-2,776 00

Option Activity Detail

Description	Date	Activity	Quantity	Price	Amount
PUT CITIGROUP INC $47.50 EXP 03/19/05 COW..	12/07/2004	PURCHASE	5.00000	2.45000	-1,306.00
PUT GOOGLE INC CL A $110 EXP 10/16/04 04296	09/14/2004	PURCHASE	2.00000	6.10000	-1,278.00
PUT GOOGLE INC CL A $175 EXP 11/20/04 GOUWO	10/22/2004	PURCHASE	1.00000	10 20000	-1,076.00
PUT GOOGLE INC CL A $170 EXP 12/18/04 GOQXW	11/19/2004	PURCHASE	1 00000	12.70000	-1,331.00
PUT GOOGLE INC CL A $175 EXP 11/20/04 GOUWO	11/19/2004	SALE	1.00000	7.70000	708 98
PUT GOOGLE INC CL A $170 EXP 12/18/04 GOQXW	11/24/2004	PURCHASE	1.00000	6.70000	-706.00
PUT GOOGLE INC CL A $170 EXP 12/18/04 GOQXW	11/29/2004	PURCHASE	2.00000	3 60000	-776 00
PUT GOOGLE INC CL A $175 EXP 01/22/05 GOUMO	12/17/2004	PURCHASE	2.00000	8.10000	-1,676.00
PUT GOOGLE INC CL A $175 EXP 01/22/05 GOUMO	12/29/2004	SALE	2.00000	1.15000	198 99

IMPORTANT TAX INFORMATION - PLEASE RETAIN FOR TAX PREPARATION PURPOSES

YT163022 027914 022012933163 YNNNN NNNNN NNNNNN 000004

3859

2004 ENHANCED 1099

Sub / Branch / Rep / Account No
001 / BK / BK08 / 65399650

Issue Date: 1/21/05

Your Financial Advisor:
EDWARD G RAHALL
122 MAIN STREET
POST OFFICE BOX 1328
BECKLEY, WEST VIRGINIA 25802
304-252-6221

Payer:
FIRST CLEARING, LLC
10700 WHEAT FIRST DRIVE
GLEN ALLEN, VA 23060
Payer ID #: 23-2384840

NICK JOE RAHALL II

Federal Non-Reportable Information

Option Activity Detail *Continued*

Description	*Date*	*Activity*	*Quantity*	*Price*	*Amount*
PUT TOLL BROTHERS INC $50 EXP 01/22/05 TOLMJ	09/27/2004	PURCHASE	3.00000	5.10000	-1,595.92
PUT TOLL BROTHERS INC $50 EXP 01/22/05 TOLMJ	10/05/2004	SALE	3.00000	7.00000	2,043.95

IMPORTANT TAX INFORMATION - PLEASE RETAIN FOR TAX PREPARATION PURPOSES

THE HONORABLE NICK JOE RAHALL
108 MAIN STREET

Account Number
842 108800 022

Dividends and Interest

Activity	Description	Amount	Income Category
	Total Dividends and Interest	$1,559.51	

Sales Proceeds/Redemptions

Trade Date	Settle Date	Activity	Quantity	Description	Price	Amount	Additional Information
12-03	12-08	Sold	115	A T & T CORP NEW	18.5350	2,131.48	
01-20	01-23	Sold	35	ADECCO SA SP ADR	10.7102	374.84	
06-24	06-29	Sold	50	ADECCO SA SP ADR	12.8100	640.48	
01-29	02-03	Sold	36	ADVANTEST CORP	20.1361	724.86	
06-24	06-29	Sold	29	AGILENT TECHNOLOGIES	27.6800	802.70	
06-24	06-29	Sold	16	ALCAN INC	41.9000	670.38	
06-24	06-29	Sold	64	ALCATEL ADS	15.1800	971.49	
06-24	06-29	Sold	25	AMERICA MOVIL SA DE CV ADR L	36.1800	904.47	
05-13	05-18	Sold	12	AMERICAN EXPRESS CO	48.6400	583.66	
06-24	06-29	Sold	13	AMERICAN INTERNATIONAL GP	71.9700	935.58	
11-17	11-22	Sold	50	AMERICAN GREETINGS A	27.5520	1,376.56	
11-24	11-30	Sold	25	AMERICAN ELECTRIC POWER CO	35.2589	880.94	
05-24	05-27	Sold	18	AMGEN INC	53.4050	961.26	
03-11	03-16	Sold	5	APOLLO GROUP INC A	78.1678	390.82	
06-24	06-29	Sold	10	APOLLO GROUP INC A	86.0500	860.47	
06-24	06-29	Sold	30	APPLIED MATERIALS INC	19.1700	575.08	
06-24	06-29	Sold	28	ATI TECHNOLOGIES INC	18.3110	512.69	
06-24	06-29	Sold	24	AVON PRODUCTS INC	45.6900	1,096.53	
05-07	05-12	Sold	36	AXA ADS	20.2075	727.45	
06-24	06-29	Sold	59	BANCO BILBAO VIZ ARG SA ADS	13.6100	802.97	
02-24	02-27	Sold	17	BANCO BRAD SA SP ADR PFD NEW	23.9864	407.75	
05-24	05-27	Sold	15	BARR LABORATORIES INC	42.9503	644.23	
06-24	06-29	Sold	14	BASF AG SP ADR	52.9900	741.84	
06-24	06-29	Sold	35	BAYER AG SPON ADR	28.4700	996.42	
04-21	04-26	Sold	22	BED BATH & BEYOND INC	36.9500	812.88	
06-24	06-29	Sold	21	BEST BUY CO	53.1300	1,115.70	
02-12	02-18	Sold	43	BHP BILLITON PLC SPONS ADR	17.6359	758.30	
04-16	04-21	Sold	12	BNP PARIBAS SP ADR REPSTG	30.5527	366.62	
06-04	06-09	Sold	12	BNP PARIBAS SP ADR REPSTG	30.9015	370.81	
05-18	05-21	Sold	52	BOSTON SCIENTIFIC CORP	39.1758	1,233.59	
06-09	06-14	Sold	16	BRITISH AIRWAYS FINL INST ADR	48.7392	779.81	
06-24	06-29	Sold	26	BRITISH AMER TOB SPON ADR	31.6800	823.66	
04-02	04-07	Sold	19	BRITISH SKY BRDCSTG GRP PLC	49.4943	940.36	
06-24	06-29	Sold	10	C R BARD INC NJ	55.2400	552.38	
06-24	06-29	Sold	10	CAPITAL ONE FINANCIAL CORP	70.4000	703.98	
06-24	06-29	Sold	35	CELESTICA INC SUB VTG SHS	19.9800	699.28	

Attachment 16 - p.1

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16 - D.2

THE HONORABLE NICK JOE RAHALL
106 MAIN STREET

Account Number
642 106600 022

Sales Proceeds/Redemptions

Trade Date	Settle Date	Activity	Quantity	Description	Price	Amount	Additional Information
06-24	06-29	Sold	70	CISCO SYS INC	23.9700	1,677.86	
02-24	02-27	Sold	13	CLEAR CHANNEL COMMUNICATION	42.0000	545.97	
01-06	01-09	Sold	14	CNOOC LTD ADS	42.1087	589.49	
06-24	06-29	Sold	16	COACH INC	45.3600	725.74	
09-20	09-23	Sold	25	COMPUTER SCIENCES CP	47.5688	1,189.19	
06-24	06-29	Sold	13	COUNTRYWIDE FINANCIAL CORP	71.3400	927.39	
03-23	03-26	Sold	25	CREDIT SUISSE GROUP	34.5907	864.73	
01-16	01-22	Sold	101	CROWN CASTLE INTL	12.5664	1,269.15	
06-24	06-29	Sold	22	DAI NIPPON PRTG LTD JAPAN ADR	32.0000	703.98	
06-24	06-29	Sold	21	DELL INC	35.0700	736.45	
05-14	05-19	Sold	7	DEUTSCHE BK AG REG SHS	77.0532	539.35	
06-24	06-29	Sold	15	DOW CHEMICAL CO	40.7500	611.23	
10-27	11-01	Sold	75	DUKE ENERGY CORP	24.4891	1,836.63	
09-22	09-27	Sold	60	EASTMAN KODAK CO	32.2000	1,931.95	
06-24	06-29	Sold	6	EBAY INC	88.9700	533.80	
12-06	12-09	Sold	80	EL PASO CORPORATION	10.0099	800.77	
06-24	06-29	Sold	35	ELAN PLC ADR	23.4600	821.08	
12-20	12-23	Sold	30	ELECTR DATA SYSTEMS CORP NEW	22.4445	673.32	MSDWI IS MARKET MAKER
06-24	06-29	Sold	69	EMC CORP MASS	11.5700	798.31	
06-24	06-29	Sold	65	EMI GROUP PLC SPOS ADR NEW	8.5500	555.75	
02-02	02-05	Sold	19	ENCANA CORP	38.5309	732.05	
06-24	06-29	Sold	36	EPCOS A G	20.5800	740.86	
04-26	04-29	Sold	15	ERICSSON LM TEL ADR CL B NEW	28.7500	431.23	
06-24	06-29	Sold	15	ERICSSON LM TEL ADR CL B NEW	29.4200	441.28	
06-15	06-18	Sold	20	FEDERATED DEPT STRS INC DEL	49.4406	988.78	
03-22	03-25	Sold	40	FORD MOTOR CO NEW	12.9500	517.97	
06-22	06-25	Sold	19	FOREST LABORATORIES INC	58.2500	1,106.72	
08-16	08-19	Sold	10	FPL GROUP INC	68.0184	680.16	
05-17	05-20	Sold	26	FRANCE TELECOM	22.0796	574.05	
06-24	06-29	Sold	21	FUJI PHOTO ADR NEW	32.3800	679.96	
01-29	02-03	Sold	55	GAP INC	18.4716	1,015.89	
06-24	06-29	Sold	35	GAP INC	25.2200	882.67	
06-24	06-29	Sold	210	GEMPLUS INTL SA ADS	3.9300	825.28	
06-24	06-29	Sold	16	GENENTECH INC	54.9600	989.25	
03-22	03-26	Sold	13	GENERAL ELECTRIC CO	29.6100	384.91	
06-24	06-29	Sold	58	GENERAL ELECTRIC CO	33.0890	1,918.59	
06-24	06-29	Sold	15	GILEAD SCIENCE	65.9600	989.37	
04-21	04-26	Sold	8	GILLETTE CO	38.2300	305.83	
06-24	06-29	Sold	18	GILLETTE CO	42.8600	771.46	
03-02	03-05	Sold	22	GLAXOSMITHKLINE PLC ADS	42.1039	926.25	
11-30	12-03	Sold	20	GREAT LAKES CHEMICAL CORP	29.1935	583.85	
12-01	12-06	Sold	10	GREAT LAKES CHEMICAL CORP	29.4113	294.10	
06-24	06-29	Sold	183	HENDERSON LD DEV CO LTD SP ADR	4.1000	750.28	

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THE HONORABLE NICK JOE RAHALL
108 MAIN STREET

Account Number
642 108600 022

Sales Proceeds/Redemptions

Trade Date	Settle Date	Activity	Quantity	Description	Price	Amount	Additional Information
06-24	06-29	Sold	30	HOLCIM LTD ADR	26.7000	800.98	
01-14	01-20	Sold	5	HSBC HOLDINGS PLC SPON ADR NEW	79.3700	396.83	
04-13	04-16	Sold	8	HSBC HOLDINGS PLC SPON ADR NEW	75.2500	601.98	
04-26	04-29	Sold	20	HUTCHISON WHAMPOA ADR	34.2866	685.71	
06-24	06-29	Sold	20	HYPO REAL ESTATE HLDGS AG ADR	28.6500	572.98	
02-26	03-02	Sold	19	ING GROEP NV ADR	24.6304	467.96	
06-24	06-29	Sold	37	ING GROEP NV ADR	23.4800	868.73	
06-24	06-29	Sold	43	INTEL CORP	28.3800	1,220.31	
03-01	03-04	Sold	67	INTERCONTINENTAL HOTELS GRP PL	9.8525	660.09	
04-01	04-06	Sold	12	INTERNATIONAL GAME TECH	44.9500	539.38	
06-15	06-18	Sold	18	INTERNATIONAL GAME TECH	36.7745	661.92	
06-24	06-29	Sold	15	ITO YOKADO CO LTD ADR NEW	43.0000	644.98	
01-14	01-20	Sold	13	JP MORGAN CHASE&CO	37.4564	486.90	
01-15	01-21	Sold	16	JP MORGAN CHASE&CO	38.9400	623.01	
06-24	06-29	Sold	77	KOBE STEEL LTD SPONS ADR	7.4000	569.78	
06-22	06-25	Sold	21	KONINKLIJKE PHIL EL SP ADR NEW	25.5594	536.73	
06-24	06-29	Sold	91	KONINKLIJKE AHOLD NV ADS	7.6198	693.38	
12-13	12-16	Sold	55	KRAFT FOODS INC CL A	35.0286	1,225.97	
06-24	06-29	Sold	16	KYOCERA CP ADR	83.9000	1,342.36	
04-02	04-07	Sold	17	LOWES COMPANIES INC	54.9003	933.28	
06-24	06-29	Sold	47	LVMH MOET HENNESSY LOUIS VUITT	14.4000	676.78	
02-10	02-13	Sold	10	MAGNA INTL A COM	80.8105	808.06	
06-24	06-29	Sold	35	MARUBENI CORP ADR	23.9000	836.48	
05-10	05-13	Sold	22	MARUICO LTD ADR NEW	26.9286	592.41	
06-24	06-29	Sold	63	MATSUSHITA EL INDL ADR	14.6200	921.03	
06-24	06-29	Sold	42	MC DONALDS CORP	27.3300	1,147.83	
02-04	02-09	Sold	15	MICHAELS STORES	44.9175	583.90	
06-24	06-29	Sold	47	MICROSOFT CORP	28.5300	1,340.87	
06-24	06-29	Sold	135	MITSUBISHI TOKYO FIN GP ADR	9.0300	1,219.02	
06-24	06-29	Sold	30	MOTOROLA INC	18.5200	555.58	
06-24	06-29	Sold	9	NESTLE SPON ADR REP REG SHR	67.7500	609.73	
06-24	06-29	Sold	20	NEMS CP LTD ADR NEW	35.8500	716.98	
06-24	06-29	Sold	29	NEXTEL COMMUNICATIONS INC CL A	26.1500	758.33	
06-24	06-29	Sold	22	NOVARTIS AG ADR	45.3900	998.55	
06-24	06-29	Sold	14	OMNICOM GROUP	76.0000	1,063.97	
06-24	06-29	Sold	88	PEARSON PLC SP ADR	12.2600	1,078.85	
06-24	06-29	Sold	25	PENNEY J C CO	38.8600	971.47	
03-11	03-16	Sold	39	PEOPLESOFT INC	18.7600	731.61	
06-24	06-29	Sold	25	PEPSICO INC NC	55.1400	1,378.46	
06-24	06-29	Sold	21	PIONEER CP SPON ADR	25.8900	543.67	
06-24	06-29	Sold	12	PRECISION DRILLING CORP	47.4600	569.50	
06-24	06-29	Sold	28	PROCTER & GAMBLE	55.5300	1,554.80	
06-24	06-29	Sold	105	PROVIDIAN FINCL CORP	15.0100	1,576.01	

Morgan Stanley

ACTIVE ASSETS® ACCESS ACCOUNT
FOR YEAR ENDING DECEMBER 31, 2004

THE HONORABLE NICK JOE RAHALL
106 MAIN STREET

Account Number
642 108600 022

Sales Proceeds/Redemptions

Trade Date	Settle Date	Activity	Quantity	Description	Price	Amount	Additional Information
01-13	01-16	Sold	2	PUMA AG R DASSLER SPORT ADR	183.6560	367.29	
04-27	04-30	Sold	2	PUMA AG R DASSLER SPORT ADR	237.5130	475.01	
06-24	06-29	Sold	1	PUMA AG R DASSLER SPORT ADR	245.5000	245.49	
06-24	06-29	Sold	10	QUALCOMM INC	69.2600	692.58	
08-02	08-02	Tender	15	RJ REYNOLDS TOBACCO HLDG INC			EXCHANGE
06-24	06-29	Sold	9	ROCHE HOLDINGS ADR	103.0000	926.97	
01-09	01-14	Sold	18	ROYAL DUTCH PETE NY 1.25 GU	49.4701	890.41	
06-24	06-29	Sold	16	RWE AG SPONSORED ADR	46.8000	748.78	
03-23	03-26	Sold	25	SANPAOLO IMI SPA ADS	22.7880	524.09	
06-24	06-29	Sold	13	SAP AKTIENGESELLSCHAFT	41.1300	534.67	
06-02	06-07	Sold	17	SCHLUMBERGER LTD	57.1805	972.04	
06-04	06-09	Sold	237	SEGA ENTERPR LTD SPON ADR	2.4678	639.34	
06-24	06-29	Sold	63	SEKISUI HOUSE LTD ADR	11.4000	718.18	
01-29	02-03	Sold	4	SIEMENS AKTIENGESELLSCHAFT	80.7500	322.98	
06-24	06-29	Sold	7	SIEMENS AKTIENGESELLSCHAFT	70.6100	494.25	
12-07	12-10	Sold	85	SPRINT CP(FON GP) SERS 1	23.0669	1,960.64	
12-14	12-17	Sold	50	SPRINT CP(FON GP) SERS 1	25.3244	1,266.19	
06-24	06-29	Sold	10	ST JUDE MEDICAL INC	74.6400	746.38	
06-24	06-29	Sold	97	SUMITOMO TR & BK CO SPON ADR	6.8500	664.43	
11-04	11-09	Sold	200	SUN MICROSYSTEMS INC	4.5620	912.37	
03-24	03-29	Sold	41	TDC A/S	18.8830	774.16	
12-31	01-06	Sold	5	TELE CENTRO DESTE CELULAR ADR	9.8051	49.02	
02-24	02-27	Sold	16	TELECOMUNICACOES BRAS SA ADS	31.9728	511.54	
06-24	06-29	Sold	15	TELEFONICA SA ADR	44.1600	662.38	
06-24	06-29	Sold	33	TELENOR ASA ADS	20.5500	678.15	
06-02	06-07	Sold	17	TEXAS INSTRUMENTS	25.1700	427.87	
06-15	06-18	Sold	15	TEXAS INSTRUMENTS	24.8000	371.99	
06-24	06-29	Sold	47	THE DIRECTV GROUP INC	16.6500	782.53	
06-03	06-08	Sold	11	TOTAL FINA ELF SA	95.2026	1,047.20	
06-24	06-29	Sold	7	TOYOTA MOTOR CP ADR NEW	81.0900	567.61	
04-29	05-04	Sold	22	TURKCELL ILETISM HIZM AS NEW	25.7995	567.57	
03-01	03-04	Sold	64	UNITED BUSINESS MEDIA PLC ADR	10.3075	659.64	
03-19	03-24	Sold	6	UNITED TECHNOLOGIES CORP	86.1093	516.63	
06-24	06-29	Sold	16	UNITEDHEALTH GP INC	61.7900	988.61	
12-16	12-21	Sold	30	UST INC	46.5492	1,396.44	
04-07	04-13	Sold	27	VEOLIA ENVIRONMENT	28.4538	768.23	
03-16	03-19	Sold	30	VERITAS SOFTWARE DE	26.9609	808.79	
06-24	06-29	Sold	31	VODAFONE GP PLC ADS	22.2900	690.97	
12-29	01-02	Sold	15	WAL MART STORES INC	52.4800	787.16	
11-04	11-09	Sold	60	WILLIAMS CO INC	13.0566	783.38	
06-24	06-29	Sold	37	WMC RES LTD SPONSORED ADR	13.7800	509.84	
06-24	06-29	Sold	42	XTO ENERGY INC	29.9400	1,257.45	
06-24	06-29	Sold	11	ZIMMER HLDGS INC	87.6100	963.68	
				Total Sales Proceeds/Redemptions		$131,196.29	

Investments and services are offered through Morgan Stanley DW Inc., member SIPC.

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THE HONORABLE NICK JOE RAHALL
109 MAIN STREET

Account Number
642 108600 022

Other Credits

Date	Activity	Description	Amount	Additional Information
01-12	Rebate	12B1 FEE PASS THROUGH	0.41	
02-09	Rebate	12B1 FEE PASS THROUGH	0.30	
03-12	Rebate	12B1 FEE PASS THROUGH	0.27	
03-17	Stock Dividend	XTO ENERGY INC	12.12	CASH IN LIEU FRACTIONAL SHARE
04-12	Stock Dividend	COUNTRYWIDE FINANCIAL CORP	28.39	CASH IN LIEU FRACTIONAL SHARE
04-13	Rebate	12B1 FEE PASS THROUGH	0.42	
05-11	Rebate	12B1 FEE PASS THROUGH	0.58	
06-14	Rebate	12B1 FEE PASS THROUGH	0.29	
06-14	Distribution	HOLCIM LTD ADR	14.36	PROCEEDS SALE FOREIGN RIGHTS
07-13	Rebate	12B1 FEE PASS THROUGH	0.33	
08-12	Rebate	12B1 FEE PASS THROUGH	0.39	
09-13	Rebate	12B1 FEE PASS THROUGH	0.14	
10-12	Rebate	12B1 FEE PASS THROUGH	0.15	
11-10	Rebate	12B1 FEE PASS THROUGH	0.17	
12-02	Rebate	12B1 FEE PASS THROUGH	0.18	
		Total Other Credits	$56.22	

Total Credits To Your Account $113,011.81

DEBITS TO YOUR ACCOUNT

Funds to Purchase Securities

Trade Date	Settle Date	Activity	Quantity	Description	Price	Amount	Additional Information
06-24	06-29	Bought	115	A T & T CORP NEW	14.9700	1,721.55	
05-12	05-17	Bought	50	ADECCO SA SP ADR	11.2673	563.37	
11-08	11-12	Bought	525	AGERE SYS INC CL B	1.1233	589.84	
04-21	04-26	Bought	6	AGILENT TECHNOLOGIES	29.3000	175.80	
06-24	06-29	Bought	85	ALBERTSONS INC	26.9614	2,291.72	
04-02	04-07	Bought	16	ALCAN INC	46.8964	750.34	
05-12	05-17	Bought	25	ALCATEL ADS	13.7900	344.75	
06-24	06-29	Bought	40	ALTRIA GROUP INC	48.6881	1,947.52	
10-01	10-06	Bought	10	ALTRIA GROUP INC	47.8051	478.05	
01-30	02-04	Bought	25	AMERICA MOVIL SA DE CV ADR L	31.4016	785.04	
12-29	01-02	Bought	12	AMERICAN EXPRESS CO	48.3545	580.25	
03-23	03-26	Bought	13	AMERICAN INTERNATIONAL GP	70.6969	919.06	
06-24	06-29	Bought	25	AMERICAN ELECTRIC POWER CO	32.1608	804.00	
06-24	06-29	Bought	50	AMERICAN GREETINGS A	22.1987	1,109.94	
06-24	06-29	Bought	65	AMR CORP DE	11.4000	741.00	

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THE HONORABLE NICK JOE RAHALL
106 MAIN STREET

Account Number
642 108600 022

Funds to Purchase Securities

Trade Date	Settle Date	Activity	Quantity	Description	Price	Amount	Additional Information
11-23	11-29	Bought	65	AON CORP	20.7890	1,351.29	
04-16	04-21	Bought	28	ATI TECHNOLOGIES INC	16.1416	451.96	
02-03	02-06	Bought	12	AVON PRODUCTS INC	63.7340	764.81	
03-23	03-26	Bought	59	BANCO BILBAO VIZ ARG SA ADS	12.9759	765.58	
04-29	05-04	Bought	20	BAYER AG SPON ADR	26.8405	536.81	
06-03	06-08	Bought	15	BAYER AG SPON ADR	29.0266	435.40	
06-24	06-29	Bought	120	BELLSOUTH CORP	25.1000	3,012.00	
12-29	01-02	Bought	11	BEST BUY CO	52.0500	572.55	
04-30	05-05	Bought	10	BEST BUY CO	53.1146	531.15	
01-16	01-21	Bought	24	BNP PARIBAS SP ADR REPSTG	31.7431	761.83	
06-24	06-29	Bought	90	BRISTOL MYERS SQUIBB CO	24.8458	2,236.12	
04-01	04-06	Bought	26	BRITISH AMER TOB SPON ADR	30.9489	804.67	
05-26	06-01	Bought	5	C R BARD INC NJ	109.9962	549.98	TRADE CARRIES STOCK DISTRIB.
05-13	05-18	Bought	10	CAPITAL ONE FINANCIAL CORP	66.1500	661.50	
01-16	01-22	Bought	35	CELESTICA INC SUB VTG SHS	20.2000	707.00	
03-19	03-24	Bought	17	CISCO SYS INC	23.0300	391.51	
06-24	06-29	Bought	20	CIT GROUP NEW	37.3000	746.00	
06-24	06-29	Bought	25	COMPUTER SCIENCES CP	45.8500	1,145.75	
12-17	12-22	Bought	195	DELPHI CORPORATION	8.4178	1,641.47	
06-24	06-29	Bought	115	DELTA AIRLINES	6.5000	747.50	
02-26	03-02	Bought	7	DEUTSCHE BK AG REG SHS	86.4937	605.46	
05-26	06-01	Bought	15	DOW CHEMICAL CO	39.1435	587.15	
06-24	06-29	Bought	75	DUKE ENERGY CORP	20.4532	1,533.99	
06-24	06-29	Bought	60	EASTMAN KODAK CO	26.7300	1,603.80	
06-24	06-29	Bought	320	EL PASO CORPORATION	7.5000	2,400.00	
05-18	05-21	Bought	20	ELAN PLC ADR	22.5646	451.29	
06-03	06-08	Bought	15	ELAN PLC ADR	23.4635	351.95	
07-09	07-14	Bought	125	ELECTR DATA SYSTEMS CORP NEW	18.4956	2,311.95	MSDWI IS MARKET MAKER
04-30	05-05	Bought	25	EMC CORP MASS	11.3285	283.21	
04-13	04-16	Bought	65	EMI GROUP PLC SPOS ADR NEW	9.9479	646.61	
05-23	05-26	Bought	20	FEDERATED DEPT STRS INC DEL	48.9479	978.96	
06-24	06-29	Bought	95	FORD MOTOR CO NEW	16.2300	1,541.85	
06-24	06-29	Bought	10	FPL GROUP INC	64.1700	641.70	
01-15	01-16	Bought	26	FRANCE TELECOM	29.6433	765.58	
05-11	05-14	Bought	35	GAP INC	22.2137	777.48	
04-29	05-04	Bought	110	GEMPLUS INTL SA ADS	4.8125	529.38	
06-04	06-09	Bought	100	GEMPLUS INTL SA ADS	4.0458	404.58	
06-24	06-29	Bought	25	GENERAL MOTORS CORP	47.8900	1,197.25	
07-15	07-20	Bought	10	GENERAL MOTORS CORP	44.0330	440.33	
12-15	12-20	Bought	30	GENERAL MOTORS CORP	39.1280	1,173.84	
05-18	05-21	Bought	15	GILEAD SCIENCE	62.3998	936.00	
12-29	01-02	Bought	16	GILLETTE CO	36.5500	584.80	
03-22	03-25	Bought	10	GILLETTE CO	37.9631	379.63	

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Morgan Stanley

ACTIVE ASSETS® ACCESS ACCOUNT
FOR YEAR ENDING DECEMBER 31, 2004

THE HONORABLE NICK JOE RAHALL
106 MAIN STREET

Account Number
642 108600 022

Funds to Purchase Securities

Trade Date	Settle Date	Activity	Quantity	Description	Price	Amount	Additional Information
06-24	06-29	Bought	115	GOODYEAR TIRE & RUBBER	9.8900	1,137.33	
06-24	06-29	Bought	30	GREAT LAKES CHEMICAL CORP	26.5700	797.10	
06-24	06-29	Bought	53	HEWLETT PACKARD	21.2000	1,166.00	
04-07	04-13	Bought	30	HOLCIM LTD ADR	27.1739	815.22	
01-21	01-26	Bought	47	HUGHES ELECTRONICS CORP	17.3725	816.51	
05-18	05-21	Bought	20	HYPO REAL ESTATE HLDGS AG ADR	25.4120	508.24	
06-04	06-09	Bought	15	ITO YOKADO CO LTD ADR NEW	39.0176	585.26	
06-24	06-29	Bought	40	JP MORGAN CHASE&CO	37.7500	1,510.00	
03-12	03-17	Bought	91	KONINKLIJKE AHOLD NV ADS	8.3752	762.14	
04-12	04-16	Bought	21	KONINKLIJKE PHIL EL SP ADR NEW	30.2269	634.76	
06-24	06-29	Bought	35	KRAFT FOODS INC CL A	30.7300	1,075.55	
06-24	06-29	Bought	130	KROGER CO	18.2893	2,377.61	
09-22	09-27	Bought	30	KROGER CO	15.5437	466.31	
11-29	12-02	Bought	40	KROGER CO	16.2499	650.00	
06-24	06-29	Bought	30	LOEWS CORP DELAWARE	59.8600	1,795.80	
06-24	06-29	Bought	300	LUCENT TECHNOLOGIES	3.7700	1,131.00	
03-01	03-04	Bought	22	MARUICO LTD ADR NEW	30.6939	675.27	
06-24	06-29	Bought	65	MATTEL INC	18.2729	1,187.74	
12-17	12-22	Bought	40	MAYTAG CORP	20.5737	822.95	
02-06	02-11	Bought	7	MC DONALDS CORP	26.8300	187.81	
06-24	06-29	Bought	40	MERCK & CO	48.1400	1,925.60	
09-30	10-05	Bought	30	MERCK & CO	32.9197	987.59	
10-12	10-15	Bought	15	MERCK & CO	30.7036	460.55	
11-08	11-12	Bought	20	MERCK & CO	26.1115	522.23	
06-24	06-29	Bought	80	MICRON TECH INC	14.5500	1,164.00	
08-19	08-24	Bought	75	MICRON TECH INC	11.4944	862.08	
06-15	06-18	Bought	30	MOTOROLA INC	19.2188	576.56	
06-29	07-02	Bought	30	NATIONWIDE FIN SVCS INC CL A	37.3784	1,121.35	
01-30	02-04	Bought	9	NESTLE SPON ADR REP REG SHR	66.0619	594.56	
06-24	06-29	Bought	30	NEWELL RUBBERMAID INC	23.4800	704.40	
10-05	10-08	Bought	45	NEWELL RUBBERMAID INC	20.5863	926.38	
05-18	05-21	Bought	5	NOVARTIS AG ADR	44.9245	224.62	
03-01	03-04	Bought	53	PEARSON PLC SP ADR	11.6829	619.19	
05-19	05-24	Bought	35	PEARSON PLC SP ADR	12.0616	422.16	
06-15	06-18	Bought	25	PENNEY J C CO	36.2280	905.70	
01-29	02-03	Bought	11	PFIZER INC	36.2300	398.53	
02-02	02-06	Bought	10	PFIZER INC	37.5105	375.11	
11-02	11-05	Bought	20	PFIZER INC	29.0035	580.07	
12-20	12-23	Bought	40	PFIZER INC	24.3109	972.44	
03-22	03-25	Bought	3	PROCTER & GAMBLE	104.3000	312.90	
06-03	06-08	Bought	10	QUALCOMM INC	67.6940	676.94	
08-02	08-02	Received	15	REYNOLDS AMERICAN INC			EXCHANGE
07-14	07-19	Bought	15	RJ REYNOLDS TOBACCO HLDG INC	65.1366	977.05	

THE HONORABLE NICK JOE RAHALL
108 MAIN STREET

Account Number
642 108600 022

Funds to Purchase Securities

Trade Date	Settle Date	Activity	Quantity	Description	Price	Amount	Additional Information
01-16	01-22	Bought	16	RWE AG SPONSORED ADR	38.1901	611.04	
06-24	06-29	Bought	120	SAFEWAY INC COM NEW	25.4337	3,052.04	
11-01	11-04	Bought	45	SAFEWAY INC COM NEW	18.4407	829.83	
06-24	06-29	Bought	95	SBC COMMUNICATIONS	23.7734	2,258.47	
06-24	06-29	Bought	150	SCHERING PLOUGH CORP	18.7300	2,809.50	
03-22	03-25	Bought	12	SCHLUMBERGER LTD	63.1290	757.55	
04-30	05-05	Bought	5	SCHLUMBERGER LTD	58.4500	292.25	
02-19	02-24	Bought	122	SEGA ENTERPR LTD SPON ADR	2.6721	326.00	
03-25	03-30	Bought	63	SEKISUI HOUSE LTD ADR	11.4663	723.64	
06-24	06-29	Bought	105	SERVICE CORP INTL	7.4481	782.05	
06-24	06-29	Bought	135	SPRINT CP(FON GP) SERS 1	16.8600	2,276.10	
05-18	05-21	Bought	10	ST JUDE MEDICAL INC	75.5441	755.44	
07-19	07-22	Bought	200	SUN MICROSYSTEMS INC	3.9700	794.00	
05-14	05-19	Bought	15	TELEFONICA SA ADR	40.9967	614.95	
02-24	02-27	Bought	33	TELENOR ASA ADS	21.0700	695.31	
06-24	06-29	Bought	165	TENET HEALTHCARE CORP	12.6355	2,337.57	
06-24	06-29	Bought	95	TOYS R US	16.5426	1,571.55	
06-24	06-29	Bought	40	TUPPERWARE CORP	18.9416	757.66	
01-13	01-16	Bought	13	TURKCELL ILETISH HIZM AS NEW	27.6728	359.75	
03-25	03-30	Bought	9	TURKCELL ILETISH HIZM AS NEW	33.6985	303.29	
06-24	06-29	Bought	135	UNISYS CORP	14.1863	1,916.15	
12-22	12-28	Bought	115	UNISYS CORP	10.0151	1,151.74	
01-15	01-21	Bought	64	UNITED BUSINESS MEDIA PLC ADR	10.1553	649.94	
12-29	01-02	Bought	6	UNITED TECHNOLOGIES CORP	94.7000	568.20	
06-24	06-29	Bought	125	UNUMPROVIDENT CORP	15.6200	1,952.50	
06-24	06-29	Bought	30	UST INC	36.6500	1,099.50	
02-10	02-13	Bought	27	VEOLIA ENVIRONMENT	29.2440	789.59	
02-06	02-11	Bought	4	VERITAS SOFTWARE DE	33.7189	134.88	
06-24	06-29	Bought	65	VERIZON COMMUNICATIONS	38.4100	2,501.65	
11-12	11-17	Bought	75	VISTEON CORP	8.1861	613.96	
06-24	06-29	Bought	25	WASTE MGMT INC (DELA)	30.0500	751.25	
07-08	07-13	Bought	60	WILLIAMS CO INC	12.0985	725.91	
02-13	02-19	Bought	37	WMC RES LTD SPONSORED ADR	17.5859	650.68	
06-24	06-29	Bought	30	WYETH	36.8100	1,104.30	
06-15	06-18	Bought	40	XEROX CORP	14.4222	576.89	
06-24	06-29	Bought	65	XEROX CORP	14.8600	965.90	
04-02	04-07	Bought	11	ZIMMER HLDGS INC	78.0176	858.19	
12-28	12-31	Bought	160	ZCOM CORPORATION	3.8960	623.68	
				Total Funds to Purchase Securities		-129,478.43	

Sales Proceeds/Redemptions

Trade Date	Settle Date	Activity	Quantity	Description	Price	Amount	Additional Information
06-17	06-22	Sold	20	BANK ONE CORP	49.2900	985.77	
07-01	07-01	Tender	40	BANK ONE CORP			EXCHANGE
06-17	06-22	Sold	20	BARCLAYS PLC ADR	36.1500	722.98	
06-17	06-22	Sold	15	CADBURY SCHW PLC ADR 10 ORD NW	34.6800	520.18	

Investments and services are offered through Morgan Stanley DW Inc., member SIPC.

Morgan Stanley

ACTIVE ASSETS® ACCESS ACCOUNT
FOR YEAR ENDING DECEMBER 31, 2004

THE HONORABLE NICK JOE RAHALL
108 MAIN STREET

Account Number
642 113487 022

Sales Proceeds/Redemptions

Trade Date	Settle Date	Activity	Quantity	Description	Price	Amount	Additional Information
06-17	06-22	Sold	10	CANON INC ADR NEW	51.7400	517.38	
06-17	06-22	Sold	10	CITIGROUP INC	47.0600	470.58	
11-01	11-04	Sold	15	CITIGROUP INC	44.4022	666.01	
03-01	03-04	Sold	15	COCA COLA CO	49.4604	741.88	
06-17	06-22	Sold	15	DIAGEO PLC SPON ADR NEW	54.7800	821.23	
03-19	03-24	Sold	110	ENDESA SA ADS	17.2828	1,901.03	
06-17	06-22	Sold	15	ENI SPA AMER DEP RCPT	107.5400	1,613.06	
06-17	06-22	Sold	10	EXXON MOBIL CORP	44.7500	447.48	
06-17	06-22	Sold	15	GLAXOSMITHKLINE PLC ADS	42.4700	637.03	
06-17	06-22	Sold	20	HEINEKEN NV ADR	33.2000	663.98	
09-09	09-14	Sold	20	HEINEKEN NV ADR	29.3711	587.40	
06-17	06-22	Sold	10	HSBC HOLDINGS PLC SPON ADR NEW	74.3100	743.08	
07-01	07-01	Sold		JP MORGAN CHASE&CO		30.46	CASH IN LIEU OF FRACTIONS
03-16	03-16	Tender	7	KAO CORP ADR			EXCHANGE
05-03	05-06	Sold	30	MARSH & MCLENNAN COS INC	44.6905	1,340.68	
06-17	06-22	Sold	10	NESTLE SPON ADR REP REG SHR	66.2000	661.98	
04-16	04-21	Sold	35	PFIZER INC	37.6214	1,316.71	
09-13	09-16	Sold	30	PROCTER & GAMBLE	56.0720	1,682.12	
01-21	01-26	Sold	38	ROYAL DUTCH PETE NY 1.25 GU	48.3594	1,837.57	
02-02	02-05	Sold	10	SOCIETE GENERALE SP ADR	18.1575	181.56	
06-17	06-22	Sold	15	TOTAL FINA ELF SA	97.7300	1,465.91	
06-17	06-22	Sold	20	UBS AG NEW	73.3400	1,466.76	
03-03	03-08	Sold	10	UNILEVER PLC (NEW) ADS	40.8799	408.78	
04-01	04-06	Sold	60	VERIZON COMMUNICATIONS	37.0331	2,221.95	
06-17	06-22	Sold	20	VODAFONE GP PLC ADS	25.5200	470.38	
				Total Sales Proceeds/Redemptions		$25,123.91	

Other Credits

Date	Activity	Description	Amount	Additional Information
01-12	Rebate	12B1 FEE PASS THROUGH	0.13	
02-09	Rebate	12B1 FEE PASS THROUGH	0.07	
03-12	Rebate	12B1 FEE PASS THROUGH	0.10	
04-13	Rebate	12B1 FEE PASS THROUGH	0.13	
05-11	Rebate	12B1 FEE PASS THROUGH	0.17	
05-14	Stock Dividend	HEINEKEN NV ADR	8.26	CASH IN LIEU FRACTIONAL SHARE
06-14	Rebate	12B1 FEE PASS THROUGH	0.26	
07-13	Rebate	12B1 FEE PASS THROUGH	0.37	
07-19	Return of Capital	CREDIT SUISSE GROUP	17.44	RETURN OF CAPITAL
08-12	Rebate	12B1 FEE PASS THROUGH	0.16	
09-13	Rebate	12B1 FEE PASS THROUGH	0.07	
10-12	Rebate	12B1 FEE PASS THROUGH	0.10	

THE HONORABLE NICK JOE RAHALL
108 MAIN STREET

Account Number
642 113487 022

Other Credits	Date	Activity	Description	Amount	Additional Information
	11-10	Rebate	1281 FEE PASS THROUGH	0.16	
	12-02	Rebate	1281 FEE PASS THROUGH	0.19	
			Total Other Credits	627.61	

Total Credits To Your Account $27,263.34

DEBITS TO YOUR ACCOUNT

Funds to Purchase Securities

Trade Date	Settle Date	Activity	Quantity	Description	Price	Amount	Additional Information
04-05	04-08	Bought	30	BP PLC ADS	52.3086	1,569.26	
11-26	12-01	Bought	30	ENEL SOCIETA PER AZIONI	44.7670	1,343.01	
05-02	05-05	Bought	25	GLAXOSMITHKLINE PLC ADS	42.0106	1,050.27	
07-01	07-01	Received	52	JP MORGAN CHASE&CO			EXCHANGE
03-16	03-16	Received	7	KAO CORP SPONS ADR			EXCHANGE
07-12	07-15	Bought	20	MICROSOFT CORP	27.7844	555.69	
02-03	02-06	Bought	95	NOMURA HLDGS INC	16.2214	1,541.03	
04-21	04-26	Bought	40	NOMURA HLDGS INC	17.0851	683.40	
09-15	09-20	Bought	65	ORACLE CORP	11.2633	732.11	
12-20	12-23	Bought	15	PFIZER INC	25.1459	377.19	
08-04	08-09	Bought	20	SCHERING AG	56.9660	1,139.32	
03-25	03-30	Bought	20	UNITED TECHNOLOGIES CORP	85.6037	1,712.07	
				Total Funds to Purchase Securities		-10,703.35	

Other Debits	Date	Activity	Description	Amount	Additional Information
	01-16	Charge	ACCESS 1Q04 FEE LZD	-542.37	
	04-15	Charge	ACCESS 2Q04 FEE LZD	-546.86	
	06-24	Journal Out	PREMIUM PAYMENTS	-14,079.00	
	07-15	Charge	ACCESS 3Q04 FEE LZD	-448.95	
	10-14	Charge	ACCESS 4Q04 FEE LZD	-444.39	
			Total Other Debits	-16,061.55	

Total Debits To Your Account -26,764.90

Morgan Stanley

ACTIVE ASSETS ACCOUNT®
FOR YEAR ENDING DECEMBER 31, 2004

THE HONORABLE NICK JOE RAHALL
106 MAIN STREET

Account Number
642 122048 022

Sales Proceeds/Redemptions

Trade Date	Settle Date	Activity	Quantity	Description	Price	Amount	Additional Information
02-02	02-05	Sold	40	A T & T CORP NEW	19.3402	773.87	
07-20	07-23	Sold	70	ALBERTSONS INC	24.1000	1,686.96	
07-20	07-23	Sold	30	ALLTEL CORP DE	49.7600	1,492.76	
07-20	07-23	Sold	30	ANADARKO PETE	60.5900	1,817.65	
07-20	07-23	Sold	35	AUTOMATIC DATA PROCESSING INC	40.0300	1,401.01	
03-15	03-18	Sold	10	BANK OF AMERICA CORP	79.4900	794.86	
04-21	04-26	Sold	20	BANK OF NEW YORK	29.6310	592.60	
04-29	05-04	Sold	25	BANK OF NEW YORK	29.6752	741.86	
07-20	07-23	Sold	50	BAXTER INTL INC	32.3600	1,617.96	
07-20	07-23	Sold	50	BELLSOUTH CORP	25.3600	1,267.97	
03-23	04-01	Sold	65	BRISTOL MYERS SQUIBB CO	24.2481	2,061.00	
06-16	06-21	Sold	10	CAMPBELL SOUP	23.7938	237.93	
06-28	07-01	Sold	15	CAMPBELL SOUP	26.8777	403.16	
07-20	07-23	Sold	25	CAMPBELL SOUP	26.0600	651.23	
01-06	01-13	Sold	5	CHEVRON TEXACO CORP	85.4972	427.22	
07-20	07-23	Sold	15	CHEVRON TEXACO CORP	94.7700	1,421.51	
07-20	07-23	Sold	25	CIGNA CP	64.7200	1,617.96	
06-29	07-02	Sold	15	CITIGROUP INC	46.3174	694.74	
07-20	07-23	Sold	35	COCA COLA CO	50.1100	1,753.80	
07-20	07-23	Sold	31	CONOCOPHILLIPS	78.8300	2,443.67	
05-24	05-27	Sold	10	CVS CORPORATION	39.8446	398.44	
07-20	07-23	Sold	30	CVS CORPORATION	42.8800	1,286.36	
07-20	07-23	Sold	150	DELPHI CORPORATION	9.7300	1,264.67	
07-20	07-23	Sold	20	DEVON ENERGY CORP NEW	69.8000	1,389.96	
05-03	05-06	Sold	15	DOW CHEMICAL CO	39.4941	592.39	
05-18	05-21	Sold	5	DOW CHEMICAL CO	37.4096	187.04	
07-13	07-16	Sold	15	DOW CHEMICAL CO	39.0837	586.24	
07-20	07-23	Sold	15	DST SYSTEMS INC	44.3500	665.23	
02-04	02-09	Sold	10	ELECTR DATA SYSTEMS CORP	23.0567	230.55	MSDWI IS MARKET MAKER
07-13	07-16	Sold	8	ELI LILLY & CO	68.3162	541.56	
07-20	07-23	Sold	15	ELI LILLY & CO	64.9300	973.92	
03-03	03-08	Sold	10	EXELON CORP	67.2037	672.01	
05-18	05-21	Sold	10	EXELON CORP	31.7315	317.31	
06-09	06-14	Sold	10	EXELON CORP	32.2844	322.83	
07-20	07-23	Sold	42	EXXON MOBIL CORP	45.9200	1,928.59	
07-20	07-23	Sold	10	FPL GROUP INC	64.3500	643.48	
01-06	01-09	Sold	15	GAP INC	22.9765	344.63	
02-04	02-09	Sold	65	GAP INC	19.1628	1,245.52	
07-20	07-23	Sold	95	GENERAL ELECTRIC CO	33.0700	3,141.57	
01-06	01-09	Sold	10	GEORGIA-PAC (GEORGIA PAC GP)	30.8712	308.69	
03-29	03-30	Sold	10	GEORGIA-PAC (GEORGIA PAC GP)	32.3603	323.58	
07-15	07-20	Sold	10	GEORGIA-PAC (GEORGIA PAC GP)	35.4927	354.92	
07-20	07-23	Sold	20	GEORGIA-PAC (GEORGIA PAC GP)	35.0100	700.18	

Morgan Stanley

ACTIVE ASSETS ACCOUNT®
FOR YEAR ENDING DECEMBER 31, 2004

THE HONORABLE NICK JOE RAHALL
108 MAIN STREET

Account Number
642 122048 022

Sales/Proceeds/Redemptions

Trade Date	Settle Date	Activity	Quantity	Description	Price	Amount	Additional Information
07-20	07-23	Sold	40	GILLETTE CO	41.5200	1,660.76	
07-20	07-23	Sold	50	GLOBALSANTAFE CORP	27.4400	1,371.96	
07-20	07-23	Sold	70	HEWLETT PACKARD	19.9400	1,395.76	
01-05	01-08	Sold	35	HOME DEPOT INC	34.9221	1,222.21	
07-20	07-23	Sold	50	HONEYWELL INTERNATIONAL INC	35.8400	1,792.95	
01-08	01-13	Sold	15	INTL BUSINESS MACHINES CORP	92.1731	1,382.53	
07-20	07-23	Sold	20	KEYCORP NEW	29.4500	588.98	
05-19	05-24	Sold	35	KING PHARMACEUTICALS	13.3189	466.14	
06-16	06-21	Sold	25	KING PHARMACEUTICALS	12.9974	324.93	
07-06	07-09	Sold	25	KING PHARMACEUTICALS	10.8178	270.44	
07-20	07-23	Sold	15	KOHLS CORPORATION WISC	43.1400	647.08	
02-02	02-05	Sold	15	LEHMAN BROS HOLDING INC DELA	82.6642	1,239.90	
07-20	07-23	Sold	35	LINCOLN NTL CORP IND	43.2200	1,512.66	
02-26	03-02	Sold	10	MAY DEPARTMENT STORES	34.8211	348.19	
07-09	07-14	Sold	15	MAY DEPARTMENT STORES	25.8961	388.43	
07-14	07-19	Sold	25	MAY DEPARTMENT STORES	26.0292	650.71	
07-19	07-22	Sold	15	MAYTAG CORP	21.7790	326.68	
07-20	07-23	Sold	40	MAYTAG CORP	21.7000	867.97	
04-30	05-05	Sold	15	MERRILL LYNCH & CO INC	54.4880	817.30	
07-20	07-23	Sold	20	METLIFE INCORPORATED	34.1100	682.18	
03-02	03-05	Sold	30	MICROSOFT CORP	26.4582	793.71	
04-14	04-19	Sold	30	MICROSOFT CORP	25.6425	769.26	
03-25	03-30	Sold	10	MONSANTO CO/NEW	33.5195	335.18	
03-25	03-30	Sold	10	MONSANTO CO/NEW	34.5195	345.18	
05-14	05-19	Sold	20	MONSANTO CO/NEW	32.6369	652.72	
02-25	03-01	Sold	40	MOTOROLA INC	17.3266	693.03	
07-20	07-23	Sold	85	MOTOROLA INC	15.7500	1,338.71	
07-20	07-23	Sold	60	NEWELL RUBBERMAID INC	22.0700	1,324.14	
01-21	01-26	Sold	20	NEWMONT MINING CORP (NEW)	43.3835	867.62	
07-15	07-20	Sold	15	NEWMONT MINING CORP (NEW)	41.8363	627.52	
07-20	07-23	Sold	25	NEWMONT MINING CORP (NEW)	41.0180	1,025.22	
04-30	05-05	Sold	15	NORTHERN TRUST CORP	42.1856	632.73	
07-15	07-16	Sold	15	NORTHERN TRUST CORP	40.1344	602.00	
07-20	07-23	Sold	25	NORTHROP GRUMMAN CP(HLDG CO)	54.0900	1,352.21	
07-20	07-23	Sold	10	NUCOR CORPORATION	77.0400	770.38	
03-19	03-24	Sold	30	PEOPLESOFT INC	18.1347	544.01	
03-23	03-26	Sold	35	PEOPLESOFT INC	17.9884	629.56	
03-03	03-08	Sold	40	PFIZER INC	36.8706	1,474.76	
07-20	07-23	Sold	20	PFIZER INC	32.5500	650.98	
07-20	07-23	Sold	35	PITNEY BOWES INC	42.8900	1,501.11	
07-06	07-09	Sold	15	PUBLIC SERVICE ENTERPRISE GP	39.6905	595.34	
07-20	07-23	Sold	40	RAYTHEON CO (NEW)	33.0100	1,320.34	
07-20	07-23	Sold	60	SAFEWAY INC COM NEW	22.8900	1,373.36	

Morgan Stanley

ACTIVE ASSETS ACCOUNT®
FOR YEAR ENDING DECEMBER 31, 2004

THE HONORABLE NICK JOE RAHALL
108 MAIN STREET

Account Number
642 122048 022

Sales Proceeds/Redemptions

Trade Date	Settle Date	Activity	Quantity	Description	Price	Amount	Additional Information
02-02	02-05	Sold	30	SBC COMMUNICATIONS	26.1844	785.49	
07-20	07-23	Sold	45	SCIENTIFIC ATLANTA	30.4800	1,371.56	
07-15	07-20	Sold	20	ST PAUL TRAVELERS COS INC	39.0052	780.08	
07-19	07-22	Sold	15	ST PAUL TRAVELERS COS INC	38.1326	571.97	
05-06	05-11	Sold	5	STANLEY WORKS	42.7090	213.54	
05-24	05-27	Sold	10	STANLEY WORKS	41.6827	416.82	
07-20	07-23	Sold	25	STANLEY WORKS	44.7300	1,116.22	
07-07	07-12	Sold	20	SYMBOL TECHNLGS	13.5188	270.37	
07-20	07-23	Sold	65	SYMBOL TECHNLGS	13.7800	895.67	
01-05	01-08	Sold	30	TARGET CORPORATION	37.0642	1,111.87	
05-03	05-06	Sold	20	TECO ENERGY	12.6319	252.63	
05-19	05-24	Sold	10	TECO ENERGY	11.7554	117.54	
06-08	06-11	Sold	25	TECO ENERGY	11.7414	293.53	
06-16	06-21	Sold	15	TECO ENERGY	11.3518	170.27	
07-20	07-23	Sold	30	TECO ENERGY	13.1700	395.09	
05-27	06-02	Sold	70	TELLABS INC DELAWARE	7.9193	554.33	
07-07	07-12	Sold	80	TELLABS INC DELAWARE	7.9701	637.59	
04-01	04-06	Sold	5	TEXAS INSTRUMENTS	29.7210	148.60	
05-03	05-06	Sold	15	TEXAS INSTRUMENTS	24.6658	369.98	
06-29	07-02	Sold	30	TEXAS INSTRUMENTS	24.0025	720.06	
07-20	07-23	Sold	85	TIME WARNER INC NEW	16.9300	1,439.01	
01-12	01-15	Sold	15	VALERO ENERGY CP DELA NEW	46.9072	703.57	
07-20	07-23	Sold	55	WALT DISNEY CO HLDG CO	23.5500	1,295.21	
07-20	07-23	Sold	20	WELLS FARGO & CO NEW	57.6700	1,153.37	
				Total Sales Proceeds/Redemptions		$96,102.55	

Other Credits

Date	Activity	Description	Amount	Additional Information
01-12	Rebate	12B1 FEE PASS THROUGH	0.24	
02-09	Rebate	12B1 FEE PASS THROUGH	0.32	
03-12	Rebate	12B1 FEE PASS THROUGH	0.29	
04-13	Rebate	12B1 FEE PASS THROUGH	0.19	
05-11	Rebate	12B1 FEE PASS THROUGH	0.31	
06-14	Rebate	12B1 FEE PASS THROUGH	0.20	
07-13	Rebate	12B1 FEE PASS THROUGH	0.18	
07-22	Charge Adjustment	3RD QTR FEE REVERSAL	458.23	
08-12	Rebate	12B1 FEE PASS THROUGH	0.27	
		Total Other Credits	$460.23	

Morgan Stanley

ACTIVE ASSETS ACCOUNT®
FOR YEAR ENDING DECEMBER 31, 2004

THE HONORABLE NICK JOE RAHALL
108 MAIN STREET

Account Number
642 122048 022

Total Credits To Your Account $97,414.26

DEBITS TO YOUR ACCOUNT

Withdrawal

Date	Activity	Description	Amount	Additional Information
07-22	Transferred	WIRED FUNDS SENT	50,000.00	BENE: CAPITOL TITLE INSURANCE ACCT: 2000007820197
		Total Withdrawals	-50,000.00	

Funds to Purchase Securities

Trade Date	Settle Date	Activity	Quantity	Description	Price	Amount	Additional Information
06-21	06-24	Bought	10	ALBERTSONS INC	25.6517	256.52	
05-19	05-24	Bought	30	ALLTEL CORP DE	50.4954	1,514.86	
04-19	04-22	Bought	15	ANADARKO PETE	55.9320	838.98	
04-28	05-03	Bought	10	ANADARKO PETE	56.7460	567.46	
04-21	04-24	Bought	5	ANADARKO PETE	57.8956	289.48	
02-11	02-17	Bought	35	AUTOMATIC DATA PROCESSING INC	44.5154	1,558.04	
01-06	01-09	Bought	50	BAXTER INTL INC	30.4973	1,524.87	
02-09	02-12	Bought	25	BELLSOUTH CORP	29.7528	743.82	
02-10	02-13	Bought	25	BELLSOUTH CORP	30.0247	750.62	
01-15	01-21	Bought	15	CIGNA CP	57.0535	855.80	
02-02	02-05	Bought	10	CIGNA CP	62.8578	628.58	
05-14	05-19	Bought	5	COCA COLA CO	49.8223	249.11	
01-21	01-26	Bought	15	CST SYSTEMS INC	43.3684	650.53	
03-23	03-26	Bought	10	FPL GROUP INC	66.4711	664.71	
03-02	03-05	Bought	20	GILLETTE CO	38.6292	772.58	
04-21	04-26	Bought	20	GILLETTE CO	38.1382	762.76	
01-23	01-28	Bought	25	GLOBALSANTAFE CORP	27.6403	691.01	
02-03	02-06	Bought	25	GLOBALSANTAFE CORP	27.8750	696.88	
02-03	02-06	Bought	30	HEWLETT PACKARD	23.9803	719.41	
05-11	05-14	Bought	40	HEWLETT PACKARD	20.1490	805.96	
05-24	05-27	Bought	20	KEYCORP NEW	31.3917	627.83	
03-03	03-08	Bought	40	KING PHARMACEUTICALS	19.0151	760.60	
04-29	05-04	Bought	45	KING PHARMACEUTICALS	18.3704	826.67	
05-14	05-19	Bought	15	KOHLS CORPORATION WISC	43.7878	656.81	
04-14	04-19	Bought	25	MAYTAG CORP	30.7932	769.83	
06-01	06-04	Bought	30	MAYTAG CORP	26.2182	786.55	
03-25	03-30	Cancel Sell	10	MONSANTO CO/NEW		335.18	
02-18	02-23	Bought	20	NEWELL RUBBERMAID INC	26.0978	521.95	

Morgan Stanley

ACTIVE ASSETS ACCOUNT®
FOR YEAR ENDING DECEMBER 31, 2004

THE HONORABLE NICK JOE RAHALL
108 MAIN STREET

Account Number
842 122048 022

Funds to Purchase Securities

Trade Date	Settle Date	Activity	Quantity	Description	Price	Amount	Additional Information
05-14	05-19	Bought	40	NEWELL RUBBERMAID INC	23.3101	932.40	
03-23	03-26	Bought	15	NEWMONT MINING CORP (NEW)	44.6816	670.22	
04-29	05-04	Bought	25	NEWMONT MINING CORP (NEW)	38.1230	953.08	
06-30	07-06	Bought	18	NORTHROP GRUMMAN CP(HLDG CO)	53.6375	804.56	
07-14	07-19	Bought	10	NORTHROP GRUMMAN CP(HLDG CO)	54.0587	540.59	
04-14	04-19	Bought	10	NUCOR CORPORATION	63.1674	631.67	
03-19	03-24	Bought	15	PUBLIC SERVICE ENTERPRISE GP	46.8141	702.21	
06-29	07-02	Bought	20	RAYTHEON CO (NEW)	34.8653	697.31	
07-06	07-09	Bought	20	RAYTHEON CO.(NEW)	33.9584	679.17	
03-02	03-05	Bought	30	SAFEWAY INC COM NEW	22.9469	688.41	
07-15	07-20	Bought	30	SAFEWAY INC COM NEW	23.3677	701.03	
03-03	03-08	Bought	20	SCIENTIFIC ATLANTA	33.3830	667.66	
03-11	03-16	Bought	25	SCIENTIFIC ATLANTA	31.7285	793.21	
02-10	02-13	Bought	45	TECO ENERGY	14.7937	665.27	
01-09	01-14	Bought	75	TELLABS INC DELAWARE	10.1208	759.06	
02-13	02-19	Bought	75	TELLABS INC DELAWARE	9.8931	741.98	
06-25	06-28	Bought	20	WELLS FARGO & CO NEW	58.6687	1,173.31	
				Total Funds to Purchase Securities		-33,628.74	

Other Debits

Date	Activity	Description	Amount	Additional Information
01-16	Charge	1ST QTR MGMNT FEE	-458.21	
04-16	Charge	2ND QTR MGMNT FEE	-454.33	
07-16	Charge	3RD QTR MGMNT FEE	-488.23	
07-22	Charge	3RD QTR MGMNT FEE	-93.17	
		Total Other Debits	-1,463.94	

Total Debits To Your Account -35,092.68

ADDITIONAL ACCOUNT INFORMATION

Securities Received

Date	Activity	Quantity	Description	Price	Amount	Additional Information
05-05	Stock Dividend	10	EXELON CORP			

Morgan Stanley

PORTFOLIO ARCHITECT ACCOUNT
FOR MONTH ENDING FEBRUARY 29, 2004

THE HONORABLE NICK JOE RAHALL
2307 RAYBURN HOB

Account Number
642 032928 022

DEBITS TO YOUR ACCOUNT

Funds to Purchase Securities

Trade Date	Settle Date	Activity	Quantity	Description	Price	Amount	Additional Information
02-03	02-03	Bought	1.371	MSIF TR LTD DURATION INST	10.6000	14.53	REINVESTMENT
				Total Funds to Purchase Securities		14.53	Year-To-Date 145.63
				Total Debits To Your Account		14.53	Year-To-Date 101.76

ADDITIONAL ACCOUNT INFORMATION

Money Market

Date	Activity	Description	Amount	Additional Information
		Closing Balance 1/31	1,160.04	
02-10	Automatic Investment	LIQUID ASSET FUND	0.09	
02-27	Dividend Reinvestment	LIQUID ASSET FUND	0.53	
		Closing Balance 2/29	1,160.66	

Morgan Stanley Fund Summary

	YTD Dividends	YTD Cap Gains	YTD Taxes	Features
AMERICAN OPPORTUNITIES FD D	0.00	0.00	0.00	Reinvest Dividends and Capital Gains
LIQUID ASSET FUND	0.72	0.00	0.00	Reinvest Dividends
Totals	0.72	0.00	0.00	

Retirement Recap

	2004	2003
Account Valuation	35,777.16	34,886.32

Investments and services are offered through Morgan Stanley DW Inc., member SIPC.

Morgan Stanley

PORTFOLIO ARCHITECT ACCOUNT
FOR MONTH ENDING MARCH 31, 2004

THE HONORABLE NICK JOE RAHALL
2307 RAYBURN HOB

Account Number
642 032928 022

Other Credits

Date	Activity	Description	Amount	Additional Information
02-12	Rebate	VAN KAMPEN COMSTOCK A	4.90	
02-12	Rebate	VAN KAMPEN GROWTH & IN	4.77	
03-12	Rebate	ILAF 1281 FEE CREDIT	0.08	
		Total Other Credits	9.75	Year-To-Date 19.95

Total Credits To Your Account 875.72 Year-To-Date 969.12

DEBITS TO YOUR ACCOUNT

Funds to Purchase Securities

Trade Date	Settle Date	Activity	Quantity	Description	Price	Amount	Additional Information
03-02	03-02	Bought	1.374	MSIF TR LTD DURATION INST	10.6000	14.56	REINVESTMENT
03-17	03-17	Bought	1.367	VAN KAMPEN GROWTH & INCOME A	18.3200	25.04	REINVESTMENT
03-17	03-17	Bought	1.461	VAN KAMPEN COMSTOCK A	16.4200	23.99	REINVESTMENT
				Total Funds to Purchase Securities		63.59	Year-To-Date 78.13

Total Debits To Your Account 63.59 Year-To-Date 165.56

ADDITIONAL ACCOUNT INFORMATION

Money Market

Date	Activity	Description	Amount	Additional Information
		Closing Balance 2/29	1,160.46	
03-15	Automatic Investment	LIQUID ASSET FUND	0.08	
03-19	Automatic Investment	LIQUID ASSET FUND	9.67	
03-31	Dividend Reinvestment	LIQUID ASSET FUND	0.38	
		Closing Balance 3/31	1,170.59	

Morgan Stanley Fund Summary

	YTD Dividends	YTD Cap Gains	YTD Taxes	Features
AMERICAN OPPORTUNITIES FD D	0.00	0.00	0.00	Reinvest Dividends and Capital Gains

Morgan Stanley

PORTFOLIO ARCHITECT ACCOUNT
FOR MONTH ENDING APRIL 30, 2004

THE HONORABLE NICK JOE RAHALL
2307 RAYBURN HOB

Account Number
642 032828 022

Total Credits To Your Account [illegible] Year-To-Date [illegible]

DEBITS TO YOUR ACCOUNT

Funds to Purchase Securities

Trade Date	Settle Date	Activity	Quantity	Description	Price	Amount	Additional Information
04-02	04-02	Bought	1.863	MSIF US CORE FIX INC INST	11.1500	20.77	REINVESTMENT
04-02	04-02	Bought	1.723	MSIF TR LTD DURATION INST	10.5800	18.23	REINVESTMENT
04-02	04-02	Bought	2.188	MSIF TR HI YIELD PORT INST	5.4300	11.88	REINVESTMENT

Total Funds to Purchase Securities [illegible] Year-To-Date [illegible]

Other Debits

Date	Activity	Description	Amount	Additional Information
04-15	Charge	PORT ARCH 2ND QTR FEE	-88.57	

Total Other Debits [illegible] Year-To-Date [illegible]

Total Debits To Your Account [illegible] Year-To-Date [illegible]

ADDITIONAL ACCOUNT INFORMATION

Money Market

Date	Activity	Description	Amount	Additional Information
		Closing Balance 3/31	1,170.69	
04-14	Automatic Investment	LIQUID ASSET FUND	0.10	
04-15	Automatic Redemptions	LIQUID ASSET FUND	-88.57	
04-30	Dividend Reinvestment	LIQUID ASSET FUND	0.36	
		Closing Balance 4/30	1,082.48	

Morgan Stanley Fund Summary

	YTD Dividends	YTD Cap Gains	YTD Taxes	Features
AMERICAN OPPORTUNITIES FD D	0.00	0.00	0.00	Reinvest Dividends and Capital Gains
LIQUID ASSET FUND	1.46	0.00	0.00	Reinvest Dividends

Morgan Stanley

PORTFOLIO ARCHITECT ACCOUNT
FOR MONTH ENDING JULY 31, 2004

THE HONORABLE NICK JOE RAHALL
100 MAIN STREET

Account Number
642 032928 022

DEBITS TO YOUR ACCOUNT

Funds to Purchase Securities

Trade Date	Settle Date	Activity	Quantity	Description	Price	Amount	Additional Information
07-02	07-02	Bought	1.723	MSIF US CORE FIX INC INST	11.0700	19.07	REINVESTMENT
07-02	07-02	Bought	1.750	MSIF TR LTD DURATION INST	10.4900	18.36	REINVESTMENT
07-02	07-02	Bought	2.987	MSIF TR HI YIELD PORT INST	5.3600	16.01	REINVESTMENT
				Total Funds to Purchase Securities		53.44	Year-To-Date 215.26

Other Debits

Date	Activity	Description	Amount	Additional Information
07-15	Charge	PORT ARCH 3RD QTR FEE	-88.85	
		Total Other Debits	-88.85	Year-To-Date 265.14
		Total Debits To Your Account	-142.29	Year-To-Date 537.30

ADDITIONAL ACCOUNT INFORMATION

Money Market

Date	Activity	Description	Amount	Additional Information
		Closing Balance 6/30	1,093.51	
07-14	Automatic Investment	LIQUID ASSET FUND	0.09	
07-15	Automatic Redemption	LIQUID ASSET FUND	-88.85	
07-30	Dividend Reinvestment	LIQUID ASSET FUND	0.50	
		Closing Balance 7/31	1,005.25	

Morgan Stanley Fund Summary

	YTD Dividends	YTD Cap Gains	YTD Taxes	Features
AMERICAN OPPORTUNITIES FD D	0.00	0.00	0.00	Reinvest Dividends and Capital Gains
LIQUID ASSET FUND	2.70	0.00	0.00	Reinvest Dividends
Totals	$2.70	$0.00	$0.00	

Morgan Stanley

PORTFOLIO ARCHITECT ACCOUNT
FOR MONTH ENDING AUGUST 31, 2004

THE HONORABLE NICK JOE RAHALL
106 MAIN STREET

Account Number
642 032928 022

DEBITS TO YOUR ACCOUNT

Funds to Purchase Securities

Trade Date	Settle Date	Activity	Quantity	Description	Price	Amount	Additional Information
08-03	08-03	Bought	1.757	HSIF TR LTD DURATION INST	10.4700	18.40	REINVESTMENT
				Total Funds to Purchase Securities		18.40	Year-To-Date 271.66
				Total Debits To Your Account		18.40	Year-To-Date 554.30

ADDITIONAL ACCOUNT INFORMATION

Money Market

Date	Activity	Description	Amount	Additional Information
		Closing Balance 7/31	1,005.25	
08-13	Automatic Investment	LIQUID ASSET FUND	0.08	
08-18	Automatic Investment	LIQUID ASSET FUND	10.18	
08-31	Dividend Reinvestment	LIQUID ASSET FUND	0.69	
		Closing Balance 8/31	1,016.20	

Morgan Stanley Fund Summary

	YTD Dividends	YTD Cap Gains	YTD Taxes	Features
AMERICAN OPPORTUNITIES FD D	0.00	0.00	0.00	Reinvest Dividends and Capital Gains
LIQUID ASSET FUND	5.39	0.00	0.00	Reinvest Dividends
Totals	5.39	40.00	9.00	

Retirement Recap

	2004	2003
Account Valuation	34,993.39	34,886.32

Investments and services are offered through Morgan Stanley DW Inc., member SIPC.

THE HONORABLE NICK JOE RAHALL
108 MAIN STREET

Account Number
642 032928 022

DEBITS TO YOUR ACCOUNT

Funds to Purchase Securities

Trade Date	Settle Date	Activity	Quantity	Description	Price	Amount	Additional Information
09-02	09-02	Bought	1.787	MSIF TR LTD DURATION INST	10.5000	18.45	REINVESTMENT
09-15	09-15	Bought	1.569	VAN KAMPEN GROWTH & INCOME A	18.4000	25.19	REINVESTMENT
09-15	09-15	Bought	1.743	VAN KAMPEN CONSTOCK A	16.7700	29.23	REINVESTMENT
				Total Funds to Purchase Securities		72.87	Year-To-Date 564.87

Total Debits To Your Account 72.87 Year-To-Date 629.87

ADDITIONAL ACCOUNT INFORMATION

Money Market

Date	Activity	Description	Amount	Additional Information
		Closing Balance 8/31	1,016.20	
09-14	Automatic Investment	LIQUID ASSET FUND	0.08	
09-30	Dividend Reinvestment	LIQUID ASSET FUND	0.74	
		Closing Balance 9/30	1,017.02	

Morgan Stanley Fund Summary

	YTD Dividends	YTD Cap Gains	YTD Taxes	Features
AMERICAN OPPORTUNITIES FD D	0.00	0.00	0.00	Reinvest Dividends and Capital Gains
LIQUID ASSET FUND	4.13	0.00	0.00	Reinvest Dividends
Totals	4.13	0.00	0.00	

LIQUID ASSET FUND
Following are the Fund's 30-day average annualized yields during the past quarter: July 0.69%, August 0.91%, and September 1.00%.

Retirement Recap

	2004	2003
Account Valuation	35,626.77	34,886.32

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THE HONORABLE NICK JOE RAHALL
108 MAIN STREET

Account Number
642 032828 022

DEBITS TO YOUR ACCOUNT

Funds to Purchase Securities

Trade Date	Settle Date	Activity	Quantity	Description	Price	Amount	Additional Information
10-04	10-04	Bought	1.722	MSIF US CORE FIX INC INST	11.1700	19.24	REINVESTMENT
10-04	10-04	Bought	1.766	MSIF TR LTD DURATION INST	10.4700	18.49	REINVESTMENT
10-04	10-04	Bought	3.318	MSIF TR HI YIELD PORT INST	5.5100	18.28	REINVESTMENT
				Total Funds to Purchase Securities		56.01	Year-To-Date 620.54

Other Debits

Date	Activity	Description	Amount	Additional Information
10-14	Charge	PORT ARCH 4TH QTR FEE	-89.07	
		Total Other Debits	-89.07	Year-To-Date 155.71
		Total Debits To Your Account	145.08	Year-To-Date 775.25

ADDITIONAL ACCOUNT INFORMATION

Money Market

Date	Activity	Description	Amount	Additional Information
		Closing Balance 9/30	1,017.02	
10-13	Automatic Investment	LIQUID ASSET FUND	0.08	
10-18	Automatic Redemption	LIQUID ASSET FUND	-89.07	
10-29	Dividend Reinvestment	LIQUID ASSET FUND	0.87	
		Closing Balance 10/31	928.90	

Morgan Stanley Fund Summary

	YTD Dividends	YTD Cap Gains	YTD Taxes	Features
AMERICAN OPPORTUNITIES FD D	0.00	0.00	0.00	Reinvest Dividends and Capital Gains
LIQUID ASSET FUND	5.00	0.00	0.00	Reinvest Dividends
Totals	$5.00	$0.00	$0.00	

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Morgan Stanley

PORTFOLIO ARCHITECT ACCOUNT
FOR MONTH ENDING NOVEMBER 30, 2004

THE HONORABLE NICK JOE RAHALL
108 MAIN STREET

Account Number
842 032928 022

DEBITS TO YOUR ACCOUNT

Funds to Purchase Securities

Trade Date	Settle Date	Activity	Quantity	Description	Price	Amount	Additional Information
11-02	11-02	Bought	1.771	HSBC TR LTD DURATION INST	10.4700	18.54	REINVESTMENT
				Total Funds to Purchase Securities		18.54	Year To Date [illegible]
				Total Debits To Your Account		18.54	Year To Date [illegible]

ADDITIONAL ACCOUNT INFORMATION

Money Market

Date	Activity	Description	Amount	Additional Information
		Closing Balance 10/31	928.98	
11-12	Automatic Investment	LIQUID ASSET FUND	0.87	
11-17	Automatic Investment	LIQUID ASSET FUND	10.46	
11-30	Dividend Reinvestment	LIQUID ASSET FUND	1.02	
		Closing Balance 11/30	[illegible]	

Morgan Stanley Fund Summary

	YTD Dividends	YTD Cap Gains	YTD Taxes	Features
AMERICAN OPPORTUNITIES FD D	0.00	0.00	0.00	Reinvest Dividends and Capital Gains
LIQUID ASSET FUND	6.02	0.00	0.00	Reinvest Dividends
Totals	16.02	[illegible]	18.00	

Retirement Recap

	2004	2003
Account Valuation	37,071.75	34,886.32

THE HONORABLE NICK JOE RAHALL
108 MAIN STREET

Account Number
642 032928 022

Date	Activity	Description	Amount	Additional Information
		Total Other Credits	384.85	Year-To-Date 4,126.14

Total Credits To Your Account 255,271 Year-To-Date 7,257.15

DEBITS TO YOUR ACCOUNT

Funds To Purchase Securities

Trade Date	Settle Date	Activity	Quantity	Description	Price	Amount	Additional Information
12-02	12-02	Bought	1,761	HSIF TR LTD DURATION INST	10.4300	18.58	REINVESTMENT
12-08	12-08	Bought	3.758	VAN KAMPEN GROWTH & INCOME A	19.4800	73.22	REINVESTMENT
12-08	12-08	Bought	1.658	VAN KAMPEN COMSTOCK A	17.9100	29.53	REINVESTMENT
12-27	12-27	Bought	2.684	HSIF US CORE FIX INC INST	10.9100	29.28	REINVESTMENT
12-27	12-27	Bought	5.372	HSIF US CORE FIX INC INST	10.9100	58.79	REINVESTMENT
12-27	12-27	Bought	2.484	HSIF TR LTD DURATION INST	10.4100	25.85	REINVESTMENT
12-27	12-27	Bought	7.107	HSIF TR HI YIELD PORT INST	5.5200	39.25	REINVESTMENT
				Total Funds To Purchase Securities		254.47	Year-To-Date 630.55

Total Debits To Your Account 254,47 Year-To-Date 7,045.25

ADDITIONAL ACCOUNT INFORMATION

Money Market

Date	Activity	Description	Amount	Additional Information
		Closing Balance 11/30	940.39	
12-03	Automatic Investment	LIQUID ASSET FUND	0.08	
12-31	Dividend Reinvestment	LIQUID ASSET FUND	1.19	
		Closing Balance 12/31	941.66	

Morgan Stanley Fund Summary

	YTD Dividends	YTD Cap Gains	YTD Taxes	Features
AMERICAN OPPORTUNITIES FD D	0.00	0.00	0.00	Reinvest Dividends and Capital Gains
LIQUID ASSET FUND	7.21	0.00	0.00	Reinvest Dividends

2004 AMENDED FORMS 1099

Sub / Branch / Rep / Account No
001 / BK / BK08 / 65401050

Amended Date: 2/18/05

Your Financial Advisor:
EDWARD G RAHALL
122 MAIN STREET
POST OFFICE BOX 1328
BECKLEY, WEST VIRGINIA 25802
304-252-6221

Payer:
FIRST CLEARING, LLC
10700 WHEAT FIRST DRIVE
GLEN ALLEN, VA 23060
Payer ID #: 23-2364840

NICK JOE RAHALL II AS CUST FOR
SUZANNE NICOLE RAHALL UNDER
THE WV GIFTS TO MINORS ACT

Your Federal Identification Number

Reportable Tax Information

Tax reporting requirements can create differences with the amounts previously reported in monthly statements.

1099-B Proceeds from Broker and Barter Exchange Transactions for 2004

OMB NO. 1545-0715

Description (Box 7)	Cusip (Box 1b)	Price	Quantity	Trade Date (Box 1a)	Amount	IRS Box	Transaction Description	Reported On
ARAMARK CORP CL B	038521100	26.85000	150.00000	11/17/2004	3,755.50	2	SALE	1099-B
CBRL GROUP INC	12489V106	34.59000	100.00000	09/09/2004	3,402.91	2	SALE	1099-B
DAKTRONICS INC	234264109	23.50000	100.00000	06/03/2004	2,337.44	2	SALE	1099-B
DARDEN RESTAURANTS	237194105	26.71000	200.00000	11/05/2004	5,234.27	2	SALE	1099-B
DAVE & BUSTERS INC	23833N104	13.87000	200.00000	02/18/2004	2,716.37	2	SALE	1099-B
DISNEY WALT COMPANY	254687106	28.42000	100.00000	11/09/2004	2,585.93	2	SALE	1099-B
DOLLAR TREE STORES INC	256747106	27.46000	100.00000	05/27/2004	2,688.43	2	SALE	1099-B
DU PNT E I DE NEMOURS &CO	263534109	45.03000	100.00000	11/22/2004	4,421.89	2	SALE	1099-B
FOX ENTERTAINMENT GROUP	35138T107	28.51000	100.00000	09/16/2004	2,840.93	2	SALE	1099-B
GSI COMMERCE INC	36238G102	13.15000	300.00000	02/17/2004	3,831.56	2	SALE	1099-B
GSI COMMERCE INC	36238G102	8.01000	251.00000	08/03/2004	2,009.52	2	SALE	1099-B
GSI COMMERCE INC	36238G102	8.04000	49.00000	06/03/2004	386.29	2	SALE	1099-B
HCA INC	404119109	40.00000	100.00000	12/03/2004	3,989.90	2	SALE	1099-B
HEADWATERS INC	42210P102	28.22000	100.00000	04/02/2004	2,739.43	2	SALE	1099-B
HEADWATERS INC	42210P102	24.22000	200.00000	06/07/2004	4,786.38	2	SALE	1099-B
INSIGHT COMMUNICATIONS	45768V108	8.57000	300.00000	09/23/2004	2,563.93	2	SALE	1099-B
IPASS INC	46261V108	7.33000	200.00000	12/13/2004	1,458.96	2	SALE	1099-B
LIBERTY ALL-STAR EQUITY	530158104	9.86000	27.00000	03/11/2004	257.70	2	SALE	1099-B
MFS CORE EQUITY CL B	552983827	16.50000	626.30000	12/16/2004	10,227.95	2	SALE	1099-B
MSC K-3 8.425% 9/20/18	617909AD8	0.00000	3,000.00000	12/20/2004	114.56	2	REDEMPTION	1099-B
MYLAN LABORATORIES INC	628530107	16.90000	200.00000	08/19/2004	3,322.42	2	SALE	1099-B
PILGRIMS PRIDE CL B	721467108	18.86000	200.00000	01/28/2004	3,680.61	2	SALE	1099-B
QUANTA SVCS INC	74762E102	5.52000	200.00000	06/23/2004	1,076.47	2	SALE	1099-B
QUANTA SVCS INC	74762E102	5.47000	200.00000	06/23/2004	1,073.87	2	SALE	1099-B
QUANTA SVCS INC	74762E102	5.50000	100.00000	06/23/2004	539.98	2	SALE	1099-B
RED ROBIN GOURMET BURGER	75689M101	27.99000	100.00000	05/25/2004	2,741.43	2	SALE	1099-B

THIS IS YOUR FORMS 1099 (COPY B FOR RECIPIENT) - PLEASE RETAIN FOR TAX PREPARATION PURPOSES
The above is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction will be imposed on you if the income is taxable and the IRS determines that it has not been reported.

Attachment 20 - p.1

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2004 AMENDED FORMS 1099

WACHOVIA SECURITIES

Sub / Branch / Rep / Account No
001 / BK / BK06 / 68401060

Amended Date: 2/18/05

NICK JOE RAHALL II AS CUST FOR
SUZANNE NICOLE RAHALL UNDER
THE WV GIFTS TO MINORS ACT

Your Financial Advisor:
EDWARD G RAHALL
122 MAIN STREET
POST OFFICE BOX 1328
BECKLEY, WEST VIRGINIA 25802
304-252-8221

Payer:
FIRST CLEARING, LLC
10700 WHEAT FIRST DRIVE
GLEN ALLEN, VA 23060
Payer ID #: 23-2384840

Your Federal Identification Number:

Reportable Tax Information

1099-B Proceeds from Broker and Barter Exchange Transactions for 2004 *Continued*

OMB NO. 1545-0715

Description (Box 7)	Cusip (Box 1b)	Price	Quantity	Trade Date (Box 1a)	Amount	IRS Box	Transaction Description	Reported On
REGAL ENTERTAINMENT GRP	758766109	21.00000	200.00000	11/17/2004	4,118.90	2	SALE	1099-B
SAFENET INC	78645R107	27.13000	200.00000	07/29/2004	5,343.37	2	SALE	1099-B
SANOFI-AVENTIS ADR	80105N105	36.42000	200.00000	10/01/2004	7,155.83	2	SALE	1099-B
SILICON LABORATORIES	826919102	29.44000	100.00000	10/28/2004	2,936.93	2	SALE	1099-B
TIME WARNER INC	887317105	17.93000	300.00000	11/23/2004	5,295.02	2	SALE	1099-B
WATSCO INC	942622200	27.40000	100.00000	06/16/2004	2,727.43	2	SALE	1099-B
WENDYS INTL INC	950590109	37.82000	100.00000	12/01/2004	3,699.51	2	SALE	1099-B
ZWEIG FUND INC	989834106	5.32000	1,133.13300	09/23/2004	6,021.12	2	SALE	1099-B
TOTAL PROCEEDS FROM BROKER AND BARTER EXCHANGE					112,063.04	2		

Collateralized Debt Obligations: Real Estate Mortgage Investment Conduits (REMIC) and Collateralized Mortgage Obligations (CMO)

Description	Type	Reported On
FNMA 91 6ZD 9.01/25/21	REMIC	March 15, 2005
FNMA 92 11E 8 12/25/21	REMIC	March 15, 2005
MSC K-3 8.425% 9/20/18	REMIC	March 15, 2005

REMIC: Income generated by a REMIC will be reported on Form 1099-OID/REMIC which will be issued March 15. Income derived from REMICS must be reported using the accrual method of accounting. This means the interest appearing on your Form 1099-OID/REMIC is reported on an accrual method rather than a cash basis, per IRS regulations. If rate information is not provided by the issuer, you will receive an amended form 1099-INT to reflect the cash interest paid to your account.

CMO: A statement of additional information which is helpful in determining the amortization of market discount, market premium or bond premium will be issued March 15.

THIS IS YOUR FORMS 1099 (COPY B FOR RECIPIENT) - PLEASE RETAIN FOR TAX PREPARATION PURPOSES
The above is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction will be imposed on you if the income is taxable and the IRS determines that it has not been reported.

YT111054 082280 054114204111 NNNNN NNNNNN NNNNNN 000003

2004 AMENDED FORMS 1099

Sub / Branch / Rep / Account No
001 / BK / BK08 / 65401060

Amended Date: 2/18/05

Your Financial Advisor:
EDWARD G RAHALL
122 MAIN STREET
POST OFFICE BOX 1328
BECKLEY, WEST VIRGINIA 25802
304-252-6221

Payer:
FIRST CLEARING, LLC
10700 WHEAT FIRST DRIVE
GLEN ALLEN, VA 23060
Payer ID #: 23-2384840

NICK JOE RAHALL II AS CUST FOR
SUZANNE NICOLE RAHALL UNDER
THE WV GIFTS TO MINORS ACT

Federal Non-Reportable Information

Miscellaneous Activity Detail *Continued*

Description	Notes	Date	Activity	Amount	Line Ref
FNMA 92 11E 8 12/25/21		02/25/2004	PRINCIPAL PAYMENT	10.67	4
FNMA 92 11E 8 12/25/21		03/25/2004	PRINCIPAL PAYMENT	5.45	4
FNMA 92 11E 8 12/25/21		04/25/2004	PRINCIPAL PAYMENT	10.77	4
FNMA 92 11E 8 12/25/21		05/25/2004	PRINCIPAL PAYMENT	6.26	4
FNMA 92 11E 8 12/25/21		06/25/2004	PRINCIPAL PAYMENT	5.94	4
FNMA 92 11E 8 12/25/21		07/25/2004	PRINCIPAL PAYMENT	8.57	4
FNMA 92 11E 8 12/25/21		08/25/2004	PRINCIPAL PAYMENT	15.41	4
FNMA 92 11E 8 12/25/21		09/27/2004	PRINCIPAL PAYMENT	5.56	4
FNMA 92 11E 8 12/25/21		10/25/2004	PRINCIPAL PAYMENT	3.54	4
FNMA 92 11E 8 12/25/21		11/26/2004	PRINCIPAL PAYMENT	6.50	4
FNMA 92 11E 8 12/25/21		12/27/2004	PRINCIPAL PAYMENT	4.02	4
MSC K-3 8.425% 9/20/18		03/22/2004	PRINCIPAL PAYMENT	28.72	4
MSC K-3 8.425% 9/20/18		06/21/2004	PRINCIPAL PAYMENT	35.68	4
MSC K-3 8.425% 9/20/18		09/20/2004	PRINCIPAL PAYMENT	35.62	4
TOTAL RETURN OF CAPITAL OR PRINCIPAL PAYMENTS				*585.27*	*4*

Purchase Activity Detail

Description	Cusip	Date	Activity	Quantity	Price	Amount
ARAMARK CORP CL B	038521100	11/02/2004	PURCHASE	150.00000	23.23000	-3,572.59
CBRL GROUP INC	12489V106	06/10/2004	PURCHASE	100.00000	32.54000	-3,336.50
COCA COLA COMPANY	191216100	08/02/2004	PURCHASE	75.00000	44.25000	-3,376.25
COCA COLA COMPANY	191216100	09/23/2004	PURCHASE	25.00000	40.21000	-1,015.25
DAKTRONICS INC	234264109	03/04/2004	PURCHASE	100.00000	23.70000	-2,452.50
DARDEN RESTAURANTS	237194105	03/11/2004	PURCHASE	100.00000	24.31000	-2,488.50
DARDEN RESTAURANTS	237194105	03/18/2004	PURCHASE	100.00000	23.93000	-2,450.50
DISNEY WALT COMPANY	254687106	04/28/2004	PURCHASE	100.00000	23.71000	-2,428.50
DOLLAR TREE STORES INC	256747106	05/07/2004	PURCHASE	100.00000	25.21000	-2,578.50
DU PNT EI DE NEMOURS &CO	263534109	09/23/2004	PURCHASE	100.00000	41.75000	-4,256.00

IMPORTANT TAX INFORMATION - PLEASE RETAIN FOR TAX PREPARATION PURPOSES

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2004 AMENDED FORMS 1099

WACHOVIA SECURITIES

Sub / Branch / Rep / Account No
001 / BK / BK08 / 65401050

Amended Date: 2/18/05

Your Financial Advisor:
EDWARD G RAHALL
122 MAIN STREET
POST OFFICE BOX 1328
BECKLEY, WEST VIRGINIA 25802
304-252-6221

Payer:
FIRST CLEARING, LLC
10700 WHEAT FIRST DRIVE
GLEN ALLEN, VA 23060
Payer ID #: 23-2364840

NICK JOE RAHALL II AS CUST FOR
SUZANNE NICOLE RAHALL UNDER
THE WV GIFTS TO MINORS ACT

Federal Non-Reportable Information

Purchase Activity Detail *Continued*

Description	Cusip	Date	Activity	Quantity	Price	Amount
FOX ENTERTAINMENT GROUP	35138T107	05/07/2004	PURCHASE	100.00000	27.52000	-2,834.50
GSI COMMERCE INC	36238G102	01/15/2004	PURCHASE	298.00000	11.00000	-3,369.27
GSI COMMERCE INC	36238G102	01/15/2004	PURCHASE	2.00000	10.99000	-30.09
GSI COMMERCE INC	36238G102	02/20/2004	PURCHASE	300.00000	10.50000	-3,259.72
HANCOCK JOHN L/C SLCT B	409902731	09/23/2004	PURCHASE	285.53300	17.45000	-5,000.00
HANCOCK JOHN L/C SLCT B	409902731	12/27/2004	REINVEST CAP GAIN	3.29300		-59.94
HCA INC	404119109	09/23/2004	PURCHASE	100.00000	38.74000	-3,855.00
HEADWATERS INC	42210P102	03/12/2004	PURCHASE	100.00000	23.75000	-2,457.50
HEADWATERS INC	42210P102	04/21/2004	PURCHASE	100.00000	24.39000	-2,521.50
HEADWATERS INC	42210P102	05/07/2004	PURCHASE	100.00000	21.69000	-2,226.50
INSIGHT COMMUNICATIONS	45768V108	03/11/2004	PURCHASE	100.00000	9.30000	-587.60
IPASS INC	46261V108	10/19/2004	PURCHASE	200.00000	7.01000	-1,458.00
MFS MASS INV TR CL B	575736202	12/06/2004	REINVEST DIVIDEND	0.06700		-1.10
MYLAN LABORATORIES INC	628530107	08/02/2004	PURCHASE	200.00000	14.72000	-3,042.59
PERFORMANCE FOOD GROUP	713755106	08/23/2004	PURCHASE	100.00000	27.52000	-2,834.50
PILGRIMS PRIDE CL B	721467108	01/02/2004	PURCHASE	200.00000	16.52000	-3,412.25
QUANTA SVCS INC	74762E102	01/06/2004	PURCHASE	300.00000	7.56000	-2,380.50
RED ROBIN GOURMET BURGER	75689M101	05/20/2004	PURCHASE	100.00000	24.70000	-2,552.50
REGAL ENTERTAINMENT GRP	758766109	04/26/2004	PURCHASE	100.00000	23.21000	-2,378.50
REGAL ENTERTAINMENT GRP	758766109	06/03/2004	PURCHASE	100.00000	17.56000	-1,816.50
SAFENET INC	78645R107	05/26/2004	PURCHASE	100.00000	23.95000	-2,459.50
SAFENET INC	78645R107	06/09/2004	PURCHASE	100.00000	25.55000	-2,637.50
SANOFI-SYNTHELABO SA-ADR	03222144	03/02/2004	PURCHASE	100.00000	34.27000	-3,510.03
SANOFI-SYNTHELABO SA-ADR	03222144	03/24/2004	PURCHASE	100.00000	32.73000	-3,355.50
SCUDDER GLBL COMM STK FD	81114Y108	09/24/2004	PURCHASE	200.00000	15.05000	-3,091.00
SCUDDER GLBL COMM STK FD	81114Y108	11/17/2004	PURCHASE	100.00000	14.12000	-1,468.00
SILICON LABORATORIES	826919102	10/26/2004	PURCHASE	100.00000	27.22000	-2,803.00
TENET HEALTHCARE CORP	88033G100	05/05/2004	PURCHASE	200.00000	12.34000	-2,550.50
TENET HEALTHCARE CORP	88033G100	06/09/2004	PURCHASE	100.00000	12.79000	-1,336.50

IMPORTANT TAX INFORMATION - PLEASE RETAIN FOR TAX PREPARATION PURPOSES

Y1111054 082080 054114204111 NNNNN NNNNN NNNNNN 000006

2004 AMENDED FORMS 1099

Sub / Branch / Rep / Account No
001 / BK / BK08 / 65401050

Amended Date: 2/18/05

Your Financial Advisor:
EDWARD G RAHALL
122 MAIN STREET
POST OFFICE BOX 1328
BECKLEY, WEST VIRGINIA 25802
304-252-6221

Payer:
FIRST CLEARING, LLC
10700 WHEAT FIRST DRIVE
GLEN ALLEN, VA 23060
Payer ID #: 23-2384840

NICK JOE RAHALL II AS CUST FOR
SUZANNE NICOLE RAHALL UNDER
THE WV GIFTS TO MINORS ACT

Federal Non-Reportable Information

Purchase Activity Detail *Continued*

Description	Cusip	Date	Activity	Quantity	Price	Amount
TIME WARNER INC	887317105	04/22/2004	PURCHASE	200.00000	16.72000	-3,447.69
TIME WARNER INC	887317105	06/09/2004	PURCHASE	100.00000	17.50000	-1,807.50
TIME WARNER INC	887317105	05/24/2004	PURCHASE	100.00000	26.34000	-2,691.50
WATSCO INC	942622200	06/22/2004	PURCHASE	100.00000	34.61000	-3,563.50
WENDYS INTL INC	950590109	01/12/2004	REINVEST DIVIDEND	10.47300		-51.32
ZWEIG FUND INC	989834106	04/26/2004	REINVEST DIVIDEND	2.21700		-11.26
ZWEIG FUND INC	989834106	07/26/2004	REINVEST DIVIDEND	4.75200		-22.57

IMPORTANT TAX INFORMATION - PLEASE RETAIN FOR TAX PREPARATION PURPOSES

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U.S. HOUSE OF REPRESENTATIVES

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UNITED STATES HOUSE OF REPRESENTATIVES
FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2004

FORM A

For use by Members, officers, and employees

George P. Randovich
(Full Name)

438 Cannon House Office Building Washington, DC 20515
(Mailing Address)

(202) 225-4540
Daytime Telephone:

Filer Status	☑ Member of the U.S. House of Representatives	State: CA District: 19	☐ Officer Or Employee	Employing Office:
Report Type	☑ Annual (May 15)	☐ Amendment	☐ Termination	Termination Date:

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I.	Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? If yes, complete and attach Schedule I.	Yes ☑ No ☐	VI.	Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $285 and not otherwise exempt)? If yes, complete and attach Schedule VI.	Yes ☐ No ☑
II.	Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? If yes, complete and attach Schedule II.	Yes ☐ No ☑	VII.	Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $285 from one source)? If yes, complete and attach Schedule VII.	Yes ☐ No ☑
III.	Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? If yes, complete and attach Schedule III.	Yes ☑ No ☐	VIII.	Did you hold any reportable positions on or before the date of filing in the current calendar year? If yes, complete and attach Schedule VIII.	Yes ☐ No ☑
IV.	Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? If yes, complete and attach Schedule IV.	Yes ☑ No ☐	IX.	Did you have any reportable agreement or arrangement with an outside entity? If yes, complete and attach Schedule IX.	Yes ☐ No ☑
V.	Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? If yes, complete and attach Schedule V.	Yes ☐ No ☑		**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**	

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

Trusts-	Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes ☐ No ☑
Exemptions--	Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes ☐ No ☑

CERTIFICATION -- THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (See 5 U.S.C. app. 4, § 104 and U.S.C. § 1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	George P. Randovich	

3891

SCHEDULE I - EARNED INCOME

Name George P. Randovich

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

Source	Type	Amount
Woodward & McDowell Political Consulting Palo Alto, CA	Spouse Salary	n/a

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name George P. Randovich

BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self directed IRA (i.e., one where you have the power to select the specific investments) provide information on each asset in the account that exceeds the reporting threshold and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see instruction booklet for the reporting year. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.		BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership Income or Farm Income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.	BLOCK E **Transaction** Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.
SP	401(k) Managed by Woodward & McDowell	$50,001 - $100,000	DIVIDENDS	$2,501 - $5,000	P
	American Funds: Growth Fund of America, Class B	$1,001 - $15,000	DIVIDENDS	$1 - $200	
DC	American Funds: Growth Funds of America	$1,001 - $15,000	DIVIDENDS	$1 - $200	
SP	American Funds: Investment Co. Of America, Class B	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	
JT	PIMCO: Renaissance Fund, Class C	$1,001 - $15,000	DIVIDENDS	$1 - $200	
	Property: 3390 Ben Hur Road, Mariposa, CA (7 acres)	$100,001 - $250,000	Other: None	NONE	

3893

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name George P. Randovich

DC	Van Kamper Investment Fund (529 Plan)	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
JT	Wright Patman Congressional Credit Union (various accounts)	$1,001 - $15,000	DIVIDENDS	$1 - $200	

SCHEDULE IV - TRANSACTIONS

Name George P. Randovich

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
DC	Van Kamper Investment Fund (529 Plan)	P	various	$1,001 - $15,000
SP	401(k) Managed by Woodward & McDowell	P	various	$1,001 - $15,000

UNITED STATES HOUSE OF REPRESENTATIVES
FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2004

FORM A
For use by Members, officers, and employees

HAND DELIVERED
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(Office Use Only)

James M. Ramstad
(Full Name)

103 Cannon House Office Building Washington, DC 20515
(Mailing Address)

202-225-2871
Daytime Telephone

Filer Status: ☑ Member of the U.S. House of Representative — State: MN — District: 03 ☐ Officer Or Employee — Employing Office.

Report Type: ☑ Annual (May 15) ☐ Amendment ☐ Termination — Termination Date:

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I. *Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period?* Yes ☐ No ☑
If yes, complete and attach Schedule I.

II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? Yes ☐ No ☑
If yes, complete and attach Schedule II.

III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? Yes ☑ No ☐
If yes, complete and attach Schedule III.

IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? Yes ☑ No ☐
If yes, complete and attach Schedule IV.

V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? Yes ☑ No ☐
If yes, complete and attach Schedule V.

VI. *Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $285 and not otherwise exempt)?* Yes ☐ No ☑
If yes, complete and attach Schedule VI.

VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $285 from one source)? Yes ☑ No ☐
If yes, complete and attach Schedule VII.

VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? Yes ☑ No ☐
If yes, complete and attach Schedule VIII.

IX. Did you have any reportable agreement or arrangement with an outside entity? Yes ☐ No ☑
If yes, complete and attach Schedule IX.

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

Trusts- *Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?* Yes ☐ No ☑

Exemptions-- Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption? Yes ☐ No ☑

CERTIFICATION -- THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and wilfully fails to file this report may be subject to civil penalties and criminal sanctions (See 5 U.S.C. app. 4, § 104 and U.S.C. § 1001).

Certification	*Signature of Reporting Individual*	*Date (Month, Day, Year)*
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	Jay M. Ramstad	5-13-05

3896

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name James M. Ramstad

BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, *and (b) any other asset or source of income which generated more than* $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self directed IRA (i.e., one where you have the power to select the specific investments) provide information on each asset in the account that exceeds the reporting threshold and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see instruction booklet for the reporting year. Exclude: Your personal residence(s) (unless there is rental income); any *debt owed to you by your spouse, or by your or your spouse's child,* parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.	BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because *it is generated income,* the value should be "None."	BLOCK C **Type of Income** If other than one of the listed categories, specify *the type of income* by writing a brief description in this block. (For example: Partnership income or Farm income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow *you to choose specific* investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.	BLOCK E **Transaction** Indicate if asset was purchased *(P), sold (S), or* exchanged (E) in reporting year.
Legislative Retirement Plan	$15,001 - $50,000		NONE	
Woodworth Farmers Group	$1 - $1,000	DIVIDENDS	NONE	
U.S. Treasury Notes/Bonds	None	INTEREST INHERITANCE	$50,001 - $100,000	S
Peterborough Housing Associates (limited partnership; housing-Needham, Massachusetts)	$15,001 - $50,000	PARTNERSHIP INCOME INHERITANCE	$5,001 - $15,000	
Urban Improvement Fund 73 (limited partnership; housing-Greenville, S.C.)	$1,001 - $15,000	PARTNERSHIP INCOME INHERITANCE	$201 - $1,000	

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SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name James M. Ramstad

Farm Property -- North Dakota. Total of 960 acres (no improvements) and undivided one-half interest in parcels totaling 800 acres (one parcel with farm buildings)	$250,001 - $500,000	RENT	$15,001 - $50,000
Della Mae Ramstad Trust. Farm Property -- North Dakota. Total of 5,571 acres (no improvements); undivided one-half interest	$1,000,001 - $5,000,000	RENT INHERITANCE	$50,001 - $100,000
Bank of the West-Keogh Fargo, North Dakota	$50,001 - $100,000	DIVIDENDS INTEREST CAPITAL GAINS INHERITANCE	$2,501 - $5,000

SCHEDULE IV - TRANSACTIONS

Name James M. Ramstad

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
	U.S. Treasury Notes/Bonds	S	01-30-04	$50,001 - $100,000

SCHEDULE V - LIABILITIES

Name James M Ramstad

Report liabilities of over $10,000 owed to any one creditor at any time during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. Exclude: Any mortgage on your personal residence (unless all or part of it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of your or your spouse. Report "revolving charge accounts" only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability
	Bank of the West, Fargo, North Dakota	Mortgage on North Dakota Farm Property (Della Mae Ramstad Trust)	$500,001 - $1,000,000

SCHEDULE VII - TRAVEL PAYMENTS AND REIMBURSEMENTS

Name James M. Ramstad

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totaling more than $285 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor. Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	Point of Departure--Destination--Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Any time not at sponsor's expense
Betty Ford Center	Feb. 21-23	Mpls.--Rancho Mirage,CA--Mpls.	Y	Y	N	1 day

SCHEDULE VIII - POSITIONS

Name James M. Ramstad

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or any business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States. Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
Advisory Board Member	Lake Country Food Bank
Board Member	Minnesota D.A.R.E.
Board Member	C. Charles Jackson Foundation
Advisory Board Member	Bolder Options
Board Member	Minneapolis Police Foundation

3902

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2004

FORM A
For use by Members, officers, and employees

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CHARLES B. RANGEL (Full Name)
40 WEST 135th STREET, APT. 16NP (Mailing Address)
NEW YORK CITY, NY 10037
(212) 663-3900 (Daytime Telephone)

Filer Status	☒ Member of the U.S. House of Representatives	State: New York District: 15	☐ Officer or Employee	Employing Office:
Report Type	☒ Annual (May 15)	☐ Amendment	☐ Termination	Termination Date:

A *$200 penalty* shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes ☐	No ☒	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $285 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes ☐	No ☒
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes ☐	No ☒	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $285 from one source)? **If yes, complete and attach Schedule VII.**	Yes ☒	No ☐
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes ☒	No ☐	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes ☒	No ☐
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes ☒	No ☐	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes ☐	No ☒
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes ☐	No ☒	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**		

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes ☐	No ☒
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes ☐	No ☒

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, § 104 and 18 U.S.C. § 1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	Charles B. Rangel	6/14/'05

3903

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Name Charles B. Rangel Page 1 of 5

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self-directed IRA (i.e., one where you have the power to select the specific investments), provide information on *each asset* in the account that exceeds the reporting threshold, and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see the instruction booklet for the reporting year.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Asset

at close of reporting year.
If you use a valuation method other than fair market value, please specify the method used.
If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

BLOCK D — Amount of Income

For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.

BLOCK E — Transaction

Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.

SP/DC/JT	Asset	A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify: For Example, Partnership Income or Farm Income)	I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	P, S, E
SP	SP Mega Corp. Stock													X																		
DC	*Examples* Simon & Schuster					Indefinite														Royalties				X					X			P
JT	1st Bank of Paducah, KY accounts						X									X									X							
	Punta Cana Beach Villa							X							X										X							
	Punta Cana, D.R. 301 174th St #2017 Sunny Isles, FL 33160					X									X						X											P
	Equities Shares Trust-Dow Jones			X										X									X									P

For additional assets and unearned income, use next page.

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name Charles B. Rangel

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset: A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	BLOCK C Type of Income: DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify)	BLOCK D Amount of Income: I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	BLOCK E Transaction: P, S, E
	Nasdaq 100 Tr. Unit Ser 1				X									X									X									P
	Standard & Poors				X									X								X										P
	CASH + MONEY ACCTS.																															
	NY Institutional Tax			X																												
	EXEMPT EQUITY FUNDS																															
	TNG Principal					X								X										X								P
	Protection Fund																															
	FIXED INCOME FUNDS																															
	Alliance Bernstein Muni						X							X								X										P
	Inc Al New York CT CLA																															
	Putnam New York Div Gr						X							X								X										P
	Muni Tr																															

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SCHEDULE IV — TRANSACTIONS

Name Charles B. Rangel | Page 3 of 5

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction: PURCHASE	SALE	EXCHANGE	Date (MO/DAY/YR)	Amount of Transaction: B $1,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
SP	*Example:* Mega Corporation Common Stock	X			10-12-04		X								
	74 West 132nd Street New York City, NY 10037		X		2/04					X					
	301 174th Street #2217 Sunny Isles, FL 33160	X			3/04				X						

This page may be copied if more space is required.

3906

Name Charles B. Rangel | Page 4 of 5

SCHEDULE VII —TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $285 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor.

Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. § 7342), political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you

	Source	Date(s)	Point of Departure—Destination—Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Any time not at sponsor's expense
Examples:	Chicago Chamber of Commerce	Mar. 2	Wash., D.C.—Chicago—Wash., D.C.	N	N	N	N
	Roycroft Corporation	Aug 6–11	Wash., D.C.—Los Angeles—Cleveland	Y	Y	Y	2 Days
	Democratic Pacific Assembly Conference	8/11-15	New York-Taipei-NY	Y	Y	Y	N
	Tokyo University	8/16-18	Taipei-Tokyo	Y	Y	Y	N
	Ikuta Corporation	8/18-22	Tokyo-Taipei	N	N	Y	N
	Democratic Pacific Assembly Cap	8/22	Taipei-New York	N	N	Y	N
	New York Chess News	11/3-7	New York-St. Kitts-New York	Y	Y	N	N

This page may be copied if more space is required.

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Name Charles B. Rangel | Page 5 of 5

SCHEDULE VIII — POSITIONS

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States.

Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
Member of the Board	New York Empowerment Zone
Member of the Board	The Kheel Foundation

SCHEDULE IX — AGREEMENTS None

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement

GPO: 2005 98-729 (rev)

Use additional sheets if more space is required.

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2004

FORM A
For use by Members, officers, and employees

HAND DELIVERED
CLERK'S RESOURCE CENTER
2005 MAY 16 PM 2:40
HC
(Office Use Only)

Ralph Regula
(Full Name)

2306 Rayburn House Office Building (202) 225-3876
(Mailing Address) Daytime Telephone

Washington, DC 20515

Filer Status	[X] Member of the U.S. House of Representatives	State: District:	[ ] Officer or Employee	Employing Office:
Report Type	[X] Annual (May 15)	[ ] Amendment	[ ] Termination	Termination Date

A *$200 penalty* shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes [X]	No [ ]	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $285 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes [ ]	No [X]
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes [X]	No [ ]	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $285 from one source)? **If yes, complete and attach Schedule VII.**	Yes [X]	No [ ]
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes [X]	No [ ]	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes [X]	No [ ]
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes [ ]	No [X]	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes [ ]	No [X]
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes [X]	No [ ]	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**		

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes [ ]	No [X]
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes [ ]	No [X]

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, § 104 and 18 U.S.C § 1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY *that the statements I have made on this form* and all attached schedules are true, complete and correct to the best of my knowledge and belief	Ralph Regula	May 16, 2005

3909

SCHEDULE I — EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

	Source	Type	Amount
Examples:	Keene State	Approved Teaching Fee	$6,000
	State of Maryland	Legislative Pension	$9,000
	Civil War Roundtable (Oct. 2nd)	Spouse Speech	$1,000
	Ontario County Board of Education	Spouse Salary	NA
	Ohio Public Employees Retirement System	Employer-Employee Funded Retirem.Pension	$6,623.00

For payments to charity in lieu of honoraria, use Schedule II.

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Name	Ralph Regula	Page 3 of 8

SCHEDULE II — PAYMENTS IN LIEU OF HONORARIA MADE TO CHARITY

List the source, activity (i.e., speech, appearance, or article), date, and amount of any payment made by the sponsor of an event to a charitable organization in lieu of an honorarium. A separate confidential list of charities receiving such payments must be filed directly with the Committee on Standards of Official Conduct. An envelope for transmitting the list is included in each Member's filing package.

Source	Activity	Date	Amount
Examples: Association of American Associations, Wash., D.C	Speech	Feb 2, 2004	$2,000
XYZ Magazine	Article	Aug. 13, 2004	$500
Council for Opportunity in Education	Speech	Mar. 22, 2004	$2,000.00
American Society of Anesthesiologists	Speech	May 5, 2004	$1,500.00
American Council on Education	Participation in Fed. Budget Exercise	June 9, 2004	$1,000.00

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Name Ralph Regula

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self-directed IRA (i.e., one where you have the power to select the specific investments), provide information on *each asset* in the account that exceeds the reporting threshold, and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see the instruction booklet for the reporting year.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Asset

at close of reporting year.
If you use a valuation method other than fair market value, please specify the method used.
If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

BLOCK D — Amount of Income

For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.

BLOCK E — Transaction

Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.

	Block A	Block B	Block C	Block D	Block E
		A None; B $1 – $1,000; C $1,001 – $15,000; D $15,001 – $50,000; E $50,001 – $100,000; F $100,001 – $250,000; G $250,001 – $500,000; H $500,001 – $1,000,000; I $1,000,001 – $5,000,000; J $5,000,001 – $25,000,000; K $25,000,001 – $50,000,000; L Over $50,000,000	DIVIDENDS; RENT; INTEREST; CAPITAL GAINS; EXCEPTED TRUST; QUALIFIED BLIND TRUST; Other Type of Income (Specify: For Example, Partnership Income or Farm Income)	I None; II $1 – $200; III $201 – $1,000; IV $1,001 – $2,500; V $2,501 – $5,000; VI $5,001 – $15,000; VII $15,001 – $50,000; VIII $50,001 – $100,000; IX $100,001 – $1,000,000; X $1,000,001 – $5,000,000; XI Over $5,000,000	P, S, E
SP, DC, JT	SP Mega Corp Stock	E	DIVIDENDS X	IV X	P
SP, DC, JT	Examples: Simon & Schuster	Indefinite	Royalties	IX X	
JT	1st Bank of Paducah, KY accounts	F X	INTEREST X		
	United Bank--Stocks	H X	DIVIDENDS X	VI X	
	J.M. Smucker - Stock	D X	DIVIDENDS X	III X	
	Sky Financial Group-Stock	E X	DIVIDENDS X	V X	

For additional assets and unearned income, use next page.

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name Ralph Regula

SP. DC. JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset	BLOCK C Type of Income	BLOCK D Amount of Income	BLOCK E Transaction (P, S, E)
		A None; B $1 – $1,000; C $1,001 – $15,000; D $15,001 – $50,000; E $50,001 – $100,000; F $100,001 – $250,000; G $250,001 – $500,000; H $500,001 – $1,000,000; I $1,000,001 – $5,000,000; J $5,000,001 – $25,000,000; K $25,000,001 – $50,000,000; L Over $50,000,000	DIVIDENDS; RENT; INTEREST; CAPITAL GAINS; EXCEPTED TRUST; QUALIFIED BLIND TRUST; Other Type of Income (Specify)	I None; II $1 – $200; III $201 – $1,000; IV $1,001 – $2,500; V $2,501 – $5,000; VI $5,001 – $15,000; VII $15,001 – $50,000; VIII $50,001 – $100,000; IX $100,001 – $1,000,000; X $1,000,001 – $5,000,000; XI Over $5,000,000	
	Undivided half int. in 25 acres, Bethlehem Twp. Stark County, Ohio	E: X	Royalty Rent	IV: X	
	200 acres, Bethlehem Twp. Stark County, Ohio Exclusive of 2 residences	F: X	Royalty Rent	VI: X	
	Regula Bros. Beach City, Ohio Partnership — Livestock, farm equipment, transportation equipment	F: X	Partnership Distribution	III: X	

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SCHEDULE V — LIABILITIES

Report liabilities of over $10,000 owed to any one creditor ***at any time*** during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. **Exclude:** Any mortgage on your personal residence (unless it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report ***revolving charge accounts*** only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability									
			B $10,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
Example	First Bank of Wilmington, Delaware	Mortgage on 123 Main St., Dover, Del				X						
	Unizan Bank	Mortgage on 8787 Erie Ave. S.W., Navarre, OH 44662			X							
	Unizan Bank	Business Loan			X							

SCHEDULE VI — GIFTS

Report the source, a brief description, and the value of all gifts totalling more than $285 received by you, your spouse, or a dependent child from any source during the year.

Exclude: Gifts from relatives, gifts of personal hospitality of an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of $114 or less need not be added towards the $285 disclosure threshold.

Note: The gift rule (House Rule 25, clause 5) prohibits acceptance of gifts except as specifically provided in the rule.

Source	Description	Value
Example Mr. Joseph H. Smith, Anytown, Anystate	Silver Platter (determination on personal friendship received from Committee on Standards)	$290

Use additional sheets if more space is required.

SCHEDULE VII —TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $285 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor.

Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

	Source	Date(s)	Point of Departure—Destination—Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Any time not at sponsor's expense
Examples:	Chicago Chamber of Commerce	Mar. 2	Wash., D.C.—Chicago—Wash., D.C.	N	N	N	N
	Roycroft Corporation	Aug. 6–11	Wash., D.C.—Los Angeles—Cleveland	Y	Y	Y	2 Days
	Aspen Institute Conf. on Edu.	Feb.17-22	Cleveland-Cancun-Cleveland	Y	Y	Y	N

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SCHEDULE VIII — POSITIONS

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States.

Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
Partner	Regula Brothers Partnership
Trustee	Mount Union College
Trustee	Stark Wilderness Center
Trustee	McKinley Museum
Trustee	JASON Project

SCHEDULE IX — AGREEMENTS

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement

GPO 2005 98-779 (mac)

Use additional sheets if more space is required.

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2004

FORM A
For use by Members, officers, and employees

HAND DELIVERED

2005 JUN 14 AM 10:48

MC

(Office Use Only)

Dennis Ray Rehberg (Full Name)

CHOB 516, WASHINGTON DC (Mailing Address)

(202) 225-3211 Daytime Telephone

U.S. House

Filer Status	[X] Member of the U.S. House of Representatives — State MT, District AL	[ ] Officer or Employee	Employing Office:
Report Type	[X] Annual (May 15)	[ ] Amendment	[ ] Termination — Termination Date

A *$200 penalty* shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes [ ]	No [X]
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes [ ]	No [X]
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes [X]	No [ ]
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes [X]	No [ ]
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes [X]	No [ ]
VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $285 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes [ ]	No [X]
VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $285 from one source)? **If yes, complete and attach Schedule VII.**	Yes [X]	No [ ]
VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes [X]	No [ ]
IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes [ ]	No [X]

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes [ ]	No [X]
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes [ ]	No [X]

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, § 104 and 18 U.S.C. § 1001)

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	Dennis R Rehberg	6/14/05

3917

Name DENNIS RAY REHBERG	Page 2 of 9

SCHEDULE I — EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

	Source	Type	Amount
Examples:	Keene State	*Approved Teaching Fee*	$6,000
	State of Maryland	Legislative Pension	$9,000
	Civil War Roundtable (Oct. 2nd)	Spouse Speech	$1,000
	Ontario County Board of Education	Spouse Salary	NA
	NONE		

For payments to charity in lieu of honoraria, use Schedule II.

SCHEDULE II — PAYMENTS IN LIEU OF HONORARIA MADE TO CHARITY

List the source, activity (i.e., speech, appearance, or article), date, and amount of any payment made by the sponsor of an event to a charitable organization in lieu of an honorarium. A separate confidential list of charities receiving such payments must be filed directly with the Committee on Standards of Official Conduct. An envelope for transmitting the list is included in each Member's filing package.

	Source	Activity	Date	Amount
Examples.	Association of American Associations, Wash., D.C.	Speech	Feb. 2, 2004	$2,000
	XYZ Magazine	Article	Aug. 13, 2004	$500
	NONE			

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Name DENNIS Day REHBERG | Page 4 of 9

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self-directed IRA (i.e., one where you have the power to select the specific investments), provide information on *each asset* in the account that exceeds the reporting threshold, and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see the instruction booklet for the reporting year.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Asset

at close of reporting year.
If you use a valuation method other than fair market value, please specify the method used.
If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

BLOCK D — Amount of Income

For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.

BLOCK E — Transaction

Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.

	Block A	A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify: For Example, Partnership Income or Farm Income)	I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	Block E P, S, E
SP.	SP Mega Corp Stock					X								X										X								P
DC.	*Examples:* Simon & Schuster		Indefinite																	Royalties									X			
JT	1st Bank of Paducah KY accounts						X									X										X						
	SEE ATTACHED LIST FOR DESCRIPTION OF ASSETS																															
1 SP	AG LAND	X															X										X					(S)
2 SP	TEIGEN LAND & LIVESTOCK LC						X													LIVESTOCK SALES						X						
3 SP	LEHNHOFF PROPERTY, LP								X						X										X							
4 SP	LEHNHOFF ESTATE (Gift - Family)																															closed

For additional assets and unearned income, use next page.

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SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name DENNIS RAY REHBERG

	SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset: A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	BLOCK C Type of Income: DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify)	BLOCK D Amount of Income: I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	BLOCK E Transaction P, S, E
5	SP	Keogh Retirement						X																									
		a. GOLDMAN SACHS				X											X							X									
		b. GROWTH FUND				X									X								X										
		c. NEW PERSPECTIVE				X									X								X										
		d. WASHINGTON MUTUAL				X									X									X									
6	JT	1737 AVE D						X								X									X								
7	JT	3902 ~~[illegible]~~ Rifle Creek							X							X											X						
8	JT	REHBERG RANCH LLC										X									NONE SUB S	X											
9	JT	REHBERG RANCH MARKETING, INC									X											X											
10	JT	REHBERG RANCH LAND & LIVESTOCK										X				X					WOOL SALES						X						
11	DC	GILLETTE			X										X								X										
12	DC	SYBASE			X										X							X											
13	DC	INVEST FINANCIAL			X											X							X										
14	DC	FIRST CITIZENS			X												X						X										
15	JT	WESTERN SECURITY	X												X							X											BLOCK D
16	DC	U.S. TREASURY CATS			X																	X											

This page may be copied if more space is required.

SCHEDULE IV — TRANSACTIONS

Name DENNIS RAY REHBERG

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.			Type of Transaction			Date	Amount of Transaction									
SP, DC, JT	Asset		PURCHASE	SALE	EXCHANGE	(MO/DAY/YR)	B $1,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
SP	*Example:*	Mega Corporation Common Stock	X			10–12–04		X								
SP	REAL ESTATE			X		12-30-04		X								

This page may be copied if more space is required.

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SCHEDULE V — LIABILITIES

Report liabilities of over $10,000 owed to any one creditor ***at any time*** during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. **Exclude:** Any mortgage on your personal residence (unless it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report ***revolving charge accounts*** only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	B $10,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
	Example First Bank of Wilmington, Delaware	Mortgage on 123 Main St., Dover, Del				X						
JT	DOROTHY MARTIN	CONTRACT FOR DEED	X									
JT	FIRST CITIZENS BANK	LIVESTOCK LOAN			X							
JT	STOCKMAN BANK	GUARANTEE - RF DEV LOANS							X			
JT	STOCKMAN BANK	R.E. CONST LOAN					X					

SCHEDULE VI — GIFTS

Report the source, a brief description, and the value of all gifts totalling more than $285 received by you, your spouse, or a dependent child from any source during the year.

Exclude: Gifts from relatives, gifts of personal hospitality of an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of $114 or less need not be added towards the $285 disclosure threshold.

Note: The gift rule (House Rule 25, clause 5) prohibits acceptance of gifts except as specifically provided in the rule

Source	Description	Value
Example Mr. Joseph H. Smith, Anytown, Anystate	Silver Platter (determination on personal friendship received from Committee on Standards)	$290
NONE		

Use additional sheets if more space is required.

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Name DENNIS RAY REHBERG	Page 8 of 8

SCHEDULE VII —TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $285 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor.

Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. § 7342), political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

	Source	Date(s)	Point of Departure—Destination—Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Any time not at sponsor's expense
Examples	Chicago Chamber of Commerce	Mar. 2	Wash., D.C.—Chicago—Wash., D.C.	N	N	N	N
	Roycroft Corporation	Aug. 6–11	Wash., D.C.—Los Angeles—Cleveland	Y	Y	Y	2 Days
	Bigelow Aerospace	Feb 5-7	Wash, DC - Las Vegas - Billings	Y	N	N	N

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SCHEDULE VIII — POSITIONS

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States.

Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature, and positions listed on Schedule I.

Position	Name of Organization
MEMBER	REHBERG DAIRY, LLC
MEMBER	REHBERG RANCH LAND & LIVESTOCK, LLC
SHAREHOLDER / DIRECTOR	REHBERG RANCH MARKETING, INC
MEMBER	THE RIMS GOLF CLUB
MEMBER	THE RIMS EQUESTRIAN CENTER LLC

SCHEDULE IX — AGREEMENTS

Identify the date, parties to, and general terms of any agreement or arrangement with respect to future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government, or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement
	NONE	

Use additional sheets if more space is required.

SCHEDULE III – ASSETS AND "UNEARNED" INCOME
Asset Listing

1. Agricultural Land – Petroleum & Fergus County, MT

2. Teigen Land & Livestock Co., Box 2, Teigen, MT
   a. Family Sub-S Corp. (value based upon operating partnership equity)
   b. Livestock Production

3. Lenhardt Property, L.P., Billings, MT
   a. Family partnership – (value based upon operating partnership equity)
   b. Family farm – 4345 King Ave. W. Billings, MT
   c. (Rental Income)

4. Estate of Robert A. Lenhardt – Spouse's father

5. Keogh Retirement Fund -Billings, MT ; Managed by First Interstate Bank
   a. Goldman Sachs Finance- Square Prime
   b. Growth Fund of America
   c. New Perspective Fund
   d. Washington Mutual Investors Fund

6. 1737 Avenue D, Billings, MT - Residential Rental – FMV estimate

7. 3902 Rifle Creek Trail, Billings, MT - Bus/Res Rental – FMV estimate

8. Rehberg Ranch, LLC – 4401 Highway 3, Billings, MT
   a. Undeveloped Land in Sec. 15 & 22, T1N, R25E - FMV estimate

9. Rehberg Ranch Marketing, Inc. – 4401 Highway 3, Billings
   a. Developed Lots – Rehberg Ranch Estates First Filing – FMV Estimate

10. Rehberg Ranch Land & Livestock, LLC – 4401 Highway 3, Billings, MT
    a. Formerly Rehberg Ranch-Sole Proprietorship
    b. Livestock Ranching Operation – FMV Estimate

11. Gillette Stock

12. Sybase Stock

13. Invest Financial Prime Fund Capital Reserves, Money Market Acct

14. 1st Citizens Bank, Billings, MT – Savings & CDs

15. U.S. Treasury CATS

16. Western Security Bank – Billings, MT

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2004

FORM A
For use by Members, officers, and employees

HAND DELIVERED

LEGISLATIVE RESOURCE CENTER
2005 MAY 16 PM 2:16
(Office Use Only)

MC

DAVID GEORGE REICHERT
(Full Name)

1223 LONGWORTH HOB
WASHINGTON, DC 20515
(Mailing Address)

202-225-7761
Daytime Telephone

Filer Status: [X] Member of the U.S. House of Representatives — State WA District 08 — [ ] Officer or Employee — Employing Office

Report Type: [X] Annual (May 15) — [ ] Amendment — [ ] Termination — Termination Date:

A *$200 penalty* shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.** — Yes [X] No [ ]

II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.** — Yes [ ] No [X]

III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.** — Yes [X] No [ ]

IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.** — Yes [ ] No [X]

V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.** — Yes [ ] No [X]

VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $285 and not otherwise exempt)? **If yes, complete and attach Schedule VI.** — Yes [ ] No [X]

VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $285 from one source)? **If yes, complete and attach Schedule VII.** — Yes [ ] No [X]

VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.** — Yes [X] No [ ]

IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.** — Yes [ ] No [X]

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child? — Yes [ ] No [X]

EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption? — Yes [ ] No [X]

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, § 104 and 18 U.S.C. § 1001)

Certification	Signature of Reporting Individual	Date (Month Day Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	D. Reichert	5-16-05

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SCHEDULE I — EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

	Source	Type	Amount
Examples:	Keene State	Approved Teaching Fee	$6,000
	State of Maryland	Legislative Pension	$9,000
	Civil War Roundtable (Oct. 2nd)	Spouse Speech	$1,000
	Ontario County Board of Education	Spouse Salary	NA
	KING COUNTY, SEATTLE, WA (2004 SHERIFF)	SALARY	134,391
	KING COUNTY, SEATTLE, WA	SPOUSE SALARY	NA

For payments to charity in lieu of honoraria, use Schedule II.

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self-directed IRA (i.e., one where you have the power to select the specific investments), provide information on each asset in the account that exceeds the reporting threshold, and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see the instruction booklet for the reporting year.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Asset

at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

BLOCK D — Amount of Income

For retirement plans or accounts that *do not allow you to choose specific* investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.

BLOCK E — Transaction

Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.

	Block A	A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify: For Example, Partnership Income or Farm Income)	I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	Block E P, S, E
SP	Mega Corp. Stock					X								X																		P
DC	Examples: Simon & Schuster		Indefinite																	Royalties				X					X			
JT	1st Bank of Paducah, KY accounts						X									X									X							
	BANK OF AMERICA			X												X						X										
	KING COUNTY CREDIT UNION			X												X						X										
	T. ROWE PRICE, RETIREMENT						X													NA	X											
	WA. DEPT OF RETIREMENT SYS.						X													NA	X											
SP	WA. DEPT OF RETIREMENT SYS.				X															NA	X											
	INT'L CREATIVE MGMT, INC.	X																		ROYALTIES					X							

For additional assets and unearned income, use next page.

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SCHEDULE VIII — POSITIONS

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States.

Exclude: Positions held in any religious, social, fraternal, or political entities, positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
KING COUNTY SHERIFF	KING COUNTY SHERIFF'S OFFICE, SEATTLE, WA
PRESIDENT	SAM HICKS EMERGENCY RELIEF INTERIM FIRST FUND

SCHEDULE IX — AGREEMENTS

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment, a leave of absence during the period of government service, continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement
	NA	

Use additional sheets if more space is required.

UNITED STATES HOUSE OF REPRESENTATIVES
FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2004

FORM A
For use by Members, officers, and employees

HAND DELIVERED

LEGISLATIVE RESOURCE CE
2005 MAY 16 PM 1:0
(Office Use Only)

Richard G. Renzi
(Full Name)

2063 North Raintree Drive Flagstaff, AZ 86004
(Mailing Address)

2022252315
Daytime Telephone:

Filer Status	☑ Member of the U.S. House of Representative	State: AZ District: 1	☐ Officer Or Employee — Employing Office:
Report Type	☒ Annual (May 15)	☐ Amendment	☐ Termination — Termination Date:

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I.	Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? If yes, complete and attach Schedule I.	Yes ☐ No ☑	
II.	Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? If yes, complete and attach Schedule II.	Yes ☐ No ☑	
III.	Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? If yes, complete and attach Schedule III.	Yes ☑ No ☐	
IV.	Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? If yes, complete and attach Schedule IV.	Yes ☑ No ☐	
V.	Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? If yes, complete and attach Schedule V.	Yes ☑ No ☐	
VI.	Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $285 and not otherwise exempt)? If yes, complete and attach Schedule VI.	Yes ☐ No ☑	
VII.	Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $285 from one source)? If yes, complete and attach Schedule VII.	Yes ☐ No ☑	
VIII.	Did you hold any reportable positions on or before the date of filing in the current calendar year? If yes, complete and attach Schedule VIII.	Yes ☑ No ☐	
IX.	Did you have any reportable agreement or arrangement with an outside entity? If yes, complete and attach Schedule IX.	Yes ☐ No ☑	

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

Trusts-	Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes ☐ No ☑
Exemptions--	Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes ☐ No ☑

CERTIFICATION -- THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and wilfully fails to file this report may be subject to civil penalties and criminal sanctions (See 5 U.S.C. app. 4, § 104 and U.S.C. § 1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief		5/16/05

3931

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Richard G. Renzi

	BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self directed IRA (i.e., one where you have the power to select the specific investments) provide information on each asset in the account that exceeds the reporting threshold and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see instruction booklet for the reporting year. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.	BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership Income or Farm Income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for Income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.	BLOCK E **Transaction** Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.
	Renzi Vino, Inc., Sonoita, AZ	$500,001- $1,000,000		NONE	
	12 Los Encinos, Sonoita, AZ	$500,001 - $1,000,000		NONE	
	Fountain Hills Realty & Development, Kingman, AZ	None		$100,000	S
SP	Patriot Insurance, Inc., Sonoita, AZ	$1,000,001 - $5,000,000	Dividends	$100,001-$1,00,000	
	AIM Constellation fund, Class B	$1,001 - $15,000	Dividends	$1 - $200	
	AIM Global Aggressive Growth Fund, Class B	$1,001 - $15,000	Dividends	$1 - $200	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Richard G Renzi

	AIM Global Growth Fund, Class B	$1,001 - $15,000	Dividends	$1 - $200	
	573 North Lower Elgin Road, Elgin, AZ (property is a personal residence)	$500,001 - $1,000,000		NONE	

SCHEDULE IV - TRANSACTIONS

Name Richard G. Renzi

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
	Fountain Hills Realty & Development, Kingman, AZ	S	2/16/03	$100,001 - $250,000

SCHEDULE V - LIABILITIES

Name Richard G. Renzi

Report liabilities of over $10,000 owed to any one creditor at any time during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. Exclude: Any mortgage on your personal residence (unless all or part of it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of your or your spouse. Report "revolving charge accounts" only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability
	Chase Manhattan, New York, NY	Mortgage on 12 Los Encinos, Sonoita, AZ	$100,001 - $250,000
	Land America, Richmond, VA	Mortgage on 12 Los Encinos, Sonoita, AZ	$50,001 - $100,000
	Land America, Richmond, VA	Mortgage on 12 Los Encinos, Sonoita, AZ	$15,001 - $50,000

SCHEDULE VIII - POSITIONS

Name Richard G. Renzi

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or any business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States. Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
President	Renzi Vino, Inc
Member of Board	Goodwill Industries, Flagstaff, AZ

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2004

FORM A
For use by Members, officers, and employees

LEGISLATIVE RESOURCE CENTER
2005 MAY 13 PM 12: 08
HOUSE OF REPRESENTATIVES
HAND DELIVERED
(Office Use Only)

Silvestre Reyes
(Full Name)

732 Azalea, El Paso, TX 79922
(Mailing Address)

(202) 225-4831
Daytime Telephone

Filer Status	[X] Member of the U.S. House of Representatives — State: District	[ ] Officer or Employee	Employing Office:
Report Type	[X] Annual (May 15)	[ ] Amendment	[ ] Termination — Termination Date

A *$200 penalty* shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes [ ]	No [X]
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes [ ]	No [X]
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes [ ]	No [X]
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes [ ]	No [X]
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes [X]	No [ ]
VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $285 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes [ ]	No [X]
VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $285 from one source)? **If yes, complete and attach Schedule VII.**	Yes [ ]	No [X]
VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes [X]	No [ ]
IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes [ ]	No [X]

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes [ ]	No [X]
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes [ ]	No [X]

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, § 104 and 18 U.S.C. § 1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	Silvestre Reyes	5/12/05

3937

Name Silvestre Reyes

SCHEDULE V — LIABILITIES

Report liabilities of over $10,000 owed to any one creditor ***at any time*** during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. **Exclude:** Any mortgage on your personal residence (unless it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report ***revolving charge accounts*** only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability									
			B $10,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
	Example: First Bank of Wilmington, Delaware	Mortgage on 123 Main St., Dover, Del				X						
	Ruidoso State Bank, NM	Mortgage on undeveloped land at Lincoln County										

SCHEDULE VI — GIFTS

Report the source, a brief description, and the value of all gifts totalling more than $285 received by you, your spouse, or a dependent child from any source during the year.

Exclude: Gifts from relatives, gifts of personal hospitality of an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of $114 or less need not be added towards the $285 disclosure threshold.

Note: The gift rule (House Rule 25, clause 5) prohibits acceptance of gifts except as specifically provided in the rule.

Source	Description	Value
Example Mr. Joseph H. Smith, Anytown, Anystate	Silver Platter (determination on personal friendship received from Committee on Standards)	$290

Use additional sheets if more space is required.

SCHEDULE VIII — POSITIONS

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States.

Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
Board	Congressional Hispanic Caucus Institute

SCHEDULE IX — AGREEMENTS

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement

Use additional sheets if more space is required.

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2004

FORM A
For use by Members, officers, and employees

2005 MAY 12 PM 5:50
U.S. HOUSE OF REPRESENTATIVES

8

MC

HAND DELIVERED

(Office Use Only)

Thomas M Reynolds
(Full Name)

8261 Old Post Rd, E. Amherst, NY 14051 (716) 634-2324
(Mailing Address) (Daytime Telephone)

Filer Status	[X] Member of the U.S. House of Representatives — State: NY District: 26	[ ] Officer or Employee	Employing Office
Report Type	[X] Annual (May 15)	[ ] Amendment	[ ] Termination — Termination Date

A *$200 penalty* shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes [X]	No [ ]
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes [ ]	No [X]
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes [X]	No [ ]
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes [X]	No [ ]
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes [X]	No [ ]
VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $285 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes [ ]	No [X]
VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $285 from one source)? **If yes, complete and attach Schedule VII.**	Yes [X]	No [ ]
VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes [X]	No [ ]
IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes [ ]	No [X]

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes [ ]	No [X]
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes [ ]	No [X]

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

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Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	Thomas M Reynolds	5-12-05

3940

SCHEDULE I — EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

	Source	Type	Amount
Examples:	Keene State	Approved Teaching Fee	$6,000
	State of Maryland	Legislative Pension	$9,000
	Civil War Roundtable (Oct. 2nd)	Spouse Speech	$1,000
	Ontario County Board of Education	Spouse Salary	NA
	MJ Peterson Real Estate	Spouse commissions	NA

For payments to charity in lieu of honoraria, use Schedule II.

Name T. Reynolds

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self-directed IRA (i.e., one where you have the power to select the specific investments), provide information on *each asset* in the account that exceeds the reporting threshold, and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see the instruction booklet for the reporting year.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Asset

at close of reporting year.
If you use a valuation method other than fair market value, please specify the method used.
If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

BLOCK D — Amount of Income

For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.

BLOCK E — Transaction

Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.

	Block A	Block B	Block C	Block D	Block E
		A None; B $1 – $1,000; C $1,001 – $15,000; D $15,001 – $50,000; E $50,001 – $100,000; F $100,001 – $250,000; G $250,001 – $500,000; H $500,001 – $1,000,000; I $1,000,001 – $5,000,000; J $5,000,001 – $25,000,000; K $25,000,001 – $50,000,000; L Over $50,000,000	DIVIDENDS; RENT; INTEREST; CAPITAL GAINS; EXCEPTED TRUST; QUALIFIED BLIND TRUST; Other Type of Income (Specify: For Example, Partnership Income or Farm Income)	I None; II $1 – $200; III $201 – $1,000; IV $1,001 – $2,500; V $2,501 – $5,000; VI $5,001 – $15,000; VII $15,001 – $50,000; VIII $50,001 – $100,000; IX $100,001 – $1,000,000; X $1,000,001 – $5,000,000; XI Over $5,000,000	P, S, E
SP. SP Mega Corp. Stock		X	X	X	P
DC. *Examples* Simon & Schuster		Indefinite	Royalties	X	
JT 1st Bank of Paducah, KY accounts		X	X	X	
Key Bank Money Market		X	X	X	
Key Bank Savings Acct		X	X	X	
Erie Co. Employees Credit Union		X	X	X	
New York State Retirement System		Unknown	future pension	Unknown N/A	
JT Key Bank Money Market		X	X	X	

For additional assets and unearned income, use next page.

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SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name T. Reynolds

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset	BLOCK C Type of Income	BLOCK D Amount of Income	BLOCK E Transaction
		A None; B $1 – $1,000; C $1,001 – $15,000; D $15,001 – $50,000; E $50,001 – $100,000; F $100,001 – $250,000; G $250,001 – $500,000; H $500,001 – $1,000,000; I $1,000,001 – $5,000,000; J $5,000,001 – $25,000,000; K $25,000,001 – $50,000,000; L Over $50,000,000	DIVIDENDS; RENT; INTEREST; CAPITAL GAINS; EXCEPTED TRUST; QUALIFIED BLIND TRUST; Other Type of Income (Specify)	I None; II $1 – $200; III $201 – $1,000; IV $1,001 – $2,500; V $2,501 – $5,000; VI $5,001 – $15,000; VII $15,001 – $50,000; VIII $50,001 – $100,000; IX $100,001 – $1,000,000; X $1,000,001 – $5,000,000; XI Over $5,000,000	P, S, E
	Congressional Federal Credit Union				
	Savings	A X	INTEREST X	II X	
	Checking	C X			
SP	National Financial Services		Other Type of Income: IRA		
	1) American Growth Fund of America Class B	C X		I X	
	2) Pioneer Protected Principal PLS II B	C X		I X	
	6200 S. Park Ave Hamburg, Ny	F X		I X	
	H 102 Snowpine Village Ellicottville, Ny (1/2 partnership)	E X	DIVIDENDS X	VI X	
	LOT 5 East Hill Estates Springville, Ny (1/3 partnership)	C X	Other Type of Income: Subdivision lot	I X	

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SCHEDULE IV — TRANSACTIONS

Name: T. Reynolds

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction: PURCHASE	SALE	EXCHANGE	Date (MO/DAY/YR)	Amount of Transaction: B $1,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
SP	*Example:* Mega Corporation Common Stock	X			10–12–04		X								
SP	National Financial Services IRA Brokerage Account	X			1-23-04	X									

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Name T. Reynolds | Page 6 of 8

SCHEDULE V — LIABILITIES

Report liabilities of over $10,000 owed to any one creditor ***at any time*** during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. **Exclude:** Any mortgage on your personal residence (unless it is rented out); loans secured by automobiles, household furniture, or appliances, and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report ***revolving charge accounts*** only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability										
			B $10,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
Example:	First Bank of Wilmington, Delaware	Mortgage on 123 Main St., Dover, Del				X						
	Seth Abbott Hamburg, NY	Mortgage on H-102 Sharpsville Ellicottville, NY		X								

SCHEDULE VI — GIFTS

Report the source, a brief description, and the value of all gifts totalling more than $285 received by you, your spouse, or a dependent child from any source during the year.

Exclude: Gifts from relatives, gifts of personal hospitality of an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of $114 or less need not be added towards the $285 disclosure threshold.

Note: The gift rule (House Rule 25, clause 5) prohibits acceptance of gifts except as specifically provided in the rule

Source	Description	Value
Example: Mr. Joseph H. Smith, Anytown, Anystate	Silver Platter (determination on personal friendship received from Committee on Standards)	$290
NONE		

Use additional sheets if more space is required.

3945

SCHEDULE VII —TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $285 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor.

Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

	Source	Date(s)	Point of Departure—Destination—Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Any time not at sponsor's expense
Examples:	Chicago Chamber of Commerce	Mar. 2	Wash., D.C.—Chicago—Wash., D.C.	N	N	N	N
	Roycroft Corporation	Aug. 6–11	Wash., D.C.—Los Angeles—Cleveland	Y	Y	Y	2 Days
	Leadership Retreat Congressional Institute	Jan 14-16	Wash, D.C. - St Michaels, Md. - Wash, D.C.	Y	Y	N	N
	Leadership Retreat Congressional Institute	Nov 30 - Dec 2	Wash, D.C - Irvington, Va - Wash. D.C.	Y	Y	N	N

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SCHEDULE VIII — POSITIONS

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States.

Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
Real Estate Broker	Thomas M Reynolds Real Estate Broker

SCHEDULE IX — AGREEMENTS

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government, or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement
	NONE	

Use additional sheets if more space is required.

UNITED STATES HOUSE OF REPRESENTATIVES
FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2004

FORM A
For use by Members, officers, and employees

HAND DELIVERED

LEGISLATIVE RESOURCE CENTER
2005 MAY 16 PM 1:34
(Office Use Only)
CLERK
U.S. HOUSE OF REPRESENTATIVES

MC

3948

Congressman Harold Dallas Rogers
(Full Name)

2406 Rayburn H.O.B. Washington, DC 20515
(Mailing Address)

(202) 225-4601
Daytime Telephone:

Filer Status ☑ Member of the U.S. House of Representatives — State: KY — District: 05 ☐ Officer Or Employee — Employing Office:

Report Type ☑ Annual (May 15) ☐ Amendment ☐ Termination — Termination Date:

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I.	Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes ☐ No ☑	VI.	Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $285 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes ☐ No ☑
II.	Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes ☐ No ☑	VII.	Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $285 from one source)? **If yes, complete and attach Schedule VII.**	Yes ☑ No ☐
III.	Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes ☑ No ☐	VIII.	Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes ☑ No ☐
IV.	Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes ☑ No ☐	IX.	Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes ☐ No ☑
V.	Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes ☑ No ☐		**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**	

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

Trusts-	**Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?**	Yes ☐ No ☑
Exemptions-	**Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?**	Yes ☐ No ☑

CERTIFICATION -- THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and wilfully falsifies, or who knowingly and wilfully fails to file this report may be subject to civil penalties and criminal sanctions (See 5 U.S.C. app. 4, § 104 and U.S.C. § 1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief	Harold Rogers	5-12-05

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Congressman Harold Dallas Rogers

BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self directed IRA (i.e., one where you have the power to select the specific investments) provide information on each asset in the account that exceeds the reporting threshold and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see instruction booklet for the reporting year. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.	BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership income or Farm Income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.	BLOCK E **Transaction** Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.
Citizens Bancshares	$1,000,001 - $5,000,000	DIVIDENDS	$100,001 - $1,000,000	
Congressional Federal Credit Union	$50,001 - $100,000	None	NONE	
Fidelity Magellan Fund (transferred to Edward Jones on 7/8/04)	None	DIVIDENDS	$1 - $200	S
Beaver Lodge Subdivision - Wayne County, Kentucky	$1 - $1,000	None	NONE	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Congressman Harold Dallas Rogers

	See Attached UBS Financial Services and Edward Jones Statements for Brokerage Assets, Income and Transactions (UBS accounts transferred to Edward Jones in July 2004)	see attached	see attached	see attached	PS
SP	Specified Period Annuity - Northwestern Mutual Life	$0	INTEREST	$2,501 - $5,000	S
SP	See Attached UBS Financial Services Statements for Assets, Income and Transactions	see attached	see attached	see attached	PS

SCHEDULE IV - TRANSACTIONS

Name Congressman Harold Dallas Rogers

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
	See attached UBS Financial Services and Edward Jones Statements for Transactions	PS	See Attached	See Attached
SP	See Attached UBS Financial Services Statements for Transactions	PS	See Attached	See Attached
SP	Specified Period Annuity	S	Monthly partial redemption of $2,121.86 beginning 01-01-04 through 11-01-04. Final distribution made 11/01/04.	$15,001 - $50,000
	Fidelity Magellan Fund (see Edward Jones statements for sale)	S	07/21/04	$15,001 - $50,000

3951

SCHEDULE V - LIABILITIES

Name Congressman Harold Dallas Rogers

Report liabilities of over $10,000 owed to any one creditor at any time during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. Exclude: Any mortgage on your personal residence (unless all or part of it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of your or your spouse. Report "revolving charge accounts" only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability
SP	Citizens National Bank - Somerset, KY	Line of Credit	$15,001 - $50,000
SP	Citizens National Bank - Somerset, KY	Personal Note - - Paid in Full 7/16/04	$7,000

SCHEDULE VII - TRAVEL PAYMENTS AND REIMBURSEMENTS

Name Congressman Harold Dallas Rogers

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $285 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor. Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	Point of Departure--Destination--Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Any time not at sponsor's expense
American Assoc. Of Airport Executives	Jan. 9-16	Lexington, KY--Lihue, HI--San Diego, CA	Y	Y	Y	n/a
Assoc. Of American Railroads	Jan. 16-19	San Diego, CA--Washington, D.C.	Y	Y	Y	n/a
American Assoc. Of Airport Executives	Aug. 1-5	Los Angeles, CA--San Francisco, CA	Y	Y	Y	n/a
SecuriMetrics Inc.	Aug. 21-24	Boise, ID--Hamilton, MT--Sun Valley, ID	Y	Y	Y	n/a

SCHEDULE VIII - POSITIONS

Name Congressman Harold Dallas Rogers

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or any business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States. Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
Director (unpaid)	Citizens Bancshares, Inc. Somerset, Kentucky

UBS Financial Services Inc.
307 SOUTH ASHLAND AVENUE
LEXINGTON, KY 40502

Summary of Accounts

PZ8A091013-X356
7387858 - 000008S

PZ8A091013-X356 - 0104 - LC - 0

January 2004

HAROLD D ROGERS

00014282

Summary of assets

This section provides values and percentages by asset category for accounts listed in the Summary of account values section below. Unpriced assets are excluded; see back for details.

	% of priced assets	Value
Equities	87.92	351,179.65
Money funds and other sweep options	.21	820.39
Mutual funds	10.29	41,097.24
Unit investment trusts	1.58	6,329.70
Total account values	**100.00**	**$ 399,426.98**

Summary of account values

*This section consolidates account value information for accounts listed below. If you have additional accounts that you wish to be included, please contact your Financial Advisor. Account value plus other items excludes private investments and unpriced assets, but includes insurance products, restricted securities, pending return of principal, accrued interest and cash and sweep option balances. Accounts with only private investments are not listed below. *** indicates the statement for this account has been suppressed from printing. You may access the statement through Online Services.*

Account number	Account type	Account title	Cash/Money funds	Account value plus other items
	RMA	HAROLD D ROGERS	$ 644.31	$ 324,037.86
	IRA	HAROLD ROGERS IRA	176.08	75,389.02
		Total on January 30	**$ 820.39**	**$ 399,426.98**

Summary of earnings

This section summarizes earnings for each account and does not reflect your accounts' tax status or reporting requirements. Use only official tax reporting documents (e.g. 1099, 5498) for tax return purposes. The Unclassified column includes private investment distributions and earnings for accounts for which it is not appropriate to classify earnings as taxable or non-taxable (e.g. retirement plan accounts).

Account number	Account type	Year-to-date earnings: Taxable	Non-taxable	Unclassified	Total	Est. annual income
	RMA	$ 602.08	$ .11	$ .00	$ 602.19	$ 3,751
	IRA	— not applicable —		.00	.00	916
		$ 602.08	**$ .11**	**$ .00**	**$ 602.19**	**$ 4,667**

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UBS Financial Services Inc.
307 SOUTH ASHLAND AVENUE
LEXINGTON, KY 40502

PZ8A091014-X358 - 0104 - LC - D

Resource Management Account®

PZ8A091014-X358
000002

Account Number:
Universal ID:

HAROLD D ROGERS

1

January 2004

This month at a glance

Value on December 31	$	313,639.95
Value on January 30	$	324,037.96
Money fund and other sweep option balance in 01/30 value	$	644.31

Portfolio summary

		Current period		Year-to-date
Opening value	$	313,639.95	$	313,639.95
Net security earnings		602.19		602.19
Change in value of investments		9,795.82		9,795.82
Value on January 30	$	324,037.96	$	324,037.96

Earnings summary

Earnings below are classified as taxable or non-taxable based on the tax status for the specific security on which the earnings are received and does not attempt to reflect your account's tax-status or reporting requirements. Use only official tax reporting documents (e.g. 1099) for tax reporting purposes. The classification of private investment distributions can only be determined by referring to the official year-end tax reporting document provided by the issuer.

		Current period		Year-to-date
Taxable				
Dividends	$	602.08	$	602.08
Total taxable security earnings	$	602.08	$	602.08
Non-taxable				
Dividends/interest	$	.11	$	.11
Total non-taxable security earnings	$	.11	$	.11
Total current year security earnings	$	602.19	$	602.19
Net security earnings	$	602.19	$	602.19

Bulletin Board
SPEAK WITH YOUR FINANCIAL ADVISOR ABOUT YOUR ASSET ALLOCATION STRATEGY – SPECIFICALLY, YOUR ALLOCATION TO CASH. SEE INVESTMENT INTELLIGENCE FOR DETAILS.
RESOURCELINE 1-800-RMA-1000: ACCOUNT #: 278061809
VISIT OUR WEB SITE AT WWW.UBS.COM.

Asset summary

Refer to the disclosure on the back of the first page for information on assets excluded from this summary.

	% of portfolio		Value
Equities	99.80		323,393.65
Money funds/sweep options	.20		644.31
Net invested assets	100.00	$	324,037.96
Value on January 30		$	324,037.96

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Investment objectives

The following return objective and risk profile(s) describe overall goals for this account. For each account held, you must provide one return objective, one primary risk profile and, if applicable, a secondary risk profile. A full description of the alternatives is included on the back of the first page. If you have questions regarding these objectives, disagree with or wish to change them, please notify your Financial Advisor or Branch Manager at your branch office, in writing or by telephone.

Return objective: Capital appreciation

Risk profile: Primary: Moderate Secondary: None selected

Asset portfolio

When available, prices, income and current values may be approximate and thus gains/losses may not be accurately reflected. An asterisk (*) indicates the calculation of unrealized gains/losses based upon a UBS Financial Services adjustment to cost basis. The number "1" indicates cost basis information has been provided by a source other than UBS Financial Services. See the back of the first page for additional information. Gains/losses are not calculated on zero coupon investments. "Pending adjustment" or "PIA" indicates cost basis has not yet been allocated as a result of a spin-off or taxable exchange. § indicates the rating for this stock may have been placed Under Review by the analyst, may be subject to a Rating/Return divergence or may have an exception to the core rating bands. ± Indicates recommendation and price target information temporarily suspended pending review. For further information please refer to the "Research Rating Exceptions" daily report or contact your Financial Advisor.

Equities

Common stock

Total shares	Description	Price	Current value	Est. annual income	Trade date	Shares purchased	Purchase price	Cost basis	Unrealized Gain/loss
250	ADOBE SYSTEMS INC (DELAWARE)	38.300	9,575.00	13	04/01/02	100.000	40.960	4,174	-344
					09/11/03	150.000	40.020	6,109	-364
500	ALEXANDER & BALDWIN INC	32.430	16,215.00	450	09/11/03	250.000	28.340	7,209	898
					09/26/03	150.000	27.850	4,237	627
					10/09/03	100.000	30.700	3,154	89
136	APACHE CORP	38.480	5,233.28	33	02/15/01	136.000	26.493	3,664	1,569
200	ASHLAND INC	46.310	9,262.00	220	10/23/03	200.000	35.560	7,287	1,975
100	AT&T WIRELESS SERVICES INC	11.050	1,105.00		12/05/00	100.000	18.000	1,854	-749
200	ATLANTIC TELE NETWORK INC NEW	28.180	5,636.00	200	08/26/03	100.000	20.490	2,107	711
					08/29/03	100.000	20.670	2,126	692
300	BAXTER INTL INC	29.150	8,745.00	175	08/26/03	200.000	27.010	5,509	321
					09/11/03	100.000	29.860	3,055	-140
300	CASS INFORMATION SYSTEMS INC	33.300	9,990.00	252	08/11/03	300.000	33.843	10,318	-328
100	CISCO SYS INC	25.710	2,571.00		01/17/01	100.000	40.437	4,121	-1,550
125	DANAHER CORP	91.550	11,443.75	13	08/26/03	100.000	75.260	7,608	1,547
					11/05/03	25.000	83.590	2,160	128
200	FLEXTRONICS INTL LTD	18.930	3,786.00		09/26/03	200.000	14.140	2,928	858
150	GENL ELECTRIC CO	33.630	5,044.50	120	07/14/99	150.000	38.500	5,868	-823

Continued on page 3

3957

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Common stock - continued

Total shares	Description	Price	Current value	Est. annual income	Trade date	Shares purchased	Purchase price	Cost basis	Unrealized Gain/loss
100	GILEAD SCIENCES INC	54.810	5,481.00		08/26/03	100.000	63.360	6,418	-937
300	GIVEN IMAGING LTD SHS ORD	24.490	7,347.00		08/26/03	200.000	11.549	2,383	2,515
					11/05/03	100.000	15.899	1,662	787
400	H & R BLOCK INC	57.930	23,172.00	320	09/11/03	250.000	41.200	10,457	4,025
					10/09/03	150.000	44.040	6,741	1,948
100	HARMONY GOLD MINING CO LTD SPON ADR	15.270	1,527.00	25	11/08/02	100.000	15.070	1,553	-26
911	INTEL CORP	30.520	27,803.72	146	02/24/99	511.000	5.105	2,608	12,987[1]
					12/31/99	400.000	15.312	6,125	6,083[1]
100	INTL BUSINESS MACH	99.230	9,923.00	64	04/16/02	100.000	86.300	8,723	1,200
150	LABORATORY CORP AMER HLDGS NEW	42.700	6,405.00		08/26/03	100.000	30.020	3,071	1,199
					11/05/03	50.000	34.500	1,799	336
100	LEXMARK INTL INC	82.890	8,289.00		12/30/99	100.000	91.625	9,255	-966
100	LINCARE HLDGS INC	32.060	3,206.00		09/24/03	100.000	38.000	3,903	-697
100	LINCOLN ELEC HOLDINGS NEW	24.710	2,471.00	64	08/26/03	100.000	22.730	2,335	136
24	MEDCO HEALTH SOLUTIONS INC	36.850	884.40		05/24/94	12.000	6.888	82	360
					03/19/99	12.000	6.888	82	360
200	MERCK & CO	47.600	9,520.00	296	05/24/94	100.000	14.609	1,460	3,300[1]
					03/19/99	100.000	14.609	1,460	3,300[1]
500	MILLENNIUM PHARMACEUTICALS INC	17.600	8,800.00		09/24/03	500.000	16.290	8,349	451
500	MOTOROLA INC	16.580	8,290.00	80	04/25/00	6.000	16.666	100	[1]
					12/01/00	94.000	20.000	1,834	-375
					01/17/01	100.000	22.000	2,268	-610
					08/25/03	200.000	10.310	2,132	1,184
					09/11/03	100.000	11.020	1,157	501
500	OPTIMAL ROBOTICS CORP NEW CL A	8.570	4,285.00		09/26/03	500.000	8.430	4,351	-66
150	ORACLE CORP	13.860	2,079.00		11/30/00	150.000	23.250	3,572	-1,493
200	PALMONE INC	10.250	2,050.00		05/29/01	12.000	80.082	1,004	-881
					06/21/01	38.000	54.644	2,162	-1,772
					12/02/02	50.000	10.839	576	-63
					10/10/03	100.000	14.058	1,449	-424
61	PALMSOURCE INC	18.500	1,128.50		05/29/01	3.000	159.783	501	-445
					06/21/01	13.000	104.568	1,415	-1,174
					12/02/02	15.000	21.627	345	-87

Continued on page 4

Common stock - continued

Total shares	Description	Price	Current value	Est. annual income	Trade date	Shares purchased	Purchase price	Cost basis	Unrealized Gain/loss
					10/10/03	30.000	28.050	867	-312
300	PENGROWTH ENERGY TRUST	14.030	4,208.00	583	08/27/03	300.000	12.570	3,873	336
300	PFIZER INC	36.630	10,989.00	204	06/23/99	300.000	32.937	9,974	1,015
250	SEARS ROEBUCK	44.250	11,062.50	230	09/11/03	250.000	43.780	11,108	-45
500	SONY CORP ADR NEW JAPAN	40.650	20,325.00	99	09/11/03	250.000	36.040	9,154	1,008
					10/09/03	250.000	35.950	9,161	1,001
1,300	TEXAS INSTRUMENTS	31.350	40,755.00	111	05/24/94	436.000	4.925	2,147	11,521'
					05/25/94	800.000	4.925	7,880	17,200'
					05/25/96	64.000	12.050	771	1,235'
500	TIME WARNER INC	17.570	8,785.00		01/08/00	100.000	72.000	7,293	-5,538
					05/01/03	50.000	13.580	734	144
					10/10/03	350.000	15.650	5,612	537
250	WALT DISNEY CO (HOLDING CO)	24.000	6,000.00	53	04/20/99	54.000	32.750	1,806	-510
	DISNEY COM				04/22/99	100.000	33.437	3,426	-1,028
					12/01/00	96.000	26.041	2,604	-300
	Total		**$ 323,393.65**	**3,751**				**261,330**	**62,061**

Money funds and other sweep options

Description	Opening balance	Closing balance	Closing share price	Average rate	Dividend/ interest period	Days in period
RMA TAX-FREE FUND INC	43.19	644.31	1.00	0.42%	12/24 - 01/25	33
Total	**$ 43.19**	**$ 644.31**				

Monthly activity

Cash flow

Date	Activity	Description	Amount
12/31		**Balance forward**	**$ -1.07**
01/02	DIVIDEND	H & R BLOCK INC	80.00
		PAID ON 400	
01/02	DIVIDEND	MERCK & CO	74.00
		PAID ON 200	
01/02	DIVIDEND	SEARS ROEBUCK	57.50
		PAID ON 250	
01/05	DIVIDEND	BAXTER INTL INC	174.60
		PAID ON 300	

Continued on page 5

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ash flow - continued

ate	Activity	Description	Amount
1/06	DIVIDEND	WALT DISNEY CO (HOLDING CO) DISNEY COM PAID ON 250	52.50
1/09	DIVIDEND	ATLANTIC TELE NETWORK INC NEW PAID ON 200	50.00
1/15	DIVIDEND	LINCOLN ELEC HOLDINGS NEW PAID ON 100	16.00
1/15	DIVIDEND	MOTOROLA INC PAID ON 500	20.00
1/15	DIVIDEND	PENGROWTH ENERGY TRUST	41.22
1/23	DIVIDEND	ADOBE SYSTEMS INC (DELAWARE) PAID ON 250	3.13
01/26	DIVIDEND	RMA TAX-FREE FUND INC AS OF 01/23/04	.11
01/26	DIVIDEND	GENL ELECTRIC CO PAID ON 150	30.00
01/29	DIVIDEND	DANAHER CORP PAID ON 125	3.13
		Proceeds from money fund/sweep trans.	**$ 1.07**
		Funds used for money fund/sweep trans.	**$ -602.19**
01/30		**Closing balance**	**$ .00**

ecurity transactions

fer to the disclosure on the back of the first page regarding the Price/Value presented for restricted securities

ate	Activity	Description	Quantity	Price / Value	Amount
1/15	DIVIDEND	APACHE CORP PAID ON 68	68.000		

loney funds and other sweep options

e RMA Tax-Free Fund Inc is your primary sweep fund

ate	Activity	Money fund/sweep option	Amount
2/31		**Opening RMA Tax-Free Fund Inc**	**$ 43.19**
1/02	SOLD	RMA TAX-FREE FUND INC AS OF 12/31/03	-1.07
1/05	BOUGHT	RMA TAX-FREE FUND INC	211.50
1/06	BOUGHT	RMA TAX-FREE FUND INC	174.60
1/07	BOUGHT	RMA TAX-FREE FUND INC	52.50
1/12	BOUGHT	RMA TAX-FREE FUND INC	50.00
1/16	BOUGHT	RMA TAX-FREE FUND INC	77.22
1/26	BOUGHT	RMA TAX-FREE FUND INC AS OF 01/23/04	.11
01/26	BOUGHT	RMA TAX-FREE FUND INC	3.13
01/27	BOUGHT	RMA TAX-FREE FUND INC	30.00
01/30	BOUGHT	RMA TAX-FREE FUND INC	3.13
01/30		**Closing RMA Tax-Free Fund Inc**	**$ 644.31**
		Sweep option purchased/deposited	**$ 602.19**
		Sweep option sold/withdrawn	**$ -1.07**

3960

UBS Financial Services Inc.
307 SOUTH ASHLAND AVENUE
LEXINGTON, KY 40502

PZ9A091019-X356 - 0104 - LC - 0

Retirement Account

PZ9A091019-X356
000007

Account Number:
Universal ID:
Account Type

HAROLD ROGERS
IRA

1

3961

anuary 2004

his month at a glance

lue on December 31	$	73,579.54
lue on January 30	$	75,389.02
Money fund and other sweep option balance in 01/30 value	$	176.08

sset summary

er to the disclosure on the back of the first page for information on assets excluded from this summary

	% of portfolio		Value
uities	36.86		27,786.00
oney funds/sweep options	.23		176.08
itual funds	54.51		41,097.24
it investment trusts	8.40		6,329.70
t invested assets	100.00	$	75,389.02
lue on January 30		$	75,389.02

ıvestment objectives

ı following return objective and risk profile(s) describe overall goals for this account. For each account held, you must provide ı return objective, one primary risk profile and, if applicable, a secondary risk profile. A full description of the alternatives is luded on the back of the first page. If you have questions regarding these objectives, disagree with or wish to change them, ase notify your Financial Advisor or Branch Manager at your branch office, in writing or by telephone

turn objective: Capital appreciation
k profile: Primary: Moderate Secondary: None selected

Account instructions
UBS Financial Services Inc. is your IRA custodian.

Bulletin Board
SPEAK WITH YOUR FINANCIAL ADVISOR ABOUT YOUR ASSET ALLOCATION STRATEGY – SPECIFICALLY, YOUR ALLOCATION TO CASH. SEE INVESTMENT INTELLIGENCE FOR DETAILS.
VISIT OUR WEB SITE AT WWW.UBS.COM.

sset portfolio

ces, income and current values may be approximate. Refer to the disclosure on the back of the first page

entity/face value	Description	Contract premium	Price	Current value	Est. Income
600	ASHLAND INC		46.310	27,786.00	660
176.080	UBS BANK USA DEP ACCT			176.08	
	Opening balance $ 176.08 Avg yield 0.47% Int period 12/24 - 01/25				
1,169.648	LORD ABBETT AFFILIATED FUND CL B		13.690	16,012.48	143
458.437	LORD ABBETT DEVELOPING GROWTH FUND CL B		14.670	6,725.27	

.sset portfolio - continued

ices, income and current values may be approximate. Refer to the disclosure on the back of the first page.

antity/face value	*Description*	*Contract premium*	*Price*	*Current value*	*Est. Income*
1,037.932	UBS GLOBAL ALLOCATION FUND CLASS B		12.050	12,507.08	113
234.003	UBS TACTICAL ALLOCATION FUND CLASS B		25.010	5,852.41	
5,850	UNTS BLUE CHIP TRUST 2003-8 EQUITY OPPORTUNITY TRUST (TRAD)		1.082	6,329.70	
	Payment option:				
	Total			**$ 75,389.02**	**$ 916**

3962

UBS Financial Services Inc.
307 SOUTH ASHLAND AVENUE
LEXINGTON, KY 40502

Summary of Accounts

PZ8A096729-X56
7367858 - 0000105

PZ8A096729-X56 - 0204 - LC - 0

ebruary 2004

HAROLD D ROGERS

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12551500

ummary of assets

s section provides values and percentages by asset category for accounts listed in the Summary of account values section below. priced assets are excluded; see back for details.

	% of priced assets	Value
ulities	87.90	353,073.86
oney funds and other sweep options	.13	520.61
utual funds	10.37	41,672.26
nit investment trusts	1.60	6,394.05
tal account values	100.00	$ 401,660.78

ummary of account values

*s section consolidates account value information for accounts listed below. If you have additional accounts that you wish to be included, please contact your Financial Advisor. Account value plus other items excludes vate investments and unpriced assets, but includes insurance products, restricted securities, pending return of principal, accrued interest and cash and sweep option balances. Accounts with only private investments are not ed below. *** indicates the statement for this account has been suppressed from printing. You may access the statement through Online Services.*

count number	Account type	Account title	Cash/Money funds	Account value plus other items
	RMA	HAROLD D ROGERS	$ 344.53	$ 324,672.39
	IRA	HAROLD ROGERS IRA	176.08	76,988.39
		Total on February 27	$ 520.61	$ 401,660.78

ummary of earnings

s section summarizes earnings for each account and does not reflect your accounts' tax status or reporting requirements. Use only official tax reporting documents (e.g. 1099, 5498) for tax return purposes. The Unclassified umn includes private investment distributions and earnings for accounts for which it is not appropriate to classify earnings as taxable or non-taxable (e.g. retirement plan accounts).

		Year-to-date earnings				Est. annual
count number	Account type	Taxable	Non-taxable	Unclassified	Total	Income
	RMA	$ 678.68	$ .32	$ .00	$ 679.00	$ 3,687
	IRA	— not applicable —		15.91	15.91	901
		$ 678.68	$ .32	$ 15.91	$ 694.91	$ 4,588

3963

UBS Financial Services Inc.
307 SOUTH ASHLAND AVENUE
LEXINGTON, KY 40502

PZBA098730-X58 · 0204 · LC - 0

Resource Management Account®

PZBA098730-X58
[illegible] - 000002

Account Number:
Universal ID:

HAROLD D ROGERS

ebruary 2004

his month at a glance

ilue on January 30	$	324,037.96
ilue on February 27	$	**324,672.39**
Money fund and other sweep option balance in 02/27 value	$	344.53

ctivity highlights

	Current period	Year-to-date
esource Management Account fee	$ -125.00	$ -125.00
et change from activity listed above	**$ -125.00**	**$ -125.00**

ortfolio summary

	Current period	Year-to-date
pening value	$ 324,037.96	$ 313,639.95
et change from Activity Highlights	-125.00	-125.00
et security earnings	76.81	679.00
hange in value of investments	682.62	10,478.44
alue on February 27	**$ 324,672.39**	**$ 324,672.39**

sset summary

fer to the disclosure on the back of the first page for information on assets excluded from this summary

	% of portfolio	Value
quities	99.89	324,327.86
oney funds/sweep options	.11	344.53
et invested assets	**100.00**	**$ 324,672.39**
alue on February 27		**$ 324,672.39**

Bulletin Board
IF YOU NEED TO PAY LARGE LIABILITIES,
SUCH AS TAXES OR OTHER BILLS, WE MAY HAVE
AN APPROPRIATE LENDING SOLUTION FOR YOU.
PLEASE CALL YOUR FINANCIAL ADVISOR.
RESOURCELINE 1-800-RMA-1000: ACCOUNT #: 278061809
VISIT OUR WEB SITE AT WWW.UBS.COM.

Investment objectives

The following return objective and risk profile(s) describe overall goals for this account. For each account held, you must provide one return objective, one primary risk profile and, if applicable, a secondary risk profile. A full description of the alternatives is included on the back of the first page. If you have questions regarding those objectives, disagree with or wish to change them, please notify your Financial Advisor or Branch Manager at your branch office, in writing or by telephone

Return objective: Capital appreciation
Risk profile: Primary: Moderate
Secondary: None selected

3964

:arnings summary

rnings below are classified as taxable or non-taxable based on the tax status for the specific security on which the earnings are ceived and does not attempt to reflect your account's tax status or reporting requirements. Use only official tax reporting ccuments (e.g. 1099) for tax reporting purposes. The classification of private investment distributions can only be determined by ferring to the official year-end tax reporting document provided by the issuer.

	Current period	Year-to-date
axable		
ividends	$ 76.60	$ 678.68
otal taxable security earnings	$ 76.60	$ 678.68
on-taxable		
ividends/interest	$ .21	$ .32
otal non-taxable security earnings	$ .21	$ .32
otal current year security earnings	$ 76.81	$ 679.00
et security earnings	$ 76.81	$ 679.00

asset portfolio

hen available, prices, income and current values may be approximate and thus gains/losses may not be accurately reflected. An asterisk (*) indicates the calculation of unrealized gains/losses based upon a UBS Financial Services justment to cost basis. The number "1" indicates cost basis information has been provided by a source other than UBS Financial Services. See the back of the first page for additional information. Gains/losses are not calculated zero coupon investments. "Pending adjustment" or "P/A" indicates cost basis has not yet been allocated as a result of a spin-off or taxable exchange. § indicates the rating for this stock may have been placed Under Review by analyst, may be subject to a Rating/Return divergence or may have an exception to the core rating bands. ± indicates recommendation and price target information temporarily suspended pending review. For further information ease refer to the "Research Rating Exceptions" daily report or contact your Financial Advisor.

quities

ommon stock

tal shares	Description	Price	Current value	Est. annual income	Trade date	Shares purchased	Purchase price	Cost basis	Unrealized Gain/loss
250	ADOBE SYSTEMS INC (DELAWARE)	37.250	9,312.50	13	04/01/02	100.000	40.960	4,174	-449
					09/11/03	150.000	40.020	6,109	-521
500	ALEXANDER & BALDWIN INC	33.950	16,975.00	450	08/11/03	250.000	28.340	7,209	1,278
					09/26/03	150.000	27.650	4,237	855
					10/09/03	100.000	30.700	3,154	241
136	APACHE CORP	41.170	5,599.12	33	02/15/01	136.000	26.493	3,664	1,935
200	ASHLAND INC	47.910	9,582.00	220	10/23/03	200.000	35.560	7,287	2,295
100	AT&T WIRELESS SERVICES INC	13.580	1,358.00		12/05/00	100.000	18.000	1,854	-496
200	ATLANTIC TELE NETWORK INC NEW	31.000	6,200.00	200	08/26/03	100.000	20.490	2,107	993
					08/29/03	100.000	20.670	2,126	974
300	BAXTER INTL INC	29.120	8,736.00	175	08/26/03	200.000	27.010	5,509	315

ontinued on page 3

3965

ommon stock - continued

'al shares	Description	Price	Current value	Est. annual Income	Trade date	Shares purchased	Purchase price	Cost basis	Unrealized Gain/loss
					09/11/03	100.000	29.860	3,055	-143
300	CASS INFORMATION SYSTEMS INC	34.419	10,325.70	252	09/11/03	300.000	33.843	10,318	7
100	CISCO SYS INC	23.160	2,316.00		01/17/01	100.000	40.437	4,121	-1,805
200	DANAHER CORP	89.630	17,926.00	20	08/26/03	100.000	75.260	7,608	1,355
					11/05/03	25.000	83.580	2,160	80
					02/12/04	75.000	94.280	7,176	-453
200	FLEXTRONICS INTL LTD	18.010	3,602.00		09/26/03	200.000	14.140	2,928	674
150	GENL ELECTRIC CO	32.520	4,878.00	120	07/14/98	150.000	38.500	5,868	-990
100	GILEAD SCIENCES INC	54.270	5,427.00		08/26/03	100.000	63.360	6,418	-991
150	GIVEN IMAGING LTD SHS ORD	29.680	4,452.00		08/26/03	50.000	11.549	595	889
					11/05/03	100.000	15.899	1,662	1,306
200	H & R BLOCK INC	54.050	10,810.00	160	09/11/03	50.000	41.200	2,091	611
					10/09/03	150.000	44.040	6,741	1,366
911	INTEL CORP	29.200	26,601.20	146	02/24/99	511.000	5.105	2,608	12,313'
					12/31/99	400.000	15.312	6,125	5,555'
100	INTL BUSINESS MACH	96.500	9,650.00	64	04/16/02	100.000	86.300	8,723	927
150	LABORATORY CORP AMER HLDGS NEW	39.190	5,878.50		08/26/03	100.000	30.020	3,071	848
					11/05/03	50.000	34.500	1,799	160
100	LEXMARK INTL INC	82.290	8,229.00		12/30/99	100.000	91.625	9,255	-1,026
100	LINCARE HLDGS INC	32.500	3,250.00		09/24/03	100.000	36.000	3,903	-653
100	LINCOLN ELEC HOLDINGS NEW	26.110	2,611.00	64	08/26/03	100.000	22.730	2,335	276
100	LOEWS CORP	60.290	6,029.00	60	02/12/04	100.000	59.190	6,024	5
24	MEDCO HEALTH SOLUTIONS INC	32.660	783.84		05/24/94	12.000	6.888	82	309
					03/19/99	12.000	6.888	82	309
200	MERCK & CO	48.080	9,616.00	296	05/24/94	100.000	14.609	1,460	3,348'
					03/19/99	100.000	14.609	1,460	3,348'
500	MILLENNIUM PHARMACEUTICALS INC	17.810	8,905.00		09/24/03	500.000	16.290	8,349	556
500	MOTOROLA INC	18.450	9,225.00	80	04/25/00	6.000	16.666	100	10'
					12/01/00	94.000	20.000	1,934	-199
					01/17/01	100.000	22.000	2,268	-423
					08/25/03	200.000	10.310	2,132	1,558
					09/11/03	100.000	11.020	1,157	688
500	OPTIMAL ROBOTICS CORP NEW CL A	7.550	3,775.00		09/26/03	500.000	8.430	4,351	-576

ontinued on page 4

3966

:ommon stock - continued

:tal shares	Description	Price	Current value	Est. annual income	Trade date	Shares purchased	Purchase price	Cost basis	Unrealized Gain/loss
200	ORACLE CORP	12.870	2,574.00		11/30/00	150.000	23.250	3,572	-1,641
					02/12/04	50.000	13.769	743	-99
300	PENGROWTH ENERGY TRUST	14.220	4,266.00	589	08/27/03	300.000	12.570	3,873	393
400	PFIZER INC	36.650	14,660.00	272	08/23/99	300.000	32.937	9,974	1,021
					02/12/04	100.000	37.700	3,868	-203
250	SEARS ROEBUCK	47.010	11,752.50	230	09/11/03	250.000	43.780	11,108	644
500	SONY CORP ADR NEW JAPAN	40.940	20,470.00	99	09/11/03	250.000	36.040	9,154	1,081
					10/09/03	250.000	35.950	9,161	1,074
1,300	TEXAS INSTRUMENTS	30.650	39,845.00	111	05/24/94	436.000	4.925	2,147	11,216'
					05/25/94	800.000	4.925	7,880	16,640'
					05/25/96	64.000	12.050	771	1,190'
700	TIME WARNER INC	17.250	12,075.00		01/06/00	100.000	72.000	7,293	-5,568
					05/01/03	50.000	13.580	734	128
					10/10/03	350.000	15.650	5,612	425
					02/12/04	200.000	17.430	3,597	-147
250	WALT DISNEY CO (HOLDING CO)	26.530	6,632.50	53	04/20/99	54.000	32.750	1,806	-373
	DISNEY COM				04/22/99	100.000	33.437	3,426	-773
					12/01/00	96.000	26.041	2,604	-57
	Total		**$ 324,327.86**	**3,687**				**262,712**	**61,610**

/oney funds and other sweep options

escription	Opening balance	Closing balance	Closing share price	Average rate	Dividend/ Interest period	Days in period
:MA TAX-FREE FUND INC	644.31	344.53	1.00	0.35%	01/26 - 02/22	28
otal	$ 644.31	$ 344.53				

3967

ionthly activity

ash flow

e	Activity	Description		Amount
/30		**Balance forward**	$	.00
/09	DIVIDEND	TEXAS INSTRUMENTS		27.63
		PAID ON 1300		
/17	DIVIDEND	PENGROWTH ENERGY TRUST		40.81
		AS OF 02/15/04		
/23	DIVIDEND	RMA TAX-FREE FUND INC		.21
		AS OF 02/20/04		
/23	DIVIDEND	APACHE CORP		8.16
		PAID ON 136		

Date	Activity	Description		Amount
02/24	FEE CHARGE	RMA SERVICES FEE		-125.00
		Proceeds from security transactions	$	21,157.98
		Funds used for security transactions	$	-21,409.57
		Proceeds from money fund/sweep trans.	$	335.78
		Funds used for money fund/sweep trans.	$	-36.00
02/27		**Closing balance**	$	.00

curity transactions

er to the disclosure on the back of the first page regarding the Price/Value presented for restricted securities

	Activity	Description	Quantity	Price / Value		Amount
/18	SOLD	H & R BLOCK INC	-200.000	60.13	$	11,809.53
/18	BOUGHT	DANAHER CORP	75.000	94.28		7,176.03
/18	SOLD	GIVEN IMAGING LTD SHS ORD	-150.000	31.141000		4,550.83
/18	SOLD	HARMONY GOLD MINING CO LTD SPON ADR	-100.000	16.73		1,605.83
/18	BOUGHT	LOEWS CORP	100.000	59.19		6,024.03
/18	BOUGHT	ORACLE CORP	50.000	13.769000		743.69
/18	SOLD	PALMSOURCE INC	-61.000	19.07		1,106.85
/18	SOLD	PALMONE INC	-200.000	10.881000		2,084.94
/18	BOUGHT	PFIZER INC	100.000	37.70		3,868.32
/18	BOUGHT	TIME WARNER INC	200.000	17.43		3,587.50
		Securities purchased			$	21,409.57
		Securities sold			$	21,157.98

oney funds and other sweep options

RMA Tax-Free Fund Inc is your primary sweep fund

e	Activity	Money fund/sweep option		Amount
/30		**Opening RMA Tax-Free Fund Inc**	$	644.31
/10	BOUGHT	RMA TAX-FREE FUND INC		27.63

Date	Activity	Money fund/sweep option	Amount
02/18	SOLD	RMA TAX-FREE FUND INC	-210.78

tinued on page 6

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Money funds and other sweep options - continued

Date	Activity	Money fund/sweep option	Amount
02/23	BOUGHT	RMA TAX-FREE FUND INC AS OF 02/20/04	.21
02/24	BOUGHT	RMA TAX-FREE FUND INC	8.18
02/25	SOLD	RMA TAX-FREE FUND INC AS OF 02/24/04	-125.00

Date	Activity	Money fund/sweep option		Amount
02/27		Closing RMA Tax-Free Fund Inc	$	344.53
		Sweep option purchased/deposited	$	36.00
		Sweep option sold/withdrawn	$	-335.78

Realized gains and losses

stimated realized gains/losses are not for tax purposes. The oldest security lot is liquidated first to calculate gains/losses (first-in, first-out or FIFO accounting method) unless you specified which lot to close when you placed your order s versus purchase or VSP order). An asterisk (*) indicates a UBS Financial Services adjustment to cost basis. The number "1" indicates cost basis information has been provided by a source other than UBS Financial Services. See he back of the first page for additional information. Gains/losses may not be adjusted for all capital changes and are not calculated for zero coupon securities. Cost basis for tax-exempt and AMT eligible coupon municipal securities ad been adjusted automatically for estimated amortization of bond premiums. Estimates in the "Unclassified" section can not be classified as short-term or long-term due to missing information or the product is one which gain/loss alculation is not provided

ecurity description	Method	Quantity/ Face value	Purchase date	Sale date	Sale amount	Purchase amount	Loss	Gain	Net gain/loss
hort-term capital gains and losses:									
IVEN IMAGING LTD SHS ORD	FIFO	150.000	08/26/03	02/12/04	$ 4,550.83	$ 1,787.89		$ 2,762.94	
& R BLOCK INC	FIFO	200.000	09/11/03	02/12/04	11,809.53	8,365.93		3,443.60	
ALMONE INC	FIFO	100.000	10/10/03	02/12/04	1,042.47	1,449.46	-406.99		
ALMSOURCE INC	FIFO	30.000	10/10/03	02/12/04	544.35	867.59	-323.24		
otal					$ 17,947.18	$ 12,470.87	$ -730.23	$ 6,206.54	$ 5,476.31
ong-term capital gains and losses:									
ARMONY GOLD MINING CO LTD SPON ADR	FIFO	100.000	11/08/02	02/12/04	$ 1,605.83	$ 1,553.31		$ 52.52	
ALMONE INC	FIFO	12.000	05/29/01	02/12/04	125.10	1,004.40	-879.30		
	FIFO	38.000	08/21/01	02/12/04	396.14	2,162.32	-1,766.18		
	FIFO	50.000	12/02/02	02/12/04	521.24	576.53	-55.29		
ALMSOURCE INC	FIFO	3.000	05/29/01	02/12/04	54.44	501.01	-446.57		
	FIFO	13.000	08/21/01	02/12/04	235.89	1,415.85	-1,179.96		
	FIFO	15.000	12/02/02	02/12/04	272.18	345.08	-72.90		
otal					$ 3,210.82	$ 7,558.50	$ -4,400.20	$ 52.52	$ -4,347.68
let capital gains/losses:									$ 1,128.63

3969

'ear-to-date realized capital gain/loss totals

ıort-term gains/losses:	$	5,476.31
ɔng-term gains/losses:	$	-4,347.68
ıt year-to-date capital gains/losses:	**$**	**1,128.63**

3970

UBS Financial Services Inc.
307 SOUTH ASHLAND AVENUE
LEXINGTON, KY 40502

PZ8A096737-X56 - 0204 - LC - 0

Retirement Account

PZ8A096737-X56
- 000009

Account Number:
Universal ID
Account Typ

HAROLD ROGERS
IRA

February 2004

This month at a glance

alue on January 30	$	75,389.02
alue on February 27	$	**76,988.39**
Money fund and other sweep option balance in 02/27 value	$	176.08

Earnings summary

rnings are for the current calendar year. All prior year entries are summarized separately. This information should not be used r tax purposes. Refer to the disclosure on the back of the first page.

	Current period	Year-to-date
ividends	$ 15.91	$ 15.91
et security earnings	$ 15.91	$ 15.91

Asset summary

fer to the disclosure on the back of the first page for information on assets excluded from this summary.

	% of portfolio	Value
quities	37.34	28,746.00
loney funds/sweep options	.23	176.08
lutual funds	54.13	41,672.26
nit investment trusts	8.30	6,394.05
et invested assets	100.00	$ 76,988.39
alue on February 27		$ 76,988.39

nvestment objectives

e following return objective and risk profile(s) describe overall goals for this account. For each account held, you must provide e return objective, one primary risk profile and, if applicable, a secondary risk profile. A full description of the alternatives is cluded on the back of the first page. If you have questions regarding these objectives, disagree with or wish to change them, ease notify your Financial Advisor or Branch Manager at your branch office, in writing or by telephone.

eturn objective: Capital appreciation
isk profile: Primary: Moderate Secondary: None selected

Account Instructions
UBS Financial Services Inc. is your IRA custodian.

Bulletin Board
IF YOU NEED TO PAY LARGE LIABILITIES,
SUCH AS TAXES OR OTHER BILLS, WE MAY HAVE
AN APPROPRIATE LENDING SOLUTION FOR YOU.
PLEASE CALL YOUR FINANCIAL ADVISOR,
PREFERRED CLIENT PRIORITY SERVICES 1-877-352-3592.
VISIT OUR WEB SITE AT WWW.UBS.COM.

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Retirement Account

Statement Period: February 2004

PZBA094738-X56
7397858 - 000010E

Asset portfolio

Prices, income and current values may be approximate. Refer to the disclosure on the back of the first page.

Quantity/face value	Description	Contract premium	Price	Current value	Est. income
600	ASHLAND INC		47.910	28,746.00	660
176.080	UBS BANK USA DEP ACCT			176.08	
	Opening balance $ 176.08 Avg yield 0.36% Int period 01/26 - 02/22				
1,170.778	LORD ABBETT AFFILIATED FUND CL B		14.040	16,437.72	128
458.437	LORD ABBETT DEVELOPING GROWTH FUND CL B		14.490	6,642.75	
1,037.932	UBS GLOBAL ALLOCATION FUND CLASS B		12.240	12,704.28	113
234.003	UBS TACTICAL ALLOCATION FUND CLASS B		25.160	5,887.51	
5,850	UNTS BLUE CHIP TRUST 2003-B EQUITY		1.093	6,394.05	
	OPPORTUNITY TRUST (TRAD)				
	Payment option:				
	Total			$ 76,988.39	$ 901

Activity

Refer to the disclosure on the back of the first page regarding the Price/Value presented for restricted securities

Date	Activity	Description	Quantity	Price / Value / Comment	Amount
1/30		**Balance forward**		$	.00
2/17	DIVIDEND	LORD ABBETT AFFILIATED FUND CL B			15.91
		AS OF 02/12/04			
2/17	REINVESTMENT	LORD ABBETT AFFILIATED FUND CL B	1.130		-15.91
		DIVIDEND REINVESTED AT 14.08 NAV ON 02/12/04			
		AS OF 02/12/04			
2/27		**Closing balance**		$	.00

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Summary of Accounts

PZBA105047-X458
7397858 - 000002

Statement Period: March 2004

;ummary of assets

his section provides values and percentages by asset category for accounts listed in the Summary of account values section below. onriced assets are excluded; see back for details.

	% of priced assets	Value
quities	87.64	347,057.32
Money funds and other sweep options	.27	1,075.66
Mutual funds	10.48	41,485.89
Unit investment trusts	1.61	6,362.87
otal account values	100.00	$ 395,981.74

;ummary of account values

*his section consolidates account value information for accounts listed below. If you have additional accounts that you wish to be included, please contact your Financial Advisor. Account value plus other items excludes rivate investments and unpriced assets, but includes insurance products, restricted securities, pending return of principal, accrued interest and cash and sweep option balances. Accounts with only private investments are not isted below. *** indicates the statement for this account has been suppressed from printing. You may access the statement through Online Services.*

ccount number	Account type	Account title	Cash/Money funds	Account value plus other items
	RMA	HAROLD D ROGERS	$ 733.82	$ 319,897.14
	IRA	HAROLD ROGERS IRA	341.84	76,084.60
		Total on March 31	$ 1,075.66	$ 395,981.74

;ummary of earnings

his section summarizes earnings for each account and does not reflect your accounts' tax status or reporting requirements. Use only official tax reporting documents (e.g. 1099, 5498) for tax return purposes. The Unclassified olumn includes private investment distributions and earnings for accounts for which it is not appropriate to classify earnings as taxable or non-taxable (e.g. retirement plan accounts).

		Year-to-date earnings				Est. annual
ccount number	Account type	Taxable	Non-taxable	Unclassified	Total	Income
	RMA	$ 1,067.82	$ .47	$ .00	$ 1,068.29	$ 3,699
	IRA	— not applicable —		191.32	191.32	901
		$ 1,067.82	$ .47	$ 191.32	$ 1,259.61	$ 4,600

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UBS FINANCIAL SERVICES INC.
1000 HARBOR BOULEVARD
WEEHAWKEN, NJ 07086-6790

PZ8A105048-X454 - 0304 - LC - 0

Resource Management Account®

PZ8A105048-X4:56
- 000003

Account Number:
Universal ID:

HAROLD D ROGERS

1

March 2004

'his month at a glance

alue on February 27	$	324,672.39
alue on March 31	$	319,897.14
Money fund and other sweep option balance in 03/31 value	$	733.82

ctivity highlights

	Current period	Year-to-date
esource Management Account fee	$ .00	$ -125.00
et change from activity listed above	$ .00	$ -125.00

'ortfolio summary

	Current period	Year-to-date
pening value	$ 324,672.39	$ 313,639.95
et change from Activity Highlights	.00	-125.00
et security earnings	389.29	1,068.29
hange in value of investments	-5,164.54	5,313.90
alue on March 31	$ 319,897.14	$ 319,897.14

sset summary

fer to the disclosure on the back of the first page for information on assets excluded from this summary

	% of portfolio	Value
quities	99.77	319,163.32
oney funds/sweep options	.23	733.82
et invested assets	100.00	$ 319,897.14
alue on March 31		$ 319,897.14

Account Instructions
The account record was signed by your Financial Advisor and approved by a Principal of the Firm.

Bulletin Board
ASK YOUR FINANCIAL ADVISOR ABOUT ENROLLING IN ONLINE SERVICES. ITS NEWEST FEATURE, THE PAPERLESS DELIVERY SERVICE, CAN HELP REDUCE THE AMOUNT OF PAPER MAIL YOU RECEIVE.

RESOURCELINE 1-800-RMA-1000: ACCOUNT #: 278061809
VISIT OUR WEB SITE AT WWW.UBS.COM.

Investment objectives

The following return objective and risk profile(s) describe overall goals for this account. For each account held, you must provide one return objective, one primary risk profile and, if applicable, a secondary risk profile. A full description of the alternatives is included on the back of the first page. If you have questions regarding these objectives, disagree with or wish to change them, please notify your Financial Advisor or Branch Manager at your branch office, in writing or by telephone

Return objective: Capital appreciation
Risk profile: Primary: Moderate
Secondary: None selected

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Earnings summary

Earnings below are classified as taxable or non-taxable based on the tax status for the specific security on which the earnings are received and does not attempt to reflect your account's tax status or reporting requirements. Use only official tax reporting documents (e.g. 1099) for tax reporting purposes. The classification of private investment distributions can only be determined by referring to the official year-end tax reporting document provided by the issuer

		Current period		Year-to-date
Taxable				
Dividends	$	389.14	$	1,067.82
Total taxable security earnings	$	389.14	$	1,067.82
Non-taxable				
Dividends/Interest	$	.15	$	.47
Total non-taxable security earnings	$	.15	$	.47
Total current year security earnings	$	389.29	$	1,068.29
Net security earnings	$	389.29	$	1,068.29

Asset portfolio

When available, prices, income and current values may be approximate and thus gains/losses may not be accurately reflected. An asterisk (*) indicates the calculation of unrealized gains/losses based upon a UBS Financial Services adjustment to cost basis. The number "1" indicates cost basis information has been provided by a source other than UBS Financial Services. See the back of the first page for additional information. Gains/losses are not calculated for zero coupon investments. "Pending adjustment" or "P/A" indicates cost basis has not yet been allocated as a result of a spin-off or taxable exchange. § indicates the rating for this stock may have been placed Under Review by the analyst, may be subject to a Rating/Return divergence or may have an exception to the core rating bands. ± indicates recommendation and price target information temporarily suspended pending review. For further information please refer to the "Research Rating Exceptions" daily report or contact your Financial Advisor.

Equities

Common stock

Total shares	Description	Price	Current value	Est. annual income	Trade date	Shares purchased	Purchase price	Cost basis	Unrealized Gain/loss
250	ADOBE SYSTEMS INC (DELAWARE)	39.300	9,825.00	13	04/01/02	100.000	40.960	4,174	-244
					09/11/03	150.000	40.020	6,109	-214
500	ALEXANDER & BALDWIN INC	32.960	16,480.00	450	09/11/03	250.000	28.340	7,209	1,031
					09/26/03	150.000	27.650	4,237	707
					10/09/03	100.000	30.700	3,154	142
136	APACHE CORP	43.170	5,871.12	33	02/15/01	136.000	28.493	3,664	2,207
200	ASHLAND INC	46.490	9,298.00	220	10/23/03	200.000	35.560	7,287	2,011
100	AT&T WIRELESS SERVICES INC	13.610	1,361.00		12/05/00	100.000	18.000	1,854	-493
200	ATLANTIC TELE NETWORK INC NEW	31.150	6,230.00	200	08/26/03	100.000	20.490	2,107	1,008
					08/29/03	100.000	20.670	2,126	989
300	BAXTER INTL INC	30.890	9,267.00	175	08/26/03	200.000	27.010	5,509	669

Continued on page 3

3975

Common stock - continued

Total shares	Description	Price	Current value	Est. annual income	Trade date	Shares purchased	Purchase price	Cost basis	Unrealized Gain/loss
					09/11/03	100.000	29.860	3,055	34
330	CASS INFORMATION SYSTEMS INC	34.150	11,269.50	252	09/11/03	330.000	30.768	10,318	951
100	CISCO SYS INC	23.570	2,357.00		01/17/01	100.000	40.437	4,121	-1,764
200	DANAHER CORP	93.370	18,674.00	20	08/26/03	100.000	75.260	7,608	1,729
					11/05/03	25.000	83.590	2,160	174
					02/12/04	75.000	94.280	7,176	-173
200	FLEXTRONICS INTL LTD	17.090	3,418.00		09/26/03	200.000	14.140	2,928	490
150	GENL ELECTRIC CO	30.520	4,578.00	120	07/14/99	150.000	38.500	5,868	-1,290
100	GILEAD SCIENCES INC	55.450	5,545.00		08/26/03	100.000	63.360	6,418	-873
150	GIVEN IMAGING LTD SHS ORD	34.250	5,137.50		08/26/03	50.000	11.549	595	1,117
					11/05/03	100.000	15.899	1,682	1,763
200	H & R BLOCK INC	51.030	10,206.00	160	09/11/03	50.000	41.200	2,091	460
					10/09/03	150.000	44.040	6,741	913
911	INTEL CORP	27.200	24,779.20	146	02/24/99	511.000	5.105	2,608	11,291[1]
					12/31/99	400.000	15.312	6,125	4,755[1]
100	INTL BUSINESS MACH	91.840	9,184.00	64	04/16/02	100.000	86.300	8,723	461
150	LABORATORY CORP AMER HLDGS NEW	39.250	5,887.50		08/26/03	100.000	30.020	3,071	854
					11/05/03	50.000	34.500	1,799	163
100	LEXMARK INTL INC	92.000	9,200.00		12/30/99	100.000	91.625	9,255	-55
100	LINCARE HLDGS INC	31.400	3,140.00		09/24/03	100.000	38.000	3,903	-763
100	LINCOLN ELEC HOLDINGS NEW	28.150	2,815.00	68	08/26/03	100.000	22.730	2,335	480
100	LOEWS CORP	59.060	5,906.00	60	02/12/04	100.000	59.190	6,024	-118
24	MEDCO HEALTH SOLUTIONS INC	34.000	816.00		05/24/94	12.000	6.888	82	326
					03/19/99	12.000	6.888	82	326
200	MERCK & CO	44.190	8,838.00	296	05/24/94	100.000	14.609	1,460	2,958[1]
					03/19/99	100.000	14.609	1,460	2,959[1]
500	MILLENNIUM PHARMACEUTICALS INC	16.900	8,450.00		09/24/03	500.000	16.290	8,349	101
500	MOTOROLA INC	17.600	8,800.00	80	04/25/00	6.000	16.666	100	5[1]
					12/01/00	94.000	20.000	1,934	-279
					01/17/01	100.000	22.000	2,268	-508
					08/25/03	200.000	10.310	2,132	1,388
					09/11/03	100.000	11.020	1,157	603
500	OPTIMAL ROBOTICS CORP NEW CL A	7.240	3,620.00		09/26/03	500.000	8.430	4,351	-731

Continued on page 4

3976

3977

Common stock - continued

Total shares	Description	Price	Current value	Est. annual income	Trade date	Shares purchased	Purchase price	Cost basis	Unrealized Gain/loss
200	ORACLE CORP	12.000	2,400.00		11/30/00	150.000	23.250	3,572	-1,172
					02/12/04	50.000	13.769	743	-143
300	PENGROWTH ENERGY TRUST	13.700	4,110.00	568	08/27/03	300.000	12.570	3,873	237
400	PFIZER INC	35.050	14,020.00	272	06/23/99	300.000	32.937	9,974	541
					02/12/04	100.000	37.700	3,868	-363
250	SEARS ROEBUCK	42.960	10,740.00	230	09/11/03	250.000	43.780	11,108	-368
500	SONY CORP ADR NEW JAPAN	41.810	20,905.00	108	09/11/03	250.000	36.040	9,154	1,298
					10/09/03	250.000	35.950	9,161	1,291
1,300	TEXAS INSTRUMENTS	29.220	37,986.00	111	05/24/94	436.000	4.925	2,147	10,592[1]
					05/25/94	800.000	4.925	7,880	15,498[1]
					05/25/96	84.000	12.050	771	1,099[1]
700	TIME WARNER INC	16.860	11,802.00		01/08/00	100.000	72.000	7,293	-5,607
					05/01/03	50.000	13.580	734	109
					10/10/03	350.000	15.650	5,612	289
					02/12/04	200.000	17.430	3,597	-225
250	WALT DISNEY CO (HOLDING CO)	24.990	6,247.50	53	04/20/99	54.000	32.750	1,806	-456
	DISNEY COM				04/22/99	100.000	33.437	3,426	-927
					12/01/00	96.000	28.041	2,604	-204
	Total		**$ 319,163.32**	**3,699**				**262,712**	**56,448**

Money funds and other sweep options

Description	Opening balance	Closing balance	Closing share price	Average rate	Dividend/ interest period	Days in period
MA TAX-FREE FUND INC	344.53	733.82	1.00	0.36%	02/23 - 03/24	31
Total	**$ 344.53**	**$ 733.82**				

Monthly activity

Cash flow

Date	Activity	Description		Amount
02/27		**Balance forward**	$	.00
03/01	DIVIDEND	INTEL CORP PAID ON 911		36.44
03/04	DIVIDEND	ALEXANDER & BALDWIN INC PAID ON 500		112.50
03/05	DIVIDEND	PFIZER INC PAID ON 300		51.00
03/10	DIVIDEND	INTL BUSINESS MACH PAID ON 100		16.00
03/15	DIVIDEND	ASHLAND INC PAID ON 200		55.00
03/15	DIVIDEND	CASS INFORMATION SYSTEMS INC PAID ON 300		63.00
03/15	DIVIDEND	LOEWS CORP PAID ON 100		15.00
03/15	DIVIDEND	PENGROWTH ENERGY TRUST		40.20
03/25	DIVIDEND	RMA TAX-FREE FUND INC AS OF 03/24/04		.15
		Funds used for money fund/sweep trans.	$	-389.29
03/31		**Closing balance**	$	.00

Security transactions

Refer to the disclosure on the back of the first page regarding the Price/Value presented for restricted securities.

Date	Activity	Description	Quantity	Price / Value	Amount
03/15	DIVIDEND	CASS INFORMATION SYSTEMS INC PAID ON 300	30.000		

Money funds and other sweep options

The RMA Tax Free Fund Inc is your primary sweep fund

Date	Activity	Money fund/sweep option		Amount
02/27		**Opening RMA Tax-Free Fund Inc**	$	344.53
03/02	BOUGHT	RMA TAX-FREE FUND INC		36.44
03/05	BOUGHT	RMA TAX-FREE FUND INC		112.50
03/08	BOUGHT	RMA TAX-FREE FUND INC		51.00
03/11	BOUGHT	RMA TAX-FREE FUND INC		16.00
03/16	BOUGHT	RMA TAX-FREE FUND INC		173.20
03/25	BOUGHT	RMA TAX-FREE FUND INC AS OF 03/24/04		.15
03/31		**Closing RMA Tax-Free Fund Inc**	$	733.82
		Sweep option purchased/deposited	$	389.29

3978

Year-to-date realized capital gain/loss totals

Short-term gains/losses:	$	5,476.31
Long-term gains/losses:	$	-4,347.68
Net year-to-date capital gains/losses:	$	1,128.63

3979

UBS FINANCIAL SERVICES INC.
1000 HARBOR BOULEVARD
WEEHAWKEN, NJ 07086-6790

PZBA105054-X459 - 0304 - LC - 0

Retirement Account

PZBA105054-XA56
000009

Account Number:
Universal ID:
Account Type

HAROLD ROGERS
IRA

March 2004

'his month at a glance

alue on February 27	$	76,988.39
alue on March 31	$	76,084.60
Money fund and other sweep option balance in 03/31 value	$	341.84

:arnings summary

unings are for the current calendar year. All prior year entries are summarized separately. This information should not be used r tax purposes. Refer to the disclosure on the back of the first page.

		Current period		Year-to-date
ıvidends	$	175.41	$	191.32
et security earnings	$	175.41	$	191.32

ısset summary

fer to the disclosure on the back of the first page for information on assets excluded from this summary.

	% of portfolio		Value
quities	36.68		27,894.00
loney funds/sweep options	.45		341.84
lutual funds	54.53		41,485.89
nit investment trusts	8.38		6,362.87
et invested assets	**100.00**	$	76,084.60
alue on March 31		$	76,084.60

nvestment objectives

e following return objective and risk profiln(s) describe overall goals for this account. For each account held, you must provide ıe return objective, one primary risk profile and, if applicable, a secondary risk profile. A full description of the alternatives is cluded on the back of the first page. If you have questions regarding these objectives, disagree with or wish to change them, ease notify your Financial Advisor or Branch Manager at your branch office, in writing or by telephone.

eturn objective: Capital appreciation
ısk profile: Primary: Moderate Secondary: None selected

Account Instructions
The account record was signed by your Financial Advisor and approved by a Principal of the Firm.
UBS Financial Services Inc. is your IRA custodian.

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3980

Retirement Account

Statement Period: March 2004

PZ8A105055-X458
7367858 - 000010E

sset portfolio

ces, income and current values may be approximate. Refer to the disclosure on the back of the first page

antity/face value	Description	Contract premium	Price	Current value	Est. income
800	ASHLAND INC		46.490	27,894.00	660
341.840	UBS BANK USA DEP ACCT			341.84	
	Opening balance $ 176.08 Avg yield 0.33% Int period 02/23 - 03/24				
1,170.778	LORD ABBETT AFFILIATED FUND CL B		13.890	16,262.10	128
458.437	LORD ABBETT DEVELOPING GROWTH FUND CL B		14.580	6,674.84	
1,037.932	UBS GLOBAL ALLOCATION FUND CLASS B		12.210	12,673.14	113
234.003	UBS TACTICAL ALLOCATION FUND CLASS B		25.110	5,875.81	
5,859	UNTS BLUE CHIP TRUST 2003-B EQUITY		1.088	6,362.87	
	OPPORTUNITY TRUST (TRAD)				
	Payment option:				
	Total			$ 76,084.60	$ 901

ctivity

fer to the disclosure on the back of the first page regarding the Price/Value presented for restricted securities

te	Activity	Description	Quantity	Price / Value / Comment	Amount
/27		Balance forward			$ .00
/15	DIVIDEND	ASHLAND INC		ON 600	165.00
/16	DEPOSIT	UBS BANK USA DEPOSIT ACCOUNT	165.000		-165.00
/26	DIVIDEND	UNTS BLUE CHIP TRUST 2003-B EQUITY			10.41
		OPPORTUNITY TRUST (TRAD) AS OF 03/25/04			
/26	REINVESTMENT	UNTS BLUE CHIP TRUST 2003-B EQUITY	9.000		-9.65
		OPPORTUNITY TRUST (TRAD) AT 1.072600 REINVEST PRICE			
		ON 03/26/04 EXECUTION CAPACITY: AGENT			
		AS OF 03/25/04			
/29	DEPOSIT	UBS BANK USA DEPOSIT ACCOUNT	.760		-.76
/31		Closing balance			$ .00

RA beneficiary information

ensure your IRA assets are distributed as you wish, it is crucial that your beneficiary designation is up-to-date. Contact your Financial Advisor to ensure that UBS Financial Services Inc. has the most current neficiary information for your account and to have your beneficiary information appear on your statement.

March 04/ LC 61804 25

3981

UBS Financial Services Inc.
307 SOUTH ASHLAND AVENUE
LEXINGTON, KY 40502

Summary of Accounts

PZ8A097777-X58
7347859 - 000008S

PZ8A097777-X58 - 0404 - LC - 0

April 2004

HAROLD D ROGERS

11

00015184

ummary of assets

is section provides values and percentages by asset category for accounts listed in the Summary of account values section below. priced assets are excluded; see back for details

	% of priced assets	Value
ulties	87.54	337,884.55
oney funds and other sweep options	.37	1,412.31
utual funds	10.47	40,393.58
nit investment trusts	1.62	6,280.84
tal account values	**100.00**	**$ 385,971.26**

ummary of account values

*is section consolidates account value information for accounts listed below. If you have additional accounts that you wish to be included, please contact your Financial Advisor. Account value plus other items excludes vate investments and unpriced assets, but includes insurance products, restricted securities, pending return of principal, accrued interest and cash and sweep option balances. Accounts with only private investments are not ted below. *** Indicates the statement for this account has been suppressed from printing. You may access the statement through Online Services.*

count number	Account type	Account title	Cash/Money funds	Account value plus other items
	RMA	HAROLD D ROGERS	$ 1,070.47	$ 310,215.02
	IRA	HAROLD ROGERS IRA	341.84	75,756.24
		Total on April 30	**$ 1,412.31**	**$ 385,971.26**

ummary of earnings

is section summarizes earnings for each account and does not reflect your accounts' tax status or reporting requirements. Use only official tax reporting documents (e.g. 1099, 5498) for tax return purposes. The Unclassified lumn includes private investment distributions and earnings for accounts for which it is not appropriate to classify earnings as taxable or non-taxable (e.g. retirement plan accounts).

		Year-to-date earnings				Est. annual
count number	Account type	Taxable	Non-taxable	Unclassified	Total	income
	RMA	$ 1,404.13	$ .81	$ .00	$ 1,404.94	$ 3,696
	IRA	— not applicable —		191.32	191.32	916
		$ 1,404.13	$ .81	$ 191.32	$ 1,596.26	$ 4,612

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UBS Financial Services Inc.
307 SOUTH ASHLAND AVENUE
LEXINGTON, KY 40502

PZ8A097778-X58 - 0404 - LC - 0

Resource Management Account®

PZ8A097778-X58
7*47858 - 000002

Account Number:
Universal ID:

HAROLD D ROGERS

L

April 2004

his month at a glance

ilue on March 31	$	319,897.14
ilue on April 30	$	310,215.02
Money fund and other sweep option balance in 04/30 value	$	1,070.47

ctivity highlights

		Current period		Year-to-date
esource Management Account fee	$	.00	$	-125.00
et change from activity listed above	$	.00	$	-125.00

ortfolio summary

		Current period		Year-to-date
pening value	$	319,897.14	$	313,639.95
et change from Activity Highlights		.00		-125.00
et security earnings		336.65		1,404.94
hange in value of investments		-10,018.77		-4,704.87
ilue on April 30	$	310,215.02	$	310,215.02

sset summary

fer to the disclosure on the back of the first page for information on assets excluded from this summary

	% of portfolio		Value
uities	99.65		309,144.55
oney funds/sweep options	.35		1,070.47
et invested assets	100.00	$	310,215.02
ilue on April 30		$	310,215.02

Bulletin Board

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RESOURCELINE 1-800-RMA-1000: ACCOUNT #: 278061809
VISIT OUR WEB SITE AT WWW.UBS.COM.

Investment objectives

The following return objective and risk profile(s) describe overall goals for this account. For each account held, you must provide one return objective, one primary risk profile and, if applicable, a secondary risk profile. A full description of the alternatives is included on the back of the first page. If you have questions regarding these objectives, disagree with or wish to change them, please notify your Financial Advisor or Branch Manager at your branch office, in writing or by telephone

Return objective: Capital appreciation
Risk profile: Primary: Moderate
Secondary: None selected

3983

:arnings summary

rnings below are classified as taxable or non-taxable based on the tax status for the specific security on which the earnings are teived and does not attempt to reflect your account's tax status or reporting requirements. Use only official tax reporting cuments (e.g. 1099) for tax reporting purposes. The classification of private investment distributions can only be determined by ferring to the official year-end tax reporting document provided by the issuer.

	Current period	Year-to-date
uxable		
vidends	$ 336.31	$ 1,404.13
tal taxable security earnings	$ 336.31	$ 1,404.13
on-taxable		
vidends/interest	$ .34	$ .81
tal non-taxable security earnings	$ .34	$ .81
tal current year security earnings	$ 336.65	$ 1,404.94
rt security earnings	$ 336.65	$ 1,404.94

sset portfolio

en available, prices, income and current values may be approximate and thus gains/losses may not be accurately reflected. An asterisk (*) indicates the calculation of unrealized gains/losses based upon a UBS Financial Services ustment to cost basis. The number "1" indicates cost basis information has been provided by a source other than UBS Financial Services. See the back of the first page for additional information. Gains/losses are not calculated zero coupon investments. "Pending adjustment" or "P/A" indicates cost basis has not yet been allocated as a result of a spin-off or taxable exchange. § indicates the rating for this stock may have been placed Under Review by analyst, may be subject to a Rating/Return divergence or may have an exception to the core rating bands. ± indicates recommendation and price target information temporarily suspended pending review. For further information ase refer to the "Research Rating Exceptions" daily report or contact your Financial Advisor

quities

ommon stock

tal shares	Description	Price	Current value	Est. annual income	Trade date	Shares purchased	Purchase price	Cost basis	Unrealized Gain/loss
250	ADOBE SYSTEMS INC (DELAWARE)	41.500	10,375.00	13	04/01/02	100.000	40.960	4,174	-24
					09/11/03	150.000	40.020	6,109	116
500	ALEXANDER & BALDWIN INC	31.590	15,795.00	450	09/11/03	250.000	28.340	7,209	688
					09/26/03	150.000	27.650	4,237	501
					10/09/03	100.000	30.700	3,154	5
138	APACHE CORP	41.870	5,684.32	33	02/15/01	138.000	26.493	3,664	2,030
200	ASHLAND INC	47.900	9,580.00	220	10/23/03	200.000	35.560	7,287	2,293
100	AT&T WIRELESS SERVICES INC	13.810	1,381.00		12/05/00	100.000	18.000	1,854	-473
200	ATLANTIC TELE NETWORK INC NEW	31.650	6,330.00	200	08/26/03	100.000	20.490	2,107	1,058
					08/29/03	100.000	20.670	2,126	1,039
300	BAXTER INTL INC	31.650	9,495.00	175	08/26/03	200.000	27.010	5,509	821

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3984

'ommon stock - continued

tal shares	Description	Price	Current value	Est annual income	Trade date	Shares purchased	Purchase price	Cost basis	Unrealized Gain/loss
					09/11/03	100.000	29.860	3,055	110
330	CASS INFORMATION SYSTEMS INC	35.720	11,787.60	252	09/11/03	330.000	30.766	10,318	1,469
100	CISCO SYS INC	20.910	2,091.00		01/17/01	100.000	40.437	4,121	-2,030
200	DANAHER CORP	92.520	18,504.00	20	08/26/03	100.000	75.260	7,608	1,644
					11/05/03	25.000	83.590	2,160	153
					02/12/04	75.000	94.280	7,176	-237
200	FLEXTRONICS INTL LTD	18.070	3,214.00		09/26/03	200.000	14.140	2,928	286
150	GENL ELECTRIC CO	29.950	4,492.50	120	07/14/99	150.000	38.500	5,868	-1,375
100	GILEAD SCIENCES INC	60.940	6,094.00		08/26/03	100.000	63.360	6,418	-324
150	GIVEN IMAGING LTD SHS ORD	36.250	5,437.50		08/26/03	50.000	11.549	585	1,217
					11/05/03	100.000	15.899	1,662	1,963
200	H & R BLOCK INC	45.110	9,022.00	160	09/11/03	50.000	41.200	2,091	164
					10/09/03	150.000	44.040	6,741	25
911	INTEL CORP	25.730	23,440.03	146	02/24/89	511.000	5.105	2,608	10,540'
					12/31/99	400.000	15.312	6,125	4,167'
100	INTL BUSINESS MACH	88.170	8,817.00	72	04/18/02	100.000	86.300	8,723	94
150	LABORATORY CORP AMER HLDGS NEW	39.740	5,961.00		08/26/03	100.000	30.020	3,071	903
					11/05/03	50.000	34.500	1,799	188
100	LEXMARK INTL INC	90.460	9,046.00		12/30/99	100.000	91.625	9,255	-209
100	LINCARE HLDGS INC	34.620	3,462.00		09/24/03	100.000	38.000	3,903	-441
100	LINCOLN ELEC HOLDINGS NEW	31.530	3,153.00	68	08/26/03	100.000	22.730	2,335	818
100	LOEWS CORP	58.010	5,801.00	60	02/12/04	100.000	59.190	6,024	-223
24	MEDCO HEALTH SOLUTIONS INC	35.400	849.60		05/24/94	12.000	6.888	82	342
					03/19/99	12.000	6.888	82	342
200	MERCK & CO	47.000	9,400.00	296	05/24/94	100.000	14.609	1,460	3,240'
					03/19/99	100.000	14.609	1,460	3,240'
500	MILLENNIUM PHARMACEUTICALS INC	15.020	7,510.00		09/24/03	500.000	16.290	8,349	-839
500	MOTOROLA INC	18.250	9,125.00	80	04/25/00	6.000	16.666	100	9'
					12/01/00	94.000	20.000	1,934	-218
					01/17/01	100.000	22.000	2,288	-443
					08/25/03	200.000	10.310	2,132	1,518
					09/11/03	100.000	11.020	1,157	668
500	OPTIMAL GROUP INC NEW CL A	6.810	3,405.00		09/26/03	500.000	8.430	4,351	-946

ontinued on page 4

3985

ommon stock - continued

tal shares	Description	Price	Current value	Est annual income	Trade date	Shares purchased	Purchase price	Cost basis	Unrealized Gain/loss
200	ORACLE CORP	11.250	2,250.00		11/30/00	150.000	23.250	3,572	-1,884
					02/12/04	50.000	13.769	743	-180
300	PENGROWTH ENERGY TRUST	13.180	3,954.00	557	08/27/03	300.000	12.570	3,873	81
400	PFIZER INC	35.760	14,304.00	272	06/23/99	300.000	32.937	9,974	754
					02/12/04	100.000	37.700	3,868	-292
250	SEARS ROEBUCK	40.050	10,012.50	230	09/11/03	250.000	43.780	11,108	-1,095
500	SONY CORP ADR NEW JAPAN	38.400	19,200.00	108	09/11/03	250.000	36.040	9,154	446
					10/09/03	250.000	35.950	9,161	439
1,300	TEXAS INSTRUMENTS	25.100	32,630.00	111	05/24/94	438.000	4.925	2,147	8,798'
					05/25/94	800.000	4.925	7,880	12,200'
					05/25/96	64.000	12.050	771	835'
700	TIME WARNER INC	16.820	11,774.00		01/06/00	100.000	72.000	7,293	-5,611
					05/01/03	50.000	13.580	734	107
					10/10/03	350.000	15.850	5,812	275
					02/12/04	200.000	17.430	3,597	-233
250	WALT DISNEY CO (HOLDING CO)	23.030	5,757.50	53	04/20/99	54.000	32.750	1,806	-562
	DISNEY COM				04/22/99	100.000	33.437	3,426	-1,123
					12/01/00	98.000	28.041	2,604	-393
	Total		**$ 309,144.55**	**3,696**				**262,712**	**46,429**

oney funds and other sweep options

scription	Opening balance	Closing balance	Closing share price	Average rate	Dividend/ interest period	Days in period
IA TAX-FREE FUND INC	733.82	1,070.47	1.00	0.45%	03/25 - 04/25	32
tal	$ 733.82	$ 1,070.47				

3986

1onthly activity

ash flow

te	Activity	Description		Amount
I/31		**Balance forward**	$	.00
I/01	DIVIDEND	H & R BLOCK INC		40.00
		PAID ON 200		
I/01	DIVIDEND	MERCK & CO		74.00
		PAID ON 200		
I/01	DIVIDEND	SEARS ROEBUCK		57.50
		PAID ON 250		
I/12	DIVIDEND	ATLANTIC TELE NETWORK		50.00
		INC NEW		
		PAID ON 200		
		AS OF 04/09/04		
I/13	DIVIDEND	ADOBE SYSTEMS INC		3.13
		(DELAWARE)		
		PAID ON 250		
I/15	DIVIDEND	LINCOLN ELEC HOLDINGS		17.00
		NEW		
		PAID ON 100		

Date	Activity	Description		Amount
04/15	DIVIDEND	MOTOROLA INC		20.00
		PAID ON 500		
04/15	DIVIDEND	PENGROWTH ENERGY TRUST		39.68
04/26	DIVIDEND	RMA TAX-FREE FUND INC		.34
		AS OF 04/23/04		
04/26	DIVIDEND	GENL ELECTRIC CO		30.00
		PAID ON 150		
04/28	DIVIDEND	DANAHER CORP		5.00
		PAID ON 200		
		Funds used for money fund/sweep trans.	$	-336.65
04/30		**Closing balance**	$	.00

'oney funds and other sweep options

e RMA Tax-Free Fund Inc is your primary sweep fund.

te	Activity	Money fund/sweep option		Amount
I/31		**Opening RMA Tax-Free Fund Inc**	$	733.82
I/02	BOUGHT	RMA TAX-FREE FUND INC		171.50
I/13	BOUGHT	RMA TAX-FREE FUND INC		50.00
I/14	BOUGHT	RMA TAX-FREE FUND INC		3.13
I/16	BOUGHT	RMA TAX-FREE FUND INC		76.68
I/26	BOUGHT	RMA TAX-FREE FUND INC		.34
		AS OF 04/23/04		

Date	Activity	Money fund/sweep option		Amount
04/27	BOUGHT	RMA TAX-FREE FUND INC		30.00
04/30	BOUGHT	RMA TAX-FREE FUND INC		5.00
04/30		**Closing RMA Tax-Free Fund Inc**	$	1,070.47
		Sweep option purchased/deposited	$	336.65

3987

Year-to-date realized capital gain/loss totals

Short-term gains/losses:	$	5,476.31
Long-term gains/losses:	$	-4,347.68
Net year-to-date capital gains/losses:	$	1,128.63

3988

UBS Financial Services Inc.
307 SOUTH ASHLAND AVENUE
LEXINGTON, KY 40502

PZ8A097764-X58 - 0404 - LC - 0

Retirement Account

PZ8A097764-X58
7587858 - 000008

Account Number:
Universal ID:
Account Type

HAROLD ROGERS
IRA

3989

4pril 2004

his month at a glance

lue on March 31	$	76,084.60
lue on April 30	$	**75,756.24**
Money fund and other sweep option balance in 04/30 value	$	341.84

arnings summary

nings are for the current calendar year. All prior year entries are summarized separately. This information should not be used tax purposes. Refer to the disclosure on the back of the first page

	Current period	Year-to-date
vidends	$ .00	$ 191.32
t security earnings	$ .00	$ 191.32

sset summary

er to the disclosure on the back of the first page for information on assets excluded from this summary

	% of portfolio	Value
uities	37.94	28,740.00
oney funds/sweep options	.45	341.84
utual funds	53.32	40,393.56
it investment trusts	8.29	6,280.84
t invested assets	**100.00**	**$ 75,756.24**
lue on April 30		**$ 75,756.24**

ivestment objectives

following return objective and risk profile(s) describe overall goals for this account. For each account held, you must provide return objective, one primary risk profile and, if applicable, a secondary risk profile. A full description of the alternatives is uded on the back of the first page. If you have questions regarding these objectives, disagree with or wish to change them, ase notify your Financial Advisor or Branch Manager at your branch office, in writing or by telephone

turn objective: Capital appreciation
sk profile: Primary: Moderate Secondary: None selected

Account Instructions
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Retirement Account

Statement Period: April 2004

PZ8A087785-X56
7367858 - 000009E

Asset portfolio

ices, income and current values may be approximate. Refer to the disclosure on the back of the first page.

ntity/face value	*Description*	*Contract premium*	*Price*	*Current value*	*Est. income*
600	ASHLAND INC		47.900	28,740.00	660
341.840	UBS BANK USA DEP ACCT			341.84	
	Opening balance $ 341.84 Avg yield 0.32% Int period 03/25 - 04/25				
1,170.778	LORD ABBETT AFFILIATED FUND CL B		13.560	15,875.74	143
458.437	LORD ABBETT DEVELOPING GROWTH FUND CL B		13.810	6,331.01	
1,037.932	UBS GLOBAL ALLOCATION FUND CLASS B		11.940	12,392.90	113
234.003	UBS TACTICAL ALLOCATION FUND CLASS B		24.760	5,793.91	
5,859	UNTS BLUE CHIP TRUST 2003-B EQUITY		1.072	6,280.84	
	OPPORTUNITY TRUST (TRAD)				
	Payment option:				
	Total			$ 75,756.24	$ 916

3990

April 04/ LC 61804 25

UBS Financial Services Inc.
307 SOUTH ASHLAND AVENUE
LEXINGTON, KY 40502

P2BA075322-X56 - 0504 - LC - 0

Resource Management Account®

H

P2BA075322-X56
7367656 - 000002

Account Number:

HAROLD D ROGERS

May 2004

This month at a glance

Value on April 30	$	310,215.02
Value on May 28	$	**317,315.34**
Money fund and other sweep option balance in 05/28 value	$	1,145.28

Activity highlights

	Current period	Year-to-date
Resource Management Account fee	$ .00	$ -125.00
Net change from activity listed above	**$ .00**	**$ -125.00**

Portfolio summary

	Current period	Year-to-date
Opening value	$ 310,215.02	$ 313,839.95
Net change from Activity Highlights	.00	-125.00
Net security earnings	74.81	1,479.75
Change in value of investments	7,025.51	2,320.64
Value on May 28	**$ 317,315.34**	**$ 317,315.34**

Asset summary

Refer to the disclosure on the back of the first page for information on assets excluded from this summary.

	% of portfolio	Value
Equities	99.64	316,170.06
Money funds/sweep options	.36	1,145.28
Net invested assets	**100.00**	**$ 317,316.34**
Value on May 28		**$ 317,315.34**

Bulletin Board

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RESOURCELINE 1-800-RMA-1000: ACCOUNT #: 278061609
VISIT OUR WEB SITE AT WWW.UBS.COM.

Investment objectives

The following return objective and risk profile(s) describe overall goals for this account. For each account held, you must provide one return objective, one primary risk profile and, if applicable, a secondary risk profile. A full description of the alternatives is included on the back of the first page. If you have questions regarding these objectives, disagree with or wish to change them, please notify your Financial Advisor or Branch Manager at your branch office, in writing or by telephone.

Return objective: Capital appreciation
Risk profile: Primary: Moderate
Secondary: None selected

3991

Earnings summary

Earnings below are classified as taxable or non-taxable based on the tax status for the specific security on which the earnings are received and does not attempt to reflect your account's tax status or reporting requirements. Use only official tax reporting documents (e.g. 1099) for tax reporting purposes. The classification of private investment distributions can only be determined by referring to the official year-end tax reporting document provided by the issuer.

	Current period	Year-to-date
Taxable		
Dividends	$ 74.49	$ 1,478.62
Total taxable security earnings	$ 74.49	$ 1,478.62
Non-taxable		
Dividends/interest	$ .32	$ 1.13
Total non-taxable security earnings	$ .32	$ 1.13
Total current year security earnings	$ 74.81	$ 1,479.75
Net security earnings	$ 74.81	$ 1,479.75

Asset portfolio

*When available, prices, income and current values may be approximate and thus gains/losses may not be accurately reflected. An asterisk (*) indicates the calculation of unrealized gains/losses based upon a UBS Financial Services adjustment to cost basis. The number "1" indicates cost basis information has been provided by a source other than UBS Financial Services. See the back of the first page for additional information. Gain/losses are not calculated for zero coupon investments. "Pending adjustment" or "P/A" indicates cost basis has not yet been allocated as a result of a spin-off or taxable exchange. * indicates the rating for this stock may have been placed Under Review by the analyst, may be subject to a Rating/Return divergence or may have an exception to the core rating bands. * indicates recommendation and price target information temporarily suspended pending review. For further information please refer to the "Research Rating Exceptions" daily report or contact your Financial Advisor.*

Equities

Common stock

Total shares	Description	Price	Current value	Est. annual income	Trade date	Shares purchased	Purchase price	Cost basis	Unrealized Gain/loss
250	ADOBE SYSTEMS INC (DELAWARE)	44.630	11,157.50	13	04/01/02	100.000	40.960	4,174	289
					09/11/03	150.000	40.020	6,109	595
500	ALEXANDER & BALDWIN INC	31.870	15,935.00	450	09/11/03	250.000	28.340	7,209	758
					09/26/03	150.000	27.660	4,237	543
					10/08/03	100.000	30.700	3,154	33
136	APACHE CORP	40.360	5,488.96	33	02/15/01	136.000	26.493	3,664	1,824
200	ASHLAND INC	47.150	9,430.00	220	10/23/03	200.000	35.560	7,287	2,143
100	AT&T WIRELESS SERVICES INC	14.160	1,416.00		12/05/00	100.000	16.000	1,854	-438
200	ATLANTIC TELE NETWORK INC NEW	31.250	6,250.00	200	08/26/03	100.000	20.490	2,107	1,018
					08/29/03	100.000	20.670	2,126	999
300	BAXTER INTL INC	31.440	9,432.00	175	08/26/03	200.000	27.010	5,509	779

Continued on page 3

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Common stock - continued

Total shares	Description	Price	Current value	Est. annual income	Trade date	Shares purchased	Purchase price	Cost basis	Unrealized Gain/loss
					09/11/03	100.000	29.880	3,055	89
330	CASS INFORMATION SYSTEMS INC	38.801	12,804.33	277	09/11/03	330.000	30.766	10,318	2,486
100	CISCO SYS INC	22.370	2,237.00		01/17/01	100.000	40.437	4,121	-1,884
400	DANAHER CORP	47.030	18,812.00	20	08/26/03	200.000	37.530	7,508	1,798
					11/06/03	50.000	41.795	2,160	191
					02/12/04	150.000	47.140	7,176	-121
200	FLEXTRONICS INTL LTD	17.560	3,512.00		09/29/03	200.000	14.140	2,928	584
160	GENL ELECTRIC CO	31.120	4,658.00	120	07/14/99	160.000	38.500	5,858	-1,200
100	GILEAD SCIENCES INC	65.330	6,533.00		08/26/03	100.000	63.360	6,418	115
150	GIVEN IMAGING LTD SHS ORD	31.480	4,722.00		08/26/03	50.000	11.548	595	979
					11/05/03	100.000	15.899	1,562	1,486
200	H & R BLOCK INC	48.850	9,770.00	160	09/11/03	50.000	41.200	2,091	351
					10/09/03	150.000	44.040	6,741	586
911	INTEL CORP	28.560	26,009.05	146	02/24/99	511.000	5.105	2,608	11,981 cp
					12/31/99	400.000	15.312	6,125	5,296 cp
100	INTL BUSINESS MACH	88.590	8,859.00	72	04/16/02	100.000	88.300	8,723	136
160	LABORATORY CORP AMER HLDGS NEW	41.380	6,204.00		08/26/03	100.000	30.020	3,071	1,065
					11/05/03	50.000	34.500	1,799	269
100	LEXMARK INTL INC	94.320	9,432.00		12/30/99	100.000	91.825	9,255	177
100	LINCARE HLDGS INC	33.610	3,361.00		08/24/03	100.000	38.000	3,903	-542
100	LINCOLN ELEC HOLDINGS NEW	31.000	3,100.00	68	08/26/03	100.000	22.730	2,335	765
100	LOEWS CORP	57.630	5,763.00	60	02/12/04	100.000	59.190	6,024	-261
24	MEDCO HEALTH SOLUTIONS INC	35.030	840.72		05/24/94	12.000	6.888	82	338
					03/19/99	12.000	6.888	82	338
200	MERCK & CO	47.300	9,460.00	296	08/24/94	100.000	14.609	1,460	3,270 cp
					03/19/99	100.000	14.609	1,460	3,270 cp
500	MILLENNIUM PHARMACEUTICALS INC	14.820	7,410.00		09/24/03	500.000	16.290	8,349	-939
500	MOTOROLA INC	19.770	9,885.00	80	04/25/00	5.000	16.666	100	18 cp
					12/01/00	94.000	20.000	1,934	-75
					01/17/01	100.000	22.000	2,288	-291
					08/25/03	200.000	10.310	2,132	1,822
					09/11/03	100.000	11.020	1,157	820
500	OPTIMAL GROUP INC NEW CL A	7.300	3,650.00		09/26/03	500.000	8.430	4,351	-701

Continued on page 4

Common stock - continued

Total shares	Description	Price	Current value	Est. annual income	Trade date	Shares purchased	Purchase price	Cost basis	Unrealized Gain/loss
200	ORACLE CORP	11.400	2,280.00		11/30/00	150.000	23.250	3,672	-1,862
					02/12/04	50.000	13.769	743	-173
300	PENGROWTH ENERGY TRUST	13.130	3,939.00	553	08/27/03	300.000	12.570	3,873	66
400	PFIZER INC	35.340	14,135.00	272	08/23/99	300.000	32.937	9,974	628
					02/12/04	100.000	37.700	3,868	-334
250	SEARS ROEBUCK	38.000	9,500.00	230	09/11/03	250.000	43.780	11,106	-1,606
500	SONY CORP ADR NEW JAPAN	36.870	18,435.00	108	09/11/03	250.000	36.040	9,154	53
					10/09/03	250.000	36.950	9,181	56
1,300	TEXAS INSTRUMENTS	26.110	33,943.00	111	05/24/94	436.000	4.925	2,147	9,258 cp
					06/26/94	800.000	4.925	7,880	13,063 cp
					05/25/96	64.000	12.050	771	900 cp
700	TIME WARNER INC	17.040	11,928.00		01/06/00	100.000	72.000	7,293	-5,589
					05/01/03	50.000	13.580	734	118
					10/10/03	350.000	15.850	5,612	352
					02/12/04	200.000	17.430	3,597	-189
250	WALT DISNEY CO (HOLDING CO)	23.470	5,867.50	53	04/20/99	54.000	32.760	1,905	-538
	DISNEY COM				04/22/99	100.000	33.437	3,426	-1,079
					12/01/00	98.000	26.041	2,604	-350
	Total		$ 316,170.03	3,717				282,712	53,453

Money funds and other sweep options

Description	Opening balance	Closing balance	Closing share price	Average rate	Dividend/ interest period	Days in period
RMA TAX-FREE FUND INC	1,070.47	1,145.28	1.00	0.45%	04/26 - 05/23	28
Total	$ 1,070.47	$ 1,145.28				

3994

Monthly activity

Cash flow

Date	Activity	Description	Amount
04/30		Balance forward	$ .00
05/17	DIVIDEND	PENGROWTH ENERGY TRUST AS OF 05/15/04	38.70
05/17	DIVIDEND	TEXAS INSTRUMENTS PAID ON 1300	27.63
05/21	DIVIDEND	APACHE CORP PAID ON 136	8.16
05/24	DIVIDEND	RMA TAX-FREE FUND INC AS OF 05/21/04	.32
		Funds used for money fund/sweep trans.	$ -74.81
05/28		Closing balance	$ .00

Security transactions

Refer to the disclosure on the back of the first page regarding the Price/Value presented for restricted securities.

Date	Activity	Description	Quantity	Price / Value	Amount
05/21	DIVIDEND	DANAHER CORP PAID ON 200	200.000		

Money funds and other sweep options

The RMA Tax-Free Fund Inc is your primary sweep fund.

Date	Activity	Money fund/sweep option	Amount
04/30		Opening RMA Tax-Free Fund Inc	$ 1,070.47
05/18	BOUGHT	RMA TAX-FREE FUND INC	66.33
05/24	BOUGHT	RMA TAX-FREE FUND INC AS OF 05/21/04	.32
05/24	BOUGHT	RMA TAX-FREE FUND INC	8.16
05/28		Closing RMA Tax-Free Fund Inc	$ 1,145.28
		Sweep option purchased/deposited	$ 74.81

Year-to-date realized capital gain/loss totals

Short-term gains/losses:	$ 5,476.31
Long-term gains/losses:	$ -4,347.68
Net year-to-date capital gains/losses:	$ 1,128.63

3995

UBS Financial Services Inc.
307 SOUTH ASHLAND AVENUE
LEXINGTON, KY 40502

PZBA075327-X58 - 0504 - LC - 0

Retirement Account

PZBA075327-X58
7367868 - 000007

Account Number:

Account Type: Individual Retirement Account

HAROLD ROGERS
IRA

May 2004

This month at a glance

Value on April 30	$	75,788.24
Value on May 28	$	75,633.75
Money fund and other sweep option balance in 05/28 value	$	341.84

Earnings summary

Earnings are for the current calendar year. All prior year entries are summarized separately. This information should not be used for tax purposes. Refer to the disclosure on the back of the first page.

		Current period		*Year-to-date*
Dividends	$	29.81	$	218.13
Net security earnings	$	29.81	$	218.13

Asset summary

Refer to the disclosure on the back of the first page for information on assets excluded from this summary.

	% of portfolio		*Value*
Equities	37.40		28,290.00
Money funds/sweep options	.45		341.84
Mutual funds	53.78		40,682.48
Unit investment trusts	8.39		6,339.43
Net invested assets	100.00	$	75,633.75
Value on May 28		$	75,633.75

Investment objectives

The following return objective and risk profile(s) describe overall goals for this account. For each account held, you must provide one return objective, one primary risk profile and, if applicable, a secondary risk profile. A full description of the alternatives is included on the back of the first page. If you have questions regarding these objectives, disagree with or wish to change them, please notify your Financial Advisor or Branch Manager at your branch office, in writing or by telephone.

Return objective: Capital appreciation

Risk profile: Primary: Moderate Secondary: None selected

Account Instructions

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3996

Retirement Account

PZBA075328-X58
7367858 - 000008E

Statement Period: May 2004

Asset portfolio

Prices, income and current values may be approximate. Refer to the disclosure on the back of the first page.

Quantity/Face value	Description	Contract premium	Price	Current value	Est. income
600	ASHLAND INC		47.150	28,290.00	690
341.840	UBS BANK USA DEP ACCT			341.84	
	Opening balance $ 341.84 Avg yield 0.32% Int period 04/26 - 05/23				
1,172.795	LORD ABBETT AFFILIATED FUND CL B		13.620	15,973.46	129
458.437	LORD ABBETT DEVELOPING GROWTH FUND CL B		14.090	6,459.37	
1,037.932	UBS GLOBAL ALLOCATION FUND CLASS B		11.970	12,424.04	113
234.003	UBS TACTICAL ALLOCATION FUND CLASS B		24.810	5,805.61	
5,859	UNTS BLUE CHIP TRUST 2003-B EQUITY		1.082	6,339.43	
	OPPORTUNITY TRUST (TRAD)				
	Payment option:				
	Total			$ 75,633.75	$ 932

Activity

Refer to the disclosure on the back of the first page regarding the Price/Value presented for restricted securities.

Date	Activity	Description	Quantity	Price / Value / Comment	Amount
04/30		**Balance forward**		$	.00
05/26	DIVIDEND	LORD ABBETT AFFILIATED FUND CL B			26.81
		AS OF 05/21/04			
05/26	REINVESTMENT	LORD ABBETT AFFILIATED FUND CL B	2.017		-26.81
		DIVIDEND REINVESTED AT 13.29 NAV ON 05/21/04			
		AS OF 05/21/04			
05/28		**Closing balance**		$	.00

May 04/ LC 61804 26

3997

UBS Financial Services Inc.
307 SOUTH ASHLAND AVENUE
LEXINGTON, KY 40502

PZ8A098269-X12348 - 0604 - LC - 0

Summary of Accounts

PZ8A098269-X12348
7387858 - 000009S

une 2004

HAROLD D ROGERS

00014990

ımmary of assets

e section provides values and percentages by asset category for accounts listed in the Summary of account values section below priced assets are excluded, see back for details.

	% of priced assets	Value
uities	87.95	354,339.80
ɔney funds and other sweep options	.15	589.39
ıtual funds	10.32	41,598.35
ıit investment trusts	1.58	6,364.79
tal account values	100.00	$ 402,892.43

ımmary of account values

*e section consolidates account value information for accounts listed below. If you have additional accounts that you wish to be included, please contact your Financial Advisor. Account value plus other items excludes ate investments and unpriced assets, but includes insurance products, restricted securities, pending return of principal, accrued interest and cash and sweep option balances. Accounts with only private investments are not ed below. *** indicates the statement for this account has been suppressed from printing. You may access the statement through Online Services.*

ount number	Account type	Account title	Cash/Money funds	Account value plus other items - this month	Account value plus other items - last month
	RMA	HAROLD D ROGERS	$ 82.49	$ 322,738.39	$ 317,315.34
	IRA	HAROLD ROGERS	506.90	80,156.04	75,633.75
		IRA			
		Total	$ 589.39	$ 402,892.43	$ 392,949.09

ımmary of earnings

e section summarizes earnings for each account and does not reflect your accounts' tax status or reporting requirements. Use only official tax reporting documents (e.g. 1099, 5498) for tax return purposes. The Unclassified mn includes private investment distributions and earnings for accounts for which it is not appropriate to classify earnings as taxable or non-taxable (e.g. retirement plan accounts).

ount number	Account type	Year-to-date earnings: Taxable	Non-taxable	Unclassified	Total	Est. annual income
	RMA	$ 1,948.13	$ 1.64	$ .00	$ 1,949.77	$ 3,731
	IRA	— not applicable —		402.64	402.64	902
		$ 1,948.13	$ 1.64	$ 402.64	$ 2,352.41	$ 4,633

3998

UBS Financial Services Inc.
307 SOUTH ASHLAND AVENUE
LEXINGTON, KY 40502

PZ8A098270-X12348 - 0604 - LC - 0

Resource Management Account®

PZ8A098270-X12348
- 000002

Account Number:
Universal ID:

HAROLD D ROGERS

une 2004

his month at a glance

ue on May 28	$	317,315.34
ue on June 30	$	322,736.39
foney fund and other sweep option balance in 06/30 value	$	82.49

ctivity highlights

		Current period		Year-to-date
thholdings and taxes paid	$	-3.95	$	-3.95
source Management Account fee		.00		-125.00
t change from activity listed above	$	-3.95	$	-128.95

ortfolio summary

		Current period		Year-to-date
ening value	$	317,315.34	$	313,639.85
t change from Activity Highlights		-3.95		-128.95
t security earnings		470.02		1,949.77
ange in value of investments		4,954.98		7,275.82
ue on June 30	$	322,736.39	$	322,736.39

ithholdings and tax summary

	Current period	Year-to-date
eign taxes paid	-3.95	-3.95

Bulletin Board
WE NOW OFFER ACCESS TO
INDEPENDENT EQUITY RESEARCH.
PLEASE SEE THE ENCLOSED INSERT
FOR DETAILS.
RESOURCELINE 1-800-RMA-1000: ACCOUNT #: 278061809
VISIT OUR WEB SITE AT WWW.UBS.COM.

Asset summary

Refer to the disclosure on the back of the first page for information on assets excluded from this summary

	% of portfolio		Value
Equities	99.97		322,653.90
Money funds/sweep options	.03		82.49
Net invested assets	**100.00**	**$**	**322,736.39**
Value on June 30		**$**	**322,736.39**

3999

arnings summary

nings below are classified as taxable or non-taxable based on the tax status for the specific security on which the earnings are eived and does not attempt to reflect your account's tax status or reporting requirements. Use only official tax reporting uments (e.g. 1099) for tax reporting purposes. The classification of private investment distributions can only be determined by rring to the official year-end tax reporting document provided by the issuer.

		Current period		Year-to-date
xable				
vidends	$	469.51	$	1,948.13
tal taxable security earnings	$	469.51	$	1,948.13
n-taxable				
vidends/interest	$	.51	$	1.64
tal non-taxable security earnings	$	.51	$	1.64
tal current year security earnings	$	470.02	$	1,949.77
t security earnings	$	470.02	$	1,949.77

Investment objectives

The following return objective and risk profile(s) describe overall goals for this account. For each account held, you must provide one return objective, one primary risk profile and, if applicable, a secondary risk profile. A full description of the alternatives is included on the back of the first page. If you have questions regarding these objectives, disagree with or wish to change them, please notify your Financial Advisor or Branch Manager at your branch office, in writing or by telephone

Return objective: Capital appreciation

Risk profile: Primary: Moderate
Secondary: None selected

sset portfolio

en available, prices, income and current values may be approximate and thus gains/losses may not be accurately reflected. An asterisk (*) indicates the calculation of unrealized gains/losses based upon a UBS Financial Services ustment to cost basis. The number "1" indicates cost basis information has been provided by a source other than UBS Financial Services. See the back of the first page for additional information. Gains/losses are not calculated zero coupon investments. "Pending adjustment" or "P/A" indicates cost basis has not yet been allocated as a result of a spin-off or taxable exchange. § indicates the rating for this stock may have been placed Under Review by analyst, may be subject to a Rating/Return divergence or may have an exception to the core rating bands. ± indicates recommendation and price target information temporarily suspended pending review. For further information ase refer to the "Research Rating Exceptions" daily report or contact your Financial Advisor.

uities

mmon stock

al shares	Description	Price	Current value	Est. annual income	Trade date	Shares purchased	Purchase price	Cost basis	Unrealized Gain/loss
250	ADOBE SYSTEMS INC (DELAWARE)	46.500	11,625.00	13	04/01/02	100.000	40.960	4,174	476
					09/11/03	150.000	40.020	6,109	866
500	ALEXANDER & BALDWIN INC	33.450	16,725.00	450	09/11/03	250.000	28.340	7,209	1,153
					09/26/03	150.000	27.650	4,237	780
					10/09/03	100.000	30.700	3,154	191
138	APACHE CORP	43.550	5,922.80	33	02/15/01	136.000	26.493	3,664	2,258
200	ASHLAND INC	52.810	10,562.00	220	10/23/03	200.000	35.560	7,287	3,275
100	AT&T WIRELESS SERVICES INC	14.320	1,432.00		12/05/00	100.000	18.000	1,854	-422
200	ATLANTIC TELE NETWORK INC NEW	32.100	6,420.00	200	08/26/03	100.000	20.490	2,107	1,103
					08/29/03	100.000	20.670	2,126	1,084
300	BAXTER INTL INC	34.510	10,353.00	175	08/26/03	200.000	27.010	5,508	1,393

ntinued on page 3

4000

ommon stock - continued

tal shares	Description	Price	Current value	Est. annual income	Trade date	Shares purchased	Purchase price	Cost basis	Unrealized Gain/loss
					08/11/03	100.000	29.860	3,055	396
330	CASS INFORMATION SYSTEMS INC	39.800	13,134.00	277	09/11/03	330.000	30.766	10,318	2,816
100	CISCO SYS INC	23.700	2,370.00		01/17/01	100.000	40.437	4,121	-1,751
400	DANAHER CORP	51.850	20,740.00	24	08/26/03	200.000	37.830	7,608	2,762
					11/05/03	50.000	41.795	2,160	432
					02/12/04	150.000	47.140	7,176	601
200	FLEXTRONICS INTL LTD	15.950	3,190.00		09/26/03	200.000	14.140	2,928	262
200	GENL ELECTRIC CO	32.400	6,480.00	160	07/14/99	150.000	38.500	5,868	-1,008
					06/21/04	50.000	32.720	1,708	-88
100	GILEAD SCIENCES INC	67.000	6,700.00		08/28/03	100.000	63.380	6,418	282
150	GIVEN IMAGING LTD SHS ORD	35.370	5,305.50		08/26/03	50.000	11.549	585	1,173
					11/05/03	100.000	15.899	1,862	1,875
200	H & R BLOCK INC	47.680	9,536.00	176	09/11/03	50.000	41.200	2,091	293
					10/09/03	150.000	44.040	6,741	411
911	INTEL CORP	27.600	25,143.60	146	02/24/99	511.000	5.105	2,608	11,495[1]
					12/31/99	400.000	15.312	6,125	4,915[1]
100	INTL BUSINESS MACH	88.150	8,815.00	72	04/16/02	100.000	86.300	8,723	92
100	IPIX CORP	13.980	1,398.00		06/21/04	100.000	12.270	1,291	107
150	LABORATORY CORP AMER HLDGS NEW	39.700	5,955.00		08/26/03	100.000	30.020	3,071	899
					11/05/03	50.000	34.500	1,789	186
100	LEXMARK INTL INC	98.530	9,853.00		12/30/99	100.000	91.625	9,255	398
100	LINCARE HLDGS INC	32.860	3,286.00		09/24/03	100.000	38.000	3,903	-617
100	LINCOLN ELEC HOLDINGS NEW	34.090	3,409.00	68	08/28/03	100.000	22.730	2,335	1,074
100	LOEWS CORP	59.960	5,996.00	80	02/12/04	100.000	59.190	6,024	-28
24	MEDCO HEALTH SOLUTIONS INC	37.500	900.00		05/24/94	12.000	6.888	82	368
					03/19/99	12.000	6.888	82	368
200	MERCK & CO	47.500	9,500.00	296	05/24/94	100.000	14.609	1,460	3,290[1]
					03/19/99	100.000	14.609	1,460	3,290[1]
500	MILLENNIUM PHARMACEUTICALS INC	13.800	6,900.00		09/24/03	500.000	16.290	8,349	-1,449
300	MOTOROLA INC	18.250	5,475.00	48	08/25/03	200.000	10.310	2,132	1,518
					09/11/03	100.000	11.020	1,157	868
500	OPTIMAL GROUP INC NEW CL A	7.620	3,810.00		09/26/03	500.000	8.430	4,351	-541
200	ORACLE CORP	11.930	2,386.00		11/30/00	150.000	23.250	3,572	-1,782
					02/12/04	50.000	13.769	743	-146

tinued on page 4

ommon stock - continued

tal shares	Description	Price	Current value	Est. annual income	Trade date	Shares purchased	Purchase price	Cost basis	Unrealized Gain/loss
300	PENGROWTH ENERGY TRUST	13.980	4,194.00	554	08/27/03	300.000	12.570	3,873	321
400	PFIZER INC	34.280	13,712.00	272	06/23/89	300.000	32.937	9,974	310
					02/12/04	100.000	37.700	3,868	-440
250	SEARS ROEBUCK	37.760	9,440.00	230	09/11/03	250.000	43.780	11,108	-1,668
500	SONY CORP ADR NEW JAPAN	38.050	19,025.00	104	09/11/03	250.000	36.040	9,154	358
					10/09/03	250.000	35.950	9,161	351
100	TASER INTL INC	43.230	4,323.00		06/21/04	100.000	30.630	3,161	1,162
1,300	TEXAS INSTRUMENTS	24.180	31,434.00	111	05/24/94	436.000	4.925	2,147	8,395'
					05/25/94	800.000	4.925	7,880	11,464'
					05/25/96	64.000	12.050	771	776'
700	TIME WARNER INC	17.580	12,306.00		01/06/00	100.000	72.000	7,293	-5,535
					05/01/03	50.000	13.580	734	145
					10/10/03	350.000	15.650	5,612	541
					02/12/04	200.000	17.430	3,597	-81
200	WALT DISNEY CO (HOLDING CO)	25.490	5,098.00	42	04/20/99	4.000	32.750	133	-31
	DISNEY COM				04/22/99	100.000	33.437	3,426	-877
					12/01/00	96.000	26.041	2,604	-156
	Total		$ 322,653.90	3,731				262,897	59,753

oney funds and other sweep options

scription	Opening balance	Closing balance	Closing share price	Average rate	Dividend/ Interest period	Days in period
MA TAX-FREE FUND INC	1,145.28	82.49	1.00	0.45%	05/24 - 06/23	31
tal	$ 1,145.28	$ 82.49				

lonthly activity

ash flow

e	Activity	Description	Amount
/28		Balance forward	$ .00
/01	DIVIDEND	INTEL CORP	36.44
		PAID ON 911	

Date	Activity	Description	Amount
06/03	DIVIDEND	ALEXANDER & BALDWIN INC	112.50
		PAID ON 500	
06/04	DIVIDEND	PFIZER INC	68.00
		PAID ON 400	

tinued on page 5

ash flow - continued

te	Activity	Description	Amount
3/08	FOREIGN TAX WITHHELD	SONY CORP ADR NEW JAPAN	-3.85
1/08	FOREIGN DIVIDEND	SONY CORP ADR NEW JAPAN	58.45
1/10	DIVIDEND	INTL BUSINESS MACH PAID ON 100	18.00
1/14	DIVIDEND	LOEWS CORP PAID ON 100	15.00
/15	DIVIDEND	ASHLAND INC PAID ON 200	55.00
/15	DIVIDEND	CASS INFORMATION SYSTEMS INC PAID ON 330	89.30

Date	Activity	Description	Amount
06/15	DIVIDEND	PENGROWTH ENERGY TRUST	38.82
06/24	DIVIDEND	RMA TAX-FREE FUND INC	.51
		AS OF 06/23/04	
		Proceeds from security transactions	**$ 4,631.84**
		Funds used for security transactions	**$ -6,160.70**
		Proceeds from money fund/sweep trans.	**$ 1,528.86**
		Funds used for money fund/sweep trans.	**$ -466.07**
06/30		**Closing balance**	**$ .00**

ecurity transactions

fer to the disclosure on the back of the first page regarding the Price/Value presented for restricted securities

te	Activity	Description	Quantity	Price / Value	Amount
/24	BOUGHT	IPIX CORP UNSOLICITED	100.000	12.27	$ 1,291.46
/24	SOLD	WALT DISNEY CO (HOLDING CO) DISNEY COM UNSOLICITED	-50.000	24.85	1,178.74
/24	BOUGHT	GENL ELECTRIC CO UNSOLICITED	50.000	32.72	1,708.11
/24	SOLD	MOTOROLA INC UNSOLICITED	-200.000	17.80	3,453.10
/24	BOUGHT	TASER INTL INC UNSOLICITED	100.000	30.63	3,161.13
		Securities purchased			**$ 6,160.70**
		Securities sold			**$ 4,631.84**

oney funds and other sweep options

RMA Tax-Free Fund Inc is your primary sweep fund.

e	Activity	Money fund/sweep option	Amount
/28		**Opening RMA Tax-Free Fund Inc**	**$ 1,145.28**
/02	BOUGHT	RMA TAX-FREE FUND INC	38.44
04	BOUGHT	RMA TAX-FREE FUND INC	112.50
07	BOUGHT	RMA TAX-FREE FUND INC	68.00
09	BOUGHT	RMA TAX-FREE FUND INC	52.50
11	BOUGHT	RMA TAX-FREE FUND INC	18.00

Date	Activity	Money fund/sweep option	Amount
06/15	BOUGHT	RMA TAX-FREE FUND INC	15.00
06/18	BOUGHT	RMA TAX-FREE FUND INC	163.12
06/24	BOUGHT	RMA TAX-FREE FUND INC	.51
		AS OF 06/23/04	
06/24	SOLD	RMA TAX-FREE FUND INC	-1,528.86
06/30		**Closing RMA Tax-Free Fund Inc**	**$ 82.49**

tinued on page 6

oney funds and other sweep options - continued

te	Activity	Money fund/sweep option		Amount
		Sweep option purchased/deposited	$	466.07
		Sweep option sold/withdrawn	$	-1,526.86

ealized gains and losses

imated realized gains/losses are not for tax purposes. The oldest security lot is liquidated first to calculate gains/losses (first-in, first-out or FIFO accounting method) unless you specified which lot to close when you placed your order versus purchase or VSP order). An asterisk (*) indicates a UBS Financial Services adjustment to cost basis. The number "1" indicates cost basis information has been provided by a source other than UBS Financial Services. See back of the first page for additional information. Gains/losses may not be adjusted for all capital changes and are not calculated for zero coupon securities. Cost basis for tax-exempt and AMT eligible coupon municipal securities been adjusted automatically for estimated amortization of bond premiums. Estimates in the "Unclassified" section can not be classified as short-term or long-term due to missing information or the product is one which gain/loss culation is not provided.

curity description	Method	Quantity/ Face value	Purchase date	Sale date	Sale amount	Purchase amount	Loss	Gain	Net gain/loss
ng-term capital gains and losses:									
OTOROLA INC	FIFO	6.000	04/25/00	06/21/04	$ 103.59	$ 100.00		$ 3.59	
	FIFO	84.000	12/01/00	06/21/04	1,622.98	1,934.95	-311.99		
	FIFO	100.000	01/17/01	06/21/04	1,726.55	2,268.46	-541.91		
ALT DISNEY CO (HOLDING D) DISNEY COM	FIFO	50.000	04/20/99	06/21/04	1,178.74	1,673.11	-494.37		
tal					$ 4,631.84	$ 5,976.52	$ -1,344.68	$ 3.59	$ -1,344.68
t capital gains/losses:									$ -1,344.68

ear-to-date realized capital gain/loss totals

ort-term gains/losses:	$ 5,476.31
ng-term gains/losses:	$ -5,692.36
t year-to-date capital gains/losses:	$ -216.05

UBS Financial Services Inc.
307 SOUTH ASHLAND AVENUE
LEXINGTON, KY 40502

PZ8A068278-X12344 - 0604 - LC - 0

Retirement Account

PZ8A068278-X12344
7367858 - 000008

Account Number:
Universal ID:
Account Typ

HAROLD ROGERS
IRA

4005

une 2004

his month at a glance

lue on May 28	$	75,633.75
lue on June 30	$	80,156.04
Money fund and other sweep option balance in 06/30 value	$	506.90

arnings summary

nings are for the current calendar year. All prior year entries are summarized separately. This information should not be used tax purposes. Refer to the disclosure on the back of the first page.

		Current period		Year-to-date
ridends	$	184.51	$	402.64
t security earnings	$	184.51	$	402.64

sset summary

er to the disclosure on the back of the first page for information on assets excluded from this summary.

	% of portfolio		Value
uities	39.53		31,686.00
oney funds/sweep options	.63		506.90
rtual funds	51.90		41,598.35
it investment trusts	7.94		6,364.79
t invested assets	100.00	$	80,156.04
lue on June 30		$	80,156.04

vestment objectives

following return objective and risk profile(s) describe overall goals for this account. For each account held, you must provide return objective, one primary risk profile and, if applicable, a secondary risk profile. A full description of the alternatives is ovided on the back of the first page. If you have questions regarding these objectives, disagree with or wish to change them, ease notify your Financial Advisor or Branch Manager at your branch office, in writing or by telephone.

turn objective: Capital appreciation
k profile: Primary: Moderate Secondary: None selected

Account Instructions
UBS Financial Services Inc. is your IRA custodian.

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VISIT OUR WEB SITE AT WWW.UBS.COM.

Retirement Account

Statement Period: June 2004

PZ8A098277-X1234
7367858 - 000069E

Asset portfolio

ices, income and current values may be approximate. Refer to the disclosure on the back of the first page.

uantity/face value	*Description*	*Contract premium*	*Price*	*Current value*	*Est. income*
600	ASHLAND INC		52.810	31,686.00	660
506.900	UBS BANK USA DEP ACCT			506.90	
	Opening balance $ 341.84 Avg yield 0.32% Int period 05/24 - 06/23				
1,172.795	LORD ABBETT AFFILIATED FUND CL B		14.000	16,419.13	129
458.437	LORD ABBETT DEVELOPING GROWTH FUND CL B		14.580	6,674.84	
1,037.932	UBS GLOBAL ALLOCATION FUND CLASS B		12.140	12,600.49	113
234.003	UBS TACTICAL ALLOCATION FUND CLASS B		25.230	5,903.89	
5.877	UNTS BLUE CHIP TRUST 2003-B EQUITY		1.083	6,364.79	
	OPPORTUNITY TRUST (TRAD)				
	Payment option:				
	Total			$ 80,156.04	$ 902

Activity

efer to the disclosure on the back of the first page regarding the Price/Value presented for restricted securities.

ate	*Activity*	*Description*	*Quantity*	*Price / Value / Comment*	*Amount*
5/28		**Balance forward**		$	.00
6/15	DIVIDEND	ASHLAND INC		ON 600	165.00
6/16	DEPOSIT	UBS BANK USA DEPOSIT ACCOUNT	165.000		-165.00
6/28	DIVIDEND	UNTS BLUE CHIP TRUST 2003-B EQUITY			19.51
		OPPORTUNITY TRUST (TRAD) AS OF 06/25/04			
6/28	REINVESTMENT	UNTS BLUE CHIP TRUST 2003-B EQUITY	18.000		-19.45
		OPPORTUNITY TRUST (TRAD) AT 1.080800 REINVEST PRICE			
		ON 06/28/04 EXECUTION CAPACITY: AGENT			
		AS OF 06/25/04			
6/29	DEPOSIT	UBS BANK USA DEPOSIT ACCOUNT	.060		-.06
6/30		**Closing balance**		$	.00

RA beneficiary information

o ensure your IRA assets are distributed as you wish, it is crucial that your beneficiary designation is up-to-date. Contact your Financial Advisor to ensure that UBS Financial Services Inc. has the most current ineficiary information for your account and to have your beneficiary information appear on your statement.

4006

UBS Financial Services Inc.
307 SOUTH ASHLAND AVENUE
LEXINGTON, KY 40502

PZ8A099546-X46 - 0704 - LC - 0

Investment Account

PZ8A099546-X46
- 000007S

Account Number:
Universal ID:

HAROLD D ROGERS

00014689

July 2004

This month at a glance

Value on June 30	$	322,736.39
Value on July 30	$	6.00
Cash in 07/30 value	$	6.00

Activity highlights

		Current period		Year-to-date
Withholdings and taxes paid	$	.00	$	-3.95
Deposits		75.00		75.00
Withdrawals		-424.48		-424.48
Resource Management Account fee		.00		-125.00
Miscellaneous charges and adjustments		-75.00		-75.00
Securities transferred out (valued at time of transfer)		-312,689.31		-312,689.31
Net change from activity listed above	$	-313,113.79	$	-313,242.74

Portfolio summary

		Current period		Year-to-date
Opening value	$	322,736.39	$	313,639.95
Net change from Activity Highlights		-313,113.79		-313,242.74
Net security earnings		347.99		2,297.76
Change in value of investments		-9,964.59		-2,688.97
Value on July 30	$	6.00	$	6.00

Withholdings and tax summary

	Current period	Year-to-date
Foreign taxes paid	.00	-3.95

Bulletin Board

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YOU MAY SEE CHANGES IN JULY'S STATEMENT,
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ASK YOUR FINANCIAL ADVISOR FOR DETAILS.
VISIT OUR WEB SITE AT WWW.UBS.COM.

Investment objectives

The following return objective and risk profile(s) describe overall goals for this account. For each account held, you must provide one return objective, one primary risk profile and, if applicable, a secondary risk profile. A full description of the alternatives is included on the back of the first page. If you have questions regarding these objectives, disagree with or wish to change them, please notify your Financial Advisor or Branch Manager at your branch office, in writing or by telephon

Return objective: Capital appreciation
Risk profile: Primary: Moderate
Secondary: None selected

4007

Investment Account

PZBA099547-X48
7367858 - 000002

Statement Period: July 2004

Earnings summary

Earnings below are classified as taxable or non-taxable based on the tax status for the specific security on which the earnings are received and does not attempt to reflect your account's tax status or reporting requirements. Use only official tax reporting documents (e.g. 1099) for tax reporting purposes. The classification of private investment distributions can only be determined by referring to the official year-end tax reporting document provided by the issuer

	Current period	Year-to-date
Taxable		
Dividends	$ 347.98	$ 2,296.11
Total taxable security earnings	$ 347.98	$ 2,296.11
Non-taxable		
Dividends/interest	$ .01	$ 1.65
Total non-taxable security earnings	$ .01	$ 1.65
Total current year security earnings	$ 347.99	$ 2,297.76
Net security earnings	$ 347.99	$ 2,297.76

Asset portfolio

When available, prices, income and current values may be approximate and thus gains/losses may not be accurately reflected. An asterisk (*) indicates the calculation of unrealized gains/losses based upon a UBS Financial Services adjustment to cost basis. The number "1" indicates cost basis information has been provided by a source other than UBS Financial Services. See the back of the first page for additional information. Gains/losses are not calculated for zero coupon investments. "Pending adjustments" or "PIA" indicates cost basis has not yet been allocated as a result of a spin-off or taxable exchange

Money funds and other sweep options

Description	Opening balance	Closing balance	Closing share price	Average rate	Dividend/ interest period	Days in period
MA TAX-FREE FUND INC	82.49	.00	1.00	0.45%	06/24 - 07/25	32
Total	$ 82.49	$ .00				

Notice of Availability

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Monthly activity

ash flow

te	Activity	Description		Amount
5/30		Balance forward	$	.00
7/01	DIVIDEND	H & R BLOCK INC PAID ON 200		40.00
7/01	DIVIDEND	MERCK & CO PAID ON 200		74.00
7/01	DIVIDEND	SEARS ROEBUCK PAID ON 250		57.50
7/08	DIVIDEND	RMA TAX-FREE FUND INC		.01
7/09	FEE CHARGED	ACCOUNT TRANSFER FEE AS OF 07/07/04		-75.00
7/09	DELIVER	DEBIT CASH BALANCE		75.00
7/09	DELIVER	CREDIT CASH BALANCE		-253.99
7/12	DIVIDEND	ATLANTIC TELE NETWORK INC NEW PAID ON 200		50.00
7/13	DIVIDEND	ADOBE SYSTEMS INC (DELAWARE) PAID ON 250		3.13
07/14	DELIVER	CREDIT CASH BALANCE		-.01
07/15	DIVIDEND	LINCOLN ELEC HOLDINGS NEW PAID ON 100		17.00
07/15	DIVIDEND	MOTOROLA INC PAID ON 500		20.00
07/15	DIVIDEND	PENGROWTH ENERGY TRUST		40.35
07/19	DELIVER	CREDIT CASH BALANCE		-53.13
07/23	DELIVER	CREDIT CASH BALANCE		-77.35
07/26	DIVIDEND	GENL ELECTRIC CO PAID ON 200		40.00
07/29	DELIVER	CREDIT CASH BALANCE		-40.00
07/29	DIVIDEND	DANAHER CORP PAID ON 400		8.00
		Proceeds from money fund/sweep trans.	$	**253.99**
		Funds used for money fund/sweep trans.	$	**-171.50**
07/30		**Closing balance**	$	**6.00**

ecurity transactions

fer to the disclosure on the back of the first page regarding the Price/Value presented for restricted securities

te	Activity	Description	Quantity	Price / Value	Amount
7/09	DELIVER	IPIX CORP	-100.000	-1,168.00	
7/09	DELIVER	ALEXANDER & BALDWIN INC	-500.000	-16,650.00	
7/09	DELIVER	ADOBE SYSTEMS INC (DELAWARE)	-250.000	-11,077.50	
7/09	DELIVER	APACHE CORP	-136.000	-6,174.40	
7/09	DELIVER	ASHLAND INC	-200.000	-10,556.00	
7/09	DELIVER	H & R BLOCK INC	-200.000	-9,364.00	
7/09	DELIVER	BAXTER INTL INC	-300.000	-10,104.00	
7/09	DELIVER	CASS INFORMATION SYSTEMS INC	-330.000	-13,198.70	
7/09	DELIVER	CISCO SYS INC	-100.000	-2,236.00	

ntinued on page 4

Investment Account

Statement Period: July 2004

PZBA099548-X46
7367858 - 000004

Security transactions - continued

Date	Activity	Description	Quantity	Price / Value	Amount
7/09	DELIVER	ATLANTIC TELE NETWORK INC NEW	-200.000	-6,400.00	
7/09	DELIVER	DANAHER CORP	-400.000	-20,000.00	
7/09	DELIVER	WALT DISNEY CO (HOLDING CO) DISNEY COM	-200.000	-4,920.00	
7/09	DELIVER	FLEXTRONICS INTL LTD	-200.000	-2,874.00	
7/09	DELIVER	GENL ELECTRIC CO	-200.000	-6,434.00	
7/09	DELIVER	GIVEN IMAGING LTD SHS ORD	-150.000	-4,950.00	
7/09	DELIVER	GILEAD SCIENCES INC	-100.000	-6,533.00	
7/09	DELIVER	INTEL CORP	-911.000	-24,205.27	
7/09	DELIVER	INTL BUSINESS MACH	-100.000	-8,389.00	
7/09	DELIVER	LABORATORY CORP AMER HLDGS NEW	-150.000	-5,743.50	
7/09	DELIVER	LINCARE HLDGS INC	-100.000	-3,146.00	
7/09	DELIVER	LINCOLN ELEC HOLDINGS NEW	-100.000	-3,374.00	
7/09	DELIVER	LEXMARK INTL INC	-100.000	-9,273.00	
7/09	DELIVER	LOEWS CORP	-100.000	-5,826.00	
7/09	DELIVER	MEDCO HEALTH SOLUTIONS INC	-24.000	-823.44	
7/09	DELIVER	MERCK & CO	-200.000	-9,172.00	
7/09	DELIVER	MILLENNIUM PHARMACEUTICALS INC	-500.000	-6,225.00	
7/09	DELIVER	MOTOROLA INC	-300.000	-5,250.00	
7/09	DELIVER	AT&T WIRELESS SERVICES INC	-100.000	-1,430.00	
7/09	DELIVER	OPTIMAL GROUP INC NEW CL A	-500.000	-3,780.00	
7/09	DELIVER	ORACLE CORP	-200.000	-2,206.00	
7/09	DELIVER	PENGROWTH ENERGY TRUST	-300.000	-4,428.00	
7/09	DELIVER	PFIZER INC	-400.000	-13,600.00	
7/09	DELIVER	SEARS ROEBUCK	-250.000	-8,887.50	
7/09	DELIVER	SONY CORP ADR NEW JAPAN	-500.000	-18,475.00	
7/09	DELIVER	TASER INTL INC	-100.000	-4,061.00	
7/09	DELIVER	TEXAS INSTRUMENTS	-1,300.000	-29,640.00	
7/09	DELIVER	TIME WARNER INC	-700.000	-12,117.00	
		Securities transferred out or delivered		**$ -312,689.31**	**$ .00**

Investment Account

Statement Period: July 2004

PZ8A099350 X46
7367858 - 000005

Money funds and other sweep options

Date	Activity	Money fund/sweep option		Amount
06/30		Opening RMA Tax-Free Fund Inc	$	82.49
07/02	BOUGHT	RMA TAX-FREE FUND INC		171.50
07/08	SOLD	RMA TAX-FREE FUND INC		-253.99
07/30		Closing RMA Tax-Free Fund Inc	$	.00
		Sweep option purchased/deposited	$	171.50
		Sweep option sold/withdrawn	$	-253.88

Year-to-date realized capital gain/loss totals

Short-term gains/losses:	$	5,476.31
Long-term gains/losses:	$	-5,692.36
Net year-to-date capital gains/losses:	$	-216.05

July 04/ LC 61809 25

4011

UBS Financial Services Inc.
307 SOUTH ASHLAND AVENUE
LEXINGTON, KY 40502

PZBA088551-X46 - 0704 - LC - 0

Retirement Account

PZBA088551-X46
*9467843 - 000008

Account Number:
Universal ID:
Account Type

HAROLD ROGERS
IRA

July 2004

This month at a glance

Value on June 30	$	80,156.04
Value on July 30	$	.00

Distribution summary

	Current period	Tax year 2004	Tax year 2003
Transfers	77,625.15	77,625.15	.00

Earnings summary

Earnings are for the current calendar year. All prior year entries are summarized separately. This information should not be used for tax purposes. Refer to the disclosure on the back of the first page.

	Current period	Year-to-date
Dividends	$ .00	$ 402.64
Interest	2.67	2.67
Net security earnings	$ 2.67	$ 405.31

Investment objectives

The following return objective and risk profile(s) describe overall goals for this account. For each account held, you must provide one return objective, one primary risk profile and, if applicable, a secondary risk profile. A full description of the alternatives is included on the back of the first page. If you have questions regarding these objectives, disagree with or wish to change them, please notify your Financial Advisor or Branch Manager at your branch office, in writing or by telephone.

Return objective: Capital appreciation
Risk profile: Primary: Moderate Secondary: None selected

Account instructions
UBS Financial Services Inc. is your custodian.

Bulletin Board
WE NOW OFFER INDEPENDENT EQUITY RESEARCH.
YOU MAY SEE CHANGES IN JULY'S STATEMENT,
TRADE CONFIRMS, AND ON ONLINE SERVICES.
ASK YOUR FINANCIAL ADVISOR FOR DETAILS.
VISIT OUR WEB SITE AT WWW.UBS.COM.

Asset portfolio

Prices, income and current values may be approximate. Refer to the disclosure on the back of the first page.

Quantity/face value	Description	Contract premium	Price	Current value	Est. income
	UBS BANK USA DEP ACCT			.00	
	Opening balance $ 506.90 Avg yield 0.36% Int period 06/24 - 07/25				
	Total			$ .00	

4012

Retirement Account

Statement Period: July 2004

PZ8A096552-X46
7367858 - 000007E

lotice of Availability

ndependent, third-party research on certain companies covered by UBS Research is available to customers of UBS in the United States at no cost. Customers can access this research at www.ubs.com/independentresearch or can all 1-877-298-5700 to request that a copy of this research be sent to them

\ctivity

efer to the disclosure on the back of the first page regarding the Price/Value presented for restricted securities

ate	Activity	Description	Quantity	Price / Value / Comment	Amount
6/30		**Balance forward**		$	.00
7/08	FEE CHARGE	ANNUAL FEE			-40.00
7/08	FEE CHARGE	TERMINATION FEE			-50.00
7/09	WITHDRAWAL	UBS BANK USA DEPOSIT ACCOUNT AS OF 07/08/04	-90.000		90.00
7/12	SOLD	UNTS BLUE CHIP TRUST 2003-B EQUITY OPPORTUNITY TRUST (TRAD) REINVEST	-5,877.000	1.067900	6,276.04
7/12	SOLD	UBS GLOBAL ALLOCATION FUND CLASS B	-1,037.932	12.070	12,522.59
7/12	SOLD	UBS U.S. ALLOCATION FUND CLASS B	-234.003	24.960	5,835.46
7/13	DEPOSIT	UBS BANK USA DEPOSIT ACCOUNT	24,634.090		-24,634.09
7/23	WITHDRAWAL	UBS BANK USA DEPOSIT ACCOUNT	-25,050.990		25,050.99
7/23	INTEREST	UBS BANK USA DEPOSIT ACCOUNT			2.67
7/26	DELIVER	ASHLAND INC	-600.000	-31,248.00	
7/26	DELIVER	LORD ABBETT AFFILIATED FUND CL B	-1,172.795	-15,574.71	
7/26	DELIVER	LORD ABBETT DEVELOPING GROWTH FUND CL B	-458.437	-5,748.78	
7/26	DELIVER	CREDIT CASH BALANCE			-25,050.99
7/29	DELIVER	CREDIT CASH BALANCE			-2.68
7/29	DELIVER	CREDIT CASH BALANCE			-.01
7/30		**Closing balance**		$	.00

4013

July 04/ LC 61804 25

UBS Financial Services Inc.
307 SOUTH ASHLAND AVENUE
LEXINGTON, KY 40502

NZBA029901-X46 - 0804 - LC - 0

Investment Account

NZBA029901-X46
7858 - 000002S

Account Number:
Universal ID:

HAROLD D ROGERS

00010442

August 2004

This month at a glance

alue on July 30	$	6.00
alue on August 31	$	.00

Earnings summary

rnings below are classified as taxable or non-taxable based on the tax status for the specific security on which the earnings are ceived and does not attempt to reflect your account's tax status or reporting requirements. Use only official tax reporting cuments (e.g. 1099) for tax reporting purposes. The classification of private investment distributions can only be determined by ferring to the official year-end tax reporting document provided by the issuer.

	Current period	Year-to-date
axable		
ividends	$ .00	$ 2,296.11
otal taxable security earnings	$ .00	$ 2,296.11
on-taxable		
ividends/interest	$ .00	$ 1.65
otal non-taxable security earnings	$ .00	$ 1.65
otal current year security earnings	$ .00	$ 2,297.76
et security earnings	$ .00	$ 2,297.76

Withholdings and tax summary

	Current period	Year-to-date
oreign taxes paid	.00	-3.95

Bulletin Board

YOUR INVESTMENT PORTFOLIO MAY BE ABLE TO SUPPORT YOUR BORROWING NEEDS. OUR PREMIER CREDIT LINE USES YOUR ELIGIBLE PORTFOLIO TO OFFER CREDIT AT COMPETITIVE RATES.

VISIT OUR WEB SITE AT WWW.UBS.COM.

Investment objectives

The following return objective and risk profile(s) describe overall goals for this account. For each account held, you must provide one return objective, one primary risk profile and, if applicable, a secondary risk profile. A full description of the alternatives is included on the back of the first page. If you have questions regarding these objectives, disagree with or wish to change them, please notify your Financial Advisor or Branch Manager at your branch office, in writing or by telephone

Return objective: Capital appreciation
Risk profile: Primary: Moderate
Secondary: None selected

4014

Investment Account

Statement Period: August 2004

NZBA029902-X48
7367658 - 000002E

otice of Availability

dependent, third-party research on certain companies covered by UBS Research is available to customers of UBS in the United States at no cost. Customers can access this research at www.ubs.com/independentresearch or can ll 1-877-208-5700 to request that a copy of this research be sent to them.

ctivity

fer to the disclosure on the back of the first page regarding the Price/Value presented for restricted securities.

te	Activity	Description	Quantity	Price / Value / Comment	Amount
'/30		**Balance forward**		$	**6.00**
l/04	DELIVER	CREDIT CASH BALANCE			-6.00
l/31		**Closing balance**		$	**.00**

4015

UBS FINANCIAL SERVICES INC.
1000 HARBOR BOULEVARD
WEEHAWKEN, NJ 07086-6790

Your Financial Advisor

NZBA058778-X246 - 0904 - LC - 0

Investment Account

778-X246
0000033

Account Number:
Universal ID:

HAROLD D ROGERS

00021531

September 2004

This month at a glance

alue on August 31	$	.00
alue on September 30	$	.00

Earnings summary

nnings below are classified as taxable or non-taxable based on the tax status for the specific security on which the earnings are ceived and does not attempt to reflect your account's tax status or reporting requirements. Use only official tax reporting ocuments (e.g. 1099) for tax reporting purposes. The classification of private investment distributions can only be determined by ferring to the official year-end tax reporting document provided by the issuer

		Current period		Year-to-date
axable				
ividends	$	.00	$	2,296.11
otal taxable security earnings	$	.00	$	2,296.11
on-taxable				
ividends/interest	$	.00	$	1.65
otal non-taxable security earnings	$	.00	$	1.65
otal current year security earnings	$	.00	$	2,297.76
et security earnings	$	.00	$	2,297.76

Withholdings and tax summary

	Current period	Year-to-date
oreign taxes paid	.00	-3.95

Bulletin Board
DIAL IN TO HEAR OUR STRATEGISTS DISCUSS THE PRESIDENTIAL ELECTION CYCLE AND THEIR 4TH QUARTER OUTLOOK, OCTOBER 12 AT 8:00 P.M. ET
SEE INVESTMENT INTELLIGENCE FOR DETAILS.
VISIT OUR WEB SITE AT WWW.UBS.COM.

Investment objectives

The following return objective and risk profile(s) describe overall goals for this account. For each account held, you must provide one return objective, one primary risk profile and, if applicable, a secondary risk profile. A full description of the alternatives is included on the back of the first page. If you have questions regarding these objectives, disagree with or wish to change them, please notify your Financial Advisor or Branch Manager at your branch office, in writing or by telephone

Return objective: Capital appreciation
Risk profile: Primary: Moderate
Secondary: None selected

4016

Investment Account

NZBA059779-X244
7367859 - 000002

Statement Period: September 2004

lotice of Availability

dependent, third-party research on certain companies covered by UBS Research is available to customers of UBS in the United States at no cost Customers can access this research at www ubs com/independentresearch or can all 1-877-208-5700 to request that a copy of this research be sent to them

'reliminary year-to-date realized gains and losses

ear-to-date estimated gains and losses have been incorporated into this statement for settled positions through 9/30/04. The oldest security lot is liquidated first to calculate gain/losses (first-in, first-out or FIFO 'counting method) unless you specified which lot to close when you placed your order (a versus purchase or VSP order) An asterisk (*) indicates a UBS Financial Services adjustment to cost basis. The number "1" indicates cost asis information has been provided by a source other than UBS Financial Services. See the back of the first page for additional information. This information is provided for informational purposes only and should not be relied on for tax-filing purposes Rely only on year-end tax forms when preparing your tax return Gain/losses may not be adjusted for all capital changes Cost basis for tax-exempt and AMT eligible coupon municipal securities has en adjusted automatically for estimated amortization of bond premiums. Estimates in the "Unclassified" section can not be classified as short-term or long-term due to missing information or the product is one for which a in/loss calculation is not provided.

ecurity description	Method	Quantity/ Face value	Purchase date	Sale date	Sale amount	Purchase amount	Loss	Gain	Net gain/loss
:hort-term capital gains and losses:									
:IVEN IMAGING LTD SHS	FIFO	150.000	08/26/03	02/12/04	$ 4,550.83	$ 1,787.89		$ 2,762.94	
IRD									
I & R BLOCK INC	FIFO	200.000	09/11/03	02/12/04	11,809.53	8,365.93		3,443.60	
ALMONE INC	FIFO	100.000	10/10/03	02/12/04	1,042.47	1,449.46	-406.99		
ALMSOURCE INC	FIFO	30.000	10/10/03	02/12/04	544.35	867.59	-323.24		
'otal					$ 17,947.18	$ 12,470.87	$ -730.23	$ 6,206.54	$ 5,476.31
.ong-term capital gains and losses:									
IARMONY GOLD MINING CO	FIFO	100.000	11/08/02	02/12/04	$ 1,605.83	$ 1,553.31		$ 52.52	
TD SPON ADR									
IOTOROLA INC	FIFO	6.000	04/25/00	06/21/04	103.59	100.00		3.59	
	FIFO	94.000	12/01/00	06/21/04	1,622.96	1,934.95	-311.99		
	FIFO	100.000	01/17/01	06/21/04	1,726.55	2,268.46	-541.91		
'ALMONE INC	FIFO	12.000	05/29/01	02/12/04	125.10	1,004.40	-879.30		
	FIFO	38.000	06/21/01	02/12/04	396.14	2,162.32	-1,766.18		
	FIFO	50.000	12/02/02	02/12/04	521.24	576.53	-55.29		
'ALMSOURCE INC	FIFO	3.000	05/29/01	02/12/04	54.44	501.01	-446.57		
	FIFO	13.000	06/21/01	02/12/04	235.89	1,415.85	-1,179.98		
	FIFO	15.000	12/02/02	02/12/04	272.18	345.08	-72.90		
VALT DISNEY CO (HOLDING	FIFO	50.000	04/20/99	06/21/04	1,178.74	1,673.11	-494.37		
:O) DISNEY COM									
'otal					$ 7,842.66	$ 13,535.02	$ -5,748.47	$ 56.11	$ -5,692.36

ontinued on page 3

Investment Account

Statement Period: September 2004

NZBA059780-X24
7367858 - 0000035

Preliminary year-to-date realized gains and losses - continued

Security description	Method	Quantity/ Face value	Purchase date	Sale date	Sale amount	Purchase amount	Loss	Gain	Net gain/loss
Net capital gains/losses:								$	-216.05

UBS Financial Services Inc.
307 SOUTH ASHLAND AVENUE
LEXINGTON, KY 40502

UBS Financial Services Inc.

2004 Consolidated Form 1099

IMPORTANT NOTICE:
This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.
UBS Financial Services Inc., 1285 Ave of Americas, NY, NY 10019 (Federal ID 13-2638166)

Account Number

P11L026501-X1

HAROLD D ROGERS

00006214

Only the forms applicable to your account are shown. Forms which are not applicable and therefore not shown include: Form 1099-OID, Form 1099-INT.

Form 1099-DIV Dividends and Distributions *(OMB No 1545-0110)*

Lines	Category	Amount
1a	Ordinary dividends (includes lines 1b and 5)	$ 2,016.33
1b	Qualified dividends	2,016.33
2a	Total capital gain distributions (includes lines 2b, 2c, 2d)	0.00
2b	Unrecaptured section 1250 gain	0.00
2c	Section 1202 gain	0.00
2d	28% rate gain (Collectibles)	0.00
3	Nontaxable distributions	0.00
4	**Federal income tax withheld**	**0.00**
5	Investment expenses	0.00
6	Foreign tax paid	3.95
7	Foreign country or U.S. possession	
8	Liquidation distributions - cash	0.00
9	Liquidation distributions - non-cash (fair market value)	0.00

Form 1099-MISC Miscellaneous Income *(OMB No 1545-0115)*

Lines	Category	Amount
2	Royalties	$ 279.78
3	Other income	0.00
4	**Federal income tax withheld**	**0.00**
8	Substitute payments in lieu of dividends or interest	0.00

Form 1099-B Proceeds From Broker & Barter Exchange Transactions *(OMB No. 1545-0715)*

Lines	Category	Amount
1a	Date of sale or exchange	Various
1b	CUSIP number	Various
2	Stocks, bonds, etc. reported to IRS (gross proceeds less commissions)	$ 25,789.82
3	Bartering	0.00
4	**Federal income tax withheld**	**0.00**
5	Number of shares exchanged	0
6	Classes of stock exchanged	Various
7	Description	See details

4019

UBS Financial Services Inc.

2004 Consolidated Form 1099

P11L026502-X1

Details for Items Reported on Forms 1099

Dividends and Distributions Details Reported on Form 1099-DIV

Description	Additional Information	Ex Date	Payment Date	Tax year	Subject to AMT	Amount
Qualified dividends						
ADOBE SYSTEMS INC (DELAWARE)		01/07/04	01/23/04	2004		3.13
		03/26/04	04/13/04	2004		3.13
		06/25/04	07/13/04	2004		3.13
Sub Total: ADOBE SYSTEMS INC						$ 9.39
ALEXANDER & BALDWIN INC		02/17/04	03/04/04	2004		112.50
		05/04/04	06/03/04	2004		112.50
Sub Total: ALEXANDER & BALDWIN INC						$ 225.00
APACHE CORP		01/20/04	02/23/04	2004		8.16
		04/20/04	05/21/04	2004		8.16
Sub Total: APACHE CORP						$ 16.32
ASHLAND INC		02/19/04	03/15/04	2004		55.00
		05/26/04	06/15/04	2004		55.00
Sub Total: ASHLAND INC						$ 110.00
ATLANTIC TELE NETWORK INC NEW		12/29/03	01/09/04	2004		50.00
		03/31/04	04/09/04	2004		50.00
		06/28/04	07/12/04	2004		50.00
Sub Total: ATLANTIC TELE NETWORK						$ 150.00
BAXTER INTL INC		12/10/03	01/05/04	2004		$ 174.60
CASS INFORMATION SYSTEMS INC			03/15/04	2004		63.00
		06/03/04	06/15/04	2004		69.30
Sub Total: CASS INFORMATION SYSTEMS						$ 132.30
DANAHER CORP		12/23/03	01/30/04	2004		3.13
		03/24/04	04/30/04	2004		5.00
		06/23/04	07/30/04	2004		6.00
Sub Total: DANAHER CORP						$ 14.13
GENL ELECTRIC CO		12/29/03	01/26/04	2004		30.00
		02/26/04	04/26/04	2004		30.00
		06/24/04	07/26/04	2004		40.00
Sub Total: GENL ELECTRIC CO						$ 100.00

Continued on page 3

4020

UBS Financial Services Inc.

2004 Consolidated Form 1099

P11L026503-X1

Dividends and Distributions Details Reported on Form 1099-DIV - continued

Description	Additional Information	Ex Date	Payment Date	Tax year	Subject to AMT	Amount
H & R BLOCK INC		12/10/03	01/02/04	2004		80.00
		03/09/04	04/01/04	2004		40.00
		06/08/04	07/01/04	2004		40.00
Sub Total: H & R BLOCK INC						$ 160.00
INTEL CORP		02/04/04	03/01/04	2004		36.44
		05/05/04	06/01/04	2004		36.44
Sub Total: INTEL CORP						$ 72.88
INTL BUSINESS MACH		02/06/04	03/10/04	2004		16.00
		05/06/04	06/10/04	2004		18.00
Sub Total: INTL BUSINESS MACH						$ 34.00
LINCOLN ELEC HOLDINGS NEW		12/29/03	01/15/04	2004		16.00
		03/29/04	04/15/04	2004		17.00
		06/28/04	07/15/04	2004		17.00
Sub Total: LINCOLN ELEC HOLDINGS						$ 50.00
LOEWS CORP		02/26/04	03/15/04	2004		15.00
		05/27/04	06/14/04	2004		15.00
Sub Total: LOEWS CORP						$ 30.00
MERCK & CO		12/03/03	01/02/04	2004		74.00
		03/03/04	04/01/04	2004		74.00
		06/02/04	07/01/04	2004		74.00
Sub Total: MERCK & CO						$ 222.00
MOTOROLA INC		12/11/03	01/15/04	2004		20.00
		03/11/04	04/15/04	2004		20.00
		06/14/04	07/15/04	2004		20.00
Sub Total: MOTOROLA INC						$ 60.00
PFIZER INC		02/11/04	03/05/04	2004		51.00
		05/12/04	06/04/04	2004		68.00
Sub Total: PFIZER INC						$ 119.00
SEARS ROEBUCK		11/25/03	01/02/04	2004		57.50
		02/25/04	04/01/04	2004		57.50
		05/26/04	07/01/04	2004		57.50
Sub Total: SEARS ROEBUCK						$ 172.50

Continued on page 4

UBS Financial Services Inc.

2004 Consolidated Form 1099

P11L026504-X1

Dividends and Distributions Details Reported on Form 1099-DIV - continued

Description	Additional Information	Ex Date	Payment Date	Tax year	Subject to AMT		Amount
SONY CORP ADR NEW JAPAN		03/26/04	06/08/04	2004		$	56.45
TEXAS INSTRUMENTS		01/28/04	02/09/04	2004			27.63
		04/28/04	05/17/04	2004			27.63
Sub Total: TEXAS INSTRUMENTS						$	55.26
WALT DISNEY CO (HOLDING CO) DISNEY COM		12/10/03	01/06/04	2004		$	52.50
Total Line 1b Qualified dividends						$	2,016.33

Foreign tax paid

SONY CORP ADR NEW JAPAN			06/08/04	2004		$	3.95
Total Line 6 Foreign tax paid						$	3.95

Miscellaneous Income Details Reported on Form 1099-MISC

Description	Additional Information	Payment Date	Tax Year	Subject to AMT		Amount
PENGROWTH ENERGY TRUST *RECLASSIFICATION EFF 7/04*		01/15/04	2004			41.22
		02/15/04	2004			40.81
		03/15/04	2004			40.20
		04/15/04	2004			39.68
		05/15/04	2004			38.70
		08/15/04	2004			38.82
		07/15/04	2004			40.35
Sub Total: PENGROWTH ENERGY TRUST					$	279.78
Total Line 2 Royalties					$	279.78

Proceeds from Broker Transactions Details Reported on Form 1099-B

"Net proceeds" is the amount reported to the IRS. Accrued interest is reported on Form 1099-INT and details are also included in that Form's detail section

Date of sale or activity (Line #1a)	Activity	Quantity/ Face value/ Shares exchanged	Description (Line #7)	Class of stock exchanged (Line #6)	Cusip number (Line #1b)	Net proceeds (Line #2)	Accrued interest	Federal income tax withheld (Line #4)
02/12/04	Sell	150	GIVEN IMAGING LTD SHS DRD		M52020100	4,550.83	.00	.00
02/12/04	Sell	200	H & R BLOCK INC		093671105	11,809.53	.00	.00

Continued on page 5

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UBS Financial Services Inc.

2004 Consolidated Form 1099

P11L026505-X1

Proceeds from Broker Transactions Details Reported on Form 1099-B - continued

"Net proceeds" is the amount reported to the IRS. Accrued interest is reported on Form 1099-INT and details are also included in that Form's detail section

Date of sale or activity (Line #1a)	Activity	Quantity/ Face value/ Shares exchanged	Description (Line #7)	Class of stock exchanged (Line #6)	Cusip number (Line #1b)	Net proceeds (Line #2)	Accrued interest	Federal income tax withheld (Line #4)
02/12/04	Sell	100	HARMONY GOLD MINING CO LTD SPON ADR		413216300	1,605.83	.00	.00
06/21/04	Sell	200	MOTOROLA INC		620076109	3,453.10	.00	.00
02/12/04	Sell	200	PALMONE INC		69713P107	2,084.94	.00	.00
02/12/04	Sell	61	PALMSOURCE INC		697154102	1,106.85	.00	.00
06/21/04	Sell	50	WALT DISNEY CO (HOLDING CO) DISNEY COM		254687106	1,178.74	.00	.00
			Total			$ 25,789.82	$ 0.00	$ 0.00

Other Income Details Not Reported to the Internal Revenue Service

** Applicable to Tax-exempt Original Issue Discount information only*

Activity	Description	Cusip number	* Days held	* Quantity/ Face amount	Payment Date	Tax year	* Amortized acquisition premium	Subject to AMT	Amount
Tax-exempt dividend	RMA TAX-FREE FUND INC				01/23/04	2004			.11
					02/20/04	2004			.21
					03/24/04	2004			.15
					04/23/04	2004			.34
					05/21/04	2004			.32
					06/23/04	2004			.51
					07/08/04	2004			.01
	Sub Total: RMA TAX-FREE FUND INC								$ 1.65
	Total Tax-exempt dividends								$ 1.65

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Edward Jones

HAROLD D ROGERS

Value Summary (Held at Edward Jones)

Value on Jul 30	$424,908.09
Value on Jun 26	-
Value one year ago	-

Summary of Your Assets

Held at Edward Jones	Value on Jul 30	Value on Jun 26	Change in value
Cash & money market	$117.35	-	$117.35
Stocks	381,239.96	-	381,239.96
Mutual funds	43,550.78	-	43,550.78
Total at Edward Jones	**$424,908.09**	-	$424,908.09

Your Assets at Edward Jones

Cash and money market funds	7-day current yield	7-day compounded yield	Current value
Cash			$117.35
Total cash and money market funds			**$117.35**

Stocks	Our asset category/ Our recommendation	Current price	Current shares	Current value	Amount invested	Amount withdrawn
ADOBE SYSTEMS INC Symbol: ADBE	Aggressive None	42.180	250.	$10,545.00	-	-
ALEXANDER & BALDWIN INC Symbol: ALEX	Growth & Income None	32.880	500.	16,440.00	-	-
ANHEUSER BUSCH COMPANIES INC Symbol: BUD	Growth & Income Buy	51 900	200	10,380.00	10,938.95	-

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Edward Jones

Stocks, continued	Our asset catagory/ Our recommendation	Current price	Current shares	Current value	Amount invested	Amount withdrawn
APACHE CORP Symbol: APA	Growth None	46.530	136.	$6,328.08	-	-
ASHLAND INC Symbol: ASH	Growth & Income None	52.270	200.	10,454.00	-	-
AT&T WIRELESS SERVICES INC COM Symbol: AWE	Aggressive Hold	14.440	100.	1,444.00	-	-
ATLANTIC TELE-NETWORK INC Symbol: ANK	Growth & Income None	30.750	200.	6,150.00	-	-
BAXTER INTERNATIONAL INC Symbol: BAX	Growth & Income Hold	30.070	300.	9,021.00	-	-
BP AMOCO PLC SPONSORED ADR 25P Symbol: BP	Growth & Income Buy	56.360	250.	14,090.00	14,172.45	-
CASS INFORMATION SYSTEM INC Symbol: CASS	Growth & Income None	39.800	330.	13,134.00	-	-
CISCO SYSTEMS INC Symbol: CSCO	Aggressive Buy	20.860	100.	2,086.00	-	-
DANAHER CORP Symbol: DHR	Growth None	50.650	400.	20,260.00	-	-
FLEXTRONICS INTERNATIONAL LTD Symbol: FLEX	Aggressive None	12.570	200.	2,514.00	-	-
GENERAL ELECTRIC CO Symbol: GE	Growth & Income Hold	33.250	200.	6,650.00	-	-
GILEAD SCIENCES INC Symbol: GILD	Growth None	64.640	100.	6,464.00	-	-
GIVEN IMAGING Symbol: GIVN	Aggressive None	34.420	150.	5,163.00	-	-
H & R BLOCK INC COM Symbol: HRB	Growth None	49.130	200.	9,826.00	-	-

Edward Jones

Stocks, continued	Our asset category/ Our recommendation	Current price	Current shares	Current value	Amount invested	Amount withdrawn
INTEL CORP Symbol: INTC	Aggressive Buy	24.380	911.	$22,210.18	-	-
INTERNATIONAL BUSINESS MACHINES CORP Symbol: IBM	Growth Hold	87.070	100.	8,707.00	-	-
IPIX CORP Symbol: IPIX	Aggressive None	8.950	1100.	9,845.00	-	-
LABORATORY CORP AMERICA HOLDINGS NEW Symbol: LH	Growth None	39.160	150.	5,874.00	-	-
LEXMARK INTERNATIONAL INC CL A Symbol: LXK	Aggressive None	88.500	100.	8,850.00	-	-
LINCARE HOLDINGS INC Symbol: LNCR	Growth None	31.940	100.	3,194.00	-	-
LINCOLN ELECTRIC HLDGS INC Symbol: LECO	Growth & Income None	34.010	100.	3,401.00	-	-
LOEWS CORP Symbol: LTR	Growth None	56.630	100.	5,663.00	-	-
MEDCO HEALTH SOLUTIONS INC Symbol: MHS	Aggressive Sell	30.300	24.	727.20	-	-
MERCK & CO INC Symbol: MRK	Growth & Income Hold	45.350	200.	9,070.00	-	-
MILLENNIUM PHARMACEUTICALS INC Symbol: MLNM	Aggressive None	11.120	500.	5,560.00	-	-
MOTOROLA INC Symbol: MOT	Aggressive Hold	15.930	300.	4,779.00	-	-
OPTIMAL ROBOTICS CORP CL A NEW Symbol: OPMR	Aggressive None	7.410	500.	3,705.00	-	-
ORACLE CORP Symbol: ORCL	Aggressive Buy	10.510	200.	2,102.00	-	-

Edward Jones

Stocks, continued	Our asset category/ Our recommendation	Current price	Current shares	Current value	Amount invested	Amount withdrawn
PENGROWTH ENERGY TR Symbol: PGH	Unclassified None	14.950	300.	$4,485.00	-	-
PFIZER INC Symbol: PFE	Growth Buy	31.960	400.	12,784.00	-	-
SEARS ROEBUCK & CO Symbol: S	Growth & Income Sell	36.680	250.	9,170.00	-	-
SONY CORP ADR NEW Symbol: SNE	Growth None	34.650	500.	17,325.00	-	-
TASER INTERNATIONAL INC COMMON STOCK Symbol: TASR	Aggressive None	31.350	1100.	34,485.00	-	-
TEXAS INSTRUMENTS INC Symbol: TXN	Aggressive Hold	21.330	1300.	27,729.00	-	-
TIME WARNER INC NEW Symbol: TWX	Aggressive Hold	16.650	700.	11,655.00	-	-
WALT DISNEY CO Symbol: DIS	Growth Buy	23.090	200.	4,618.00	-	-
WELLS FARGO & CO NEW Symbol: WFC	Growth & Income Buy	57.410	250.	14,352.50	14,707.45	-
Total stocks				**$381,239.96**	-	-

Mutual funds	Our asset category	Current price	Current shares	Current value	Amount invested	Amount withdrawn
CAPITAL INCOME BUILDER FUND CL A Quote Symbol: CAIBX	Growth & Income	48.01	907.119	$43,550.78	$46,000.00	-
Total mutual funds				**$43,550.78**	$46,000.00	-

Total estimated asset value **$424,908.09**

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Edward Jones

Summary of Your Investment Activity

Total cash and money market funds on Jun 26	$0.00
Additions	
Deposits and transfers in	$94,396.06
Proceeds from securities sold	$46,282.77
Other additions	$170.48
Total additions	$140,849.33
Subtractions	
Withdrawals to purchase securities	-$140,561.50
Other withdrawals and transfers out	-$170.48
Total subtractions	-$140,731.98
Total cash and money market funds on Jul 30	$117.35

Detail of Your Investment Activity

Additions	Date		Amount	Where Invested
Deposits and transfers in	07/01	PERSONAL CK#1436	$54,742.65	Cash Balance
	07/09	TOA FROM UBS FINANCIAL SE ACAT20041820023901 BOS 0221	-75.00	Cash Balance
	07/09	TOA FROM UBS FINANCIAL SE ACAT20041820023901 BOS 0221	253.99	Cash Balance
	07/14	TOA FROM UBS FINANCIAL SE ACAT20041950010504 BOS 0221	0.01	Cash Balance
	07/19	TOA FROM UBS FINANCIAL SE ACAT20041960012417 BOS 0221	53.13	Loan balance
	07/22	PERSONAL CK#1447 HAROLD D. ROGERS TRUSTEE	39,303.95	Cash Balance

Edward Jones

Detail of Your Investment Activity

Additions, continued

	Date		Amount	Where Invested
Deposits and transfers in	07/23	TOA FROM UBS FINANCIAL SE ACAT20042040011821 BOS 0221	$77.35	Loan balance
	07/29	TOA FROM UBS FINANCIAL SE ACAT20042100010773 BOS 0221	40.00	Loan balance
	Total deposits and transfers in		$94,396.08	

	Date		Quantity	Amount per share	Trade date	Amount	Where Invested
Proceeds from securities sold	07/21	FIDELITY MAGELLAN FUND	483.371	95.75		$46,282.77	Cash Balance
	Total proceeds from securities sold					$46,282.77	

	Date		Amount	Where Invested
Other Additions	07/20	TRANSFER FROM MARGIN ACCT	$53.13	Cash Balance
	07/26	TRANSFER FROM MARGIN ACCT	77.35	Cash Balance
	07/30	TRANSFER FROM MARGIN ACCT	40.00	Cash Balance
	Total other additions		$170.48	

Subtractions

	Date		Quantity	Price per share	Trade date	Amount	Source of Funds
Withdrawals to purchase securities	07/02	IPIX CORP SPECIAL COMMISSION RATE	100.	12.83		-$1,308.19	Cash Balance
	07/02	TASER INTL INC COM SPECIAL COMMISSION RATE	1000.	41.24		-41,671.46	Cash Balance
	07/02	IPIX CORP SPECIAL COMMISSION RATE	900.	12.84		-11,763.00	Cash Balance
	07/21	BP AMOCO PLC SPONSORED ADR 25P SPECIAL COMMISSION RATE	250.	55.92		-14,172.45	Cash Balance
	07/21	ANHEUSER BUSCH COMPANIES INC SPECIAL COMMISSION RATE	200.	53.92		-10,938.95	Cash Balance

Subtractions, continued

	Date		Quantity	Price per share	Trade date	Amount	Source of Funds
Withdrawals to purchase securities	07/21	WELLS FARGO & CO NEW SPECIAL COMMISSION RATE	250.	57.86		–$14,707.45	Cash Balance
	07/22	CAPITAL INCOME BUILDER FD CL A	907.119	50.71		–46,000.00	Cash Balance
	Total withdrawals to purchase securities					**–$140,561.50**	
Other withdrawals and transfers out	07/20	TRANSFER TO CASH ACCT				–$53.13	Loan balance
	07/26	TRANSFER TO CASH ACCT				–77.35	Loan balance
	07/30	TRANSFER TO CASH ACCT				–40.00	Loan balance
	Total other withdrawals and transfers out					**–$170.48**	

Other Activity

Date	Activity		Quantity	Notes	Estimated Value
07/08	TRANSFER FROM	FIDELITY MAGELLAN FUND	483.371		$46,664.64
07/09	TRANSFER FROM	IPIX CORP	100	TOA FROM UBS FINANCIAL SE	1,168.00
07/09	TRANSFER FROM	ATLANTIC TELE-NETWORK INC	200.	TOA FROM UBS FINANCIAL SE	6,400.00
07/09	TRANSFER FROM	AT&T WIRELESS SERVICES INC	100.	TOA FROM UBS FINANCIAL SE	1,430.00
07/09	TRANSFER FROM	ADOBE SYSTEMS INC	250.	TOA FROM UBS FINANCIAL SE	11,077.50
07/09	TRANSFER FROM	ALEXANDER & BALDWIN INC	500.	TOA FROM UBS FINANCIAL SE	16,650.00
07/09	TRANSFER FROM	APACHE CORP	136.	TOA FROM UBS FINANCIAL SE	6,174.40
07/09	TRANSFER FROM	ASHLAND INC	200.	TOA FROM UBS FINANCIAL SE	10,556.00
07/09	TRANSFER FROM	BAXTER INTERNATIONAL INC	300.	TOA FROM UBS FINANCIAL SE	10,104.00
07/09	TRANSFER FROM	H & R BLOCK INC COM	200.	TOA FROM UBS FINANCIAL SE	9,364.00
07/09	TRANSFER FROM	CASS INFORMATION SYSTEM INC	330.	TOA FROM UBS FINANCIAL SE	13,200.00
07/09	TRANSFER FROM	CISCO SYSTEMS INC	100.	TOA FROM UBS FINANCIAL SE	2,236.00
07/09	TRANSFER FROM	DANAHER CORP	400.	TOA FROM UBS FINANCIAL SE	20,000.00

Other Activity, continued

Date	Activity		Quantity	Notes	Estimated Value
07/09	TRANSFER FROM	WALT DISNEY CO	200.	TOA FROM UBS FINANCIAL SE	$4,920.00
07/09	TRANSFER FROM	FLEXTRONICS INTERNATIONAL LTD	200.	TOA FROM UBS FINANCIAL SE	2,874.00
07/09	TRANSFER FROM	GIVEN IMAGING	150.	TOA FROM UBS FINANCIAL SE	4,959.00
07/09	TRANSFER FROM	GENERAL ELECTRIC CO	200.	TOA FROM UBS FINANCIAL SE	6,434.00
07/09	TRANSFER FROM	GILEAD SCIENCES INC	100.	TOA FROM UBS FINANCIAL SE	6,533.00
07/09	TRANSFER FROM	INTEL CORP	911.	TOA FROM UBS FINANCIAL SE	24,205.27
07/09	TRANSFER FROM	INTL BUSINESS MACHINES CORP	100.	TOA FROM UBS FINANCIAL SE	8,389.00
07/09	TRANSFER FROM	LEXMARK INTL INC CL A	100.	TOA FROM UBS FINANCIAL SE	9,273.00
07/09	TRANSFER FROM	LINCOLN ELECTRIC HLDGS INC	100.	TOA FROM UBS FINANCIAL SE	3,374.00
07/09	TRANSFER FROM	LABORATORY CORP AMER HLDGS NEW	150.	TOA FROM UBS FINANCIAL SE	5,743.50
07/09	TRANSFER FROM	LINCARE HOLDINGS INC	100.	TOA FROM UBS FINANCIAL SE	3,146.00
07/09	TRANSFER FROM	LOEWS CORP	100.	TOA FROM UBS FINANCIAL SE	5,826.00
07/09	TRANSFER FROM	MILLENNIUM PHARMACEUTICALS INC	500.	TOA FROM UBS FINANCIAL SE	6,225.00
07/09	TRANSFER FROM	MEDCO HEALTH SOLUTIONS INC	24.	TOA FROM UBS FINANCIAL SE	823.44
07/09	TRANSFER FROM	MERCK & CO INC	200.	TOA FROM UBS FINANCIAL SE	9,172.00
07/09	TRANSFER FROM	MOTOROLA INC	300.	TOA FROM UBS FINANCIAL SE	5,250.00
07/09	TRANSFER FROM	OPTIMAL ROBOTICS CORP CL A NEW	500.	TOA FROM UBS FINANCIAL SE	3,810.00
07/09	TRANSFER FROM	ORACLE CORP	200.	TOA FROM UBS FINANCIAL SE	2,206.00
07/09	TRANSFER FROM	PENGROWTH ENERGY TRUST UNITS	300.	TOA FROM UBS FINANCIAL SE	4,428.00
07/09	TRANSFER FROM	PFIZER INC	400.	TOA FROM UBS FINANCIAL SE	13,600.00
07/09	TRANSFER FROM	SEARS ROEBUCK & CO	250.	TOA FROM UBS FINANCIAL SE	8,887.50
07/09	TRANSFER FROM	SONY CORP ADR NEW	500.	TOA FROM UBS FINANCIAL SE	18,475.00
07/09	TRANSFER FROM	TASER INTL INC COM	100.	TOA FROM UBS FINANCIAL SE	4,051.00
07/09	TRANSFER FROM	TIME WARNER INC NEW	700.	TOA FROM UBS FINANCIAL SE	12,117.00

Edward Jones

Other Activity, continued

Date	Activity		Quantity	Notes	Estimated Value
07/09	TRANSFER FROM	TEXAS INSTRUMENTS INC	1300.	TOA FROM UBS FINANCIAL SE	$29,640.00
07/28	EXCHANGE TO	PENGROWTH ENERGY TR	300.	RESULT OF REORGANIZATION	-
07/28	EXCHANGE FROM	PENGROWTH ENERGY TRUST UNITS	-300.	RESULT OF REORGANIZATION	-

Pending Trades

Purchases	Trade date	Quantity	Price	Amount	Settlement date
CAPITAL INCOME BUILDER FD CL A	07/29/2004	1.542	$50.170	$77.35	08/03/2004

As you requested, copies of your statement have been sent to:

In Tune with Naomi Judd
As part of the celebrated singing duo The Judds, Naomi Judd achieved fame and fortune. Join us Tuesday, Sept. 14, to hear Naomi's unique insights — as a single mother and survivor — on family, career and overcoming life's challenges. Contact your investment representative today for more information about this free video presentation.

Account number:
Statement type: Preferred
July 31 - August 27, 2004

Edward Jones
Serving Individual Investors Since 1871

IAROLD D ROGERS

'alue Summary (Held at Edward Jones)

alue on Aug 27	$82.10
alue on Jul 31	$424,908.09
alue one year ago	—

Summary of Your Assets

Held at Edward Jones	Value on Aug 27	Value on Jul 31	Change in value
Cash & money market	$82.10	$117.35	-$35.25
Stocks	—	381,239.96	-381,239.96
Mutual funds	—	43,550.78	-43,550.78
Total at Edward Jones	$82.10	$424,908.09	-$424,825.99

ummary of Your Income

	This period			Year-to-date		
ncome distributions from securities	Taxable	Tax-free	Total	Taxable	Tax-free	Total
ividends						
Qualified (Q) - Reduced Tax Eligible	$86.08	—	$86.08	$86.08	—	$86.08
otal	$86.08	—	$86.08	$86.08	—	$86.08

ote: Please refer to the last page of this package for information regarding qualified, partially qualified and nonqualified dividends.

ther distributions or charges				
oan interest		$82.10		$82.10
oreign taxes paid		-7.54		-7.54
otal		$74.56		$74.56

4033

Account number:
Statement type: Preferred
July 31 - August 27, 2004

Edward Jones
Serving Individual Investors Since 1871

'our Assets at Edward Jones

ash and money market funds	7-day current yield	7-day compounded yield	Current value
ash			$82.10
otal cash and money market funds			$82.10
otal estimated asset value			**$82.10**

ummary of Your Investment Activity

otal cash and money market funds on Jul 31	$117.35
dditions	
eposits and transfers in	$197,046.81
icome	$86.08
ther additions	$6.00
otal additions	$197,138.89
ubtractions	
/ithdrawals to purchase securities	-$201.89
ees	$82.10
axes withheld	-$7.54
ther withdrawals and transfers out	-$197,046.81
otal subtractions	-$197,174.14
otal cash and money market funds on Aug 27	$82.10

4034

Account number:
Statement type: Preferred
July 31 - August 27, 2004

Edward Jones
Serving Individual Investors Since 18[illegible]

Detail of Your Investment Activity

Additions

	Date		Amount	Where Invested
Deposits and transfers in	08/04	TOA FROM UBS FINANCIAL SE ACAT20042160031042 BOS 0221	$6.00	Loan balance
	08/26	REVERSE TRANSFER ENTRY ENTRY OF 08/23/04	197,040.81	Loan balance
		Total deposits and transfers in	$197,046.81	

	Type	Tax Info.	Date		Quantity	Amount per share	Rate	Amount	Where Invested
Income	Dividends	Q	08/16	TEXAS INSTRUMENTS INC	1300.	0.02125		$27.63	Reinvested
		Q	08/18	PENGROWTH ENERGY TR	300.	0.167618		50.29	Reinvested Mid
		Q	08/23	APACHE CORP	136.	0.06		8.16	Reinvested
			Total income					$86.08	

	Date		Amount	Where Invested
Other Additions	08/05	TRANSFER FROM MARGIN ACCT	$6.00	Cash Balance
		Total other additions	$6.00	

Subtractions

	Date		Quantity	Price per share	Trade date	Amount	Source of Funds
Withdrawals to purchase securities	08/03	CAPITAL INCOME BUILDER FD CL A	1.542	50.17		-$77.35	Cash Balance
	08/13	CAPITAL INCOME BUILDER FD CL A	0.913	50.39		-46.00	Cash Balance
	08/16	TEXAS INSTRUMENTS INC REINVESTMENT FEE $0.55	1.43715	18.8428	08/11	-27.63	Income
	08/18	FUND WIRED/DIRECT REINVEST CAPITAL INCOME BUILDER FD CL A				-42.75	Income

Subtractions, continued

	Date		Quantity	Price per share	Trade date	Amount	Source of Funds
Withdrawals to purchase securities	08/19	DIRECT INVESTMENT CAPITAL INCOME BUILDER FD CL A	0.832	51.41	08/18		
	08/23	APACHE CORP REINVESTMENT FEE $0.16	0.18442	43.38	08/18	-8.16	Income
	Total withdrawals to purchase securities					-$201.89	
Fees	08/26	ADJUST LOAN/MARGIN INTEREST				$82.10	Loan balance
	Total fees					$82.10	
	Date					Amount	Source of Funds
Taxes withheld	08/18	PENGROWTH ENERGY TR 15.000% FOREIGN TAX				-$7.54	Loan balance
	Total taxes withheld					-$7.54	
Other withdrawals and transfers out	08/05	TRANSFER TO CASH ACCT				-$6.00	Loan balance
	08/23	TRANSFER TO 3251132928				-197,040.81	Loan balance
	Total other withdrawals and transfers out					-$197,046.81	

Other Activity

Date	Activity		Quantity	Notes	Estimated Value
8/26	TRANSFER TO	CAPITAL INCOME BUILDER FD CL A	-907.951	ACCOUNT 325-11329-1-0	-$44,135.50
8/27	TRANSFER TO	CAPITAL INCOME BUILDER FD CL A	-2.455	ACCOUNT 325-11329-1-0	-119.51
8/27	DELIVERED	IPIX CORP	-1100.	TO REQUESTED A/C 325-11329-10	-8,151.00
8/27	DELIVERED	TASER INTL INC COM	-1000.	TO REQUESTED A/C 325-11329-10	-27,870.00

Account number: 325-11243-1-3
Statement type: Preferred
July 31 - August 27, 2004

Edward Jones

As you requested, copies of your statement have been sent to:

Account number:
Statement type: Preferred
August 28 - September 24, 2004

Edward Jones
Serving Individual Investors Since 1871

AROLD D ROGERS

alue Summary (Held at Edward Jones)

alue on Sep 24	$149.51
alue on Aug 28	$82.10
alue one year ago	—

Summary of Your Assets

Held at Edward Jones	Value on Sep 24	Value on Aug 28	Change in value
Cash & money market	$149.51	$82.10	$67.41
Total at Edward Jones	$149.51	$82.10	$67.41

ummary of Your Income

come distributions from securities	This period Taxable	Tax-free	Total	Year-to-date Taxable	Tax-free	Total
vidends						
Qualified (Q) - Reduced Tax Eligible	—	—	—	$86.08	—	$86.08
Partially Qualified (P) - Reduced Tax Eligible	149.51	—	149.51	149.51	—	149.51
otal	$149.51	—	$149.51	$235.59	—	$235.59

ote: Please refer to the last page of this package for information regarding qualified, partially qualified and nonqualified dividends.

ther distributions or charges				
oan interest		-$82.10		—
oreign taxes paid		—		-7.54
otal		-$82.10		-$7.54

4038

Account number:
Statement type: Preferred
August 28 - September 24, 2004

Edward Jones
Serving Individual Investors Since 1871

Your Assets at Edward Jones

Cash and money market funds	7-day current yield	7-day compounded yield	Current value
Cash			$149.51
Total cash and money market funds			$149.51
Total estimated asset value			**$149.51**

Summary of Your Investment Activity

Total cash and money market funds on Aug 28	$82.10
Additions	
Income	$149.51
Other additions	$21.96
Total additions	$171.47
Subtractions	
Fees	-$82.10
Other withdrawals and transfers out	-$21.96
Total subtractions	-$104.06
Total cash and money market funds on Sep 24	$149.51

Account number:
Statement type: Preferred
August 28 - September 24, 2004

Edward Jones
Serving Individual Investors Since 1871

Detail of Your Investment Activity

Additions

	Type	Tax Info.	Date		Quantity	Amount per share	Rate	Amount	Where Invested
Income	Dividends	P	09/21	CAPITAL INCOME BUILDER FD CL A AT DAILY ACCRUAL RATE				$21.96	Loan balance
		P	09/21	CAPITAL INCOME BUILDER FD CL A AT DAILY ACCRUAL RATE				127.55	Cash Balance
			Total Income					$149.51	

	Date		Amount	Where Invested
Other Additions	09/22	TRANSFER FROM MARGIN ACCT	$21.96	Cash Balance
	Total other additions		$21.96	

Subtractions

	Date		Amount	Source of Funds
Fees	09/21	LOAN INTEREST CHARGE FROM 08/21 THRU 09/20 @ 5 % AVERAGE BALANCE $ 20,383	-$82.10	Loan balance
	Total fees		-$82.10	
Other withdrawals and transfers out	09/22	TRANSFER TO CASH ACCT	-$21.96	Loan balance
	Total other withdrawals and transfers out		-$21.96	

As you requested, copies of your statement have been sent to:

4040

Account number:
Statement type: Preferred
September 25 - October 29, 2004

Edward Jones
Serving Individual Investors Since 1871

HAROLD D ROGERS

Value Summary (Held at Edward Jones)

Value on Oct 29	—
Value on Sep 25	$149.51
Value one year ago	—

Summary of Your Assets

Held at Edward Jones	Value on Oct 29	Value on Sep 25	Change in value
Cash & money market	—	$149.51	-$149.51
Total at Edward Jones	$0.00	$149.51	-$149.51

Summary of Your Income

Income distributions from securities	This period Taxable	This period Tax-free	This period Total	Year-to-date Taxable	Year-to-date Tax-free	Year-to-date Total
Dividends						
Qualified (Q) - Reduced Tax Eligible	—	—	—	$35.79	—	$35.79
Partially Qualified (P) - Reduced Tax Eligible	—	—	—	149.51	—	149.51
Nonqualified (N) - Taxable	—	—	—	50.29	—	50.29
Total	—	—	—	$235.59	—	$235.59

Note: Please refer to the last page of this package for information regarding qualified, partially qualified and nonqualified dividends.

Other distributions or charges						
Foreign taxes paid			—			-$7.54
Total			—			-$7.54

4041

Account number:
Statement type: Preferred
September 25 - October 29, 2004

Edward Jones
Serving Individual Investors Since 1871

Summary of Your Investment Activity

Total cash and money market funds on Sep 25	$149.51
Subtractions	
Other withdrawals and transfers out	-$149.51
Total subtractions	-$149.51
Total cash and money market funds on Oct 29	**$0.00**

Detail of Your Investment Activity

Subtractions

	Date		Amount	Source of Funds
Other withdrawals and transfers out	09/27	TRANSFER TO 3251132910	-$149.51	Cash Balance
		Total other withdrawals and transfers out	-$149.51	

As you requested, copies of your statement have been sent to:

4042

Edward Jones

2004 CONSOLIDATED 1099 STATEMENT

(Includes 1099-DIV, 1099-B.)

FIGURES ARE FINAL

Printed on January 16th, 2005

Recipient's Name
and Address :

HAROLD D ROGERS 011330

THIS IS IMPORTANT TAX INFORMATION AND IS BEING FURNISHED TO THE INTERNAL REVENUE SERVICE. IF YOU ARE REQUIRED TO FILE A RETURN, A NEGLIGENCE PENALTY OR OTHER SANCTION MAY BE IMPOSED ON YOU IF THIS INCOME IS TAXABLE AND THE IRS DETERMINES THAT IT HAS NOT BEEN REPORTED.

1099-DIV - DIVIDEND DISTRIBUTIONS - 2004 Statement for recipients (OMB NO. 1545-0110)

Box 1a	Ordinary Dividends (including short-term capital gains)	185.30
Box 1b	Qualified Dividends	150.72
Box 2a	Total Capital Gain Distr.	.00
Box 2b	Unrecap. Sec. 1250 Gain	.00
Box 3	Nontaxable Distributions	.00
Box 4	***Federal Income Tax Withheld***	.00
Box 6	Foreign Tax Paid	7.54

Edward Jones

Payer's Federal Identification Number :

2004 CONSOLIDATED 1099 STATEMENT

(Includes 1099-DIV, 1099-B)

FIGURES ARE FINAL

Printed on January 16th, 2005

Recipient's Name and Address: HAROLD D ROGERS

THIS IS IMPORTANT TAX INFORMATION AND IS BEING FURNISHED TO THE INTERNAL REVENUE SERVICE. IF YOU ARE REQUIRED TO FILE A RETURN, A NEGLIGENCE PENALTY OR OTHER SANCTION MAY BE IMPOSED ON YOU IF THIS INCOME IS TAXABLE AND THE IRS DETERMINES THAT IT HAS NOT BEEN REPORTED.

1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (OMB NO. 1545-0715)

Activity Type	Quantity/ CUSIP No. (Box 1b)	Date Of Sale (Box 1a)	Description (Box 7)	Stocks, Bonds, etc. Gross Proceeds Less Commissions (Box 2)	Federal Income Tax Withheld (Box 4)
Sells	483.371 316184100	07/16	FIDELITY MAGELLAN FD INC COM	46,282.77	.00
			TOTAL	46,282.77	.00

Edward Jones

Payer's Federal Identification Number :

SUMMARY OF INVESTMENT INCOME

FIGURES ARE FINAL

Printed on January 16th, 2005

Recipient's Name : HAROLD D ROGERS

THIS IS NOT A 1099 FORM ..... It is a summary of the income you received from your Edward Jones account in 2004. For a complete description of each activity, please refer to your statement for that period. If you have any questions, please contact your Edward Jones Investment Representative.

2004 Date	Description	CUSIP No.	Amount in 2004
DIVIDEND INCOME - Taxable:			
08/23	APACHE CORP	037411105	8.16
09/21	CAPITAL INCOME BUILDER FD	140193103	114.93
08/16	TEXAS INSTRUMENTS INC	882508104	27.63
	Total Qualified Dividends (Box 1b on 1099-DIV):		**150.72**
09/21	CAPITAL INCOME BUILDER FD	140193103	34.58
	Total Nonqualified Dividends:		**34.58**
	Total Ordinary Dividends (Box 1a on 1099-DIV):		**185.30**
08/18	PENGROWTH ENERGY TR	706902301	7.54
	Total Foreign Tax Paid (Box 6 on 1099-DIV):		**7.54**
OTHER INCOME OR CHARGES:			
08/18	PENGROWTH ENERGY TR	706902301	50.29
	Total Distributions on Limited Partnership:		**50.29**
08/26	LOAN / MARGIN INTEREST	TXMARGINN	-82.10
09/21	LOAN / MARGIN INTEREST	TXMARGINN	82.10
	Total Debit Interest (Margin Interest):		**.00**

******* PLEASE REFER TO ENCLOSED TAX REPORTING GUIDE FOR FURTHER EXPLANATION OF HOW THIS *******
SUPPLEMENTAL INFORMATION AFFECTS YOUR TAX RETURN.

Edward Jones

Payer's Federal Identification Number :

SUPPLEMENTAL INVESTMENT ACTIVITY

FIGURES ARE FINAL

Printed on January 16th, 2005

Recipient's Name : HAROLD D ROGERS

ADDITIONAL INFORMATION ON MUTUAL FUNDS HELD IN YOUR EDWARD JONES ACCOUNT

2004 Date	Activity Description	Quantity	Price per Unit	Net Proceeds	Purchase Amount
CAPITAL INCOME BUILDER FD	CUSIP no 140193103				
07/22	Purchase	907.119 Shs	50.71		46,000.00
08/03	Purchase	1.542 Shs	50.17		77.35
08/13	Purchase	.913 Shs	50.39		46.00
08/19	Direct Investment	.832 Shs	51.41		42.75
08/23	Transferred In	907.119 Shs			
08/23	Transfer Out	907.119 Shs			
08/26	Transfer Out	907.951 Shs			
08/27	Transfer Out	2.455 Shs			
	Total purchases:	**910.406 Shs**			**46,166.10**
FIDELITY MAGELLAN FD INC COM	CUSIP no. 316184100				
07/08	Transferred In	483.371 Shs			
07/21	Sell	483.371 Shs	95.75	46,282.77	
	Total proceeds:	**483.371 Shs**		**46,282.77**	

***** PLEASE REFER TO ENCLOSED TAX REPORTING GUIDE FOR FURTHER EXPLANATION OF HOW THIS *****
SUPPLEMENTAL INFORMATION AFFECTS YOUR TAX RETURN.

Fidelity INVESTMENTS

2004 Investment Report

January 1, 2004 - December 31, 2004

Online	Fidelity.com
FAST(sm)-Automated Telephone	800-544-5555
Customer Service	800-544-6666

Envelope 335044009

HAROLD ROGERS

▶ Your 2004 Investment Report summarizes activity in your Fidelity accounts for the past year. In order to provide better tax preparation service to our customers, your realized gain/loss information, cost basis information, and tax-exempt income from Fidelity Funds information are consolidated on Form(s) 1099. You will receive your Form(s) 1099 and your IRA summary statement, Form 5498, under separate cover.

Fidelity Funds Account

HAROLD ROGERS - INDIVIDUAL

2004 Account Summary

Beginning value as of Jan 1	$47,142.05
Transfers between Fidelity accounts	-47,027.16
Change in investment value	-114.89
Ending value as of Dec 31	**$0.00**

Income Summary

Taxable	
Ordinary Dividends	
Dividends	$101.29

Holdings as of Dec 31, 2004	Symbol/Fund Number	% of Holdings	Income Earned	Shares Dec 31, 2004	Price per Share Dec 31, 2004	Total Value Dec 31, 2004
Total income earned on positions no longer held			**$ 101.29**			
Total 2004 Income Earned			**$ 101.29**			

Transaction Details

(for holdings with activity this period)

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Fidelity INVESTMENTS

2004 Investment Report

January 1, 2004 - December 31, 2004

Fidelity Funds Account

HAROLD ROGERS - INDIVIDUAL

Activity

Security	Date	Description	Shares	Price per Share	Transaction Amount	Total Value
FIDELITY MAGELLAN						
	1/1	BEGINNING BALANCE	482.321	$97.74		$47,142.05
	5/7	DIVIDEND RECEIVED			$101.29	
	5/7	Reinvestment	1.050	96.48	101.29	
	7/6	Transferred TO FIS 00519150197	-483.371	97.29	-47,027.16	
	12/31	ENDING BALANCE				$0.00

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Edward Jones

HAROLD D ROGERS TRUSTEE
U/A DTD 2-9-2001
HAROLD D ROGERS REVOCABLE
LIVING TRUST

Value Summary (Held at Edward Jones)

Value on Aug 27	$416,201.95
Value on Jul 31	-
Value one year ago	-

Summary of Your Assets

Held at Edward Jones	Value on Aug 27	Value on Jul 31	Change in value
Stocks	$371,883.39	-	$371,883.39
Mutual funds	44,318.56	-	44,318.56
Total at Edward Jones	**$416,201.95**	-	$416,201.95

Your Assets at Edward Jones

Stocks	Our asset category/ Our recommendation	Current price	Current shares	Current value	Amount invested	Amount withdrawn
ADOBE SYSTEMS INC Symbol: ADBE	Aggressive None	46.410	250.	$11,602.50	-	-
ALEXANDER & BALDWIN INC Symbol: ALEX	Growth & Income None	30.960	500.	15,480.00	-	-
ANHEUSER BUSCH COMPANIES INC Symbol: BUD	Growth & Income Buy	52.470	200.	10,494.00	10,938.95	-
APACHE CORP Symbol: APA	Growth None	44.090	136.18442	6,004.37	-	-
ASHLAND INC Symbol: ASH	Growth & Income None	51.360	200.	10,272.00	-	-
AT&T WIRELESS SERVICES INC COM Symbol: AWE	Aggressive Hold	14.560	100.	1,456.00	-	-
ATLANTIC TELE-NETWORK INC Symbol: ANK	Growth & Income None	25.800	200.	5,160.00	-	-

Stocks, continued	Our asset category/ Our recommendation	Current price	Current shares	Current value	Amount invested	Amount withdrawn
BAXTER INTERNATIONAL INC Symbol: BAX	Growth & Income Hold	30.610	300.	$9,183.00	-	-
BP AMOCO PLC SPONSORED ADR 25P Symbol: BP	Growth & Income Buy	53.650	250.	13,412.50	14,172.45	-
CASS INFORMATION SYSTEM INC Symbol: CASS	Growth & Income None	37.850	330.	12,490.50	-	-
CISCO SYSTEMS INC Symbol: CSCO	Aggressive Buy	19.470	100.	1,947.00	-	-
DANAHER CORP Symbol: DHR	Growth None	50.690	400.	20,276.00	-	-
FLEXTRONICS INTERNATIONAL LTD Symbol: FLEX	Aggressive None	12.850	200.	2,570.00	-	-
GENERAL ELECTRIC CO Symbol: GE	Growth & Income Hold	32.770	200.	6,554.00	-	-
GILEAD SCIENCES INC Symbol: GILD	Growth None	69.390	100.	6,939.00	-	-
GIVEN IMAGING Symbol: GIVN	Aggressive None	35.990	150.	5,398.50	-	-
H & R BLOCK INC COM Symbol: HRB	Growth None	48.900	200.	9,780.00	-	-
INTEL CORP Symbol: INTC	Aggressive Buy	22.030	911.	20,069.33	-	-
INTERNATIONAL BUSINESS MACHINES CORP Symbol: IBM	Growth Hold	84.940	100.	8,494.00	-	-
IPIX CORP Symbol: IPIX	Aggressive None	7.410	1100.	8,151.00	-	-

Edward Jones

Stocks, continued	Our asset category/ Our recommendation	Current price	Current shares	Current value	Amount invested	Amount withdrawn
LABORATORY CORP AMERICA HOLDINGS NEW Symbol: LH	Growth None	41.290	150.	$6,193.50	-	-
LEXMARK INTERNATIONAL INC CL A Symbol: LXK	Aggressive None	88.900	100.	8,890.00	-	-
LINCARE HOLDINGS INC Symbol: LNCR	Growth None	33.000	100.	3,300.00	-	-
LINCOLN ELECTRIC HLDGS INC Symbol: LECO	Growth & Income None	30.010	100.	3,001.00	-	-
LOEWS CORP Symbol: LTR	Growth None	56.170	100.	5,617.00	-	-
MEDCO HEALTH SOLUTIONS INC Symbol: MHS	Aggressive Sell	31.040	24.	744.96	-	-
MERCK & CO INC Symbol. MRK	Growth & Income Hold	45.150	200.	9,030.00	-	-
MILLENNIUM PHARMACEUTICALS INC Symbol: MLNM	Aggressive None	12.040	500.	6,020.00	-	-
MOTOROLA INC Symbol: MOT	Aggressive Hold	16.590	300.	4,977.00	-	-
OPTIMAL ROBOTICS CORP CL A NEW Symbol: OPMR	Aggressive None	6.640	500.	3,320.00	-	-
ORACLE CORP Symbol: ORCL	Aggressive Buy	10.290	200.	2,058.00	-	-
PENGROWTH ENERGY TR Symbol: PGH	Aggressive None	15.570	300.	4,671.00	-	-
PFIZER INC Symbol. PFE	Growth Buy	32.650	400.	13,060.00	-	-
SEARS ROEBUCK & CO Symbol: S	Growth & Income Sell	39.780	250.	9,945.00	-	-

Edward Jones

Stocks, continued	Our asset category/ Our recommendation	Current price	Current shares	Current value	Amount invested	Amount withdrawn
SONY CORP ADR NEW Symbol: SNE	Growth None	34.600	500.	$17,300.00	-	-
TASER INTERNATIONAL INC COMMON STOCK Symbol: TASR	Aggressive None	27.870	1100.	30,657.00	-	-
TEXAS INSTRUMENTS INC Symbol: TXN	Aggressive Hold	20.340	1301.43715	26,471.23	-	-
TIME WARNER INC NEW Symbol: TWX	Aggressive Hold	16.710	700.	11,697.00	-	-
WALT DISNEY CO Symbol: DIS	Growth Buy	22.660	200.	4,532.00	-	-
WELLS FARGO & CO NEW Symbol: WFC	Growth & Income Buy	58.660	250.	14,665.00	14,707 45	-
Total stocks				**$371,883.39**	-	-

Mutual funds	Our asset category	Current price	Current shares	Current value	Amount invested	Amount withdrawn
CAPITAL INCOME BUILDER FUND CL A Quote Symbol: CAIBX	Growth & Income	48.68	910.406	$44,318.56	$46,166.11	-
Total mutual funds				**$44,318.56**	$46,166.11	-

Total estimated asset value **$416,201.95**

Edward Jones

Summary of Your Investment Activity

Total cash and money market funds on Jul 31	$0.00
Additions	
Deposits and transfers in	$0.00
Other additions	$394,081.62
Total additions	$394,081.62
Subtractions	
Other withdrawals and transfers out	-$394,081.62
Total subtractions	-$394,081.62
Total cash and money market funds on Aug 27	**$0.00**

Detail of Your Investment Activity

Additions

	Date		Amount	Where Invested
Deposits and transfers in	08/26	WELLS FARGO & CO NEW	$0.00	
	08/26	TEXAS INSTRUMENTS INC	0.00	
	08/26	ATLANTIC TELE-NETWORK INC	0.00	
	08/26	TIME WARNER INC NEW	0.00	
	08/26	TASER INTL INC COM	0.00	
	08/26	SONY CORP ADR NEW	0.00	
	08/26	SEARS ROEBUCK & CO	0.00	
	08/26	PFIZER INC	0.00	
	08/26	ORACLE CORP	0.00	

Edward Jones

Additions, continued

	Date		Amount	Where Invested
Deposits and transfers in	08/26	PENGROWTH ENERGY TR	$0.00	
	08/26	OPTIMAL ROBOTICS CORP CL A NEW	0.00	
	08/26	MOTOROLA INC	0.00	
	08/26	MERCK & CO INC	0.00	
	08/26	MEDCO HEALTH SOLUTIONS INC	0.00	
	08/26	MILLENNIUM PHARMACEUTICALS INC	0.00	
	08/26	BAXTER INTERNATIONAL INC	0.00	
	08/26	BP AMOCO PLC SPONSORED ADR 25P	0.00	
	08/26	ASHLAND INC	0.00	
	08/26	APACHE CORP	0.00	
	08/26	ANHEUSER BUSCH COMPANIES INC	0.00	
	08/26	ALEXANDER & BALDWIN INC	0.00	
	08/26	ADOBE SYSTEMS INC	0.00	
	08/26	AT&T WIRELESS SERVICES INC	0.00	
	08/26	LOEWS CORP	0.00	
	08/26	LINCARE HOLDINGS INC	0.00	
	08/26	LABORATORY CORP AMER HLDGS NEW	0.00	
	08/26	LINCOLN ELECTRIC HLDGS INC	0.00	
	08/26	LEXMARK INTL INC CL A	0.00	
	08/26	INTL BUSINESS MACHINES CORP	0.00	
	08/26	INTEL CORP	0.00	
	08/26	GILEAD SCIENCES INC	0.00	

Edward Jones

Additions, continued

	Date		Amount	Where Invested
Deposits and transfers in	08/26	GENERAL ELECTRIC CO	$0.00	
	08/26	GIVEN IMAGING	0.00	
	08/26	FLEXTRONICS INTERNATIONAL LTD	0.00	
	08/26	WALT DISNEY CO	0.00	
	08/26	DANAHER CORP	0.00	
	08/26	CISCO SYSTEMS INC	0.00	
	08/26	CASS INFORMATION SYSTEM INC	0.00	
	08/26	H & R BLOCK INC COM	0.00	
	Total deposits and transfers in		**$0.00**	
	Date		**Amount**	**Where Invested**
Other Additions	08/23	TRANSFER FROM 3251124321	$197,040.81	Loan balance
	08/24	TRANSFER FROM MARGIN ACCT	197,040.81	Cash Balance
	Total other additions		**$394,081.62**	

Subtractions

	Date		Amount	Source of Funds
Other withdrawals and transfers out	08/24	TRANSFER TO CASH ACCT	-$197,040.81	Loan balance
	08/26	REVERSE TRANSFER ENTRY ENTRY OF 8/23/04	-197,040.81	Cash Balance
	Total other withdrawals and transfers out		**-$394,081.62**	

Other Activity

Date	Activity		Quantity	Notes	Estimated Value
08/26	TRANSFER FROM	CAPITAL INCOME BUILDER FD CL A	907.951	ACCOUNT- 325-11243-2-1	$44,135.50
08/27	TRANSFER FROM	CAPITAL INCOME BUILDER FD CL A	2.455	ACCOUNT 325-11243-1-3	119.51
08/27	RECEIVED	IPIX CORP	1100.		8,151.00
08/27	RECEIVED	TASER INTL INC COM	1000.		27,870.00

Edward Jones

HAROLD D ROGERS TRUSTEE
U/A DTD 2-9-2001
HAROLD D ROGERS REVOCABLE
LIVING TRUST

Value Summary (Held at Edward Jones)

Value on Sep 24	$435,540.76
Value on Aug 28	$416,201.95
Value one year ago	–

Summary of Your Assets

Held at Edward Jones	Value on Sep 24	Value on Aug 28	Change in value
Cash & money market	$693.90	–	$693.90
Stocks	389,958.90	371,883.39	18,075.51
Mutual funds	44,887.96	44,318.56	569.40
Total at Edward Jones	$435,540.76	$416,201.95	$19,338.81

Summary of Your Income

Income distributions from securities	This period Taxable	This period Tax-free	This period Total	Year-to-date Taxable	Year-to-date Tax-free	Year-to-date Total
Interest	$1.12	–	$1.12	$1.12	–	$1.12
Dividends						
Qualified (Q) – Reduced Tax Eligible	649.74	–	649.74	649.74	–	649.74
Partially Qualified (P) – Reduced Tax Eligible	114.60	–	114.60	114.60	–	114.60
Nonqualified (N) – Taxable	50.64	–	50.64	50.64	–	50.64
Total	$816.10	–	**$816.10**	$816.10	–	**$816.10**

Note: Please refer to the last page of this package for information regarding qualified, partially qualified and nonqualified dividends.

Other distributions or charges						
Foreign taxes paid			–$7.60			–$7.60
Total			**–$7.60**			**–$7.60**

4056

Edward Jones

Your Estimated Interest and Dividends

The following is an estimated summary of your interest and dividend income for the next 12 months It is based on a variety of factors. These factors, including distribution rates and amount of principal invested, are subject to change. Because they are estimates, the specific amounts listed here are not guaranteed by Edward Jones or by the underlying issuer(s) of the securities. Income from items with * is being reinvested.

			2004			2005									
Stocks	**Tax Info.**	**Quantity**	OCT	NOV	DEC	JAN	FEB	MAR	APR	MAY	JUN	JUL	AUG	SEP	**Total**
ADOBE SYSTEMS INC	Q	250	3			3			3			3			12
ALEXANDER & BALDWIN INC	Q	500			112			112			112			112	448
ANHEUSER BUSCH COMPANIES INC	Q	200			49			49			49			49	196
APACHE CORP	Q	136		8			8			8			8		32
ASHLAND INC	Q	200			55			55			55			55	220
ATLANTIC TELE-NETWORK INC	Q	200	54			54			54			54			216
BAXTER INTERNATIONAL INC	Q	300				174									174
BP AMOCO PLC SPONSORED ADR 25P	Q	250			106			106			106			106	424
CASS INFORMATION SYSTEM INC	Q	330			69			69			69			69	276
DANAHER CORP	Q	400	6			6			6			6			24
GENERAL ELECTRIC CO	Q	200	40			40			40			40			160
H & R BLOCK INC COM	Q	200	44			44			44			44			176
INTEL CORP	Q	911			36			36			36			36	144
INTL BUSINESS MACHINES CORP	Q	100			18			18			18			18	72
LINCOLN ELECTRIC HLDGS INC	Q	100	17			17			17			17			68
LOEWS CORP	Q	100			15			15			15			15	60
MERCK & CO INC	Q	200	76			76			76			76			304
MOTOROLA INC	Q	300	12			12			12			12			48
PENGROWTH ENERGY TR	N	300	50	50	50	50	50	50	50	50	50	50	50	50	600

Edward Jones

Stocks	Tax Info.	Quantity	2004 OCT	NOV	DEC	2005 JAN	FEB	MAR	APR	MAY	JUN	JUL	AUG	SEP	Total
PFIZER INC	Q	400			68			68			68			68	272
SEARS ROEBUCK & CO	Q	250	57			57			57			57			228
SONY CORP ADR NEW	Q	500			56						56				112
TEXAS INSTRUMENTS INC	Q	1,301		32			32			32			32		128
WALT DISNEY CO	Q	200				42									42
WELLS FARGO & CO NEW	Q	250			120			120			120			120	480
Mutual Funds	**Tax Info.**														
CAPITAL INCOME BUILDER FD CL A*	P	912			415			415			415			415	1,660
Total			359	90	1,169	575	90	1,113	359	90	1,169	359	90	1,113	6,576

Your Assets at Edward Jones

Cash and money market funds	7-day current yield	7-day compounded yield	Current value
Cash			$693.90
Total cash and money market funds			**$693.90**

Stocks	Our asset category/ Our recommendation	Current price	Current shares	Current value	Amount invested	Amount withdrawn
ADOBE SYSTEMS INC Symbol: ADBE	Aggressive None	48.350	250.	$12,087.50	-	-
ALEXANDER & BALDWIN INC Symbol. ALEX	Growth & Income None	33.250	500.	16,625.00	-	-
ANHEUSER BUSCH COMPANIES INC Symbol: BUD	Growth & Income Buy	49.710	200	9,942.00	10,938.95	-

Edward Jones

Stocks, continued	Our asset category/ Our recommendation	Current price	Current shares	Current value	Amount invested	Amount withdrawn
APACHE CORP Symbol: APA	Growth None	49.530	136.18442	$6,745.21	-	-
ASHLAND INC Symbol: ASH	Growth & Income None	54.900	200.	10,980.00	-	-
AT&T WIRELESS SERVICES INC COM Symbol: AWE	Aggressive Hold	14.770	100.	1,477.00	-	-
ATLANTIC TELE-NETWORK INC Symbol: ANK	Growth & Income None	28.250	200.	5,650.00	-	-
BAXTER INTERNATIONAL INC Symbol: BAX	Growth & Income Hold	32.100	300.	9,630.00	-	-
BP AMOCO PLC SPONSORED ADR 25P Symbol: BP	Growth & Income Buy	57.550	250.	14,387.50	14,172.45	-
CASS INFORMATION SYSTEM INC Symbol: CASS	Growth & Income None	37.000	330.	12,210.00	-	-
CISCO SYSTEMS INC Symbol: CSCO	Aggressive Buy	18.400	100.	1,840.00	-	-
DANAHER CORP Symbol: DHR	Growth None	50.580	400.	20,232.00	-	-
FLEXTRONICS INTERNATIONAL LTD Symbol: FLEX	Aggressive None	13.470	200.	2,694.00	-	-
GENERAL ELECTRIC CO Symbol: GE	Growth & Income Hold	33.410	200.	6,682.00	-	-
GILEAD SCIENCES INC Symbol: GILD	Aggressive None	36.500	200.	7,300.00	-	-
GIVEN IMAGING Symbol: GIVN	Aggressive None	38.490	150.	5,773.50	-	-
H & R BLOCK INC COM Symbol: HRB	Growth None	50.040	200.	10,008.00	-	-

Edward Jones

Stocks, continued	Our asset category/ Our recommendation	Current price	Current shares	Current value	Amount invested	Amount withdrawn
INTEL CORP Symbol: INTC	Aggressive Buy	20.100	911.	$18,311.10	-	-
INTERNATIONAL BUSINESS MACHINES CORP Symbol: IBM	Growth Hold	84.430	100.	8,443.00	-	-
IPIX CORP Symbol: IPIX	Aggressive None	7.410	1100.	8,151.00	-	-
LABORATORY CORP AMERICA HOLDINGS NEW Symbol: LH	Growth None	43.720	150.	6,558.00	-	-
LEXMARK INTERNATIONAL INC CL A Symbol: LXK	Aggressive None	82.950	100.	8,295.00	-	-
LINCARE HOLDINGS INC Symbol: LNCR	Growth None	30.300	100.	3,030.00	-	-
LINCOLN ELECTRIC HLDGS INC Symbol: LECO	Growth & Income None	30.990	100.	3,099.00	-	-
LOEWS CORP Symbol: LTR	Growth None	58.350	100.	5,835.00	-	-
MEDCO HEALTH SOLUTIONS INC Symbol: MHS	Aggressive Sell	31.330	24.	751.92	-	-
MERCK & CO INC Symbol: MRK	Growth & Income Hold	44.100	200.	8,820.00	-	-
MILLENNIUM PHARMACEUTICALS INC Symbol: MLNM	Aggressive None	13.380	500.	6,690.00	-	-
MOTOROLA INC Symbol: MOT	Aggressive Hold	17.830	300.	5,349.00	-	-
OPTIMAL ROBOTICS CORP CL A NEW Symbol: OPMR	Aggressive None	7.260	500.	3,630.00	-	-
ORACLE CORP Symbol: ORCL	Aggressive Buy	11.010	200.	2,202.00	-	-

Edward Jones

Stocks, continued	Our asset category/ Our recommendation	Current price	Current shares	Current value	Amount invested	Amount withdrawn
PENGROWTH ENERGY TR Symbol: PGH	Aggressive None	18.150	300.	$5,445.00	-	-
PFIZER INC Symbol: PFE	Growth Buy	29.660	400.	11,864.00	-	-
SEARS ROEBUCK & CO Symbol: S	Growth & Income Sell	40.100	250.	10,025.00	-	-
SONY CORP ADR NEW Symbol: SNE	Growth None	33.870	500.	16,935.00	-	-
TASER INTERNATIONAL INC COMMON STOCK Symbol: TASR	Aggressive None	39.210	1100.	43,131.00	-	-
TEXAS INSTRUMENTS INC Symbol: TXN	Aggressive Hold	21.690	1301.43715	28,228.17	-	-
TIME WARNER INC NEW Symbol: TWX	Aggressive Hold	16.500	700.	11,550.00	-	-
WALT DISNEY CO Symbol: DIS	Growth Buy	23.460	200.	4,692.00	-	-
WELLS FARGO & CO NEW Symbol: WFC	Growth & Income Buy	58.640	250.	14,660.00	14,707.45	-
Total stocks				**$389,958.90**	-	-

Mutual funds	Our asset category	Current price	Current shares	Current value	Amount invested	Amount withdrawn
CAPITAL INCOME BUILDER FUND CL A Quote Symbol: CAIBX	Growth & Income	49.18	912.728	$44,887.96	$46,166.11	-
Total mutual funds				**$44,887.96**	$46,166.11	-

Total estimated asset value **$435,540.76**

Edward Jones

Summary of Your Investment Activity

Total cash and money market funds on Aug 28	$0.00
Additions	
Income	$816.10
Total additions	$816.10
Subtractions	
Withdrawals to purchase securities	-$114.60
Taxes withheld	-$7.60
Total subtractions	-$122.20
Total cash and money market funds on Sep 24	**$693.90**

Detail of Your Investment Activity

Additions

	Type	Tax Info.	Date		Quantity	Amount per share	Rate	Amount	Where Invested
Income	Interest		09/21	ON AVERAGE BALANCE OF $13,026.56 AT 0.100% FROM 08-21-04 TO 09-20-04				$1.12	Cash Balance
	Dividends	Q	09/01	INTEL CORP	911	0.04		$36.44	Cash Balance
		Q	09/01	WELLS FARGO & CO NEW	250.	0.48		120.00	Cash Balance
		Q	09/02	ALEXANDER & BALDWIN INC	500.	0.225		112.50	Cash Balance
		Q	09/03	PFIZER INC	400.	0.17		68.00	Cash Balance
		Q	09/08	BP AMOCO PLC SPONSORED ADR 25P	250.	0.426		106.50	Cash Balance
		Q	09/09	ANHEUSER BUSCH COMPANIES INC	200	0.245		49.00	Cash Balance
		Q	09/10	INTL BUSINESS MACHINES CORP	100.	0.18		18.00	Cash Balance

Edward Jones

Additions, continued

	Type	Tax Info.	Date		Quantity	Amount per share	Rate	Amount	Where Invested
Income	Dividends	Q	09/13	LOEWS CORP	100	0.15		$15.00	Cash Balance
		Q	09/15	ASHLAND INC	200.	0.275		55.00	Cash Balance
		Q	09/15	CASS INFORMATION SYSTEM INC	330.	0.21		69.30	Cash Balance
		N	09/16	PENGROWTH ENERGY TR	300	0.168814		50.64	Cash Balance
		P	09/21	CAPITAL INCOME BUILDER FD CL A AT DAILY ACCRUAL RATE	910.406			114.60	Reinvested
			Total income					**$816.10**	

Subtractions

	Date		Quantity	Price per share	Trade date	Amount	Source of Funds
Withdrawals to purchase securities	09/21	REINVESTMENT INTO CAPITAL INCOME BUILDER FD CL A	2.322	49.35		-$114.60	Income
	Total withdrawals to purchase securities					**-$114.60**	
	Date					**Amount**	**Source of Funds**
Taxes withheld	09/16	PENGROWTH ENERGY TR 15.000% FOREIGN TAX				-$7.60	Cash Balance
	Total taxes withheld					**-$7.60**	

Other Activity

Date	Activity		Quantity	Notes	Estimated Value
09/07	STOCK SPLIT	GILEAD SCIENCES INC	100.	ON 100 AT 1 PER SHARE	-

Edward Jones

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LIVING TRUST

Value Summary (Held at Edward Jones)

Value on Oct 29	$449,165.86
Value on Sep 25	$435,540.76
Value one year ago	-

Summary of Your Assets

Held at Edward Jones	Value on Oct 29	Value on Sep 25	Change in value
Cash & money market	$1,500.07	$693.90	$806.17
Stocks	400,251.18	389,958.90	10,292.28
Mutual funds	47,414.61	44,887.96	2,526.65
Total at Edward Jones	$449,165.86	$435,540.76	$13,625.10

Summary of Your Income

Income distributions from securities	This period Taxable	This period Tax-free	This period Total	Year-to-date Taxable	Year-to-date Tax-free	Year-to-date Total
Interest	$0.07	-	$0.07	$1.19	-	$1.19
Dividends						
Qualified (Q) - Reduced Tax Eligible	310.13	-	310.13	959.87	-	959.87
Partially Qualified (P) - Reduced Tax Eligible	-	-	-	114.60	-	114.60
Nonqualified (N) - Taxable	52.33	-	52.33	102.97	-	102.97
Total	$362.53	-	$362.53	$1,178.63	-	$1,178.63

Note: Please refer to the last page of this package for information regarding qualified, partially qualified and nonqualified dividends.

Other distributions or charges				
Foreign taxes paid		-$7.85		-$15.45
Total		-$7.85		-$15.45

Edward Jones

Your Assets at Edward Jones

Cash and money market funds	7-day current yield	7-day compounded yield	Current value
Cash			$1,500.07
Total cash and money market funds			**$1,500.07**

Stocks	Our asset category/ Our recommendation	Current price	Current shares	Current value	Amount invested	Amount withdrawn
ADOBE SYSTEMS INC Symbol: ADBE	Aggressive None	56.030	250.05994	$14,010.86	$10,283.00	-
ALEXANDER & BALDWIN INC Symbol: ALEX	Growth & Income None	36.655	500.	18,327.50	14,600.00	-
ANHEUSER BUSCH COMPANIES INC Symbol: BUD	Growth & Income Buy	49.950	200.	9,990.00	10,938.95	-
APACHE CORP Symbol: APA	Growth None	50.700	136.18442	6,904.55	3,664.00	-
ASHLAND INC Symbol: ASH	Growth & Income None	57.620	200.	11,524.00	7,287.00	-
ATLANTIC TELE-NETWORK INC Symbol: ANK	Growth & Income None	30.000	200.	6,000.00	4,233.00	-
BAXTER INTERNATIONAL INC Symbol: BAX	Growth & Income Hold	30.760	300.	9,228.00	8,814.00	-
BP AMOCO PLC SPONSORED ADR 25P Symbol: BP	Growth & Income Buy	58.250	250.	14,562.50	14,172.45	-
CASS INFORMATION SYSTEM INC Symbol: CASS	Growth & Income None	37.000	330.	12,210.00	10,318.00	-
CISCO SYSTEMS INC Symbol: CSCO	Aggressive Buy	19.210	100.	1,921.00	4,121.00	-
DANAHER CORP Symbol: DHR	Growth None	55.130	400.10954	22,058.04	19,874.00	-

Edward Jones

Stocks, continued	Our asset category/ Our recommendation	Current price	Current shares	Current value	Amount invested	Amount withdrawn
FLEXTRONICS INTERNATIONAL LTD Symbol: FLEX	Aggressive None	12.050	200.	$2,410.00	$2,928.00	-
GENERAL ELECTRIC CO Symbol: GE	Growth & Income Hold	34.120	201.17659	6,864.15	5,868.00	-
GILEAD SCIENCES INC Symbol: GILD	Aggressive None	34.630	200.	6,926.00	6,418.00	-
GIVEN IMAGING Symbol: GIVN	Aggressive None	32.250	150.	4,837.50	2,257.00	-
H & R BLOCK INC COM Symbol. HRB	Growth None	47.550	200.	9,510.00	8,832.00	-
INTEL CORP Symbol. INTC	Aggressive Buy	22.260	911.	20,278.86	8,733.00	-
INTERNATIONAL BUSINESS MACHINES CORP Symbol: IBM	Growth Hold	89.750	100.	8,975.00	8,723.00	-
IPIX CORP Symbol: IPIX	Aggressive None	7.050	1100.	7,755.00	14,298.19	-
LABORATORY CORP AMERICA HOLDINGS NEW Symbol: LH	Growth None	45.800	150.	6,870.00	4,870.00	-
LEXMARK INTERNATIONAL INC CL A Symbol: LXK	Aggressive None	83.110	100.	8,311.00	9,255.00	-
LINCARE HOLDINGS INC Symbol: LNCR	Growth None	36.760	100.	3,676.00	3,903.00	-
LINCOLN ELECTRIC HLDGS INC Symbol: LECO	Growth & Income None	33.380	100.51436	3,355.17	2,335.00	-
LOEWS CORP Symbol. LTR	Growth None	59.900	100.	5,990.00	6,024.00	-

Edward Jones

Stocks, continued	Our asset category/ Our recommendation	Current price	Current shares	Current value	Amount invested	Amount withdrawn
MEDCO HEALTH SOLUTIONS INC Symbol: MHS	Aggressive Sell	33.910	24.	$813.84	$164.00	-
MERCK & CO INC Symbol: MRK	Growth & Income Hold	31.310	200.	6,262.00	2,920.00	-
MILLENNIUM PHARMACEUTICALS INC Symbol: MLNM	Aggressive None	12.980	500.	6,490.00	8,349.00	-
MOTOROLA INC Symbol: MOT	Aggressive Hold	17.260	300.64165	5,189.07	7,591.00	-
OPTIMAL ROBOTICS CORP CL A NEW Symbol: OPMR	Aggressive None	10.100	500.	5,050.00	4,351.00	-
ORACLE CORP Symbol: ORCL	Aggressive Buy	12.660	200.	2,532.00	4,315.00	-
PENGROWTH ENERGY TR Symbol: PGH	Aggressive None	17.440	300.	5,232.00	3,673.00	-
PFIZER INC Symbol: PFE	Growth Buy	28.950	400.	11,580.00	13,642.00	-
SEARS ROEBUCK & CO Symbol: S	Growth & Income Sell	35.000	250.	8,750.00	11,108.00	-
SONY CORP ADR NEW Symbol: SNE	Growth None	34.850	500.	17,425.00	18,315.00	-
TASER INTERNATIONAL INC COMMON STOCK Symbol: TASR	Aggressive None	40.900	1100.	44,990.00	44,734.46	-
TEXAS INSTRUMENTS INC Symbol: TXN	Aggressive Buy	24.450	1301.43715	31,820.14	10,798.00	-
TIME WARNER INC NEW Symbol: TWX	Aggressive Hold	16.640	700.	11,648.00	17,236.00	-
WALT DISNEY CO Symbol: DIS	Growth Buy	25.220	200.	5,044.00	7,636.00	-

Edward Jones

Stocks, continued	Our asset category/ Our recommendation	Current price	Current shares	Current value	Amount invested	Amount withdrawn
WELLS FARGO & CO NEW Symbol: WFC	Growth & Income Buy	59.720	250.	$14,930.00	$14,707.45	-
Total stocks				**$400,251.18**	$362,889.50	-

Mutual funds	Our asset category	Current price	Current shares	Current value	Amount invested	Amount withdrawn
CAPITAL INCOME BUILDER FUND CL A Quote Symbol: CAIBX	Growth & Income	50.75	934.278	$47,414.61	$47,285.96	-
Total mutual funds				**$47,414.61**	$47,285.96	-

Total estimated asset value **$449,165.86**

Summary of Your Investment Activity

Total cash and money market funds on Sep 25	$693.90
Additions	
Income	$362.53
Other income	$1,500.00
Other additions	$149.51
Total additions	$2,012.04
Subtractions	
Withdrawals to purchase securities	-$1,198.02
Taxes withheld	-$7.85
Total subtractions	-$1,205.87
Total cash and money market funds on Oct 29	**$1,500.07**

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Edward Jones

Detail of Your Investment Activity

Additions

	Type	Tax Info.	Date		Quantity	Amount per share	Rate	Amount	Where Invested
Income	Interest		10/21	ON AVERAGE BALANCE OF $931.84 AT 0.100% FROM 09-21-04 TO 10-20-04				$0.07	Cash Balance
	Dividends	Q	10/01	H & R BLOCK INC COM	200.	0.22		$44.00	Cash Balance
		Q	10/01	SEARS ROEBUCK & CO	250.	0.23		57.50	Cash Balance
		Q	10/01	MERCK & CO INC	200.	0.38		76.00	Cash Balance
		Q	10/11	ATLANTIC TELE-NETWORK INC	200.	0.2725		54.50	Reinvested Mfd
		Q	10/12	ADOBE SYSTEMS INC	250.	0.0125		3.13	Reinvested
		Q	10/15	MOTOROLA INC	300.	0.04		12.00	Reinvested
		Q	10/15	LINCOLN ELECTRIC HLDGS INC	100.	0.17		17.00	Reinvested
		N	10/18	PENGROWTH ENERGY TR	300.	0.174436		52.33	Reinvested Mfd
		Q	10/25	GENERAL ELECTRIC CO	200	0.20		40.00	Reinvested
		Q	10/29	DANAHER CORP	400.	0.015		6.00	Reinvested
			Total income					$362.53	
Other Income	Redemptions		10/27	AT&T WIRELESS SERVICES INC CASH MERGER	100.			$1,500.00	Cash Balance
			Total other income					$1,500.00	

	Date		Amount	Where Invested
Other Additions	09/27	TRANSFER FROM 3251124313	$149.51	Cash Balance
	Total other additions		$149.51	

Subtractions

	Date		Quantity	Price per share	Trade date	Amount	Source of Funds
Withdrawals to purchase securities	10/11	FUND WIRED/DIRECT REINVEST CAPITAL INCOME BUILDER FD CL A				-$54.50	Income

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Subtractions, continued

	Date		Quantity	Price per share	Trade date	Amount	Source of Funds
Withdrawals to purchase securities	10/12	DIRECT INVESTMENT CAPITAL INCOME BUILDER FD CL A	1 036	52.59	10/11		
	10/12	ADOBE SYSTEMS INC REINVESTMENT FEE $0.06	0.05994	51.22	10/06	-3.13	Income
	10/15	LINCOLN ELECTRIC HLDGS INC REINVESTMENT FEE $0.34	0.51436	32.39	10/12	-17.00	Income
	10/15	MOTOROLA INC REINVESTMENT FEE $0.24	0.64165	18.3278	10/12	-12.00	Income
	10/18	FUND WIRED/DIRECT REINVEST CAPITAL INCOME BUILDER FD CL A				-44.48	Income
	10/19	DIRECT INVESTMENT CAPITAL INCOME BUILDER FD CL A	0 847	52 49	10/18		
	10/25	CAPITAL INCOME BUILDER FD CL A	19.667	51.91		-1,020.91	Cash Balance
	10/25	GENERAL ELECTRIC CO REINVESTMENT FEE $0.80	1 17659	33.3165	10/20	-40.00	Income
	10/29	DANAHER CORP REINVESTMENT FEE $0.12	0 10954	53.68	10/26	-6.00	Income
	Total withdrawals to purchase securities					**-$1,198.02**	
	Date					**Amount**	**Source of Funds**
Taxes withheld	10/18	PENGROWTH ENERGY TR 15 000% FOREIGN TAX				-$7.85	Cash Balance
	Total taxes withheld					**-$7.85**	

Edward Jones

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Value Summary (Held at Edward Jones)

Value on Nov 26	$474,693.02
Value on Oct 30	$449,165.86
Value one year ago	-

Summary of Your Assets

Held at Edward Jones	Value on Nov 26	Value on Oct 30	Change in value
Cash & money market	$0.13	$1,500.07	-$1,499.94
Stocks	418,927.22	400,251.18	18,676.04
Mutual funds	55,765.67	47,414.61	8,351.06
Total at Edward Jones	**$474,693.02**	$449,165.86	$25,527.16

Summary of Your Income

	This period			Year-to-date		
Income distributions from securities	Taxable	Tax-free	Total	Taxable	Tax-free	Total
Interest	$0.13	-	$0.13	$1.32	-	$1.32
Dividends						
Qualified (Q) - Reduced Tax Eligible	43.43	-	43.43	1,003.30	-	1,003.30
Partially Qualified (P) - Reduced Tax Eligible	-	-	-	114.60	-	114.60
Nonqualified (N) - Taxable	57.48	-	57.48	160.45	-	160.45
Total	$101.04	-	**$101.04**	$1,279.67	-	**$1,279.67**

Note: Please refer to the last page of this package for information regarding qualified, partially qualified and nonqualified dividends.

Other distributions or charges					
Foreign taxes paid			-$8.62		-$24.07
Total			**-$8.62**		**-$24.07**

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Edward Jones

Your Assets at Edward Jones

Cash and money market funds	7-day current yield	7-day compounded yield	Current value
Cash			$0.13
Total cash and money market funds			**$0.13**

Stocks	Our asset category/ Our recommendation	Current price	Current shares	Current value	Amount invested	Amount withdrawn
ADOBE SYSTEMS INC Symbol: ADBE	Aggressive None	60.660	250.05994	$15,168.64	$10,283.00	-
ALEXANDER & BALDWIN INC Symbol: ALEX	Growth & Income None	41.700	500	20,850.00	14,600.00	-
ANHEUSER BUSCH COMPANIES INC Symbol: BUD	Growth & Income Buy	50.200	200	10,040.00	10,938.95	-
APACHE CORP Symbol: APA	Growth None	53.690	136.40284	7,323.47	3,664.00	-
ASHLAND INC Symbol: ASH	Growth None	59.560	200.	11,912.00	7,287.00	-
ATLANTIC TELE-NETWORK INC Symbol: ANK	Growth & Income None	33.500	200.	6,700.00	4,233.00	-
BAXTER INTERNATIONAL INC Symbol: BAX	Growth & Income Hold	32.300	300.	9,690.00	8,814.00	-
BP AMOCO PLC SPONSORED ADR 25P Symbol: BP	Growth & Income Buy	61.660	250	15,415.00	14,172.45	-
CASS INFORMATION SYSTEM INC Symbol: CASS	Growth & Income None	35.010	330	11,553.30	10,318.00	-
CISCO SYSTEMS INC Symbol: CSCO	Aggressive Buy	19.230	100.	1,923.00	4,121.00	-
DANAHER CORP Symbol: DHR	Growth None	57.900	400.10954	23,166.34	19,874.00	-

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Edward Jones

Stocks, continued	Our asset category/ Our recommendation	Current price	Current shares	Current value	Amount invested	Amount withdrawn
FLEXTRONICS INTERNATIONAL LTD Symbol: FLEX	Aggressive None	14.590	200	$2,918.00	$2,928.00	-
GENERAL ELECTRIC CO Symbol: GE	Growth & Income Hold	35.460	201.17659	7,133.72	5,868.00	-
GILEAD SCIENCES INC Symbol: GILD	Aggressive None	34.510	200.	6,902.00	6,418.00	-
GIVEN IMAGING Symbol: GIVN	Aggressive None	30.300	150.	4,545.00	2,257.00	-
H & R BLOCK INC COM Symbol: HRB	Growth None	46.820	200.	9,364.00	8,832.00	-
INTEL CORP Symbol: INTC	Aggressive Buy	23.210	911.	21,144.31	8,733.00	-
INTERNATIONAL BUSINESS MACHINES CORP Symbol: IBM	Growth Hold	94.720	100.	9,472.00	8,723.00	-
IPIX CORP Symbol: IPIX	Aggressive None	6.870	1100.	7,557.00	14,298.19	-
LABORATORY CORP AMERICA HOLDINGS NEW Symbol: LH	Growth None	47.890	150.	7,183.50	4,870.00	-
LEXMARK INTERNATIONAL INC CL A Symbol: LXK	Aggressive None	85.490	100.	8,549.00	9,255.00	-
LINCARE HOLDINGS INC Symbol: LNCR	Growth None	37.930	100.	3,793.00	3,903.00	-
LINCOLN ELECTRIC HLDGS INC Symbol: LECO	Growth None	35.340	100.51436	3,552.18	2,335.00	-
LOEWS CORP Symbol: LTR	Growth None	68.550	100.	6,855.00	6,024.00	-

Edward Jones

Stocks, continued	Our asset category/ Our recommendation	Current price	Current shares	Current value	Amount invested	Amount withdrawn
MEDCO HEALTH SOLUTIONS INC Symbol: MHS	Aggressive Sell	37.400	24	$897.60	$164.00	-
MILLENNIUM PHARMACEUTICALS INC Symbol: MLNM	Aggressive None	12.590	500.	6,295.00	8,349.00	-
MOTOROLA INC Symbol: MOT	Aggressive Hold	19.000	300.64165	5,712.19	7,591.00	-
OPTIMAL ROBOTICS CORP CL A NEW Symbol: OPMR	Aggressive None	10.370	500.	5,185.00	4,351.00	-
ORACLE CORP Symbol: ORCL	Aggressive Buy	12.660	200.	2,532.00	4,315.00	-
PENGROWTH ENERGY TR Symbol: PGH	Aggressive None	19.270	300.	5,781.00	3,873.00	-
PFIZER INC Symbol: PFE	Growth Buy	27.160	400.	10,864.00	13,842.00	-
SEARS ROEBUCK & CO Symbol: S	Growth & Income Sell	54.300	250.	13,575.00	11,108.00	-
SONY CORP ADR NEW Symbol: SNE	Growth None	35.970	500.	17,985.00	18,315.00	-
TASER INTERNATIONAL INC COMMON STOCK Symbol: TASR	Aggressive None	47.000	1100.	51,700.00	44,734.46	-
TEXAS INSTRUMENTS INC Symbol: TXN	Aggressive Buy	24.590	1302.72356	32,033.97	10,798.00	-
TIME WARNER INC NEW Symbol: TWX	Aggressive Hold	18.010	700.	12,607.00	17,236.00	-
WALT DISNEY CO Symbol: DIS	Growth Buy	27.120	200.	5,424.00	7,836.00	-
WELLS FARGO & CO NEW Symbol: WFC	Growth & Income Buy	62.500	250.	15,625.00	14,707.45	-
Total stocks				**$418,927.22**	**$359,969.50**	-

Edward Jones

Mutual funds	Our asset category	Current price	Current shares	Current value	Amount invested	Amount withdrawn
CAPITAL INCOME BUILDER FUND CL A Quote Symbol: CAIBX	Growth & Income	52.70	935.176	$49,283.78	$47,334.85	-
CAPITAL WORLD GROWTH & INCOME FUND CL A Quote Symbol: CWGIX	Growth & Income	33.86	191.432	6,481.89	6,579.52	-
Total mutual funds				**$55,765.67**	$53,914.37	-
Total estimated asset value				**$474,693.02**		

Summary of Your Investment Activity

Total cash and money market funds on Oct 30	$1,500.07
Additions	
Income	$101.04
Proceeds from securities sold	$5,079.45
Total additions	$5,180.49
Subtractions	
Withdrawals to purchase securities	-$6,671.81
Taxes withheld	-$8.62
Total subtractions	-$6,680.43
Total cash and money market funds on Nov 26	**$0.13**

Edward Jones

Detail of Your Investment Activity

Additions

	Type	Tax Info.	Date		Quantity	Amount per share	Rate	Amount	Where Invested
Income	Interest		11/22	ON AVERAGE BALANCE OF $1,542.70 AT 0.100% FROM 10-21-04 TO 11-20-04				$0.13	Cash Balance
	Dividends	N	11/18	PENGROWTH ENERGY TR	300.	0.191602		$57.48	Reinvested Mid
		Q	11/22	APACHE CORP	136.18442	0.08		10.89	Reinvested
		Q	11/22	TEXAS INSTRUMENTS INC	1301.43715	0.025		32.54	Reinvested
			Total income					$101.04	

	Date		Quantity	Amount per share	Trade date	Amount	Where Invested
Proceeds from securities sold	11/12	MERCK & CO INC SPECIAL COMMISSION RATE	200.	25.93		$5,079.45	Cash Balance
	Total proceeds from securities sold					$5,079.45	

Subtractions

	Date		Quantity	Price per share	Trade date	Amount	Source of Funds
Withdrawals to purchase securities	11/15	CAPITAL WORLD GRTH & INCM CL A	191.432	34.37		-$6,579.52	Cash Balance
	11/16	FUND WIRED/DIRECT REINVEST CAPITAL INCOME BUILDER FD CL A				-48.86	Income
	11/17	DIRECT INVESTMENT CAPITAL INCOME BUILDER FD CL A	0.899	54.44	11/16		
	11/22	TEXAS INSTRUMENTS INC REINVESTMENT FEE $0.65	1.28641	24.79	11/17	-32.54	Income
	11/22	APACHE CORP REINVESTMENT FEE $0.22	0.21842	48.85	11/17	-10.89	Income
	Total withdrawals to purchase securities					-$6,671.81	

Edward Jones

Subtractions, continued

	Date		Amount	Source of Funds
Taxes withheld	11/16	PENGROWTH ENERGY TR 15.000% FOREIGN TAX	-$8.62	Cash Balance
	Total taxes withheld		-$8.62	

Edward Jones

HAROLD D ROGERS TRUSTEE
U/A DTD 2-9-2001
HAROLD D ROGERS REVOCABLE
LIVING TRUST

Value Summary (Held at Edward Jones)

Value on Dec 31	$499,623.56
Value on Nov 27	$474,693.02
Value one year ago	-

Summary of Your Assets

Held at Edward Jones	Value on Dec 31	Value on Nov 27	Change in value
Cash & money market	$588.71	$0.13	$588.58
Stocks	441,165.59	418,927.22	22,238.37
Mutual funds	57,869.26	55,765.67	2,103.59
Total at Edward Jones	$499,623.56	$474,693.02	$24,930.54

Summary of Your Income

Income distributions from securities	This period Taxable	Tax-free	Total	Year-to-date Taxable	Tax-free	Total
Interest	$0.01	-	$0.01	$1.33	-	$1.33
Dividends						
Qualified (Q) - Reduced Tax Eligible	710.35	-	710.35	1,713.65	-	1,713.65
Partially Qualified (P) - Reduced Tax Eligible	483.13	-	483.13	597.73	-	597.73
Nonqualified (N) - Taxable	55.99	-	55.99	216.44	-	216.44
Capital gain distributions	994.04	-	994.04	994.04	-	994.04
Total	$2,243.52	-	**$2,243.52**	$3,523.19	-	**$3,523.19**

Note: Please refer to the last page of this package for information regarding qualified, partially qualified and nonqualified dividends.

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Edward Jones

Summary of Your Income

Other distributions or charges	This period Total	Year-to-date Total
Foreign taxes paid	–$12.64	–$36.71
Total	**–$12.64**	**–$36.71**

Your Estimated Interest and Dividends

The following is an estimated summary of your interest and dividend income for the next 12 months. It is based on a variety of factors. These factors, including distribution rates and amount of principal invested, are subject to change. Because they are estimates, the specific amounts listed here are not guaranteed by Edward Jones or by the underlying issuer(s) of the securities. Income from items with * is being reinvested.

Stocks	Tax Info.	Quantity	2005 JAN	FEB	MAR	APR	MAY	JUN	JUL	AUG	SEP	OCT	NOV	DEC	Total
ADOBE SYSTEMS INC*	Q	250	3			3			3			3			12
ALEXANDER & BALDWIN INC*	Q	502			113			113			113			113	452
ANHEUSER BUSCH COMPANIES INC*	Q	200			49			49			49			49	196
APACHE CORP*	Q	136		10			10			10			10		40
ASHLAND INC*	Q	200			55			55			55			55	220
ATLANTIC TELE-NETWORK INC*	Q	200	55			55			55			55			220
BAXTER INTERNATIONAL INC*	Q	300	174												174
BP AMOCO PLC SPONSORED ADR 25P*	Q	251			107			107			107			107	428
CASS INFORMATION SYSTEM INC*	Q	331			69			69			69			69	276
DANAHER CORP*	Q	400	6			6			6			6			24
GENERAL ELECTRIC CO*	Q	201	44			44			44			44			176
H & R BLOCK INC COM*	Q	200	44			44			44			44			176
INTEL CORP*	Q	912			36			36			36			36	144

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Edward Jones

Stocks	Tax Info.	Quantity	2005 JAN	FEB	MAR	APR	MAY	JUN	JUL	AUG	SEP	OCT	NOV	DEC	Total
INTL BUSINESS MACHINES CORP*	Q	100			18			18			18			18	72
LINCOLN ELECTRIC HLDGS INC*	Q	100	18			18			18			18			72
LOEWS CORP*	Q	100			15			15			15			15	60
MOTOROLA INC*	Q	300	12			12			12			12			48
PENGROWTH ENERGY TR*	N	300	55	55	55	55	55	55	55	55	55	55	55	55	660
PFIZER INC*	Q	402			76			76			76			76	304
SEARS ROEBUCK & CO*	Q	250	57			57			57			57			228
SONY CORP ADR NEW*	Q	501						60						60	120
TEXAS INSTRUMENTS INC*	Q	1,302		32			32			32			32		128
WALT DISNEY CO*	Q	200	49												48
WELLS FARGO & CO NEW*	Q	251			120			120			120			120	480
Mutual Funds	**Tax Info**														
CAPITAL INCOME BUILDER FD CL A*	P	960			441			441			441			441	1,764
CAPITAL WORLD GRTH & INCM CL A*	P	197			19			19			19			19	76
Total			**516**	**97**	**1,173**	**294**	**97**	**1,233**	**294**	**97**	**1,173**	**294**	**97**	**1,233**	**6,598**

Your Assets at Edward Jones

Cash and money market funds	7-day current yield	7-day compounded yield	Current value
Cash			$588.71
Total cash and money market funds			**$588.71**

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Edward Jones

Stocks	Our asset category/ Our recommendation	Current price	Current shares	Current value	Amount invested	Amount withdrawn
ADOBE SYSTEMS INC Symbol: ADBE	Aggressive None	62.740	250.05994	$15,688.78	$10,283.00	-
ALEXANDER & BALDWIN INC Symbol: ALEX	Growth & Income None	42.420	502.62876	21,321.51	14,600.00	-
ANHEUSER BUSCH COMPANIES INC Symbol: BUD	Growth & Income Buy	50.730	200.94845	10,194.11	10,938.95	-
APACHE CORP Symbol: APA	Growth None	50.570	136.40284	6,897.89	3,664.00	-
ASHLAND INC Symbol: ASH	Growth None	58.380	200.92389	11,729.94	7,287.00	-
ATLANTIC TELE-NETWORK INC Symbol: ANK	Growth & Income None	32.500	200.	6,500.00	4,233.00	-
BAXTER INTERNATIONAL INC Symbol: BAX	Growth & Income Hold	34.540	300.	10,362.00	8,814.00	-
BP AMOCO PLC SPONSORED ADR 25P Symbol: BP	Growth & Income Buy	58.400	251.74182	14,701.72	14,172.45	-
CASS INFORMATION SYSTEM INC Symbol: CASS	Growth & Income None	34.950	331.87649	11,599.08	10,318.00	-
CISCO SYSTEMS INC Symbol: CSCO	Aggressive Buy	19.300	100.	1,930.00	4,121.00	-
DANAHER CORP Symbol: DHR	Growth None	57.410	400.10954	22,970.29	19,874.00	-
FLEXTRONICS INTERNATIONAL LTD Symbol: FLEX	Aggressive None	13.820	200.	2,764.00	2,928.00	-
GENERAL ELECTRIC CO Symbol: GE	Growth & Income Hold	36.500	201.17659	7,342.95	5,868.00	-
GILEAD SCIENCES INC Symbol: GILD	Aggressive None	34.990	200.	6,998.00	6,418.00	-

Edward Jones

Stocks, continued	Our asset category/ Our recommendation	Current price	Current shares	Current value	Amount invested	Amount withdrawn
GIVEN IMAGING Symbol: GIVN	Aggressive None	35.910	150.	$5,386.50	$2,257.00	-
H & R BLOCK INC COM Symbol: HRB	Growth None	49.000	200.	9,800.00	8,832.00	-
INTEL CORP Symbol: INTC	Aggressive Buy	23.390	912.5274	21,344.02	8,733.00	-
INTERNATIONAL BUSINESS MACHINES CORP Symbol: IBM	Growth Hold	98.580	100.18043	9,875.79	8,723.00	-
IPIX CORP Symbol: IPIX	Aggressive None	5.800	1100.	6,380.00	14,298.19	-
LABORATORY CORP AMERICA HOLDINGS NEW Symbol: LH	Growth None	49.820	150.	7,473.00	4,870.00	-
LEXMARK INTERNATIONAL INC CL A Symbol: LXK	Aggressive None	85.000	100.	8,500.00	9,255.00	-
LINCARE HOLDINGS INC Symbol: LNCR	Growth None	42.650	100.	4,265.00	3,903.00	-
LINCOLN ELECTRIC HLDGS INC Symbol: LECO	Growth & Income None	34.540	100.51435	3,471.77	2,335.00	-
LOEWS CORP Symbol: LTR	Growth None	70.300	100.20863	7,044.67	6,024.00	-
MEDCO HEALTH SOLUTIONS INC Symbol: MHS	Aggressive Sell	41.600	24.	998.40	164.00	-
MILLENNIUM PHARMACEUTICALS INC Symbol: MLNM	Aggressive None	12.120	500.	6,060.00	8,349.00	-
MOTOROLA INC Symbol: MOT	Aggressive Hold	17.200	300.64165	5,171.04	6,793.94	-

Edward Jones

Stocks, continued	Our asset category/ Our recommendation	Current price	Current shares	Current value	Amount invested	Amount withdrawn
OPTIMAL ROBOTICS CORP CL A NEW Symbol: OPMR	Aggressive None	11.790	500.	$5,895.00	$4,351.00	-
ORACLE CORP Symbol: ORCL	Aggressive Buy	13.720	200.	2,744.00	4,315.00	-
PENGROWTH ENERGY TR Symbol: PGH	Aggressive None	20.820	300.	6,246.00	3,873.00	-
PFIZER INC Symbol: PFE	Growth Buy	26.890	402.40416	10,820.65	13,842.00	-
SEARS ROEBUCK & CO Symbol: S	Growth & Income Sell	51.030	250.	12,757.50	11,108.00	-
SONY CORP ADR NEW Symbol: SNE	Growth None	38.960	501.50805	19,538.75	18,315.00	-
TASER INTERNATIONAL INC COMMON STOCK Symbol: TASR	Aggressive None	31.590	2200.	69,498.00	44,734.46	-
TEXAS INSTRUMENTS INC Symbol: TXN	Aggressive Buy	24.620	1302.72356	32,073.05	10,798.00	-
TIME WARNER INC NEW Symbol: TWX	Aggressive Hold	19.440	700.	13,608.00	17,236.00	-
WALT DISNEY CO Symbol: DIS	Growth Buy	27.800	200.	5,560.00	7,836.00	-
WELLS FARGO & CO NEW Symbol: WFC	Growth & Income Buy	62.150	251.87767	15,654.20	14,707.45	-
Total stocks				**$441,165.59**	$359,172.44	-

Edward Jones

Mutual funds	Our asset category	Current price	Current shares	Current value	Amount invested	Amount withdrawn
CAPITAL INCOME BUILDER FUND CL A Quote Symbol: CAIBX	Growth & Income	53.26	960.556	$51,159.21	$47,382.46	-
CAPITAL WORLD GROWTH & INCOME FUND CL A Quote Symbol: CWGIX	Growth & Income	33.89	197.995	6,710.05	6,583.22	-
Total mutual funds				**$57,869.26**	$53,965.68	-
Total estimated asset value				**$499,623.56**		

Summary of Your Investment Activity

Total cash and money market funds on Nov 27	$0.13
Additions	
Income	$2,243.52
Other income	$3.57
Proceeds from securities sold	$588.70
Total additions	$2,835.79
Subtractions	
Withdrawals to purchase securities	-$2,234.57
Taxes withheld	-$12.64
Total subtractions	-$2,247.21
Total cash and money market funds on Dec 31	**$588.71**

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Edward Jones

Detail of Your Investment Activity

Additions

	Type	Tax Info.	Date		Quantity	Amount per share	Rate	Amount	Where Invested
Income	Interest		12/31	ON AVERAGE BALANCE OF $119.08 AT 0.100% FROM 11-21-04 TO 12-30-04				$0.01	Cash Balance
	Dividends	Q	12/01	INTEL CORP	911.	0.04		$36.44	Reinvested
		Q	12/01	WELLS FARGO & CO NEW	250.	0.48		120.00	Reinvested
		Q	12/02	ALEXANDER & BALDWIN INC	500.	0.225		112.50	Reinvested
		Q	12/03	PFIZER INC	400.	0.17		68.00	Reinvested
		Q	12/07	BP AMOCO PLC SPONSORED ADR 25P	250.	0.426		106.50	Reinvested
		Q	12/09	SONY CORP ADR NEW	500	0.121215		60.61	Reinvested
		Q	12/09	ANHEUSER BUSCH COMPANIES INC	200.	0.245		49.00	Reinvested
		Q	12/10	INTL BUSINESS MACHINES CORP	100	0.18		18.00	Reinvested
		P	12/13	CAPITAL WORLD GRTH & INCM CL A	191.432	0.30		57.43	Reinvested
		Q	12/13	LOEWS CORP	100.	0.15		15.00	Reinvested
		P	12/13	CAPITAL INCOME BUILDER FD CL A AT DAILY ACCRUAL RATE	935.176			425.70	Reinvested
		Q	12/15	CASS INFORMATION SYSTEM INC	330.	0.21		69.30	Reinvested
		Q	12/15	ASHLAND INC	200.	0.275		55.00	Reinvested
		N	12/16	PENGROWTH ENERGY TR	300.	0.186627		55.99	Reinvested Mfd
	Capital gains distributions Long-term		12/13	CAPITAL INCOME BUILDER FD CL A	935.176	0.90		$841.66	Reinvested
			12/13	CAPITAL WORLD GRTH & INCM CL A	191.432	0.796		152.38	Reinvested
			Total Income					$2,243.52	

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Edward Jones

Additions, continued

	Date		Quantity	Amount per share	Trade date	Amount	Where Invested
Other Income Redemptions	12/06	CASH IN LIEU FREESCALE SEMICONDUCTOR INC	0.19534	18.30		$3.57	Cash Balance
	Total other income					**$3.57**	

	Date		Quantity	Amount per share	Trade date	Amount	Where Invested
Proceeds from securities sold	12/23	FREESCALE SEMICONDUCTOR INC SPECIAL COMMISSION RATE ODD LOT OFFER – NO COMMISSION	33	17.99		$588.70	Cash Balance
	Total proceeds from securities sold					**$588.70**	

Subtractions

	Date		Quantity	Price per share	Trade date	Amount	Source of Funds
Withdrawals to purchase securities	12/01	WELLS FARGO & CO NEW REINVESTMENT FEE $2.40	1.87767	62.6308	11/26	–$120.00	Income
	12/01	INTEL CORP REINVESTMENT FEE $0.73	1.5274	23.3796	11/26	–36.44	Income
	12/02	ALEXANDER & BALDWIN INC REINVESTMENT FEE $2.25	2.62876	41.94	11/29	–112.50	Income
	12/03	PFIZER INC REINVESTMENT FEE $1.36	2.40416	27.7186	11/30	–68.00	Income
	12/07	BP AMOCO PLC SPONSORED ADR 25P REINVESTMENT FEE $2.13	1.74182	59.92	12/06	–106.50	Income
	12/09	ANHEUSER BUSCH COMPANIES INC REINVESTMENT FEE $0.98	0.94845	50.6298	12/06	–49.00	Income
	12/09	SONY CORP ADR NEW REINVESTMENT FEE $1.13	1.50805	36.63	12/08	–56.37	Income

Subtractions, continued

	Date		Quantity	Price per share	Trade date	Amount	Source of Funds
Withdrawals to purchase securities	12/10	INTL BUSINESS MACHINES CORP REINVESTMENT FEE $0.36	0 18043	97.7678	12/07	-$18.00	Income
	12/13	REINVESTMENT INTO CAPITAL WORLD GRTH & INCM CL A	4.689	32.50		-152.38	Income
	12/13	REINVESTMENT INTO CAPITAL WORLD GRTH & INCM CL A	1.767	32.50		-57.43	Income
	12/13	LOEWS CORP REINVESTMENT FEE $0.30	0.20863	70.46	12/08	-15.00	Income
	12/13	REINVESTMENT INTO CAPITAL INCOME BUILDER FD CL A	16.277	51.71		-841.66	Income
	12/13	REINVESTMENT INTO CAPITAL INCOME BUILDER FD CL A	8.232	51.71		-425.70	Income
	12/15	ASHLAND INC REINVESTMENT FEE $1.10	0.92389	58.34	12/10	-55.00	Income
	12/15	CASS INFORMATION SYSTEM INC REINVESTMENT FEE $1.39	1.87649	36.19	12/10	-69.30	Income
	12/16	FUND WIRED/DIRECT REINVEST CAPITAL INCOME BUILDER FD CL A				-47.59	Income
	12/17	DIRECT INVESTMENT CAPITAL INCOME BUILDER FD CL A	0.871	54.66	12/16		
	12/20	CAPITAL WORLD GRTH & INCM CL A	0.107	34.73		-3.70	Cash Balance
	Total withdrawals to purchase securities					-$2,234.57	

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Subtractions, continued

	Date		Amount	Source of Funds
Taxes withheld	12/09	SONY CORP ADR NEW 7.000% FOREIGN TAX	-$4.24	Cash Balance
	12/16	PENGROWTH ENERGY TR 15.000% FOREIGN TAX	-8.40	Cash Balance
		Total taxes withheld	**-$12.64**	

Other Activity

Date	Activity		Quantity	Notes	Estimated Value
11/30	STOCK SPLIT	TASER INTL INC COM	1100.	ON 1100 AT 1 PER SHARE	-
12/03	SPINOFF	FREESCALE SEMICONDUCTOR INC	33.	ON 300.64165 SHARES OF MOTOROL	-

Edward Jones

Payer's Federal Identification Number:

2004 CONSOLIDATED 1099 STATEMENT

(Includes 1099-INT, 1099-DIV, 1099-B.)

FIGURES ARE FINAL

Printed on January 16th, 2005

Recipient's Name
and Address:

HAROLD D ROGERS TRUSTEE 011331
U/A DTD 2-9-2001
HAROLD D ROGERS REVOCABLE
LIVING TRUST

THIS IS IMPORTANT TAX INFORMATION AND IS BEING FURNISHED TO THE INTERNAL REVENUE SERVICE. IF YOU ARE REQUIRED TO FILE A RETURN, A NEGLIGENCE PENALTY OR OTHER SANCTION MAY BE IMPOSED ON YOU IF THIS INCOME IS TAXABLE AND THE IRS DETERMINES THAT IT HAS NOT BEEN REPORTED.

1099-INT - INTEREST INCOME - 2004 Statement for recipients (OMB NO. 1545-0112)

Box 1	Interest Income not Included in Box 3	1.33
Box 2	Early Withdrawal Penalty	.00
Box 3	Interest on U.S. Savings Bonds and Treasury Obligations	.00
Box 4	***Federal Income Tax Withheld***	*.00*
	YOUR TOTAL REPORTABLE INTEREST IS THE SUM OF BOXES 1 AND 3	**1.33**

1099-DIV - DIVIDEND DISTRIBUTIONS - 2004 Statement for recipients (OMB NO. 1545-0110)

Box 1a	Ordinary Dividends (including short-term capital gains)	2,316.38
Box 1b	Qualified Dividends	2,178.84
Box 2a	Total Capital Gain Distr.	994.04
Box 2b	Unrecap. Sec. 1250 Gain	.00
Box 3	Nontaxable Distributions	.00
Box 4	***Federal Income Tax Withheld***	*.00*
Box 6	Foreign Tax Paid	41.71

Edward Jones®

Payer's Federal Identification Number:

2004 CONSOLIDATED 1099 STATEMENT

(Includes 1099-INT, 1099-DIV, 1099-B.)

FIGURES ARE FINAL

Printed on January 16th, 2005

Recipient's Name and Address:

HAROLD D ROGERS TRUSTEE
U/A DTD 2-9-2001
HAROLD D ROGERS REVOCABLE
LIVING TRUST

THIS IS IMPORTANT TAX INFORMATION AND IS BEING FURNISHED TO THE INTERNAL REVENUE SERVICE. IF YOU ARE REQUIRED TO FILE A RETURN, A NEGLIGENCE PENALTY OR OTHER SANCTION MAY BE IMPOSED ON YOU IF THIS INCOME IS TAXABLE AND THE IRS DETERMINES THAT IT HAS NOT BEEN REPORTED.

1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (OMB NO. 1545-0715)

Activity Type	Quantity/ CUSIP No. (Box 1b)	Date Of Sale (Box 1a)	Description (Box 7)	Stocks, Bonds, etc. Gross Proceeds Less Commissions (Box 2)	Federal Income Tax Withheld (Box 4)
Redemptions	100 00209A106	10/27	AT&T WIRELESS SERVICES INC	1,500.00	.00
Sells	33 35687M206	12/20	FREESCALE SEMICONDUCTOR INC	588.70	.00
Sells	200 589331107	11/08	MERCK & CO INC	5,079.45	.00
			TOTAL	**7,168.15**	**.00**

Edward Jones

Payer's Federal Identification Number :

SUMMARY OF INVESTMENT INCOME

FIGURES ARE FINAL

Printed on January 16th, 2005

Recipient's Name : HAROLD D ROGERS TRUSTEE
U/A DTD 2-9-2001
HAROLD D ROGERS REVOCABLE
LIVING TRUST

THIS IS NOT A 1099 FORM ..... It is a summary of the income you received from your Edward Jones account in 2004. For a complete description of each activity, please refer to your statement for that period. If you have any questions, please contact your Edward Jones Investment Representative.

2004 Date	Description	CUSIP No.	Amount In 2004
INTEREST INCOME - Taxable			
09/21	INTEREST ON CREDIT BALANCE	006990105	1.12
10/21	INTEREST ON CREDIT BALANCE	006990105	.07
11/22	INTEREST ON CREDIT BALANCE	006990105	.13
12/31	INTEREST ON CREDIT BALANCE	006990105	.01
	Total Taxable Interest on Bonds, CDs, etc. (Box 1 on 1099-INT):		**1.33**
DIVIDEND INCOME - Taxable			
10/12	ADOBE SYS INC	00724F101	3.13
09/02	ALEXANDER & BALDWIN INC	014482103	112.50
12/02	ALEXANDER & BALDWIN INC	014482103	112.50
09/09	ANHEUSER BUSCH COS INC	035229103	49.00
12/09	ANHEUSER BUSCH COS INC	035229103	49.00
11/22	APACHE CORP	037411105	10.89
09/15	ASHLAND INC	044204105	55.00
12/15	ASHLAND INC	044204105	55.00
10/11	ATLANTIC TELE-NETWORK INC	049079205	54.50
09/08	BP P L C	055622104	106.50
12/07	BP P L C	055622104	106.50
10/01	H & R BLOCK INC	093671105	44.00
09/21	CAPITAL INCOME BUILDER FD	140193103	88.09
12/13	CAPITAL INCOME BUILDER FD	140193103	327.24
12/13	CAPITAL WORLD GRWTH & INC	140543109	49.86
09/15	CASS INFORMATION SYS INC	14808P109	69.30
12/15	CASS INFORMATION SYS INC	14808P109	69.30
10/29	DANAHER CORP	235851102	6.00
10/25	GENERAL ELECTRIC CO	369604103	40.00
09/01	INTEL CORP	458140100	36.44
12/01	INTEL CORP	458140100	36.44
09/10	INTERNATIONAL BUSINESS MACHINE	459200101	18.00
12/10	INTERNATIONAL BUSINESS MACHINE	459200101	18.00
10/15	LINCOLN ELEC HLDGS INC	533900106	17.00
09/13	LOEWS CORP	540424108	15.00
12/13	LOEWS CORP	540424108	15.00
10/01	MERCK & CO INC	589331107	76.00
10/15	MOTOROLA INC	620076109	12.00
09/03	PFIZER INC	717081103	68.00
12/03	PFIZER INC	717081103	68.00
10/01	SEARS ROEBUCK & CO	812387108	57.50
12/09	SONY CORP	835699307	60.61
11/22	TEXAS INSTRUMENTS INC	882508104	32.54
09/01	WELLS FARGO & CO (DE) NEW	949746101	120.00
12/01	WELLS FARGO & CO (DE) NEW	949746101	120.00
	Total Qualified Dividends (Box 1b on 1099-DIV):		**2,178.84**

Edward Jones

Payer's Federal Identification Number :

SUMMARY OF INVESTMENT INCOME

FIGURES ARE FINAL

Printed on January 16th, 2005

Recipient's Name : HAROLD D ROGERS TRUSTEE
U/A DTD 2-9-2001
HAROLD D ROGERS REVOCABLE
LIVING TRUST

2004 Date	Description	CUSIP No.	Amount In 2004
DIVIDEND INCOME - Taxable			
09/21	CAPITAL INCOME BUILDER FD	140193103	26.51
12/13	CAPITAL INCOME BUILDER FD	140193103	98.46
12/13	CAPITAL WORLD GRWTH & INC	140543109	12.57
	Total Nonqualified Dividends:		**137.54**
	Total Ordinary Dividends (Box 1a on 1099-DIV):		**2,316.38**
12/13	CAPITAL INCOME BUILDER FD	140193103	841.66
12/13	CAPITAL WORLD GRWTH & INC	140543109	152.38
	Total Long-Term Capital Gains:		**994.04**
	Total Capital Gains (Box 2a on 1099-DIV):		**994.04**
12/13	CAPITAL WORLD GRWTH & INC	140543109	5.00
09/16	PENGROWTH ENERGY TR	706902301	7.60
10/18	PENGROWTH ENERGY TR	706902301	7.85
11/16	PENGROWTH ENERGY TR	706902301	8.62
12/16	PENGROWTH ENERGY TR	706902301	8.40
12/09	SONY CORP	835699307	4.24
	Total Foreign Tax Paid (Box 6 on 1099-DIV):		**41.71**
OTHER INCOME OR CHARGES			
09/16	PENGROWTH ENERGY TR	706902301	50.64
10/18	PENGROWTH ENERGY TR	706902301	52.33
11/16	PENGROWTH ENERGY TR	706902301	57.48
12/16	PENGROWTH ENERGY TR	706902301	55.99
	Total Distributions on Limited Partnership:		**216.44**

******* PLEASE REFER TO ENCLOSED TAX REPORTING GUIDE FOR FURTHER EXPLANATION OF HOW THIS ***** SUPPLEMENTAL INFORMATION AFFECTS YOUR TAX RETURN.**

Edward Jones

Payer's Federal Identification Number:

SUPPLEMENTAL INVESTMENT ACTIVITY

FIGURES ARE FINAL

Printed on January 16th, 2005

Recipient's Name: HAROLD D ROGERS TRUSTEE
U/A DTD 2-9-2001
HAROLD D ROGERS REVOCABLE
LIVING TRUST

ADDITIONAL INFORMATION ON MUTUAL FUNDS HELD IN YOUR EDWARD JONES ACCOUNT

2004 Date	Activity Description	Quantity	Price per Unit	Net Proceeds	Purchase Amount
CAPITAL INCOME BUILDER FD		CUSIP no. **140193103**			
08/26	Transferred In	907.951 Shs			
08/27	Transferred In	2.455 Shs			
09/21	Reinvestment	2.322 Shs	49.35		114.60
10/12	Direct Investment	1.036 Shs	52.59		54.50
10/19	Direct Investment	.847 Shs	52.49		44.48
10/25	Purchase	19.667 Shs	51.91		1,020.91
11/17	Direct Investment	.898 Shs	54.44		48.86
12/13	Reinvestment	8.232 Shs	51.71		425.70
12/13	Reinvestment	16.277 Shs	51.71		841.66
12/17	Direct Investment	.871 Shs	54.66		47.59
	Total purchases:	**50.150 Shs**			**2,598.30**
CAPITAL WORLD GRWTH & INC		CUSIP no. **140543109**			
11/15	Purchase	191.432 Shs	34.37		6,579.52
12/13	Reinvestment	1.767 Shs	32.50		57.43
12/13	Reinvestment	4.689 Shs	32.50		152.38
12/20	Purchase	.107 Shs	34.73		3.70
	Total purchases:	**197.995 Shs**			**6,793.03**

******* PLEASE REFER TO ENCLOSED TAX REPORTING GUIDE FOR FURTHER EXPLANATION OF HOW THIS ***** SUPPLEMENTAL INFORMATION AFFECTS YOUR TAX RETURN.**

Edward Jones

EDWARD D JONES & CO CUSTODIAN
FBO HAROLD D ROGERS IRA

Value Summary (Held at Edward Jones)

Value on Jul 30	$78,184.15
Value on Jun 26	-
Value one year ago	-

Summary of Your Assets

Held at Edward Jones	Value on Jul 30	Value on Jun 26	Change in value
Cash & money market	$25,023.66	-	$25,023.66
Stocks	31,362.00	-	31,362.00
Mutual funds	21,798.49	-	21,798.49
Total at Edward Jones	**$78,184.15**	-	$78,184.15

Your Retirement Account Summary

	This period	Cumulative
2004 Contributions	$0.00	$0.00
2003 Contributions	$0.00	$0.00
Transfers Received	$77,625.16	$77,625.16

How Long Will Your Retirement Income Last?
Find the answer to this question by asking your investment representative for a complimentary analysis. You can then work together to develop a plan to help keep your income relatively stable throughout retirement. Call today for more information.

Your Assets at Edward Jones

Cash and money market funds	7-day current yield	7-day compounded yield	Current value
Retirement money market	0.08%	0.06%	$25,023.65
Cash			0.01
Total cash and money market funds			**$25,023.66**

4094

Edward Jones

Stocks	Our asset category/ Our recommendation	Current price	Current shares	Current value	Amount invested	Amount withdrawn
ASHLAND INC Symbol: ASH	Growth & Income None	52.270	600.	$31,362.00	-	-
Total stocks				**$31,362.00**	-	-

Mutual funds	Our asset category	Current price	Current shares	Current value	Amount invested	Amount withdrawn
LORD ABBETT AFFILIATED FUND CL B Quote Symbol: LAFBX	Growth & Income	13.47	1,172.795	$15,797.55	-	-
LORD ABBETT DEVELOPING GROWTH FUND CL B Quote Symbol: LADBX	Aggressive	13.09	458.437	6,000.94	-	-
Total mutual funds				**$21,798.49**	-	-
Total estimated asset value				**$78,184.15**		

Summary of Your Investment Activity

Total cash and money market funds on Jun 26	$0.00
Additions	
Deposits and transfers in	$50,104.66
Total additions	$50,104.66
Subtractions	
Fees	-$30.00
Other withdrawals and transfers out	-$25,051.00
Total subtractions	-$25,081.00
Total cash and money market funds on Jul 30	**$25,023.66**

4095

Edward Jones

Detail of Your Investment Activity

Additions

	Date		Amount	Where Invested
Deposits and transfers in	07/26	TOA FROM UBS FINANCIAL SE ACAT20041980006092 BOS 0221	$25,050.99	Loan balance
	07/27	TRANSFER FROM MARGIN ACCT	25,050.99	Money market
	07/29	TOA FROM UBS FINANCIAL SE ACAT20042100010772 BOS 0221	2.66	Money market
	07/29	TOA FROM UBS FINANCIAL SE ACAT20042100010772 BOS 0221	0.01	Loan balance
	07/30	TRANSFER FROM MARGIN ACCT	0.01	Money market
		Total deposits and transfers in	$50,104.66	

Subtractions

	Date		Amount	Source of Funds
Fees	07/27	ANNUAL FEE CHARGED	-$30.00	Money market
		Total fees	-$30.00	
Other withdrawals and transfers out	07/27	TRANSFER TO CASH ACCT	-$25,050.99	Loan balance
	07/30	TRANSFER TO CASH ACCT	-0.01	Loan balance
		Total other withdrawals and transfers out	-$25,051.00	

Other Activity

Date	Activity		Quantity	Notes	Estimated Value
07/26	TRANSFER FROM	ASHLAND INC	600.	TOA FROM UBS FINANCIAL SE	$31,248.00
07/26	TRANSFER FROM	LORD ABBETT DEVELOPING GRWTH B	458.437	TOA FROM UBS FINANCIAL SE	5,748.80
07/26	TRANSFER FROM	LORD ABBETT AFFILIATED FD CL B	1172.795	TOA FROM UBS FINANCIAL SE	15,574.72

As you requested, copies of your statement have been sent to:

Edward Jones

Serving Individual Investors Since 1871

EDWARD D JONES & CO CUSTODIAN
FBO HAROLD D ROGERS IRA

Value Summary (Held at Edward Jones)

Value on Aug 27	$77,267.44
Value on Jul 31	$78,184.15
Value one year ago	—

Summary of Your Assets

Held at Edward Jones	Value on Aug 27	Value on Jul 31	Change in value
Cash & money market	$1.66	$25,023.66	-$25,022.00
Stocks	30,816.00	31,362.00	-546.00
Mutual funds	46,449.78	21,798.49	24,651.29
Total at Edward Jones	$77,267.44	$78,184.15	-$916.71

Your Retirement Account Summary

	This period	Cumulative
2004 Contributions	$0.00	$0.00
2003 Contributions	$0.00	$0.00
Transfers Received	$0.00	$77,625.16

The 401(k) Council of America designated Sept. 7 401(k) day. Recognize it by scheduling a complimentary 401(k) review with your investment representative today. It will help ensure that your savings and investments are working together to help you achieve the retirement life-style you desire.

Summary of Your Income

	This Period	Year-to-date
Income from securities		
Money market dividends	$1.00	$1.00
Dividends	37.76	37.76
Total	$38.76	$38.76

Account number:
Statement type: Preferred
July 31 - August 27, 2004

Edward Jones
Serving Individual Investors Since 1871

Your Assets at Edward Jones

Cash and money market funds	7-day current yield	7-day compounded yield	Current value
Retirement money market	0.23%	0.23%	$1.66
Total cash and money market funds			**$1.66**

Stocks	Our asset category/ Our recommendation	Current price	Current shares	Current value	Amount invested	Amount withdrawn
ASHLAND INC Symbol: ASH	Growth & Income None	51.360	600.	$30,816.00	$23,019.50	—
Total stocks				**$30,816.00**	$23,019.50	—

Mutual funds	Our asset category	Current price	Current shares	Current value	Amount invested	Amount withdrawn
INVESTMENT COMPANY OF AMERICA CL A Quote Symbol: AIVSX	Growth & Income	28.80	856.073	$24,654.90	$25,023.00	—
LORD ABBETT AFFILIATED FUND CL B Quote Symbol: LAFBX	Growth & Income	13.54	1,175.607	15,917.72	14,000.00	—
LORD ABBETT DEVELOPING GROWTH FUND CL B Quote Symbol: LADBX	Aggressive	12.82	458.437	5,877.16	7,000.00	—
Total mutual funds				**$46,449.78**	$46,023.00	—

Total estimated asset value $77,267.44

Account number

Statement type: Preferred
July 31 - August 27, 2004

Edward Jones
Serving Individual Investors Since 1871

Summary of Your Investment Activity

Total cash and money market funds on Jul 31	$25,023.66
Additions	
Income	$38.76
Total additions	$38.76
Subtractions	
Withdrawals to purchase securities	-$25,060.76
Total subtractions	-$25,060.76
Total cash and money market funds on Aug 27	$1.66

Detail of Your Investment Activity

Additions

	Type	Date			Days	Rate	Amount	Where Invested
Income	Money market dividends	08/20	MONEY MARKET		33	0.13	1.00	Money market
	Type	**Date**		**Quantity**	**Amount per share**	**Rate**	**Amount**	**Where Invested**
	Dividends	08/23	LORD ABBETT AFFILIATED FD CL B	1172.795	0.032		$37.76	Reinvested
		Total Income					$38.76	

Account number:
Statement type: Preferred
July 31 - August 27, 2004

Edward Jones
Serving Individual Investors Since 1871

Subtractions

	Date		Quantity	Price per share	Trade date	Amount	Source of Funds
Withdrawals to purchase securities	08/12	INVESTMENT CO OF AMERICA CL A	856.073	29.23		-$25,023.00	Money market
	08/23	REINVESTMENT INTO LORD ABBETT AFFILIATED FD CL B	2.812	13.43		-37.76	Income
	Total withdrawals to purchase securities					-$25,060.76	

As you requested, copies of your statement have been sent to:

In Tune with Naomi Judd
As part of the celebrated singing duo The Judds, Naomi Judd achieved fame and fortune. Join us Tuesday, Sept. 14, to hear Naomi's unique insights -- as a single mother and survivor -- on family, career and overcoming life's challenges. Contact your investment representative today for more information about this free video presentation.

Account number:
Statement type: Preferred
August 28 - September 24, 2004

Edward Jones
Serving Individual Investors Since 1871

EDWARD D JONES & CO CUSTODIAN
FBO HAROLD D ROGERS IRA

Value Summary (Held at Edward Jones)

Value on Sep 24	$79,988.25
Value on Aug 28	$77,267.44
Value one year ago	—

Summary of Your Assets

Held at Edward Jones	Value on Sep 24	Value on Aug 28	Change in value
Cash & money market	$1.66	$1.66	$0.00
Stocks	33,109.25	30,816.00	2,293.25
Mutual funds	46,877.34	46,449.78	427.56
Total at Edward Jones	$79,988.25	$77,267.44	$2,720.81

Your Retirement Account Summary

	This period	Cumulative
2004 Contributions	$0.00	$0.00
2003 Contributions	$0.00	$0.00
Transfers Received	$0.00	$77,625.16

A Potential Antidote for Rising Prices and Inflation
Dividends that increase over time can make a difference when facing rising prices. Ask your investment representative which dividend-paying stocks are right for you. Stocks are subject to market risks including the potential loss of principal invested and may not distribute dividends.

Summary of Your Income

	This Period	Year-to-date
Income from securities		
Money market dividends	—	$1.00
Dividends	276.29	314.05
Total	$276.29	$315.05

4101

Account number:
Statement type: Preferred
August 28 - September 24, 2004

Edward Jones
Serving Individual Investors Since 1871

Your Estimated Interest and Dividends

The following is an estimated summary of your interest and dividend income for the next 12 months. It is based on a variety of factors. These factors, including distribution rates and amount of principal invested, are subject to change. Because they are estimates, the specific amounts listed here are not guaranteed by Edward Jones or by the underlying issuer(s) of the securities. Income from items with * is being reinvested.

		2004			2005									
Stocks	**Quantity**	**OCT**	**NOV**	**DEC**	**JAN**	**FEB**	**MAR**	**APR**	**MAY**	**JUN**	**JUL**	**AUG**	**SEP**	**Total**
ASHLAND INC*	603			165			165			165			165	660
Mutual Funds														
INVESTMENT CO OF AMERICA CL A*	859			110			110			110			110	440
LORD ABBETT AFFILIATED FD CL B*	1,175		37			37			37			37		148
Total			**37**	**275**		**37**	**275**		**37**	**275**		**37**	**275**	**1,248**

Your Assets at Edward Jones

Cash and money market funds	**7-day current yield**	**7-day compounded yield**	**Current value**
Retirement money market	0.34%	0.34%	$1.66
Total cash and money market funds			**$1.66**

Stocks	**Our asset category/ Our recommendation**	**Current price**	**Current shares**	**Current value**	**Amount invested**	**Amount withdrawn**
ASHLAND INC Symbol: ASH	Growth & Income None	54.900	603.08294	$33,109.25	$23,019.50	—
Total stocks				**$33,109.25**	$23,019.50	—

Account number
Statement type: Preferred
August 28 - September 24, 2004

Edward Jones
Serving Individual Investors Since 1871

Mutual funds	Our asset category	Current price	Current shares	Current value	Amount invested	Amount withdrawn
INVESTMENT COMPANY OF AMERICA CL A Quote Symbol: AIVSX	Growth & Income	28.83	859.933	$24,791.87	$25,023.00	—
LORD ABBETT AFFILIATED FUND CL B Quote Symbol: LAFBX	Growth & Income	13.60	1,175.607	15,988.26	14,000.00	—
LORD ABBETT DEVELOPING GROWTH FUND CL B Quote Symbol: LADBX	Aggressive	13.30	458.437	6,097.21	7,000.00	—
Total mutual funds				$46,877.34	$46,023.00	—
Total estimated asset value				**$79,988.25**		

Summary of Your Investment Activity

Total cash and money market funds on Aug 28	$1.66
Additions	
Income	$276.29
Total additions	$276.29
Subtractions	
Withdrawals to purchase securities	-$276.29
Total subtractions	-$276.29
Total cash and money market funds on Sep 24	$1.66

4103

Account number:
Statement type: Preferred
August 28 - September 24, 2004

Edward Jones
Serving Individual Investors Since 1871

Detail of Your Investment Activity

Additions

	Type	Date		Quantity	Amount per share	Rate	Amount	Where Invested
Income	Dividends	09/07	INVESTMENT CO OF AMERICA CL A	856.073	0.13		$111.29	Reinvested
		09/15	ASHLAND INC	600.	0.275		165.00	Reinvested
		Total income					$276.29	

Subtractions

	Date		Quantity	Price per share	Trade date	Amount	Source of Funds
Withdrawals to purchase securities	09/07	REINVESTMENT INTO INVESTMENT CO OF AMERICA CL A	3.86	28.83		-$111.29	Income
	09/15	ASHLAND INC REINVESTMENT FEE $3.30	3.08294	52.45	09/10	-165.00	Income
	Total withdrawals to purchase securities					-$276.29	

As you requested, copies of your statement have been sent to:

4104

Account number:
Statement type: Preferred
September 25 - October 29, 2004

Edward Jones
Serving Individual Investors Since 1871

EDWARD D JONES & CO CUSTODIAN
FBO HAROLD D ROGERS IRA

Value Summary (Held at Edward Jones)

Value on Oct 29	$82,705.55
Value on Sep 25	$79,988.25
Value one year ago	—

Summary of Your Assets

Held at Edward Jones	Value on Oct 29	Value on Sep 25	Change in value
Cash & money market	—	$1.66	-$1.66
Stocks	34,749.64	33,109.25	1,640.39
Mutual funds	47,955.91	46,877.34	1,078.57
Total at Edward Jones	$82,705.55	$79,988.25	$2,717.30

Your Retirement Account Summary

	This period	Cumulative
2004 Contributions	$0.00	$0.00
2003 Contributions	$0.00	$0.00
Transfers Received	$0.00	$77,625.16

How's your math?
Those age 70.5 or above must take an IRA Required Minimum Distribution (RMD) annually. If you calculate the RMD incorrectly and withdraw less than the amount required, the IRS can penalize you. Ask your investment representative for automatic RMD calculation and distribution.

Summary of Your Income

Income from securities	This Period	Year-to-date
Money market dividends	—	$1.00
Dividends	—	314.05
Total	—	$315.05

4105

Edward Jones
Serving Individual Investors Since 1871

Account number:
Statement type: Preferred
September 25 - October 29, 2004

Your Assets at Edward Jones

Stocks	Our asset category/ Our recommendation	Current price	Current shares	Current value	Amount invested	Amount withdrawn
ASHLAND INC Symbol: ASH	Growth & Income None	57.620	603.08294	$34,749.64	$23,019.50	—
Total stocks				**$34,749.64**	**$23,019.50**	—

Mutual funds	Our asset category	Current price	Current shares	Current value	Amount invested	Amount withdrawn
INVESTMENT COMPANY OF AMERICA CL A Quote Symbol: AIVSX	Growth & Income	29.31	859.988	$25,206.25	$25,024.66	—
LORD ABBETT AFFILIATED FUND CL B Quote Symbol: LAFBX	Growth & Income	13.97	1,175.607	16,423.23	14,000.00	—
LORD ABBETT DEVELOPING GROWTH FUND CL B Quote Symbol: LADBX	Aggressive	13.80	458.437	6,326.43	7,000.00	—
Total mutual funds				**$47,955.91**	**$46,024.66**	—
Total estimated asset value				**$82,705.55**		

Summary of Your Investment Activity

Total cash and money market funds on Sep 25	$1.66
Subtractions	
Withdrawals to purchase securities	-$1.66
Total subtractions	-$1.66
Total cash and money market funds on Oct 29	**$0.00**

Account number:
Statement type: Preferred
September 25 - October 29, 2004

Edward Jones
Serving Individual Investors Since 1871

Detail of Your Investment Activity

Subtractions

	Date		Quantity	Price per share	Trade date	Amount	Source of Funds
Withdrawals to purchase securities	10/05	INVESTMENT CO OF AMERICA CL A	0.055	30.39		-$1.66	Money market
	Total withdrawals to purchase securities					**-$1.66**	

As you requested, copies of your statement have been sent to:

Is leaving a legacy important?
Whether supporting charities or helping to ensure that assets pass easily to heirs, the right plan is important. Join us Dec. 14. We'll discuss six components of estate planning, charitable and gifting strategies and creating an estate-planning team. Contact your investment representative for information about this free video presentation.

4107

Edward Jones

Account numbe

Statement type: Preferred

October 30 - November 26, 2004

EDWARD D JONES & CO CUSTODIAN
FBO HAROLD D ROGERS IRA

Value Summary (Held at Edward Jones)

Value on Nov 26	$86,420.87
Value on Oct 30	$82,705.55
Value one year ago	—

Summary of Your Assets

Held at Edward Jones	Value on Nov 26	Value on Oct 30	Change in value
Stocks	$35,919.62	$34,749.64	$1,169.98
Mutual funds	50,501.25	47,955.91	2,545.34
Total at Edward Jones	$86,420.87	$82,705.55	$3,715.32

Your Retirement Account Summary

	This period	Cumulative
2004 Contributions	$0.00	$0.00
2003 Contributions	$0.00	$0.00
Transfers Received	$0.00	$77,625.16

Good news for small-business owners.
A variety of business retirement plans are available to help you retain valuable employees and prepare for your non-working years. Contact your investment representative today to discuss the plan that's best for you and your employees.

Summary of Your Income

Income from securities	This Period	Year-to-date
Money market dividends	—	$1.00
Dividends	32.92	346.97
Capital gain distributions	294.02	294.02
Total	$326.94	$641.99

4108

Account number:
Statement type: Preferred
October 30 - November 26, 2004

Edward Jones
Member SIPC

Your Assets at Edward Jones

Stocks	Our asset category/ Our recommendation	Current price	Current shares	Current value	Amount invested	Amount withdrawn
ASHLAND INC Symbol: ASH	Growth None	59.560	603.08294	$35,919.62	$23,019.50	—
Total stocks				$35,919.62	$23,019.50	—

Mutual funds	Our asset category	Current price	Current shares	Current value	Amount invested	Amount withdrawn
INVESTMENT COMPANY OF AMERICA CL A Quote Symbol: AIVSX	Growth & Income	30.77	859.988	$26,461.83	$25,024.66	—
LORD ABBETT AFFILIATED FUND CL B Quote Symbol: LAFBX	Growth & Income	14.44	1,198.406	17,304.98	14,000.00	—
LORD ABBETT DEVELOPING GROWTH FUND CL B Quote Symbol: LADBX	Aggressive	14.69	458.437	6,734.44	7,000.00	—
Total mutual funds				$50,501.25	$46,024.66	—

Total estimated asset value $86,420.87

Account number:
Statement type: Preferred
October 30 - November 26, 2004

Edward Jones
www.edwardjones.com
Member SIPC

Summary of Your Investment Activity

Total cash and money market funds on Oct 30	$0.00
Additions	
Income	$326.94
Total additions	$326.94
Subtractions	
Withdrawals to purchase securities	-$326.94
Total subtractions	-$326.94
Total cash and money market funds on Nov 26	**$0.00**

Detail of Your Investment Activity

Additions

	Type	Date		Quantity	Amount per share	Rate	Amount	Where Invested
Income	Dividends	11/24	LORD ABBETT AFFILIATED FD CL B	1175.607	0.028		$32.92	Reinvested
	Capital gains distributions Short-term	11/24	LORD ABBETT AFFILIATED FD CL B	1175.607	0.02		$23.51	Reinvested
	Capital gains distributions Long-term	11/24	LORD ABBETT AFFILIATED FD CL B	1175.607	0.23		$270.51	Reinvested
		Total income					$326.94	

Subtractions

	Date		Quantity	Price per share	Trade date	Amount	Source of Funds
Withdrawals to purchase securities	11/24	REINVESTMENT INTO LORD ABBETT AFFILIATED FD CL B	18.864	14.34		-$270.51	Income

Account number:
Statement type: Preferred
October 30 - November 26, 2004

Edward Jones
www.edwardjones.com
Member SIPC

Subtractions, continued

	Date		Quantity	Price per share	Trade date	Amount	Source of Funds
Withdrawals to purchase securities	11/24	REINVESTMENT INTO LORD ABBETT AFFILIATED FD CL B	1.639	14.34		-$23.51	Income
	11/24	REINVESTMENT INTO LORD ABBETT AFFILIATED FD CL B	2.296	14.34		-32.92	Income
	Total withdrawals to purchase securities					-$326.94	

As you requested, copies of your statement have been sent to:

Time for your checkup?
An annual portfolio review is a great way to help assure that your investing strategy is in sync with your current situation, long-term goals, investing time frame and risk tolerance. Call your investment representative to schedule an appointment today. Together we can create and implement the plan that's right for you.

Account number:
Statement type: Preferred
November 27 - December 31, 2004

Edward Jones
www.edwardjones.com
Member SIPC

EDWARD D JONES & CO CUSTODIAN
FBO HAROLD D ROGERS IRA

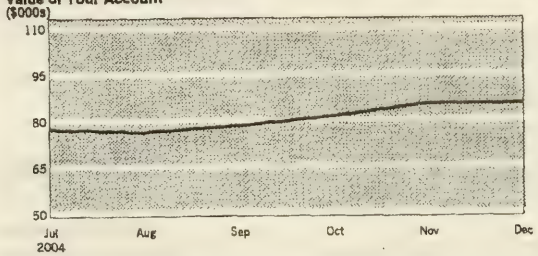
Value Summary (Held at Edward Jones)

Value on Dec 31	$86,929.44
Value on Nov 27	$86,420.87
Value one year ago	—

Summary of Your Assets

Held at Edward Jones	Value on Dec 31	Value on Nov 27	Change in value
Stocks	$35,370.62	$35,919.62	-$549.00
Mutual funds	51,558.82	50,501.25	1,057.57
Total at Edward Jones	$86,929.44	$86,420.87	$508.57

Value of Your Account



This chart shows how the value of your account has changed recently (includes only assets held at Edward Jones). While it's important to be aware of short-term results, don't lose sight of the long term. Edward Jones believes a long-term investment strategy offers the greatest potential for success.

Account numb

Statement type: Preferred

November 27 - December 31, 2004

Edward Jones

Member SIPC

Your Retirement Account Summary

	This period	Cumulative
2004 Contributions	$0.00	$0.00
2003 Contributions	$0.00	$0.00
Transfers Received	$0.00	$77,625.16

Information Regarding Your 2005 Required Minimum Distribution (RMD)
For those age 70-1/2 or older, the IRS may require you to remove a minimum distribution from your retirement account by Dec. 31 2005. However, if you turn 70-1/2 in 2005, you may defer your 2005 RMD until April 1, 2006. If an RMD is due, Edward Jones will report that information to the Internal Revenue Service on IRS form 5498. Contact your investment representative for this calculation.

Summary of Your Income

Income from securities	This Period	Year-to-date
Money market dividends	—	$1.00
Dividends	293.59	640.56
Capital gain distributions	305.30	599.32
Total	$598.89	$1,240.88

Your Estimated Interest and Dividends

The following is an estimated summary of your interest and dividend income for the next 12 months. It is based on a variety of factors. These factors, including distribution rates and amount of principal invested, are subject to change. Because they are estimates, the specific amounts listed here are not guaranteed by Edward Jones or by the underlying issuer(s) of the securities. Income from items with * is being reinvested.

		2005												
Stocks	Quantity	JAN	FEB	MAR	APR	MAY	JUN	JUL	AUG	SEP	OCT	NOV	DEC	Total
ASHLAND INC*	605			166			166			166			166	664
Mutual Funds														
INVESTMENT CO OF AMERICA CL A*	873			113			113			113			113	452
LORD ABBETT AFFILIATED FD CL B*	1,199			15			15			15			15	60
Total				294			294			294			294	1,176

Account number:
Statement type: Preferred
November 27 - December 31, 2004

Edward Jones

www.edwardjones.com
Member SIPC

Your Assets at Edward Jones

Stocks	Our asset category/ Our recommendation	Current price	Current shares	Current value	Amount invested	Amount withdrawn
ASHLAND INC Symbol: ASH	Growth None	58.380	605.86885	$35,370.62	$23,019.50	—
Total stocks				**$35,370.62**	$23,019.50	—

Mutual funds	Our asset category	Current price	Current shares	Current value	Amount invested	Amount withdrawn
INVESTMENT COMPANY OF AMERICA CL A Quote Symbol: AIVSX	Growth & Income	30.75	873.703	$26,866.37	$25,024.66	—
LORD ABBETT AFFILIATED FUND CL B Quote Symbol: LAFBX	Growth & Income	14.83	1,199.487	17,788.39	14,000.00	—
LORD ABBETT DEVELOPING GROWTH FUND CL B Quote Symbol: LADBX	Aggressive	15.08	458.437	6,904.06	7,000.00	—
Total mutual funds				**$51,558.82**	$46,024.66	—

Total estimated asset value **$86,929.44**

Account number:
Statement type: Preferred
November 27 - December 31, 2004

Edward Jones
www.edwardjones.com
Member SIPC

Summary of Your Investment Activity

Total cash and money market funds on Nov 27	$0.00
Additions	
Income	$598.89
Total additions	$598.89
Subtractions	
Withdrawals to purchase securities	-$598.89
Total subtractions	-$598.89
Total cash and money market funds on Dec 31	**$0.00**

Detail of Your Investment Activity

Additions

	Type	Date		Quantity	Amount per share	Rate	Amount	Where Invested
Income	Dividends	12/14	INVESTMENT CO OF AMERICA CL A	859.988	0.13		$111.80	Reinvested
		12/15	ASHLAND INC	603.08294	0.275		165.85	Reinvested
		12/22	LORD ABBETT AFFILIATED FD CL B	1198.406	0.013		15.94	Reinvested
	Capital gains distributions Long-term	12/14	INVESTMENT CO OF AMERICA CL A	859.988	0.355		$305.30	Reinvested
		Total income					$598.89	

Account number:
Statement type: Preferred
November 27 - December 31, 2004

Edward Jones
MemberSIPC

Subtractions

	Date		Quantity	Price per share	Trade date	Amount	Source of Funds
Withdrawals to purchase securities	12/14	REINVESTMENT INTO INVESTMENT CO OF AMERICA CL A	10.039	30.41		-$305.30	Income
	12/14	REINVESTMENT INTO INVESTMENT CO OF AMERICA CL A	3.676	30.41		-111.80	Income
	12/15	ASHLAND INC REINVESTMENT FEE $3.32	2.78591	58.34	12/10	-165.85	Income
	12/22	REINVESTMENT INTO LORD ABBETT AFFILIATED FD CL B	1.081	14.74		-15.94	Income
		Total withdrawals to purchase securities				-$598.89	

As you requested, copies of your statement have been sent to:

What role will Social Security play in your future?
Join us February 8 as retirement planning specialist Gail Buckner discusses the current state and potential future of Social Security. Contact your investment representative for information about this free video presentation.

UBS Financial Services Inc.
307 SOUTH ASHLAND AVENUE
LEXINGTON, KY 40502

Resource Management Account®

Account Number:

CYNTHIA D ROGERS

December 2004

This month at a glance

Value on November 30	$	109,027.17
Value on December 31	$	**98,511.47**
Money fund and other sweep option balance in 12/31 value	$	5,276.23

Earnings summary

Earnings below are classified as taxable or non-taxable based on the tax status for the specific security on which the earnings are received and does not attempt to reflect your account's tax status or reporting requirements. Use only official tax reporting documents (e.g. 1099) for tax reporting purposes. The classification of private investment distributions can only be determined by referring to the official year-end tax reporting document provided by the issuer.

		Current period		Year-to-date
Taxable				
Dividends	$	711.68	$	1,605.24
Interest		2.90		21.38
Other		429.39		429.39
Accrued interest received		.00		541.97
Total taxable security earnings	$	1,143.97	$	2,597.98
Non-taxable				
Accrued interest received	$	.00	$	241.35
Total non-taxable security earnings	$	.00	$	241.35
Total current year security earnings	$	1,143.97	$	2,839.33
Net security earnings	$	1,143.97	$	2,839.33

Account Instructions
Statement copies are sent to 1 interested party.

Bulletin Board
PLEASE READ THE IMPORTANT INFORMATION ENCLOSED WITH YOUR STATEMENT. IT INCLUDES OUR MARKET OUTLOOK FOR 2005 AS WELL AS INFORMATION ABOUT YOUR ACCOUNT(S).

RESOURCELINE 1-800-RMA-1000: ACCOUNT # 278061815
VISIT OUR WEB SITE AT WWW.UBS.COM.

Loan summary

Year-to-date loan interest	$	31.38

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Asset summary

Refer to the disclosure on the back of the first page for information on assets excluded from this summary

	% of portfolio	Value
Money funds/sweep options	5.36	5,276.23
Mutual funds	94.64	93,235.24
Net invested assets	**100.00**	**$ 98,511.47**
Value on December 31		**$ 98,511.47**

Activity highlights

	Current period	Year-to-date
Deposits	$ .00	$ 199,340.85
Withdrawals	-12,500.00	-145,058.29
Loan/Late payment interest charged	.00	-31.38
Professional management fees and related services	.00	-941.92
Resource Management Account fee	.00	-75.00
Miscellaneous charges and adjustments	-50.00	-475.00
Net change from activity listed above	**$ -12,550.00**	**$ 52,761.26**

Portfolio summary

	Current period	Year-to-date
Opening value	$ 109,027.17	$ 35,722.81
Net change from Activity Highlights	-12,550.00	52,761.26
Net security earnings	1,143.97	2,839.33
Change in value of investments	890.33	7,188.07
Value on December 31	**$ 98,511.47**	**$ 98,511.47**

Investment objectives

The following return objective and risk profile(s) describe overall goals for this account. For each account held, you choose one return objective, one primary risk profile, and, if applicable, a secondary risk profile. For accounts holding an investment in the PACE Program, the primary objective and risk profile summary indicated on your PACE Investor Profile Questionnaire are also displayed below. If you have questions regarding these objectives, disagree with or wish to change them, please notify your Financial Advisor or Branch Manager at your branch office, in writing or by telephone.

Overall account

Return objective: Current income & capital appreciation
Risk profile: Primary: Moderate
Secondary: None selected

PACE Investments

Return objective: Income and capital appreciation
Risk profile: Moderately conservative

Asset portfolio

When available, prices, income and current values may be approximate and thus gains/losses may not be accurately reflected. An asterisk (*) indicates the calculation of unrealized gains/losses based upon a UBS Financial Services adjustment to cost basis. The number "1" indicates cost basis information has been provided by a source other than UBS Financial Services. See the back of the first page for additional information. Gains/losses are not calculated for zero coupon investments. "Pending adjustments" or "P/A" indicates cost basis has not yet been allocated as a result of a spin-off or taxable exchange.

Money funds and other sweep options

Description	Opening balance	Closing balance	Closing share price	Average rate	Dividend/ interest period	Days in period
UBS BANK USA DEP ACCT	4,823.33	5,276.23	N/A	0.94%	11/23 - 12/28	34
Total	**$ 4,823.33**	**$ 5,276.23**				

Resource Management Account®

Statement Period: December 2004

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Mutual funds

Unrealized gain/loss indicates the cost basis versus the current value for each security lot from a tax reporting perspective and is the amount that would be realized should you sell the lot. Cost basis may need to be adjusted for return of capital payments. An "earnings" lot represents the aggregate cost basis of all dividends paid that were reinvested in the fund. If you have earnings lots, an additional calculation appears showing the overall change in value of your current position versus the money you invested in the fund. This "investment return" shows overall performance of your total investment including dividends reinvested in the fund and market appreciation or depreciation. The "investment return" figure does not include cash dividends not reinvested in the fund

PACE portfolio

Total shares	Description	Price	Current value	Est. annual income	Trade date	Shares purchased	Purchase price	Cost basis	Unrealized Gain/loss
752.430	UBS PACE GLOBAL FIXED	12.560	9,450.52	552	08/09/04	424.311	11.960	5,074	255
	INCOME INVESTMENT				08/11/04	289.673	11.910	3,450	188
	FUND CLASS P				Earnings	38.446	12.399	476	6
	Total client investment:		**$ 8,524**						
	Investment return:		**$ 926**						
1,578.956	UBS PACE GOVT SEC FIXED	13.260	20,936.96	568	08/09/04	915.157	13.170	12,052	82
	INCOME INVESTMENT				08/11/04	642.287	13.160	8,452	64
	FUND CLASS P				Earnings	21.512	13.235	284	1
	Total client investment:		**$ 20,504**						
	Investment return:		**$ 432**						
1,794.606	UBS PACE INTERMEDIATE	11.610	20,835.37	637	08/09/04	1,032.443	11.620	11,996	-9
	FIXED INCOME INVESTMENT				08/11/04	728.664	11.600	8,452	7
	FUND CLASS P				Earnings	33.499	11.635	389	
	Total client investment:		**$ 20,448**						
	Investment return:		**$ 387**						
713.995	UBS PACE LARGE COMPANY	15.510	11,074.06		08/09/04	437.056	13.070	5,712	1,066
	GROWTH EQUITY INVESTMENT				08/11/04	276.939	13.230	3,663	632
	FUND CLASS P								
152.990	UBS PACE INTL EMERGING	13.530	2,069.95		08/09/04	93.967	10.610	996	275
	MKTS EQUITY INVESTMENT				08/11/04	58.863	10.550	621	175
	FUND CLASS P				Earnings	.160	13.125	2	
	Total client investment:		**$ 1,617**						
	Investment return:		**$ 452**						
647.324	UBS PACE LARGE COMPANY	19.390	12,551.61	164	08/09/04	387.497	17.030	6,599	914
	VALUE EQUITY INVESTMENT				08/11/04	250.526	17.200	4,309	548
	FUND CLASS P				Earnings	9.301	19.120	177	3
	Total client investment:		**$ 10,908**						
	Investment return:		**$ 1,643**						

Continued on page 4

PACE portfolio - continued

Total shares	Description	Price	Current value	Est. annual income	Trade date	Shares purchased	Purchase price	Cost basis	Unrealized Gain/loss
500.098	UBS PACE INTERNATIONAL	15.120	7,561.48	54	08/09/04	301.197	12.760	3,843	711
	EQUITY INVESTMENT FUND				08/11/04	194.824	12.750	2,484	461
	CLASS P				Earnings	4.077	14.770	60	1
	Total client investment:		$ 6,327						
	Investment return:		$ 1,234						
216.955	UBS PACE SMALL/MEDIUM CO	16.810	3,647.01		08/09/04	134.610	13.220	1,779	483
	GROWTH EQUITY INVESTMENT				08/11/04	81.433	13.430	1,093	275
	FUND CLASS P				Earnings	.912	16.447	15	
	Total client investment:		$ 2,872						
	Investment return:		$ 775						
189.603	UBS PACE SMALL/MEDIUM CO	20.750	3,934.26		08/09/04	103.438	19.000	1,965	181
	VALUE EQUITY INVESTMENT				08/11/04	66.636	19.260	1,283	99
	FUND CLASS P				Earnings	19.529	20.349	397	8
	Total client investment:		$ 3,248						
	Investment return:		$ 686						
1,174.030	UBS PACE MONEY MARKET	1.000	1,174.03		08/09/04	478.000	1.000	478	
	INVESTMENT FUND CLASS P				08/11/04	690.000	1.000	690	
	Total client investment:		$ 1,168		Earnings	6.030	1.000	6	
	Investment return:		$ 6						
	Total		$ 93,235.24	1,975				86,797	6,426

Notice of Availability

Independent, third-party research on certain companies covered by UBS Research is available to customers of UBS in the United States at no cost. Customers can access this research at www.ubs.com/independentresearch or can call 1-877-208-5700 to request that a copy of this research be sent to them.

Monthly activity

Cash flow

Date	Activity	Description	Amount
11/30		**Balance forward**	**$ .00**
12/13	WITHDRAWAL	FEDERAL FUNDS	-4,000.00
12/13	FEE CHARGE	FED FUND WIRE TRANSFER FEE	-25.00

Date	Activity	Description	Amount
12/27	DIVIDEND	UBS PACE INTL EMERGING MKTS EQUITY INVESTMENT FUND CLASS P AS OF 12/20/04	2.10

Continued on page 5

Cash flow - continued

Date	Activity	Description	Amount
12/27	DIVIDEND	UBS PACE LARGE COMPANY VALUE EQUITY INVESTMENT FUND CLASS P AS OF 12/20/04	177.84
12/27	DIVIDEND	UBS PACE INTERNATIONAL EQUITY INVESTMENT FUND CLASS P AS OF 12/20/04	60.22
12/27	LT CAP GAIN	UBS PACE SMALL/MEDIUM CO GROWTH EQUITY INVESTMENT FUND CLASS P AS OF 12/20/04	15.00
12/27	LT CAP GAIN	UBS PACE SMALL/MEDIUM CO VALUE EQUITY INVESTMENT FUND CLASS P AS OF 12/20/04	397.41
12/27	INTEREST	UBS BANK USA DEPOSIT ACCOUNT AS OF 12/23/04	2.90
12/27	WITHDRAWAL	CHECK 1474084 PERIODIC DISBURSEMENT	-4,500.00
12/28	WITHDRAWAL	FEDERAL FUNDS	-4,000.00
12/28	FEE CHARGE	FED FUND WIRE TRANSFER FEE	-25.00
12/29	DIVIDEND	UBS PACE GLOBAL FIXED INCOME INVESTMENT FUND CLASS P AS OF 12/22/04	361.98
12/29	LT CAP GAIN	UBS PACE GLOBAL FIXED INCOME INVESTMENT FUND CLASS P AS OF 12/22/04	18.98
12/29	DIVIDEND	UBS PACE GOVT SEC FIXED INCOME INVESTMENT FUND CLASS P AS OF 12/22/04	50.07
12/29	DIVIDEND	UBS PACE INTERMEDIATE FIXED INCOME INVESTMENT FUND CLASS P AS OF 12/22/04	59.47
		Proceeds from security transactions	**$ 13,000.00**
		Funds used for security transactions	**$ -1,141.07**
		Proceeds from money fund/sweep trans.	**$ 8,550.00**
		Funds used for money fund/sweep trans.	**$ -9,002.90**
12/31		**Closing balance**	**$ .00**

Security transactions

Refer to the disclosure on the back of the first page regarding the Price/Value presented for restricted securities.

Date	Activity	Description	Quantity	Price / Value	Amount
12/21	SOLD	UBS PACE GLOBAL FIXED INCOME INVESTMENT FUND CLASS P WITHDRAWAL BATCH # LC-008174	-34.749	12.950	$ 450.00

Continued on page 6

Security transactions - continued

Date	Activity	Description	Quantity	Price / Value	Amount
12/21	SOLD	UBS PACE GOVT SEC FIXED INCOME INVESTMENT FUND CLASS P WITHDRAWAL BATCH # LC-005174	-83.082	13.270	$ 1,102.50
12/21	SOLD	UBS PACE INTERMEDIATE FIXED INCOME INVESTMENT FUND CLASS P WITHDRAWAL BATCH # LC-005174	-94.798	11.630	1,102.50
12/21	SOLD	UBS PACE LARGE COMPANY GROWTH EQUITY INVESTMENT FUND CLASS P WITHDRAWAL BATCH # LC-005174	-31.358	15.240	477.90
12/21	SOLD	UBS PACE INTL EMERGING MKTS EQUITY INVESTMENT FUND CLASS P WITHDRAWAL BATCH # LC-005174	-6.169	13.130	81.00
12/21	SOLD	UBS PACE LARGE COMPANY VALUE EQUITY INVESTMENT FUND CLASS P WITHDRAWAL BATCH # LC-005174	-29.396	19.120	562.05
12/21	SOLD	UBS PACE INTERNATIONAL EQUITY INVESTMENT FUND CLASS P WITHDRAWAL BATCH # LC-005174	-21.936	14.770	324.00
12/21	SOLD	UBS PACE SMALL/MEDIUM CO GROWTH EQUITY INVESTMENT FUND CLASS P WITHDRAWAL BATCH # LC-005174	-8.677	16.440	142.65
12/21	SOLD	UBS PACE SMALL/MEDIUM CO VALUE EQUITY INVESTMENT FUND CLASS P WITHDRAWAL BATCH # LC-005174	-8.226	20.350	167.40
12/21	SOLD	UBS PACE MONEY MARKET INVESTMENT FUND CLASS P WITHDRAWAL BATCH # LC-005174	-90.000	1.000	90.00
12/27	REINVESTMENT	1000TH UBS PACE INTL EMERGING MKTS EQUITY INVESTMENT FUND CLASS P DIVIDEND REINVESTED AT 13.13 NAV ON 12/20/04 AS OF 12/20/04	160.000		2.10

Continued on page 7

Security transactions - continued

Date	Activity	Description	Quantity	Price / Value	Amount
12/27	REINVESTMENT	UBS PACE LARGE COMPANY VALUE EQUITY INVESTMENT FUND CLASS P DIVIDEND REINVESTED AT 19.12 NAV ON 12/20/04 AS OF 12/20/04	9.301		$ 177.84
12/27	REINVESTMENT	UBS PACE INTERNATIONAL EQUITY INVESTMENT FUND CLASS P DIVIDEND REINVESTED AT 14.77 NAV ON 12/20/04 AS OF 12/20/04	4.077		60.22
12/27	REINVESTMENT	1000TH UBS PACE SMALL/MEDIUM CO GROWTH EQUITY INVESTMENT FUND REINVESTED AT 16.44 NAV ON 12/20/04 AS OF 12/20/04	912.000		15.00
12/27	REINVESTMENT	UBS PACE SMALL/MEDIUM CO VALUE EQUITY INVESTMENT FUND CLASS P REINVESTED AT 20.35 NAV ON 12/20/04 AS OF 12/20/04	19.529		397.41
12/28	SOLD	UBS PACE GLOBAL FIXED INCOME INVESTMENT FUND CLASS P WITHDRAWAL BATCH # LC-005206	-31.797	12.580	400.00
12/28	SOLD	UBS PACE GOVT SEC FIXED INCOME INVESTMENT FUND CLASS P WITHDRAWAL BATCH # LC-005206	-74.074	13.230	980.00
12/28	SOLD	UBS PACE INTERMEDIATE FIXED INCOME INVESTMENT FUND CLASS P WITHDRAWAL BATCH # LC-005206	-84.556	11.590	980.00
12/28	SOLD	UBS PACE LARGE COMPANY GROWTH EQUITY INVESTMENT FUND CLASS P WITHDRAWAL BATCH # LC-005206	-27.620	15.380	424.80
12/28	SOLD	UBS PACE INTL EMERGING MKTS EQUITY INVESTMENT FUND CLASS P WITHDRAWAL BATCH # LC-005206	-5.422	13.280	72.00
12/28	SOLD	UBS PACE LARGE COMPANY VALUE EQUITY INVESTMENT FUND CLASS P WITHDRAWAL BATCH # LC-005206	-25.953	19.250	499.60

Continued on page 8

Security transactions - continued

Date	Activity	Description	Quantity	Price / Value	Amount
12/28	SOLD	UBS PACE INTERNATIONAL EQUITY INVESTMENT FUND CLASS P WITHDRAWAL BATCH # LC-005206	-19.124	15.060	$ 288.00
12/28	SOLD	UBS PACE SMALL/MEDIUM CO GROWTH EQUITY INVESTMENT FUND CLASS P WITHDRAWAL BATCH # LC-005206	-7.620	16.640	126.80
12/28	SOLD	UBS PACE SMALL/MEDIUM CO VALUE EQUITY INVESTMENT FUND CLASS P WITHDRAWAL BATCH # LC-005206	-7.280	20.440	148.80
12/28	SOLD	UBS PACE MONEY MARKET INVESTMENT FUND CLASS P WITHDRAWAL BATCH # LC-005206	-80.000	1.000	80.00
12/29	REINVESTMENT	UBS PACE GLOBAL FIXED INCOME INVESTMENT FUND CLASS P DIVIDEND REINVESTED AT 12.44 NAV ON 12/22/04 AS OF 12/22/04	29.098		361.98
12/29	REINVESTMENT	UBS PACE GLOBAL FIXED INCOME INVESTMENT FUND CLASS P REINVESTED AT 12.44 NAV ON 12/22/04 AS OF 12/22/04	1.365		16.98
12/29	REINVESTMENT	UBS PACE GOVT SEC FIXED INCOME INVESTMENT FUND CLASS P DIVIDEND REINVESTED AT 13.25 NAV ON 12/22/04 AS OF 12/22/04	3.779		50.07
12/29	REINVESTMENT	UBS PACE INTERMEDIATE FIXED INCOME INVESTMENT FUND CLASS P DIVIDEND REINVESTED AT 11.60 NAV ON 12/22/04 AS OF 12/22/04	5.127		59.47
12/30	SOLD	UBS PACE GLOBAL FIXED INCOME INVESTMENT FUND CLASS P PERIODIC WITHDRAWAL BATCH # LC-005217	-36.058	12.480	450.00
12/30	SOLD	UBS PACE GOVT SEC FIXED INCOME INVESTMENT FUND CLASS P PERIODIC WITHDRAWAL BATCH # LC-005217	-83.333	13.230	1,102.50

Continued on page 9

Security transactions - continued

Date	Activity	Description	Quantity	Price / Value		Amount
12/30	SOLD	UBS PACE INTERMEDIATE FIXED INCOME INVESTMENT FUND CLASS P PERIODIC WITHDRAWAL BATCH # LC-005217	-95.207	11.580	$	1,102.50
12/30	SOLD	UBS PACE LARGE COMPANY GROWTH EQUITY INVESTMENT FUND CLASS P PERIODIC WITHDRAWAL BATCH # LC-005217	-30.733	15.550		477.90
12/30	SOLD	UBS PACE INTL EMERGING MKTS EQUITY INVESTMENT FUND CLASS P PERIODIC WITHDRAWAL BATCH # LC-005217	-6.054	13.380		81.00
12/30	SOLD	UBS PACE LARGE COMPANY VALUE EQUITY INVESTMENT FUND CLASS P PERIODIC WITHDRAWAL BATCH # LC-005217	-28.972	19.400		562.05
12/30	SOLD	UBS PACE INTERNATIONAL EQUITY INVESTMENT FUND CLASS P PERIODIC WITHDRAWAL BATCH # LC-005217	-21.571	15.020		324.00
12/30	SOLD	UBS PACE SMALL/MEDIUM CO GROWTH EQUITY INVESTMENT FUND CLASS P PERIODIC WITHDRAWAL BATCH # LC-005217	-8.451	16.880		142.65
12/30	SOLD	UBS PACE SMALL/MEDIUM CO VALUE EQUITY INVESTMENT FUND CLASS P PERIODIC WITHDRAWAL BATCH # LC-005217	-8.079	20.720		167.40
12/30	SOLD	UBS PACE MONEY MARKET INVESTMENT FUND CLASS P PERIODIC WITHDRAWAL BATCH # LC-005217	-90.000	1.000		90.00
		Securities purchased			$	1,141.07
		Securities sold			$	13,000.00

Money funds and other sweep options

The UBS Bank USA Deposit Account is your primary sweep option.

Date	Activity	Money fund/sweep option	Amount
11/30		**Opening UBS Bank USA Deposit Account $**	**4,823.33**
12/14	WITHDRAWAL	UBS BANK USA DEPOSIT ACCOUNT AS OF 12/13/04	-4,025.00
12/22	DEPOSIT	UBS BANK USA DEPOSIT ACCOUNT	4,500.00
12/27	DEPOSIT	UBS BANK USA DEPOSIT ACCOUNT AS OF 12/23/04	2.90
12/28	WITHDRAWAL	UBS BANK USA DEPOSIT ACCOUNT AS OF 12/27/04	-4,500.00

Continued on page 10

Money funds and other sweep options - continued

Date	Activity	Money fund/sweep option	Amount
12/29	WITHDRAWAL	UBS BANK USA DEPOSIT ACCOUNT AS OF 12/28/04	-25.00
12/31	DEPOSIT	UBS BANK USA DEPOSIT ACCOUNT	4,500.00
12/31		**Closing UBS Bank USA Deposit Account $**	**5,276.23**

Date	Activity	Money fund/sweep option		Amount
		Sweep option purchased/deposited	**$**	**9,002.90**
		Sweep option sold/withdrawn	**$**	**-8,550.00**

UBS Financial Services Inc.
307 SOUTH ASHLAND AVENUE
LEXINGTON, KY 40502

Your Financial Advisor

PZ80008806-X6 - 1204 - LC - 0

Resource Management Account®

Account Number:

CYNTHIA D ROGERS

2004 Year-End Summary
Important Tax-Planning Information

Please keep this statement for use with your tax-reporting documents, e.g., 1099, 5498, etc.

Activity highlights

		Year-to-date
Deposits	$	199,340.85
Withdrawals		-145,056.29
Loan/Late payment interest charged		-31.38
Professional management fees and related services		-941.92
Resource Management Account fee		-75.00
Miscellaneous charges and adjustments		-475.00
Net change from activity listed above	**$**	**52,761.26**

Portfolio summary

		Year-to-date
Opening value	$	35,722.81
Net change from Activity Highlights		52,761.26
Net security earnings		2,839.33
Change in value of investments		7,188.07
Value on December 31	**$**	**98,511.47**

Important message:
Clients with account balances or activity in December should refer to the monthly statement following the Year-End Summary

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Earnings summary

		Paid in 2004
Taxable		
Dividends	$	1,605.24
Interest		21.38
Other		429.39
Accrued interest received		541.97
Total taxable security earnings	**$**	**2,597.98**
Non-taxable		
Accrued interest received	$	241.35
Total non-taxable security earnings	**$**	**241.35**
Total current year security earnings	**$**	**2,839.33**
Net security earnings	**$**	**2,839.33**

2004 Realized gains and losses

Estimated 2004 gains and losses for transactions with trade dates through 12/31/04 have been incorporated into this statement. The oldest security lot is liquidated first to calculate gains/losses (first-in, first-out or FIFO accounting method) unless you specified which lot to close when you placed your order (a versus purchase or VSP order). An asterisk (*) indicates a UBS Financial Services adjustment to cost basis. The number "1" indicates cost basis information has been provided by a source other than UBS Financial Services. See the back of the first page for additional information. This information is provided for informational purposes only and should not be relied upon for tax-filing purposes. Rely only on year-end tax forms when preparing your tax return. Gains/losses may not be adjusted for all capital changes. Cost basis for tax-exempt and AMT eligible coupon municipal securities has been adjusted automatically for estimated amortization of bond premiums. Estimates in the "Unclassified" section can not be classified as short-term or long-term due to missing information or the product is one for which a gain/loss calculation is not provided.

Security description	*Method*	*Quantity/ Face value*	*Purchase date*	*Sale date*	*Sale amount*	*Purchase amount*	*Loss*	*Gain*	*Net gain/loss*
Short-term capital gains and losses:									
UBS PACE GLOBAL FIXED	FIFO	36.058	08/09/04	12/29/04	$ 450.00	$ 431.25		$ 18.75	
INCOME INVESTMENT	FIFO	31.797	08/09/04	12/27/04	400.00	380.29		19.71	
FUND CLASS P	FIFO	34.749	08/09/04	12/20/04	450.00	415.60		34.40	
	FIFO	34.992	08/09/04	11/28/04	450.00	418.50		31.50	
	FIFO	47.170	08/09/04	11/23/04	600.00	564.15		35.85	
	FIFO	38.261	08/09/04	10/27/04	450.00	433.68		16.32	
	FIFO	28.158	08/09/04	10/26/04	350.00	336.77		13.23	
	FIFO	40.850	08/09/04	10/20/04	500.00	486.17		13.83	
	FIFO	37.375	08/09/04	09/28/04	450.00	447.01		2.99	
	FIFO	9.136	08/09/04	09/27/04	110.00	109.27		.73	
	FIFO	24.958	08/09/04	09/24/04	300.00	298.50		1.50	
	FIFO	37.879	08/09/04	08/27/04	450.00	453.03	-3.03		

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2004 Realized gains and losses - continued

Security description	Method	Quantity/ Face value	Purchase date	Sale date	Sale amount	Purchase amount	Loss	Gain	Net gain/loss
	FIFO	12.626	06/09/04	08/25/04	$ 150.00	$ 151.01	-1.01		
	FIFO	32.375	03/22/04	07/01/04	382.68	395.95	-13.27		
	FIFO	2.381	Earnings	07/01/04	28.15	28.30	-.15		
	FIFO	38.136	03/22/04	06/28/04	450.00	466.40	-16.40		
	FIFO	67.797	03/22/04	06/07/04	800.00	829.16	-29.16		
	FIFO	38.527	03/22/04	05/26/04	450.00	471.19	-21.19		
	FIFO	60.397	03/22/04	05/17/04	700.00	738.66	-38.66		
	FIFO	51.195	03/22/04	04/29/04	600.00	626.11	-26.11		
	FIFO	38.462	03/22/04	04/28/04	450.00	470.39	-20.39		
	FIFO	38.298	03/22/04	04/26/04	450.00	468.38	-18.38		
	FIFO	7.086	03/11/04	04/22/04	83.26	85.39	-2.13		
	FIFO	35.467	03/22/04	04/22/04	416.74	433.76	-17.02		
	FIFO	37.190	03/11/04	03/29/04	450.00	448.14		1.86	
	FIFO	37.037	03/11/04	03/25/04	450.00	446.30		3.70	
	FIFO	16.529	03/11/04	03/17/04	200.00	199.17		.83	
UBS PACE GOVT SEC FIXED	FIFO	83.333	08/09/04	12/29/04	1,102.50	1,097.50		5.00	
INCOME INVESTMENT	FIFO	74.074	08/09/04	12/27/04	980.00	975.55		4.45	
FUND CLASS P	FIFO	83.082	08/09/04	12/20/04	1,102.50	1,094.19		8.31	
	FIFO	83.333	08/09/04	11/26/04	1,102.50	1,097.50		5.00	
	FIFO	110.943	08/09/04	11/23/04	1,470.00	1,461.12		8.88	
	FIFO	83.145	08/09/04	10/27/04	1,102.50	1,095.02		7.48	
	FIFO	64.571	08/09/04	10/26/04	857.50	850.40		7.10	
	FIFO	92.036	08/09/04	10/20/04	1,225.00	1,212.11		12.89	
	FIFO	83.208	08/09/04	09/28/04	1,102.50	1,095.85		6.65	
	FIFO	20.340	08/09/04	09/27/04	269.50	267.88		1.62	
	FIFO	55.514	08/09/04	09/24/04	735.00	731.12		3.88	
	FIFO	83.649	08/09/04	08/27/04	1,102.50	1,101.66		.84	
	FIFO	27.904	08/09/04	08/25/04	367.50	367.50			
	FIFO	86.382	03/22/04	07/01/04	1,122.10	1,143.70	-21.60		
	FIFO	6.405	Earnings	07/01/04	83.20	83.60	-.40		
	FIFO	86.664	03/22/04	06/28/04	1,102.50	1,134.19	-31.69		
	FIFO	152.411	03/22/04	06/07/04	1,960.00	2,017.92	-57.92		
	FIFO	85.731	03/22/04	05/26/04	1,102.50	1,135.08	-32.58		

2004 Realized gains and losses - continued

Security description	Method	Quantity/ Face value	Purchase date	Sale date	Sale amount	Purchase amount	Loss	Gain	Net gain/loss
	FIFO	133.049	03/22/04	05/17/04	$ 1,715.00	$ 1,761.57	-46.57		
	FIFO	113.689	03/22/04	04/29/04	1,470.00	1,505.24	-35.24		
	FIFO	85.004	03/22/04	04/28/04	1,102.50	1,125.45	-22.95		
	FIFO	84.938	03/22/04	04/26/04	1,102.50	1,124.58	-22.08		
	FIFO	14.088	03/11/04	04/22/04	183.71	186.38	-2.67		
	FIFO	79.854	03/22/04	04/22/04	1,041.29	1,057.27	-15.98		
	FIFO	83.713	03/11/04	03/29/04	1,102.50	1,107.52	-5.02		
	FIFO	83.523	03/11/04	03/25/04	1,102.50	1,105.01	-2.51		
	FIFO	37.009	03/11/04	03/17/04	490.00	489.63		.37	
UBS PACE INTERMEDIATE	FIFO	95.207	08/09/04	12/29/04	1,102.50	1,106.31	-3.81		
FIXED INCOME INVESTMENT	FIFO	84.556	08/09/04	12/27/04	980.00	982.54	-2.54		
FUND CLASS P	FIFO	94.798	08/09/04	12/20/04	1,102.50	1,101.55		.95	
	FIFO	95.125	08/09/04	11/26/04	1,102.50	1,105.35	-2.85		
	FIFO	126.724	08/09/04	11/23/04	1,470.00	1,472.53	-2.53		
	FIFO	94.554	08/09/04	10/27/04	1,102.50	1,098.72		3.78	
	FIFO	73.353	08/09/04	10/26/04	857.50	852.36		5.14	
	FIFO	104.611	08/09/04	10/20/04	1,225.00	1,215.58		9.42	
	FIFO	94.392	08/09/04	09/28/04	1,102.50	1,096.84		5.66	
	FIFO	23.074	08/09/04	09/27/04	269.50	268.12		1.38	
	FIFO	63.096	08/09/04	09/24/04	735.00	732.48		2.52	
	FIFO	94.880	08/09/04	08/27/04	1,102.50	1,102.51	-.01		
	FIFO	31.681	08/09/04	08/25/04	367.50	368.13	-.63		
	FIFO	88.763	03/22/04	07/01/04	1,019.89	1,053.62	-33.73		
	FIFO	6.625	Earnings	07/01/04	76.12	77.10	-.98		
	FIFO	96.711	03/22/04	06/28/04	1,102.50	1,147.96	-45.46		
	FIFO	171.779	03/22/04	06/07/04	1,960.00	2,039.02	-79.02		
	FIFO	96.372	03/22/04	05/26/04	1,102.50	1,143.94	-41.44		
	FIFO	149.651	03/22/04	05/17/04	1,715.00	1,776.38	-61.38		
	FIFO	127.604	03/22/04	04/29/04	1,470.00	1,514.66	-44.66		
	FIFO	95.537	03/22/04	04/28/04	1,102.50	1,134.02	-31.52		
	FIFO	95.372	03/22/04	04/26/04	1,102.50	1,132.07	-29.57		
	FIFO	15.838	03/11/04	04/22/04	184.04	187.84	-3.80		
	FIFO	89.584	03/22/04	04/22/04	1,040.98	1,063.38	-22.40		

2004 Realized gains and losses - continued

Security description	Method	Quantity/ Face value	Purchase date	Sale date	Sale amount	Purchase amount	Loss	Gain	Net gain/loss
	FIFO	93.432	03/11/04	03/29/04	$ 1,102.50	$ 1,108.10	-5.60		
	FIFO	93.038	03/11/04	03/25/04	1,102.50	1,103.43	-.93		
	FIFO	41.246	03/11/04	03/17/04	490.00	489.18		.82	
UBS PACE INTERNATIONAL	FIFO	21.571	08/09/04	12/29/04	324.00	275.25		48.75	
EQUITY INVESTMENT FUND	FIFO	19.124	08/09/04	12/27/04	288.00	244.02		43.98	
CLASS P	FIFO	21.936	08/09/04	12/20/04	324.00	279.90		44.10	
	FIFO	22.131	08/09/04	11/26/04	324.00	282.39		41.61	
	FIFO	29.958	08/09/04	11/23/04	432.00	382.26		49.74	
	FIFO	23.478	08/09/04	10/27/04	324.00	299.58		24.42	
	FIFO	18.341	08/09/04	10/26/04	252.00	234.03		17.97	
	FIFO	26.510	08/09/04	10/20/04	360.00	338.27		21.73	
	FIFO	24.453	08/09/04	09/28/04	324.00	312.02		11.98	
	FIFO	6.005	08/09/04	09/27/04	79.20	76.62		2.58	
	FIFO	16.314	08/09/04	09/24/04	216.00	208.17		7.83	
	FIFO	24.866	08/09/04	08/27/04	324.00	317.29		6.71	
	FIFO	8.379	08/09/04	08/25/04	108.00	106.92		1.08	
	FIFO	34.951	03/22/04	07/01/04	465.55	452.27		13.28	
	FIFO	24.071	03/22/04	06/28/04	324.00	311.48		12.52	
	FIFO	43.406	03/22/04	06/07/04	576.00	561.67		14.33	
	FIFO	25.234	03/22/04	05/26/04	324.00	326.53	-2.53		
	FIFO	41.076	03/22/04	05/17/04	504.00	531.52	-27.52		
	FIFO	32.927	03/22/04	04/29/04	432.00	426.08		5.92	
	FIFO	24.620	03/22/04	04/28/04	324.00	318.58		5.42	
	FIFO	24.215	03/22/04	04/26/04	324.00	313.34		10.66	
	FIFO	5.066	03/11/04	04/22/04	66.38	65.08		1.30	
	FIFO	22.143	03/22/04	04/22/04	293.62	286.53		7.09	
	FIFO	24.453	03/11/04	03/29/04	324.00	317.89		6.11	
	FIFO	24.847	03/11/04	03/25/04	324.00	323.01		.99	
	FIFO	10.992	03/11/04	03/17/04	144.00	142.90		1.10	
UBS PACE INTL EMERGING	FIFO	6.054	08/09/04	12/29/04	81.00	64.23		16.77	
MKTS EQUITY INVESTMENT	FIFO	5.422	08/09/04	12/27/04	72.00	57.53		14.47	
FUND CLASS P	FIFO	6.169	08/09/04	12/20/04	81.00	65.45		15.55	
	FIFO	6.304	08/09/04	11/26/04	81.00	66.89		14.11	

2004 Realized gains and losses - continued

Security description	Method	Quantity/ Face value	Purchase date	Sale date	Sale amount	Purchase amount	Loss	Gain	Net gain/loss
	FIFO	8.538	08/09/04	11/23/04	$ 108.00	$ 90.59		17.41	
	FIFO	6.870	08/09/04	10/27/04	81.00	72.89		8.11	
	FIFO	5.353	08/09/04	10/25/04	63.00	56.80		6.20	
	FIFO	7.752	08/09/04	10/20/04	90.00	82.25		7.75	
	FIFO	7.007	08/09/04	09/28/04	81.00	74.34		6.66	
	FIFO	1.719	08/09/04	09/27/04	19.80	18.24		1.56	
	FIFO	4.655	08/09/04	09/24/04	54.00	49.39		4.61	
	FIFO	7.364	08/09/04	08/27/04	81.00	78.13		2.87	
	FIFO	2.477	08/09/04	08/25/04	27.00	26.28		.72	
	FIFO	3.259	03/22/04	07/01/04	35.59	39.21	-3.62		
	FIFO	7.493	03/22/04	06/28/04	81.00	90.14	-9.14		
	FIFO	13.103	03/22/04	06/07/04	144.00	157.63	-13.63		
	FIFO	7.549	03/22/04	05/28/04	81.00	90.81	-9.81		
	FIFO	12.702	03/22/04	05/17/04	126.00	152.81	-26.81		
	FIFO	9.465	03/22/04	04/29/04	108.00	113.86	-5.86		
	FIFO	6.859	03/22/04	04/28/04	81.00	82.51	-1.51		
	FIFO	6.683	03/22/04	04/26/04	81.00	80.40		.60	
	FIFO	1.203	03/11/04	04/22/04	14.62	14.48		.14	
	FIFO	6.204	03/22/04	04/22/04	75.38	74.63		.75	
	FIFO	6.650	03/11/04	03/29/04	81.00	80.07		.93	
	FIFO	6.795	03/11/04	03/25/04	81.00	81.81	-.81		
	FIFO	2.978	03/11/04	03/17/04	36.00	35.86		.14	
UBS PACE LARGE COMPANY	FIFO	30.733	08/09/04	12/29/04	477.90	401.68		76.22	
GROWTH EQUITY INVESTMENT	FIFO	27.620	08/09/04	12/27/04	424.80	360.99		63.81	
FUND CLASS P	FIFO	31.358	08/09/04	12/20/04	477.90	409.85		68.05	
	FIFO	31.945	08/09/04	11/26/04	477.90	417.52		60.38	
	FIFO	42.938	08/09/04	11/23/04	637.20	561.20		76.00	
	FIFO	33.822	08/09/04	10/27/04	477.90	442.05		35.85	
	FIFO	26.799	08/09/04	10/26/04	371.70	350.26		21.44	
	FIFO	38.256	08/09/04	10/20/04	531.00	500.01		30.99	
	FIFO	34.283	08/09/04	09/28/04	477.90	448.08		29.82	
	FIFO	8.441	08/09/04	09/27/04	116.82	110.32		6.50	
	FIFO	22.904	08/09/04	09/24/04	318.80	299.36		19.24	

2004 Realized gains and losses - continued

Security description	Method	Quantity/ Face value	Purchase date	Sale date	Sale amount	Purchase amount	Loss	Gain	Net gain/loss
	FIFO	34.782	08/09/04	08/27/04	$ 477.90	$ 454.60		23.30	
	FIFO	11.611	08/09/04	08/25/04	159.30	151.76		7.54	
	FIFO	52.859	03/22/04	07/01/04	750.60	734.21		16.39	
	FIFO	33.537	03/22/04	06/28/04	477.90	465.83		12.07	
	FIFO	58.837	03/22/04	06/07/04	849.60	817.25		32.35	
	FIFO	33.918	03/22/04	05/26/04	477.90	471.12		6.78	
	FIFO	54.105	03/22/04	05/17/04	743.40	751.52	-8.12		
	FIFO	45.064	03/22/04	04/29/04	637.20	625.94		11.26	
	FIFO	33.584	03/22/04	04/28/04	477.90	466.48		11.42	
	FIFO	33.119	03/22/04	04/26/04	477.90	460.02		17.88	
	FIFO	6.974	03/11/04	04/22/04	101.19	97.78		3.41	
	FIFO	29.621	03/22/04	04/22/04	429.81	411.44		18.37	
	FIFO	33.490	03/11/04	03/29/04	477.90	469.53		8.37	
	FIFO	33.918	03/11/04	03/25/04	477.90	475.53		2.37	
	FIFO	14.926	03/11/04	03/17/04	212.40	209.26		3.14	
UBS PACE LARGE COMPANY	FIFO	28.972	08/09/04	12/29/04	562.05	493.39		68.66	
VALUE EQUITY INVESTMENT	FIFO	25.953	08/09/04	12/27/04	499.80	441.98		57.62	
FUND CLASS P	FIFO	29.398	08/09/04	12/20/04	562.05	500.61		61.44	
	FIFO	29.258	08/09/04	11/26/04	562.05	498.26		63.79	
	FIFO	39.258	08/09/04	11/23/04	749.40	668.53		80.87	
	FIFO	31.052	08/09/04	10/27/04	562.05	528.82		33.23	
	FIFO	24.340	08/09/04	10/26/04	437.15	414.51		22.64	
	FIFO	35.163	08/09/04	10/20/04	624.50	598.83		25.67	
	FIFO	31.312	08/09/04	09/28/04	562.05	533.24		28.81	
	FIFO	7.719	08/09/04	09/27/04	137.39	131.45		5.94	
	FIFO	20.921	08/09/04	09/24/04	374.70	356.28		18.42	
	FIFO	31.898	08/09/04	08/27/04	562.05	543.22		18.83	
	FIFO	10.675	08/09/04	08/25/04	187.35	181.80		5.55	
	FIFO	45.103	03/22/04	07/01/04	801.03	785.69		15.34	
	FIFO	31.611	03/22/04	06/28/04	562.05	550.66		11.39	
	FIFO	55.978	03/22/04	06/07/04	999.20	975.14		24.06	
	FIFO	32.209	03/22/04	05/26/04	562.05	561.08		.97	
	FIFO	51.642	03/22/04	05/17/04	874.30	899.60	-25.30		

2004 Realized gains and losses - continued

Security description	Method	Quantity/ Face value	Purchase date	Sale date	Sale amount	Purchase amount	Loss	Gain	Net gain/loss
	FIFO	42.847	03/22/04	04/29/04	$ 749.40	$ 746.39		3.01	
	FIFO	31.826	03/22/04	04/28/04	562.05	554.41		7.64	
	FIFO	31.470	03/22/04	04/26/04	562.05	548.21		13.84	
	FIFO	6.151	03/11/04	04/22/04	110.41	107.95		2.46	
	FIFO	28.640	03/22/04	04/22/04	514.09	498.91		15.18	
	FIFO	31.828	03/11/04	03/29/04	562.05	555.09		6.96	
	FIFO	32.117	03/11/04	03/25/04	562.05	563.65	-1.60		
	FIFO	14.010	03/11/04	03/17/04	249.80	245.88		3.92	
UBS PACE MONEY MARKET	FIFO	90.000	08/09/04	12/29/04	90.00	90.00			
INVESTMENT FUND CLASS P	FIFO	80.000	08/09/04	12/27/04	80.00	80.00			
	FIFO	90.000	08/09/04	12/20/04	90.00	90.00			
	FIFO	90.000	08/09/04	11/26/04	90.00	90.00			
	FIFO	120.000	08/09/04	11/23/04	120.00	120.00			
	FIFO	90.000	08/09/04	10/27/04	90.00	90.00			
	FIFO	70.000	08/09/04	10/26/04	70.00	70.00			
	FIFO	100.000	08/09/04	10/20/04	100.00	100.00			
	FIFO	90.000	08/09/04	09/28/04	90.00	90.00			
	FIFO	22.000	08/09/04	09/27/04	22.00	22.00			
	FIFO	50.000	08/09/04	09/24/04	60.00	60.00			
	FIFO	500.000	08/09/04	09/14/04	500.00	500.00			
	FIFO	90.000	08/09/04	08/27/04	90.00	90.00			
	FIFO	30.000	08/09/04	08/25/04	30.00	30.00			
	FIFO	115.800	03/22/04	07/01/04	115.80	115.80			
	FIFO	.790	Earnings	07/01/04	0.79	0.79			
	FIFO	90.000	03/22/04	06/28/04	90.00	90.00			
	FIFO	160.000	03/22/04	06/07/04	160.00	160.00			
	FIFO	90.000	03/22/04	05/26/04	90.00	90.00			
	FIFO	140.000	03/22/04	05/17/04	140.00	140.00			
	FIFO	120.000	03/22/04	04/29/04	120.00	120.00			
	FIFO	90.000	03/22/04	04/28/04	90.00	90.00			
	FIFO	90.000	03/22/04	04/26/04	90.00	90.00			
	FIFO	15.800	03/11/04	04/22/04	15.80	15.80			
	FIFO	84.200	03/22/04	04/22/04	84.20	84.20			

2004 Realized gains and losses - continued

Security description	Method	Quantity/ Face value	Purchase date	Sale date	Sale amount	Purchase amount	Loss	Gain	Net gain/loss
	FIFO	90.000	03/11/04	03/29/04	$ 90.00	$ 90.00			
	FIFO	90.000	03/11/04	03/25/04	90.00	90.00			
	FIFO	40.000	03/11/04	03/17/04	40.00	40.00			
UBS PACE SMALL/MEDIUM CO	FIFO	8.451	08/09/04	12/29/04	142.65	111.72		30.93	
GROWTH EQUITY INVESTMENT	FIFO	7.620	08/09/04	12/27/04	126.80	100.74		26.06	
FUND CLASS P	FIFO	8.677	08/09/04	12/20/04	142.65	114.71		27.94	
	FIFO	8.880	08/09/04	11/26/04	142.65	117.13		25.52	
	FIFO	11.902	08/09/04	11/23/04	190.20	157.34		32.86	
	FIFO	9.299	08/09/04	10/27/04	142.65	122.93		19.72	
	FIFO	7.436	08/09/04	10/26/04	110.95	98.30		12.65	
	FIFO	10.790	08/09/04	10/20/04	158.50	142.64		15.86	
	FIFO	9.797	08/09/04	09/28/04	142.65	129.52		13.13	
	FIFO	2.413	08/09/04	09/27/04	34.87	31.90		2.97	
	FIFO	6.469	08/09/04	09/24/04	95.10	85.52		9.58	
	FIFO	10.086	08/09/04	06/27/04	142.65	133.47		9.18	
	FIFO	3.368	08/09/04	08/25/04	47.55	44.52		3.03	
	FIFO	12.960	03/22/04	07/01/04	194.92	195.18	-.26		
	FIFO	9.472	03/22/04	06/28/04	142.65	142.65			
	FIFO	16.575	03/22/04	06/07/04	253.80	249.82		3.98	
	FIFO	9.453	03/22/04	05/28/04	142.65	142.36		.29	
	FIFO	15.539	03/22/04	05/17/04	221.90	234.02	-12.12		
	FIFO	12.571	03/22/04	04/29/04	190.20	189.32		.88	
	FIFO	9.275	03/22/04	04/28/04	142.65	139.68		2.97	
	FIFO	9.063	03/22/04	04/26/04	142.65	136.49		6.16	
	FIFO	1.811	03/11/04	04/22/04	28.58	27.91		.67	
	FIFO	8.233	03/22/04	04/22/04	129.92	123.99		5.93	
	FIFO	9.103	03/11/04	03/29/04	142.65	140.28		2.37	
	FIFO	9.257	03/11/04	03/25/04	142.65	142.65			
	FIFO	4.082	03/11/04	03/17/04	63.40	62.90		.50	
UBS PACE SMALL/MEDIUM CO	FIFO	8.079	08/09/04	12/29/04	167.40	153.50		13.90	
VALUE EQUITY INVESTMENT	FIFO	7.280	08/09/04	12/27/04	148.80	138.32		10.48	
FUND CLASS P	FIFO	8.226	08/09/04	12/20/04	167.40	156.29		11.11	
	FIFO	7.585	08/09/04	11/26/04	167.40	144.12		23.28	

2004 Realized gains and losses - continued

Security description	Method	Quantity/ Face value	Purchase date	Sale date	Sale amount	Purchase amount	Loss	Gain	Net gain/loss
	FIFO	10.234	08/09/04	11/23/04	$ 223.20	$ 194.45		28.75	
	FIFO	8.110	08/09/04	10/27/04	167.40	154.09		13.31	
	FIFO	6.395	08/09/04	10/26/04	130.20	121.51		8.69	
	FIFO	9.268	08/09/04	10/20/04	186.00	176.09		9.91	
	FIFO	8.357	08/09/04	09/28/04	167.40	158.78		8.62	
	FIFO	2.054	08/09/04	09/27/04	40.92	39.03		1.89	
	FIFO	5.552	08/09/04	09/24/04	111.60	105.49		6.11	
	FIFO	8.404	08/09/04	08/27/04	167.40	159.68		7.72	
	FIFO	2.807	08/09/04	08/25/04	55.80	53.33		2.47	
	FIFO	13.890	03/22/04	07/01/04	286.41	270.44		15.97	
	FIFO	8.122	03/22/04	06/28/04	167.40	158.14		9.26	
	FIFO	14.553	03/22/04	06/07/04	297.60	283.35		14.25	
	FIFO	8.404	03/22/04	05/26/04	167.40	163.63		3.77	
	FIFO	13.605	03/22/04	05/17/04	260.40	264.89	-4.49		
	FIFO	11.205	03/22/04	04/28/04	223.20	218.16		5.04	
	FIFO	8.316	03/22/04	04/28/04	167.40	161.91		5.49	
	FIFO	8.214	03/22/04	04/26/04	167.40	159.93		7.47	
	FIFO	1.726	03/11/04	04/22/04	35.52	33.97		1.55	
	FIFO	7.312	03/22/04	04/22/04	150.48	142.36		8.12	
	FIFO	8.366	03/11/04	03/29/04	167.40	164.64		2.76	
	FIFO	8.467	03/11/04	03/25/04	167.40	166.63		.77	
	FIFO	3.727	03/11/04	03/17/04	74.40	73.35		1.05	
Total					$ 111,962.83	$ 110,480.91	$ -1,070.08	$ 2,552.00	$ 1,481.92

Long-term capital gains and losses:

COCA COLA FEMSA SA DE CV 08.950 % DUE 110106 DTD 110196 FC 05011997	FIFO	20,000.000	06/05/98	02/17/04	$ 22,594.75	$ 20,950.00		$ 1,644.75	
FAYETTE CNTY KY SD FIN A AMBAC OID98.9386 BE/R/ 5.125 040118 DTD 041501	FIFO	5,000.000	12/18/02	01/09/04	5,199.45	5,334.63	-135.18		

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2004 Realized gains and losses - continued

Security description	Method	Quantity/ Face value	Purchase date	Sale date	Sale amount	Purchase amount	Loss	Gain	Net gain/loss
MUHLENBERG CO KY JUSTICE CTR CORP REV BQ B/E /R/ 4.500 090120 DTD 120102	FIFO	5,000.000	12/24/02	01/09/04	4,957.60	5,004.95	-47.35		
POWELL CNTY KY S/D FIN BQ REV B/E /R/ 3.750 080114 DTD 110102	FIFO	5,000.000	12/03/02	01/09/04	4,894.75	4,838.95		55.80	
CORRECTIONS CORP OF AMER (CALLED 03/18/04 @ $25.00) CUML SER A 8.00% PFD	FIFO	70.000	07/27/98	02/17/04	1,745.36	1,610.00		135.36	
Total					$ 39,391.91	$ 37,738.53	$ -182.53	$ 1,835.91	$ 1,653.38
Net capital gains/losses:									$ 3,135.30

2004 Realized capital gain/loss summary

Short-term gains/losses:	$ 1,481.92
Long-term gains/losses:	$ 1,653.38
Net year-to-date capital gains/losses:	$ 3,135.30

UBS Financial Services Inc.
307 SOUTH ASHLAND AVENUE
LEXINGTON, KY 40502

UBS Financial Services Inc.

2004 Consolidated Form 1099

P11G000225-X1

IMPORTANT NOTICE:
This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.
UBS Financial Services Inc., 1285 Ave of Americas, NY, NY 10019 (Federal ID 13-2638166)

CYNTHIA D ROGERS

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Only the forms applicable to your account are shown. Forms which are not applicable and therefore not shown include: Form 1099-OID, Form 1099-MISC.

Form 1099-DIV Dividends and Distributions (OMB No. 1545-0110)

Line#	Category	Amount
1a	Ordinary dividends (includes lines 1b and 5)	$ 1,626.69
1b	Qualified dividends	283.84
2a	Total capital gain distributions (includes lines 2b, 2c, 2d)	428.39
2b	Unrecaptured section 1250 gain	0.00
2c	Section 1202 gain	0.00
2d	28% rate gain (Collectibles)	0.00
3	Nontaxable distributions	0.00
4	**Federal income tax withheld**	**0.00**
5	Investment expenses	0.00
6	Foreign tax paid	18.68
7	Foreign country or U.S. possession	
8	Liquidation distributions - cash	0.00
9	Liquidation distributions - non-cash (fair market value)	0.00

Form 1099-INT Interest Income (OMB No. 1545-0112)

Line#	Category	Amount
1	Interest income (not included on line 3)	$ 563.61
2	Early withdrawal penalty	0.00
3	Interest on U.S. Savings Bonds and Treasury obligations	0.00
4	**Federal income tax withheld**	**0.00**
5	Investment expenses (included on line 1)	0.00
6	Foreign tax paid	0.00
7	Foreign country or U.S. possession	

Form 1099-B Proceeds From Broker & Barter Exchange Transactions (OMB No. 1545-0715)

Line#	Category	Amount
1a	Date of sale or exchange	Various
1b	CUSIP number	Various
2	Stocks, bonds, etc. reported to IRS (gross proceeds less commissions) $	151,354.73
3	Bartering	0.00
4	**Federal income tax withheld**	**0.00**
5	Number of shares exchanged	0
6	Classes of stock exchanged	Various
7	Description	See details

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UBS Financial Services Inc.

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P11G000326-X1

Details for Items Reported on Forms 1099

Dividends and Distributions Details Reported on Form 1099-DIV

Description	Additional Information	Ex Date	Payment Date	Tax year	Subject to AMT	Amount
Ordinary dividends (less lines 1b and 5)						
UBS PACE GLOBAL FIXED INCOME INVESTMENT FUND CLASS P			03/23/04	2004		11.95
			04/23/04	2004		9.37
			05/24/04	2004		5.14
			06/22/04	2004		1.84
			08/24/04	2004		27.81
			09/23/04	2004		23.06
			10/25/04	2004		23.90
			11/23/04	2004		22.88
			12/22/04	2004		381.98
Sub Total: UBS PACE GLOBAL FIXED						$ 488.04
UBS PACE GOVT SEC FIXED INCOME INVESTMENT FUND CLASS P			03/23/04	2004		40.37
			04/23/04	2004		24.65
			05/24/04	2004		13.70
			06/22/04	2004		4.88
			08/24/04	2004		83.57
			09/23/04	2004		61.33
			10/25/04	2004		54.38
			11/23/04	2004		55.38
			12/22/04	2004		50.07
Sub Total: UBS PACE GOVT SEC FIXED						$ 388.31
UBS PACE INTERMEDIATE FIXED INCOME INVESTMENT FUND CLASS P			03/23/04	2004		32.04
			04/23/04	2004		26.07
			05/24/04	2004		13.34
			06/22/04	2004		5.65
			08/24/04	2004		83.69
			09/23/04	2004		107.08
			10/25/04	2004		72.28
			11/23/04	2004		67.26
			12/22/04	2004		59.47
Sub Total: UBS PACE INTERMEDIATE						$ 466.88

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UBS Financial Services Inc.

2004 Consolidated Form 1099

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Dividends and Distributions Details Reported on Form 1099-DIV - continued

Description	Additional Information	Ex Date	Payment Date	Tax year	Subject to AMT	Amount
UBS PACE MONEY MARKET INVESTMENT FUND CLASS P			04/15/04	2004		.28
			05/14/04	2004		.31
			06/15/04	2004		.20
			07/06/04	2004		.03
			08/16/04	2004		.35
			09/15/04	2004		2.03
			10/15/04	2004		1.93
			11/15/04	2004		1.72
			12/31/04	2004		2.77
Sub Total: UBS PACE MONEY MARKET						$ 9.62
Total Line 1a Ordinary dividends (less lines 1b and 5)						$ 1,332.85
Qualified dividends						
CORRECTIONS CORP OF AMER (CALLED 03/19/04@$25.00) CUML SER A 8.00% PFD		12/29/03	01/15/04	2004		$ 35.00
UBS PACE INTL EMERGING MKTS EQUITY INVESTMENT FUND CLASS P		12/18/04	12/20/04	2004		$ 2.10
UBS PACE LARGE COMPANY VALUE EQUITY INVESTMENT FUND CLASS P			12/20/04	2004		$ 177.54
UBS PACE INTERNATIONAL EQUITY INVESTMENT FUND CLASS P			12/20/04	2004		$ 78.80
Total Line 1b Qualified dividends						$ 293.54
Total capital gain distributions (less lines 2b, 2c, 2d)						
UBS PACE GLOBAL FIXED INCOME INVESTMENT FUND CLASS P			12/22/04	2004		$ 16.98
UBS PACE SMALL/MEDIUM CO GROWTH EQUITY INVESTMENT FUND CLASS P			12/20/04	2004		$ 15.00
UBS PACE SMALL/MEDIUM CO VALUE EQUITY INVESTMENT FUND CLASS P			12/20/04	2004		$ 397.41
Total Line 2a Total capital gain distributions (less lines 2b, 2c, 2d)						$ 429.39

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UBS Financial Services Inc.

2004 Consolidated Form 1099

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Dividends and Distributions Details Reported on Form 1099-DIV - continued

Description	Additional Information	Ex Date	Payment Date	Tax year	Subject to AMT		Amount
Foreign tax paid							
UBS PACE INTERNATIONAL EQUITY INVESTMENT FUND CLASS P			12/20/04	2004		$	18.68
Total Line 6 Foreign tax paid						$	18.68

Interest Income Details Reported on Form 1099-INT

For clients holding REMICs / Other CDOs: you may receive a corrected Consolidated Form 1099 in March. This Form will contain a Supplemental Information Statement comprised of rate accruals provided to us by the issuer(s) agents. This is information that is not available in January and is necessary for individual tax filing purposes. See enclosure for more information.

Description	Additional Information	Payment Date	Tax year	Subject to AMT		Amount
COCA COLA FEMSA SA DE CV 08.950 % DUE 110106 DTD 110196 FC 05011997	Accrued interest	02/17/04	2004		$	541.57
UBS BANK USA DEPOSIT ACCOUNT		01/23/04	2004			.78
		02/20/04	2004			.09
		03/24/04	2004			4.54
		04/23/04	2004			.86
		05/21/04	2004			1.24
		06/23/04	2004			1.14
		07/23/04	2004			1.24
		08/24/04	2004			3.91
		09/23/04	2004			1.18
		10/22/04	2004			.94
		11/22/04	2004			2.79
		12/23/04	2004			2.90
		12/31/04	2004			.23
Sub Total: UBS BANK USA DEPOSIT					$	21.64
Total Line 1 Interest income (less line 3)					$	563.61

UBS Financial Services Inc.

2004 Consolidated Form 1099

P11G000329-X1

Proceeds from Broker Transactions Details Reported on Form 1099-B

"Net proceeds" is the amount reported to the IRS. Accrued interest is reported on Form 1099-INT and details are also included in that Form's detail section.

Date of sale or activity (Line #1a)	Activity	Quantity/ Face value/ Shares exchanged	Description (Line #7)	Class of stock exchanged (Line #6)	Cusip number (Line #1b)	Net proceeds (Line #2)	Accrued interest	Federal income tax withheld (Line #4)
02/17/04	Sell	20,000	COCA COLA FEMSA SA DE CV 08.950 % DUE 110106 DTD 110196 FC 05011997		191241AB4	22,594.75	541.97	.00
02/17/04	Sell	70	CORRECTIONS CORP OF AMER (CALLED 03/19/04@$25.00) CUML SER A 8.00% PFD		22025Y209	1,745.36	.00	.00
01/09/04	Sell	5,000	FAYETTE CNTY KY SD FIN A AMBAC OID98.9366 BE/R/ 5.125 040118 DTD 041501		312432KE6	5,199.45	73.32	.00
01/09/04	Sell	5,000	MUHLENBERG CO KY JUSTICE CTR CORP REV BQ B/E /R/ 4.500 090120 DTD 120102		62479RAS1	4,857.60	83.13	.00
01/09/04	Sell	5,000	POWELL CNTY KY S/D FIN BQ REV B/E /R/ 3.750 080114 DTD 110102		739094HD5	4,894.75	84.90	.00
03/17/04	Sell	16	UBS PACE GLOBAL FIXED INCOME INVESTMENT FUND CLASS P		90262L503	200.00	.00	.00
03/25/04	Sell	37	UBS PACE GLOBAL FIXED INCOME INVESTMENT FUND CLASS P		90262L503	450.00	.00	.00
03/29/04	Sell	37	UBS PACE GLOBAL FIXED INCOME INVESTMENT FUND CLASS P		90262L503	450.00	.00	.00
04/22/04	Sell	42	UBS PACE GLOBAL FIXED INCOME INVESTMENT FUND CLASS P		90262L503	500.00	.00	.00
04/26/04	Sell	38	UBS PACE GLOBAL FIXED INCOME INVESTMENT FUND CLASS P		90262L503	450.00	.00	.00
04/28/04	Sell	38	UBS PACE GLOBAL FIXED INCOME INVESTMENT FUND CLASS P		90262L503	450.00	.00	.00
04/29/04	Sell	51	UBS PACE GLOBAL FIXED INCOME INVESTMENT FUND CLASS P		90262L503	600.00	.00	.00

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UBS Financial Services Inc.

2004 Consolidated Form 1099

P11G000330-X1

Proceeds from Broker Transactions Details Reported on Form 1099-B - continued

"Net proceeds" is the amount reported to the IRS. Accrued interest is reported on Form 1099-INT and details are also included in that Form's detail section

Date of sale or activity (Line #1a)	Activity	Quantity/ Face value/ Shares exchanged	Description (Line #7)	Class of stock exchanged (Line #6)	Cusip number (Line #1b)	Net proceeds (Line #2)	Accrued interest	Federal income tax withheld (Line #4)
05/17/04	Sell	60	UBS PACE GLOBAL FIXED INCOME INVESTMENT FUND CLASS P		90262L503	700.00	.00	.00
05/28/04	Sell	38	UBS PACE GLOBAL FIXED INCOME INVESTMENT FUND CLASS P		90262L503	450.00	.00	.00
06/07/04	Sell	67	UBS PACE GLOBAL FIXED INCOME INVESTMENT FUND CLASS P		90262L503	800.00	.00	.00
06/28/04	Sell	38	UBS PACE GLOBAL FIXED INCOME INVESTMENT FUND CLASS P		90262L503	450.00	.00	.00
07/01/04	Sell	34	UBS PACE GLOBAL FIXED INCOME INVESTMENT FUND CLASS P		90262L503	410.82	.00	.00
08/25/04	Sell	12	UBS PACE GLOBAL FIXED INCOME INVESTMENT FUND CLASS P		90262L503	150.00	.00	.00
08/27/04	Sell	37	UBS PACE GLOBAL FIXED INCOME INVESTMENT FUND CLASS P		90262L503	450.00	.00	.00
09/24/04	Sell	24	UBS PACE GLOBAL FIXED INCOME INVESTMENT FUND CLASS P		90262L503	300.00	.00	.00
09/27/04	Sell	9	UBS PACE GLOBAL FIXED INCOME INVESTMENT FUND CLASS P		90262L503	110.00	.00	.00
09/28/04	Sell	37	UBS PACE GLOBAL FIXED INCOME INVESTMENT FUND CLASS P		90262L503	450.00	.00	.00
10/20/04	Sell	40	UBS PACE GLOBAL FIXED INCOME INVESTMENT FUND CLASS P		90262L503	500.00	.00	.00
10/28/04	Sell	28	UBS PACE GLOBAL FIXED INCOME INVESTMENT FUND CLASS P		90262L503	350.00	.00	.00

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UBS Financial Services Inc.

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P11G000331-X1

Proceeds from Broker Transactions Details Reported on Form 1099-B - continued

"Net proceeds" is the amount reported to the IRS. Accrued interest is reported on Form 1099-INT and details are also included in that Form's detail section.

Date of sale or activity (Line #1a)	Activity	Quantity/ Face value/ Shares exchanged	Description (Line #7)	Class of stock exchanged (Line #6)	Cusip number (Line #1b)	Net proceeds (Line #2)	Accrued interest	Federal income tax withheld (Line #4)
10/27/04	Sell	36	UBS PACE GLOBAL FIXED INCOME INVESTMENT FUND CLASS P		90262L503	450.00	.00	.00
11/23/04	Sell	47	UBS PACE GLOBAL FIXED INCOME INVESTMENT FUND CLASS P		90262L503	600.00	.00	.00
11/26/04	Sell	34	UBS PACE GLOBAL FIXED INCOME INVESTMENT FUND CLASS P		90262L503	450.00	.00	.00
12/20/04	Sell	34	UBS PACE GLOBAL FIXED INCOME INVESTMENT FUND CLASS P		90262L503	450.00	.00	.00
12/27/04	Sell	31	UBS PACE GLOBAL FIXED INCOME INVESTMENT FUND CLASS P		90262L503	400.00	.00	.00
12/29/04	Sell	36	UBS PACE GLOBAL FIXED INCOME INVESTMENT FUND CLASS P		90262L503	450.00	.00	.00
03/17/04	Sell	37	UBS PACE GOVT SEC FIXED INCOME INVESTMENT FUND CLASS P		90262L362	490.00	.00	.00
03/25/04	Sell	83	UBS PACE GOVT SEC FIXED INCOME INVESTMENT FUND CLASS P		90262L362	1,102.50	.00	.00
03/29/04	Sell	83	UBS PACE GOVT SEC FIXED INCOME INVESTMENT FUND CLASS P		90262L362	1,102.50	.00	.00
04/22/04	Sell	93	UBS PACE GOVT SEC FIXED INCOME INVESTMENT FUND CLASS P		90262L362	1,225.00	.00	.00
04/26/04	Sell	84	UBS PACE GOVT SEC FIXED INCOME INVESTMENT FUND CLASS P		90262L362	1,102.50	.00	.00
04/28/04	Sell	85	UBS PACE GOVT SEC FIXED INCOME INVESTMENT FUND CLASS P		90262L362	1,102.50	.00	.00

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UBS Financial Services Inc.

2004 Consolidated Form 1099

P11G000332-X1

Proceeds from Broker Transactions Details Reported on Form 1099-B - continued

"Net proceeds" is the amount reported to the IRS. Accrued interest is reported on Form 1099-INT and details are also included in that Form's detail section

Date of sale or activity (Line #1a)	Activity	Quantity/ Face value/ Shares exchanged	Description (Line #7)	Class of stock exchanged (Line #8)	Cusip number (Line #1b)	Net proceeds (Line #2)	Accrued interest	Federal income tax withheld (Line #4)
04/29/04	Sell	113	UBS PACE GOVT SEC FIXED INCOME INVESTMENT FUND CLASS P		90262L362	1,470.00	.00	.00
05/17/04	Sell	133	UBS PACE GOVT SEC FIXED INCOME INVESTMENT FUND CLASS P		90262L362	1,715.00	.00	.00
05/26/04	Sell	85	UBS PACE GOVT SEC FIXED INCOME INVESTMENT FUND CLASS P		90262L362	1,102.50	.00	.00
06/07/04	Sell	152	UBS PACE GOVT SEC FIXED INCOME INVESTMENT FUND CLASS P		90262L362	1,960.00	.00	.00
06/28/04	Sell	85	UBS PACE GOVT SEC FIXED INCOME INVESTMENT FUND CLASS P		90262L362	1,102.50	.00	.00
07/01/04	Sell	92	UBS PACE GOVT SEC FIXED INCOME INVESTMENT FUND CLASS P		90262L362	1,205.30	.00	.00
08/25/04	Sell	27	UBS PACE GOVT SEC FIXED INCOME INVESTMENT FUND CLASS P		90262L362	387.50	.00	.00
08/27/04	Sell	83	UBS PACE GOVT SEC FIXED INCOME INVESTMENT FUND CLASS P		90262L362	1,102.50	.00	.00
09/24/04	Sell	55	UBS PACE GOVT SEC FIXED INCOME INVESTMENT FUND CLASS P		90262L362	735.00	.00	.00
09/27/04	Sell	20	UBS PACE GOVT SEC FIXED INCOME INVESTMENT FUND CLASS P		90262L362	269.50	.00	.00
09/28/04	Sell	83	UBS PACE GOVT SEC FIXED INCOME INVESTMENT FUND CLASS P		90262L362	1,102.50	.00	.00
10/20/04	Sell	92	UBS PACE GOVT SEC FIXED INCOME INVESTMENT FUND CLASS P		90262L362	1,225.00	.00	.00

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UBS Financial Services Inc.

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P11G000333-X1

Proceeds from Broker Transactions Details Reported on Form 1099-B - continued

"Net proceeds" is the amount reported to the IRS. Accrued interest is reported on Form 1099-INT and details are also included in that Form's detail section.

Date of sale or activity (Line #1a)	Activity	Quantity/ Face value/ Shares exchanged	Description (Line #7)	Class of stock exchanged (Line #6)	Cusip number (Line #1b)	Net proceeds (Line #2)	Accrued interest	Federal income tax withheld (Line #4)
10/26/04	Sell	64	UBS PACE GOVT SEC FIXED INCOME INVESTMENT FUND CLASS P		90262L362	857.50	.00	.00
10/27/04	Sell	83	UBS PACE GOVT SEC FIXED INCOME INVESTMENT FUND CLASS P		90262L362	1,102.50	.00	.00
11/23/04	Sell	110	UBS PACE GOVT SEC FIXED INCOME INVESTMENT FUND CLASS P		90262L362	1,470.00	.00	.00
11/26/04	Sell	83	UBS PACE GOVT SEC FIXED INCOME INVESTMENT FUND CLASS P		90262L362	1,102.50	.00	.00
12/20/04	Sell	83	UBS PACE GOVT SEC FIXED INCOME INVESTMENT FUND CLASS P		90262L362	1,102.50	.00	.00
12/27/04	Sell	74	UBS PACE GOVT SEC FIXED INCOME INVESTMENT FUND CLASS P		90262L362	980.00	.00	.00
12/29/04	Sell	83	UBS PACE GOVT SEC FIXED INCOME INVESTMENT FUND CLASS P		90262L362	1,102.50	.00	.00
03/17/04	Sell	41	UBS PACE INTERMEDIATE FIXED INCOME INVESTMENT FUND CLASS P		90262L834	490.00	.00	.00
03/25/04	Sell	93	UBS PACE INTERMEDIATE FIXED INCOME INVESTMENT FUND CLASS P		90262L834	1,102.50	.00	.00
03/29/04	Sell	93	UBS PACE INTERMEDIATE FIXED INCOME INVESTMENT FUND CLASS P		90262L834	1,102.50	.00	.00
04/22/04	Sell	105	UBS PACE INTERMEDIATE FIXED INCOME INVESTMENT FUND CLASS P		90262L834	1,225.00	.00	.00
04/26/04	Sell	95	UBS PACE INTERMEDIATE FIXED INCOME INVESTMENT FUND CLASS P		90262L834	1,102.50	.00	.00

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P11G000224-X1

Proceeds from Broker Transactions Details Reported on Form 1099-B - continued

"Net proceeds" is the amount reported to the IRS. Accrued interest is reported on Form 1099-INT and details are also included in that Form's detail section.

Date of sale or activity (Line #1a)	Activity	Quantity/ Face value/ Shares exchanged	Description (Line #7)	Class of stock exchanged (Line #5)	Cusip number (Line #1b)	Net proceeds (Line #2)	Accrued interest	Federal income tax withheld (Line #4)
04/28/04	Sell	85	UBS PACE INTERMEDIATE FIXED INCOME INVESTMENT FUND CLASS P		90262L834	1,102.50	.00	.00
04/29/04	Sell	127	UBS PACE INTERMEDIATE FIXED INCOME INVESTMENT FUND CLASS P		90262L834	1,470.00	.00	.00
05/17/04	Sell	149	UBS PACE INTERMEDIATE FIXED INCOME INVESTMENT FUND CLASS P		90262L834	1,715.00	.00	.00
05/26/04	Sell	96	UBS PACE INTERMEDIATE FIXED INCOME INVESTMENT FUND CLASS P		90262L834	1,102.50	.00	.00
06/07/04	Sell	171	UBS PACE INTERMEDIATE FIXED INCOME INVESTMENT FUND CLASS P		90262L834	1,960.00	.00	.00
06/28/04	Sell	96	UBS PACE INTERMEDIATE FIXED INCOME INVESTMENT FUND CLASS P		90262L834	1,102.50	.00	.00
07/01/04	Sell	95	UBS PACE INTERMEDIATE FIXED INCOME INVESTMENT FUND CLASS P		90262L834	1,096.01	.00	.00
08/25/04	Sell	31	UBS PACE INTERMEDIATE FIXED INCOME INVESTMENT FUND CLASS P		90262L834	387.50	.00	.00
08/27/04	Sell	94	UBS PACE INTERMEDIATE FIXED INCOME INVESTMENT FUND CLASS P		90262L834	1,102.50	.00	.00
09/24/04	Sell	63	UBS PACE INTERMEDIATE FIXED INCOME INVESTMENT FUND CLASS P		90262L834	735.00	.00	.00
09/27/04	Sell	23	UBS PACE INTERMEDIATE FIXED INCOME INVESTMENT FUND CLASS P		90262L834	269.50	.00	.00
09/28/04	Sell	94	UBS PACE INTERMEDIATE FIXED INCOME INVESTMENT FUND CLASS P		90262L834	1,102.50	.00	.00

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P11G000035-X1

Proceeds from Broker Transactions Details Reported on Form 1099-B - continued

"Net proceeds" is the amount reported to the IRS. Accrued interest is reported on Form 1099-INT and details are also included in that Form's detail section.

Date of sale or activity (Line #1a)	Activity	Quantity/ Face value/ Shares exchanged	Description (Line #7)	Class of stock exchanged (Line #6)	Cusip number (Line #1b)	Net proceeds (Line #2)	Accrued interest	Federal income tax withheld (Line #4)
10/20/04	Sell	104	UBS PACE INTERMEDIATE FIXED INCOME INVESTMENT FUND CLASS P		90262L834	1,225.00	.00	.00
10/26/04	Sell	73	UBS PACE INTERMEDIATE FIXED INCOME INVESTMENT FUND CLASS P		90262L834	857.50	.00	.00
10/27/04	Sell	84	UBS PACE INTERMEDIATE FIXED INCOME INVESTMENT FUND CLASS P		90262L834	1,102.50	.00	.00
11/23/04	Sell	126	UBS PACE INTERMEDIATE FIXED INCOME INVESTMENT FUND CLASS P		90262L834	1,470.00	.00	.00
11/26/04	Sell	95	UBS PACE INTERMEDIATE FIXED INCOME INVESTMENT FUND CLASS P		90262L834	1,102.50	.00	.00
12/20/04	Sell	94	UBS PACE INTERMEDIATE FIXED INCOME INVESTMENT FUND CLASS P		90262L834	1,102.50	.00	.00
12/27/04	Sell	84	UBS PACE INTERMEDIATE FIXED INCOME INVESTMENT FUND CLASS P		90262L834	980.00	.00	.00
12/29/04	Sell	95	UBS PACE INTERMEDIATE FIXED INCOME INVESTMENT FUND CLASS P		90262L834	1,102.50	.00	.00
03/17/04	Sell	14	UBS PACE LARGE COMPANY GROWTH EQUITY INVESTMENT FUND CLASS P		90262L869	212.40	.00	.00
03/25/04	Sell	33	UBS PACE LARGE COMPANY GROWTH EQUITY INVESTMENT FUND CLASS P		90262L869	477.90	.00	.00
03/29/04	Sell	33	UBS PACE LARGE COMPANY GROWTH EQUITY INVESTMENT FUND CLASS P		90262L869	477.90	.00	.00
04/22/04	Sell	36	UBS PACE LARGE COMPANY GROWTH EQUITY INVESTMENT FUND CLASS P		90262L869	531.00	.00	.00

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P11G000336-X1

Proceeds from Broker Transactions Details Reported on Form 1099-B - continued

"Net proceeds" is the amount reported to the IRS. Accrued interest is reported on Form 1099-INT and details are also included in that Form's detail section

Date of sale or activity (Line #1a)	Activity	Quantity/ Face value/ Shares exchanged	Description (Line #7)	Class of stock exchanged (Line #6)	Cusip number (Line #1b)	Net proceeds (Line #2)	Accrued interest	Federal income tax withheld (Line #4)
04/26/04	Sell	33	UBS PACE LARGE COMPANY GROWTH EQUITY INVESTMENT FUND CLASS P		90262L669	477.90	.00	.00
04/28/04	Sell	33	UBS PACE LARGE COMPANY GROWTH EQUITY INVESTMENT FUND CLASS P		90262L669	477.90	.00	.00
04/29/04	Sell	45	UBS PACE LARGE COMPANY GROWTH EQUITY INVESTMENT FUND CLASS P		90262L669	637.20	.00	.00
05/17/04	Sell	54	UBS PACE LARGE COMPANY GROWTH EQUITY INVESTMENT FUND CLASS P		90262L669	743.40	.00	.00
05/26/04	Sell	33	UBS PACE LARGE COMPANY GROWTH EQUITY INVESTMENT FUND CLASS P		90262L669	477.90	.00	.00
06/07/04	Sell	58	UBS PACE LARGE COMPANY GROWTH EQUITY INVESTMENT FUND CLASS P		90262L669	849.60	.00	.00
06/28/04	Sell	33	UBS PACE LARGE COMPANY GROWTH EQUITY INVESTMENT FUND CLASS P		90262L669	477.90	.00	.00
07/01/04	Sell	52	UBS PACE LARGE COMPANY GROWTH EQUITY INVESTMENT FUND CLASS P		90262L669	750.60	.00	.00
08/25/04	Sell	11	UBS PACE LARGE COMPANY GROWTH EQUITY INVESTMENT FUND CLASS P		90262L669	159.30	.00	.00
08/27/04	Sell	34	UBS PACE LARGE COMPANY GROWTH EQUITY INVESTMENT FUND CLASS P		90262L669	477.90	.00	.00
09/24/04	Sell	22	UBS PACE LARGE COMPANY GROWTH EQUITY INVESTMENT FUND CLASS P		90262L669	318.60	.00	.00
09/27/04	Sell	8	UBS PACE LARGE COMPANY GROWTH EQUITY INVESTMENT FUND CLASS P		90262L669	116.82	.00	.00

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UBS Financial Services Inc.

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P11G000337-X1

Proceeds from Broker Transactions Details Reported on Form 1099-B - continued

"Net proceeds" is the amount reported to the IRS. Accrued interest is reported on Form 1099-INT and details are also included in that Form's detail section.

Date of sale or activity (Line #1a)	Activity	Quantity/ Face value/ Shares exchanged	Description (Line #7)	Class of stock exchanged (Line #6)	Cusip number (Line #1b)	Net proceeds (Line #2)	Accrued interest	Federal income tax withheld (Line #4)
09/28/04	Sell	34	UBS PACE LARGE COMPANY GROWTH EQUITY INVESTMENT FUND CLASS P		90262L669	477.90	.00	.00
10/20/04	Sell	38	UBS PACE LARGE COMPANY GROWTH EQUITY INVESTMENT FUND CLASS P		90262L669	531.00	.00	.00
10/26/04	Sell	26	UBS PACE LARGE COMPANY GROWTH EQUITY INVESTMENT FUND CLASS P		90262L669	371.70	.00	.00
10/27/04	Sell	33	UBS PACE LARGE COMPANY GROWTH EQUITY INVESTMENT FUND CLASS P		90262L669	477.90	.00	.00
11/23/04	Sell	42	UBS PACE LARGE COMPANY GROWTH EQUITY INVESTMENT FUND CLASS P		90262L669	637.20	.00	.00
11/26/04	Sell	31	UBS PACE LARGE COMPANY GROWTH EQUITY INVESTMENT FUND CLASS P		90262L669	477.90	.00	.00
12/20/04	Sell	31	UBS PACE LARGE COMPANY GROWTH EQUITY INVESTMENT FUND CLASS P		90262L669	477.90	.00	.00
12/27/04	Sell	27	UBS PACE LARGE COMPANY GROWTH EQUITY INVESTMENT FUND CLASS P		90262L669	424.80	.00	.00
12/29/04	Sell	30	UBS PACE LARGE COMPANY GROWTH EQUITY INVESTMENT FUND CLASS P		90262L669	477.90	.00	.00
03/17/04	Sell	2	UBS PACE INTL EMERGING MKTS EQUITY INVESTMENT FUND CLASS P		90262L776	36.00	.00	.00
03/25/04	Sell	6	UBS PACE INTL EMERGING MKTS EQUITY INVESTMENT FUND CLASS P		90262L776	81.00	.00	.00
03/29/04	Sell	6	UBS PACE INTL EMERGING MKTS EQUITY INVESTMENT FUND CLASS P		90262L776	81.00	.00	.00

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UBS Financial Services Inc.

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P11G000338-X1

Proceeds from Broker Transactions Details Reported on Form 1099-B - continued

"Net proceeds" is the amount reported to the IRS. Accrued interest is reported on Form 1099-INT and details are also included in that Form's detail section.

Date of sale or activity (Line #1a)	Activity	Quantity/ Face value/ Shares exchanged	Description (Line #7)	Class of stock exchanged (Line #6)	Cusip number (Line #1b)	Net proceeds (Line #2)	Accrued interest	Federal income tax withheld (Line #4)
04/22/04	Sell	7	UBS PACE INTL EMERGING MKTS EQUITY INVESTMENT FUND CLASS P		90262L776	90.00	.00	.00
04/26/04	Sell	6	UBS PACE INTL EMERGING MKTS EQUITY INVESTMENT FUND CLASS P		90262L776	81.00	.00	.00
04/28/04	Sell	6	UBS PACE INTL EMERGING MKTS EQUITY INVESTMENT FUND CLASS P		90262L776	81.00	.00	.00
04/28/04	Sell	9	UBS PACE INTL EMERGING MKTS EQUITY INVESTMENT FUND CLASS P		90262L776	108.00	.00	.00
05/17/04	Sell	12	UBS PACE INTL EMERGING MKTS EQUITY INVESTMENT FUND CLASS P		90262L776	126.00	.00	.00
05/26/04	Sell	7	UBS PACE INTL EMERGING MKTS EQUITY INVESTMENT FUND CLASS P		90262L776	81.00	.00	.00
06/07/04	Sell	13	UBS PACE INTL EMERGING MKTS EQUITY INVESTMENT FUND CLASS P		90262L776	144.00	.00	.00
06/28/04	Sell	7	UBS PACE INTL EMERGING MKTS EQUITY INVESTMENT FUND CLASS P		90262L776	81.00	.00	.00
07/01/04	Sell	3	UBS PACE INTL EMERGING MKTS EQUITY INVESTMENT FUND CLASS P		90262L776	35.59	.00	.00
08/25/04	Sell	2	UBS PACE INTL EMERGING MKTS EQUITY INVESTMENT FUND CLASS P		90262L776	27.00	.00	.00
08/27/04	Sell	7	UBS PACE INTL EMERGING MKTS EQUITY INVESTMENT FUND CLASS P		90262L776	81.00	.00	.00
09/24/04	Sell	4	UBS PACE INTL EMERGING MKTS EQUITY INVESTMENT FUND CLASS P		90262L776	54.00	.00	.00

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UBS Financial Services Inc.

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P11G000338-X1

Proceeds from Broker Transactions Details Reported on Form 1099-B - continued

"Net proceeds" is the amount reported to the IRS. Accrued interest is reported on Form 1099-INT and details are also included in that Form's detail section

Date of sale or activity (Line #1a)	Activity	Quantity/ Face value/ Shares exchanged	Description (Line #7)	Class of stock exchanged (Line #6)	Cusip number (Line #1b)	Net proceeds (Line #2)	Accrued interest	Federal income tax withheld (Line #4)
09/27/04	Sell	1	UBS PACE INTL EMERGING MKTS EQUITY INVESTMENT FUND CLASS P		90262L776	19.80	.00	.00
09/28/04	Sell	7	UBS PACE INTL EMERGING MKTS EQUITY INVESTMENT FUND CLASS P		90262L776	81.00	.00	.00
10/20/04	Sell	7	UBS PACE INTL EMERGING MKTS EQUITY INVESTMENT FUND CLASS P		90262L776	90.00	.00	.00
10/26/04	Sell	5	UBS PACE INTL EMERGING MKTS EQUITY INVESTMENT FUND CLASS P		90262L776	63.00	.00	.00
10/27/04	Sell	6	UBS PACE INTL EMERGING MKTS EQUITY INVESTMENT FUND CLASS P		90262L776	81.00	.00	.00
11/23/04	Sell	8	UBS PACE INTL EMERGING MKTS EQUITY INVESTMENT FUND CLASS P		90262L776	108.00	.00	.00
11/26/04	Sell	6	UBS PACE INTL EMERGING MKTS EQUITY INVESTMENT FUND CLASS P		90262L776	81.00	.00	.00
12/20/04	Sell	6	UBS PACE INTL EMERGING MKTS EQUITY INVESTMENT FUND CLASS P		90262L776	81.00	.00	.00
12/27/04	Sell	5	UBS PACE INTL EMERGING MKTS EQUITY INVESTMENT FUND CLASS P		90262L776	72.00	.00	.00
12/29/04	Sell	6	UBS PACE INTL EMERGING MKTS EQUITY INVESTMENT FUND CLASS P		90262L776	81.00	.00	.00
03/17/04	Sell	14	UBS PACE LARGE COMPANY VALUE EQUITY INVESTMENT FUND CLASS P		90262L610	249.80	.00	.00
03/25/04	Sell	32	UBS PACE LARGE COMPANY VALUE EQUITY INVESTMENT FUND CLASS P		90262L610	562.05	.00	.00

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UBS Financial Services Inc.

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P11G000340-X1

Proceeds from Broker Transactions Details Reported on Form 1099-B - continued

"Net proceeds" is the amount reported to the IRS. Accrued interest is reported on Form 1099-INT and details are also included in that Form's detail section

Date of sale or activity (Line #1a)	Activity	Quantity/ Face value/ Shares exchanged	Description (Line #7)	Class of stock exchanged (Line #6)	Cusip number (Line #1b)	Net proceeds (Line #2)	Accrued interest	Federal income tax withheld (Line #4)
03/29/04	Sell	31	UBS PACE LARGE COMPANY VALUE EQUITY INVESTMENT FUND CLASS P		90262L610	562.05	.00	.00
04/22/04	Sell	34	UBS PACE LARGE COMPANY VALUE EQUITY INVESTMENT FUND CLASS P		90262L610	624.50	.00	.00
04/26/04	Sell	31	UBS PACE LARGE COMPANY VALUE EQUITY INVESTMENT FUND CLASS P		90262L610	562.05	.00	.00
04/28/04	Sell	31	UBS PACE LARGE COMPANY VALUE EQUITY INVESTMENT FUND CLASS P		90262L610	562.05	.00	.00
04/29/04	Sell	42	UBS PACE LARGE COMPANY VALUE EQUITY INVESTMENT FUND CLASS P		90262L610	749.40	.00	.00
05/17/04	Sell	51	UBS PACE LARGE COMPANY VALUE EQUITY INVESTMENT FUND CLASS P		90262L610	874.30	.00	.00
05/26/04	Sell	32	UBS PACE LARGE COMPANY VALUE EQUITY INVESTMENT FUND CLASS P		90262L610	562.05	.00	.00
06/07/04	Sell	55	UBS PACE LARGE COMPANY VALUE EQUITY INVESTMENT FUND CLASS P		90262L610	999.20	.00	.00
06/28/04	Sell	31	UBS PACE LARGE COMPANY VALUE EQUITY INVESTMENT FUND CLASS P		90262L610	562.05	.00	.00
07/01/04	Sell	45	UBS PACE LARGE COMPANY VALUE EQUITY INVESTMENT FUND CLASS P		90262L610	801.03	.00	.00
08/25/04	Sell	10	UBS PACE LARGE COMPANY VALUE EQUITY INVESTMENT FUND CLASS P		90262L610	187.35	.00	.00
08/27/04	Sell	31	UBS PACE LARGE COMPANY VALUE EQUITY INVESTMENT FUND CLASS P		90262L610	562.05	.00	.00

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UBS Financial Services Inc.

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P11G000341-X1

Proceeds from Broker Transactions Details Reported on Form 1099-B - continued

"Net proceeds" is the amount reported to the IRS. Accrued interest is reported on Form 1099-INT and details are also included in that Form's detail section

Date of sale or activity (Line #1a)	Activity	Quantity/ Face value/ Shares exchanged	Description (Line #7)	Class of stock exchanged (Line #6)	Cusip number (Line #1b)	Net proceeds (Line #2)	Accrued interest	Federal income tax withheld (Line #4)
09/24/04	Sell	20	UBS PACE LARGE COMPANY VALUE EQUITY INVESTMENT FUND CLASS P		90262L610	374.70	.00	.00
09/27/04	Sell	7	UBS PACE LARGE COMPANY VALUE EQUITY INVESTMENT FUND CLASS P		90262L610	137.39	.00	.00
09/28/04	Sell	31	UBS PACE LARGE COMPANY VALUE EQUITY INVESTMENT FUND CLASS P		90262L610	562.05	.00	.00
10/20/04	Sell	35	UBS PACE LARGE COMPANY VALUE EQUITY INVESTMENT FUND CLASS P		90262L610	624.50	.00	.00
10/26/04	Sell	24	UBS PACE LARGE COMPANY VALUE EQUITY INVESTMENT FUND CLASS P		90262L610	437.15	.00	.00
10/27/04	Sell	31	UBS PACE LARGE COMPANY VALUE EQUITY INVESTMENT FUND CLASS P		90262L610	562.05	.00	.00
11/23/04	Sell	39	UBS PACE LARGE COMPANY VALUE EQUITY INVESTMENT FUND CLASS P		90262L610	749.40	.00	.00
11/26/04	Sell	29	UBS PACE LARGE COMPANY VALUE EQUITY INVESTMENT FUND CLASS P		90262L610	562.05	.00	.00
12/20/04	Sell	29	UBS PACE LARGE COMPANY VALUE EQUITY INVESTMENT FUND CLASS P		90262L610	562.05	.00	.00
12/27/04	Sell	25	UBS PACE LARGE COMPANY VALUE EQUITY INVESTMENT FUND CLASS P		90262L610	499.60	.00	.00
12/29/04	Sell	28	UBS PACE LARGE COMPANY VALUE EQUITY INVESTMENT FUND CLASS P		90262L610	562.05	.00	.00
03/17/04	Sell	10	UBS PACE INTERNATIONAL EQUITY INVESTMENT FUND CLASS P		90262L727	144.00	.00	.00

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UBS Financial Services Inc.

2004 Consolidated Form 1099

P11G000342-X1

Proceeds from Broker Transactions Details Reported on Form 1099-B - continued

"Net proceeds" is the amount reported to the IRS. Accrued interest is reported on Form 1099-INT and details are also included in that Form's detail section

Date of sale or activity (Line #1a)	Activity	Quantity/ Face value/ Shares exchanged	Description (Line #2)	Class of stock exchanged (Line #6)	Cusip number (Line #1b)	Net proceeds (Line #2)	Accrued interest	Federal income tax withheld (Line #4)
03/25/04	Sell	24	UBS PACE INTERNATIONAL EQUITY INVESTMENT FUND CLASS P		90262L727	324.00	.00	.00
03/29/04	Sell	24	UBS PACE INTERNATIONAL EQUITY INVESTMENT FUND CLASS P		90262L727	324.00	.00	.00
04/22/04	Sell	27	UBS PACE INTERNATIONAL EQUITY INVESTMENT FUND CLASS P		90262L727	360.00	.00	.00
04/26/04	Sell	24	UBS PACE INTERNATIONAL EQUITY INVESTMENT FUND CLASS P		90262L727	324.00	.00	.00
04/28/04	Sell	24	UBS PACE INTERNATIONAL EQUITY INVESTMENT FUND CLASS P		90262L727	324.00	.00	.00
04/29/04	Sell	32	UBS PACE INTERNATIONAL EQUITY INVESTMENT FUND CLASS P		90262L727	432.00	.00	.00
05/17/04	Sell	41	UBS PACE INTERNATIONAL EQUITY INVESTMENT FUND CLASS P		90262L727	504.00	.00	.00
05/28/04	Sell	25	UBS PACE INTERNATIONAL EQUITY INVESTMENT FUND CLASS P		90262L727	324.00	.00	.00
06/07/04	Sell	43	UBS PACE INTERNATIONAL EQUITY INVESTMENT FUND CLASS P		90262L727	576.00	.00	.00
06/28/04	Sell	24	UBS PACE INTERNATIONAL EQUITY INVESTMENT FUND CLASS P		90262L727	324.00	.00	.00
07/01/04	Sell	34	UBS PACE INTERNATIONAL EQUITY INVESTMENT FUND CLASS P		90262L727	485.55	.00	.00
08/25/04	Sell	8	UBS PACE INTERNATIONAL EQUITY INVESTMENT FUND CLASS P		90262L727	108.00	.00	.00

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UBS Financial Services Inc.

2004 Consolidated Form 1099

P11C000343-X1

Proceeds from Broker Transactions Details Reported on Form 1099-B - continued

"Net proceeds" is the amount reported to the IRS. Accrued interest is reported on Form 1099-INT and details are also included in that Form's detail section.

Date of sale or activity (Line #1a)	Activity	Quantity/ Face value/ Shares exchanged	Description (Line #7)	Class of stock exchanged (Line #8)	Cusip number (Line #1b)	Net proceeds (Line #2)	Accrued interest	Federal income tax withheld (Line #4)
08/27/04	Sell	24	UBS PACE INTERNATIONAL EQUITY INVESTMENT FUND CLASS P		90262L727	324.00	.00	.00
09/24/04	Sell	16	UBS PACE INTERNATIONAL EQUITY INVESTMENT FUND CLASS P		90262L727	216.00	.00	.00
09/27/04	Sell	6	UBS PACE INTERNATIONAL EQUITY INVESTMENT FUND CLASS P		90262L727	79.20	.00	.00
09/28/04	Sell	24	UBS PACE INTERNATIONAL EQUITY INVESTMENT FUND CLASS P		90262L727	324.00	.00	.00
10/20/04	Sell	26	UBS PACE INTERNATIONAL EQUITY INVESTMENT FUND CLASS P		90262L727	360.00	.00	.00
10/26/04	Sell	18	UBS PACE INTERNATIONAL EQUITY INVESTMENT FUND CLASS P		90262L727	252.00	.00	.00
10/27/04	Sell	23	UBS PACE INTERNATIONAL EQUITY INVESTMENT FUND CLASS P		90262L727	324.00	.00	.00
11/23/04	Sell	29	UBS PACE INTERNATIONAL EQUITY INVESTMENT FUND CLASS P		90262L727	432.00	.00	.00
11/26/04	Sell	22	UBS PACE INTERNATIONAL EQUITY INVESTMENT FUND CLASS P		90262L727	324.00	.00	.00
12/20/04	Sell	21	UBS PACE INTERNATIONAL EQUITY INVESTMENT FUND CLASS P		90262L727	324.00	.00	.00
12/27/04	Sell	19	UBS PACE INTERNATIONAL EQUITY INVESTMENT FUND CLASS P		90262L727	288.00	.00	.00
12/29/04	Sell	21	UBS PACE INTERNATIONAL EQUITY INVESTMENT FUND CLASS P		90262L727	324.00	.00	.00

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UBS Financial Services Inc.

2004 Consolidated Form 1099

P11G000344-X1

Proceeds from Broker Transactions Details Reported on Form 1099-B - continued

"Net proceeds" is the amount reported to the IRS. Accrued interest is reported on Form 1099-INT and details are also included in that Form's detail section

Date of sale or activity (Line #1a)	Activity	Quantity/ Face value/ Shares exchanged	Description (Line #7)	Class of stock exchanged (Line #8)	Cusip number (Line #1b)	Net proceeds (Line #2)	Accrued interest	Federal income tax withheld (Line #4)
03/17/04	Sell	4	UBS PACE SMALL/MEDIUM CO GROWTH EQUITY INVESTMENT FUND CLASS P		90262L495	63.40	.00	.00
03/25/04	Sell	9	UBS PACE SMALL/MEDIUM CO GROWTH EQUITY INVESTMENT FUND CLASS P		90262L495	142.65	.00	.00
03/29/04	Sell	9	UBS PACE SMALL/MEDIUM CO GROWTH EQUITY INVESTMENT FUND CLASS P		90262L495	142.65	.00	.00
04/22/04	Sell	10	UBS PACE SMALL/MEDIUM CO GROWTH EQUITY INVESTMENT FUND CLASS P		90262L495	158.50	.00	.00
04/26/04	Sell	9	UBS PACE SMALL/MEDIUM CO GROWTH EQUITY INVESTMENT FUND CLASS P		90262L495	142.65	.00	.00
04/28/04	Sell	9	UBS PACE SMALL/MEDIUM CO GROWTH EQUITY INVESTMENT FUND CLASS P		90262L495	142.65	.00	.00
04/29/04	Sell	12	UBS PACE SMALL/MEDIUM CO GROWTH EQUITY INVESTMENT FUND CLASS P		90262L495	190.20	.00	.00
05/17/04	Sell	15	UBS PACE SMALL/MEDIUM CO GROWTH EQUITY INVESTMENT FUND CLASS P		90262L495	221.90	.00	.00
05/26/04	Sell	9	UBS PACE SMALL/MEDIUM CO GROWTH EQUITY INVESTMENT FUND CLASS P		90262L495	142.65	.00	.00
06/07/04	Sell	16	UBS PACE SMALL/MEDIUM CO GROWTH EQUITY INVESTMENT FUND CLASS P		90262L495	253.60	.00	.00
06/28/04	Sell	9	UBS PACE SMALL/MEDIUM CO GROWTH EQUITY INVESTMENT FUND CLASS P		90262L495	142.65	.00	.00
07/01/04	Sell	12	UBS PACE SMALL/MEDIUM CO GROWTH EQUITY INVESTMENT FUND CLASS P		90262L495	194.92	.00	.00

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UBS Financial Services Inc.

2004 Consolidated Form 1099

P11G000345-X1

Proceeds from Broker Transactions Details Reported on Form 1099-B - continued

"Net proceeds" is the amount reported to the IRS. Accrued interest is reported on Form 1099-INT and details are also included in that Form's detail section.

Date of sale or activity (Line #1a)	Activity	Quantity/ Face value/ Shares exchanged	Description (Line #7)	Class of stock exchanged (Line #6)	Cusip number (Line #1b)	Net proceeds (Line #2)	Accrued interest	Federal income tax withheld (Line #4)
08/25/04	Sell	3	UBS PACE SMALL/MEDIUM CO GROWTH EQUITY INVESTMENT FUND CLASS P		90262L495	47.55	.00	.00
08/27/04	Sell	10	UBS PACE SMALL/MEDIUM CO GROWTH EQUITY INVESTMENT FUND CLASS P		90262L495	142.65	.00	.00
09/24/04	Sell	6	UBS PACE SMALL/MEDIUM CO GROWTH EQUITY INVESTMENT FUND CLASS P		90262L495	95.10	.00	.00
09/27/04	Sell	2	UBS PACE SMALL/MEDIUM CO GROWTH EQUITY INVESTMENT FUND CLASS P		90262L495	34.87	.00	.00
09/28/04	Sell	9	UBS PACE SMALL/MEDIUM CO GROWTH EQUITY INVESTMENT FUND CLASS P		90262L495	142.65	.00	.00
10/20/04	Sell	10	UBS PACE SMALL/MEDIUM CO GROWTH EQUITY INVESTMENT FUND CLASS P		90262L495	158.50	.00	.00
10/26/04	Sell	7	UBS PACE SMALL/MEDIUM CO GROWTH EQUITY INVESTMENT FUND CLASS P		90262L495	110.95	.00	.00
10/27/04	Sell	9	UBS PACE SMALL/MEDIUM CO GROWTH EQUITY INVESTMENT FUND CLASS P		90262L495	142.65	.00	.00
11/23/04	Sell	11	UBS PACE SMALL/MEDIUM CO GROWTH EQUITY INVESTMENT FUND CLASS P		90262L495	190.20	.00	.00
11/26/04	Sell	8	UBS PACE SMALL/MEDIUM CO GROWTH EQUITY INVESTMENT FUND CLASS P		90262L495	142.65	.00	.00
12/20/04	Sell	8	UBS PACE SMALL/MEDIUM CO GROWTH EQUITY INVESTMENT FUND CLASS P		90262L495	142.65	.00	.00
12/27/04	Sell	7	UBS PACE SMALL/MEDIUM CO GROWTH EQUITY INVESTMENT FUND CLASS P		90262L495	126.80	.00	.00

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UBS Financial Services Inc.

2004 Consolidated Form 1099

P11C000348-X1

Proceeds from Broker Transactions Details Reported on Form 1099-B - continued

"Net proceeds" is the amount reported to the IRS. Accrued interest is reported on Form 1099-INT and details are also included in that Form's detail section.

Date of sale or activity (Line #1a)	Activity	Quantity/ Face value/ Shares exchanged	Description (Line #7)	Class of stock exchanged (Line #6)	Cusip number (Line #1b)	Net proceeds (Line #2)	Accrued interest	Federal income tax withheld (Line #4)
12/29/04	Sell	8	UBS PACE SMALL/MEDIUM CO GROWTH EQUITY INVESTMENT FUND CLASS P		90262L495	142.65	.00	.00
03/17/04	Sell	3	UBS PACE SMALL/MEDIUM CO VALUE EQUITY INVESTMENT FUND CLASS P		90262L448	74.40	.00	.00
03/25/04	Sell	8	UBS PACE SMALL/MEDIUM CO VALUE EQUITY INVESTMENT FUND CLASS P		90262L448	167.40	.00	.00
03/29/04	Sell	8	UBS PACE SMALL/MEDIUM CO VALUE EQUITY INVESTMENT FUND CLASS P		90262L448	167.40	.00	.00
04/22/04	Sell	9	UBS PACE SMALL/MEDIUM CO VALUE EQUITY INVESTMENT FUND CLASS P		90262L448	188.00	.00	.00
04/26/04	Sell	8	UBS PACE SMALL/MEDIUM CO VALUE EQUITY INVESTMENT FUND CLASS P		90262L448	167.40	.00	.00
04/28/04	Sell	8	UBS PACE SMALL/MEDIUM CO VALUE EQUITY INVESTMENT FUND CLASS P		90262L448	167.40	.00	.00
04/29/04	Sell	11	UBS PACE SMALL/MEDIUM CO VALUE EQUITY INVESTMENT FUND CLASS P		90262L448	223.20	.00	.00
05/17/04	Sell	13	UBS PACE SMALL/MEDIUM CO VALUE EQUITY INVESTMENT FUND CLASS P		90262L448	260.40	.00	.00
05/26/04	Sell	8	UBS PACE SMALL/MEDIUM CO VALUE EQUITY INVESTMENT FUND CLASS P		90262L448	167.40	.00	.00
06/07/04	Sell	14	UBS PACE SMALL/MEDIUM CO VALUE EQUITY INVESTMENT FUND CLASS P		90262L448	297.60	.00	.00
06/28/04	Sell	8	UBS PACE SMALL/MEDIUM CO VALUE EQUITY INVESTMENT FUND CLASS P		90262L448	167.40	.00	.00

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UBS Financial Services Inc.

2004 Consolidated Form 1099

P11G000347-X1

Proceeds from Broker Transactions Details Reported on Form 1099-B - continued

"Net proceeds" is the amount reported to the IRS. Accrued interest is reported on Form 1099-INT and details are also included in that Form's detail section

Date of sale or activity (Line #1a)	Activity	Quantity/ Face value/ Shares exchanged	Description (Line #7)	Class of stock exchanged (Line #6)	Cusip number (Line #1b)	Net proceeds (Line #2)	Accrued interest	Federal income tax withheld (Line #4)
07/01/04	Sell	13	UBS PACE SMALL/MEDIUM CO VALUE EQUITY INVESTMENT FUND CLASS P		90262L446	286.41	.00	.00
08/25/04	Sell	2	UBS PACE SMALL/MEDIUM CO VALUE EQUITY INVESTMENT FUND CLASS P		90262L446	55.80	.00	.00
08/27/04	Sell	8	UBS PACE SMALL/MEDIUM CO VALUE EQUITY INVESTMENT FUND CLASS P		90262L446	167.40	.00	.00
09/24/04	Sell	5	UBS PACE SMALL/MEDIUM CO VALUE EQUITY INVESTMENT FUND CLASS P		90262L446	111.60	.00	.00
09/27/04	Sell	2	UBS PACE SMALL/MEDIUM CO VALUE EQUITY INVESTMENT FUND CLASS P		90262L446	40.92	.00	.00
09/28/04	Sell	8	UBS PACE SMALL/MEDIUM CO VALUE EQUITY INVESTMENT FUND CLASS P		90262L446	167.40	.00	.00
10/20/04	Sell	9	UBS PACE SMALL/MEDIUM CO VALUE EQUITY INVESTMENT FUND CLASS P		90262L446	186.00	.00	.00
10/26/04	Sell	6	UBS PACE SMALL/MEDIUM CO VALUE EQUITY INVESTMENT FUND CLASS P		90262L446	130.20	.00	.00
10/27/04	Sell	8	UBS PACE SMALL/MEDIUM CO VALUE EQUITY INVESTMENT FUND CLASS P		90262L446	167.40	.00	.00
11/23/04	Sell	10	UBS PACE SMALL/MEDIUM CO VALUE EQUITY INVESTMENT FUND CLASS P		90262L446	223.20	.00	.00
11/26/04	Sell	7	UBS PACE SMALL/MEDIUM CO VALUE EQUITY INVESTMENT FUND CLASS P		90262L446	167.40	.00	.00
12/20/04	Sell	8	UBS PACE SMALL/MEDIUM CO VALUE EQUITY INVESTMENT FUND CLASS P		90262L446	167.40	.00	.00

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UBS Financial Services Inc.

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P11G000348-X1

Proceeds from Broker Transactions Details Reported on Form 1099-B - continued

"Net proceeds" is the amount reported to the IRS. Accrued interest is reported on Form 1099-INT and details are also included in that Form's detail section.

Date of sale or activity (Line #1a)	Activity	Quantity/ Face value/ Shares exchanged	Description (Line #7)	Class of stock exchanged (Line #6)	Cusip number (Line #1b)	Net proceeds (Line #2)	Accrued interest	Federal income tax withheld (Line #4)
12/27/04	Sell	7	UBS PACE SMALL/MEDIUM CO VALUE EQUITY INVESTMENT FUND CLASS P		90262L446	148.80	.00	.00
12/29/04	Sell	8	UBS PACE SMALL/MEDIUM CO VALUE EQUITY INVESTMENT FUND CLASS P		90262L446	167.40	.00	.00
03/17/04	Sell	40	UBS PACE MONEY MARKET INVESTMENT FUND CLASS P		90262L370	40.00	.00	.00
03/25/04	Sell	80	UBS PACE MONEY MARKET INVESTMENT FUND CLASS P		90262L370	80.00	.00	.00
03/29/04	Sell	80	UBS PACE MONEY MARKET INVESTMENT FUND CLASS P		90262L370	80.00	.00	.00
04/22/04	Sell	100	UBS PACE MONEY MARKET INVESTMENT FUND CLASS P		90262L370	100.00	.00	.00
04/26/04	Sell	90	UBS PACE MONEY MARKET INVESTMENT FUND CLASS P		90262L370	90.00	.00	.00
04/28/04	Sell	90	UBS PACE MONEY MARKET INVESTMENT FUND CLASS P		90262L370	90.00	.00	.00
04/29/04	Sell	120	UBS PACE MONEY MARKET INVESTMENT FUND CLASS P		90262L370	120.00	.00	.00
05/17/04	Sell	140	UBS PACE MONEY MARKET INVESTMENT FUND CLASS P		90262L370	140.00	.00	.00
05/28/04	Sell	90	UBS PACE MONEY MARKET INVESTMENT FUND CLASS P		90262L370	90.00	.00	.00
06/07/04	Sell	160	UBS PACE MONEY MARKET INVESTMENT FUND CLASS P		90262L370	160.00	.00	.00
06/28/04	Sell	90	UBS PACE MONEY MARKET INVESTMENT FUND CLASS P		90262L370	90.00	.00	.00
07/01/04	Sell	116	UBS PACE MONEY MARKET INVESTMENT FUND CLASS P		90262L370	116.59	.00	.00
08/25/04	Sell	30	UBS PACE MONEY MARKET INVESTMENT FUND CLASS P		90262L370	30.00	.00	.00
08/27/04	Sell	90	UBS PACE MONEY MARKET INVESTMENT FUND CLASS P		90262L370	90.00	.00	.00
09/14/04	Sell	500	UBS PACE MONEY MARKET INVESTMENT FUND CLASS P		90262L370	500.00	.00	.00

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UBS Financial Services Inc.

2004 Consolidated Form 1099

P11G000348-X1

Proceeds from Broker Transactions Details Reported on Form 1099-B - continued

"Net proceeds" is the amount reported to the IRS. Accrued interest is reported on Form 1099-INT and details are also included in that Form's detail section

Date of sale or activity (Line #1a)	Activity	Quantity/ Face value/ Shares exchanged	Description (Line #7)	Class of stock exchanged (Line #8)	Cusip number (Line #1b)	Net proceeds (Line #2)	Accrued interest	Federal income tax withheld (Line #4)
09/24/04	Sell	60	UBS PACE MONEY MARKET INVESTMENT FUND CLASS P		90262L370	60.00	.00	.00
09/27/04	Sell	22	UBS PACE MONEY MARKET INVESTMENT FUND CLASS P		90262L370	22.00	.00	.00
09/28/04	Sell	90	UBS PACE MONEY MARKET INVESTMENT FUND CLASS P		90262L370	90.00	.00	.00
10/20/04	Sell	100	UBS PACE MONEY MARKET INVESTMENT FUND CLASS P		90262L370	100.00	.00	.00
10/26/04	Sell	70	UBS PACE MONEY MARKET INVESTMENT FUND CLASS P		90262L370	70.00	.00	.00
10/27/04	Sell	90	UBS PACE MONEY MARKET INVESTMENT FUND CLASS P		90262L370	90.00	.00	.00
11/23/04	Sell	120	UBS PACE MONEY MARKET INVESTMENT FUND CLASS P		90262L370	120.00	.00	.00
11/26/04	Sell	90	UBS PACE MONEY MARKET INVESTMENT FUND CLASS P		90262L370	90.00	.00	.00
12/20/04	Sell	90	UBS PACE MONEY MARKET INVESTMENT FUND CLASS P		90262L370	90.00	.00	.00
12/27/04	Sell	80	UBS PACE MONEY MARKET INVESTMENT FUND CLASS P		90262L370	80.00	.00	.00
12/29/04	Sell	90	UBS PACE MONEY MARKET INVESTMENT FUND CLASS P		90262L370	90.00	.00	.00
			Total			$ 151,354.73	$ 783.32	$ 0.00

Other Income Details Not Reported to the Internal Revenue Service

** Applicable to Tax-exempt Original Issue Discount information only*

Activity	Description	Cusip number	*Days held	*Quantity/ Face amount	Payment Date	Tax year	*Amortized acquisition premium	Subject to AMT	Amount
Tax-exempt accrued interest	FAYETTE CNTY KY SD FIN A AMBAC OID98.9386 BE/R/ 5.125 040118 DTD 041501				01/09/04	2004			73.32
	MUHLENBERG CO KY JUSTICE CTR CORP REV BQ B/E /R/ 4.500 090120 DTD 120102				01/09/04	2004			83.13

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UBS Financial Services Inc.

2004 Consolidated Form 1099

F11G000350-X1

Other Income Details Not Reported to the Internal Revenue Service - continued

*Applicable to Tax-exempt Original Issue Discount information only

Activity	Description	Cusip number	*Days held	*Quantity/ Face amount	Payment Date	Tax year	*Amortized acquisition premium	Subject to AMT	Amount
Tax-exempt accrued interest	POWELL CNTY KY S/D FIN BO REV B/E /R/ 3.750 080114 DTD 110102				01/09/04	2004			84.90
	Total Tax-exempt accrued interest								$ 241.35

UBS Financial Services Inc.
307 SOUTH ASHLAND AVENUE
LEXINGTON, KY 40502

Investment Account

Account Number:

Account Type: ACCESS

CYNTHIA DOYLE ROGERS LIVING TR
CYNTHIA D ROGERS TRUSTEE
DTD 08/20/01
LAZARD FRERES GL-IV

4164

December 2004

This month at a glance

Value on November 30	$	106,440.76
Value on December 31	**$**	**111,077.57**
Money fund and other sweep option balance in 12/31 value	$	4,679.68

Earnings summary

Earnings below are classified as taxable or non-taxable based on the tax status for the specific security on which the earnings are received and does not attempt to reflect your account's tax status or reporting requirements. Use only official tax reporting documents (e.g. 1099) for tax reporting purposes. The classification of private investment distributions can only be determined by referring to the official year-end tax reporting document provided by the issuer.

		Current period		*Year-to-date*
Taxable				
UBS Money fund dividends	$	6.66	$	34.68
Other dividends		217.10		2,659.47
Total taxable security earnings	**$**	**223.76**	**$**	**2,694.15**
Total current year security earnings	**$**	**223.76**	**$**	**2,694.15**
Prior year(s) adjustments		.00		10.13
Net security earnings	**$**	**223.76**	**$**	**2,704.28**
Return of capital/principal		.00		16.71

Withholdings and tax summary

		Current period		*Year-to-date*
Foreign taxes paid		-14.00		-228.20
Prior year(s) adjustment		.00		-1.44
Total withholdings and taxes	**$**	**-14.00**	**$**	**-229.64**

Account Instructions
Your ACCESS account is managed by
UBS INTL EQ.

Bulletin Board
VISIT OUR WEB SITE AT WWW.UBS.COM.

Asset summary

Refer to the disclosure on the back of the first page for information on assets excluded from this summary.

	% of portfolio		*Value*
Equities	95.79		106,397.89
Money funds/sweep options	4.21		4,679.68
Net invested assets	**100.00**	**$**	**111,077.57**
Value on December 31		**$**	**111,077.57**

Investment objectives

The following return objective and risk profile(s) describe overall goals for this account. For each account held, you choose one return objective and one primary risk profile, and if applicable, a secondary risk profile. A full description of the alternatives is included on the back of the first page. The return objective and risk profile listed should be consistent with those reflected on your managed account documents. The risk profile is based on our analysis of several answers to your managed profile questionnaire. If the information below does not correctly reflect the information you provided or if you have any questions regarding these objectives, disagree with or wish to change them, please notify your Financial Advisor or Branch Manager at your branch office, in writing or by telephone.

Return objective: Current income & capital appreciation

Risk profile: Primary: Conservative Secondary: None selected

Activity highlights

	Current period	Year-to-date
Withholdings and taxes paid	$ -14.00	$ -229.64
Professional management fees and related services	.00	-2,739.76
Miscellaneous charges and adjustments	-3.02	546.02
Net change from activity listed above	**$ -17.02**	**$ -2,423.38**

Portfolio summary

	Current period	Year-to-date
Opening value	$ 106,440.76	$ 97,039.08
Net change from Activity Highlights	-17.02	-2,423.38
Net security earnings	223.78	2,704.28
Change in value of investments	4,430.07	13,757.59
Value on December 31	**$ 111,077.57**	**$ 111,077.57**

Asset portfolio

When available, prices, income and current values may be approximate and thus gains/losses may not be accurately reflected. An asterisk (*) indicates the calculation of unrealized gains/losses based upon a UBS Financial Services adjustment to cost basis. The number "1" indicates cost basis information has been provided by a source other than UBS Financial Services. See the back of the first page for additional information. Gains/losses are not calculated for zero coupon investments. "Pending adjustments" or "P/A" indicates cost basis has not yet been allocated as a result of a spin-off or taxable exchange.

Equities

Common stock

Total shares	Description	Price	Current value	Est. annual income	Trade date	Shares purchased	Purchase price	Cost basis	Unrealized Gain/loss
70	ABN AMRO HLDG NV SPONS NETHERLANDS ADR	26.600	1,862.00	62	03/15/00	19.000	21.413	406	99
					09/18/03	12.000	18.982	227	92

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Investment Account

Statement Period: December 2004

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Common stock - continued

Total shares	Description	Price	Current value	Est. annual income	Trade date	Shares purchased	Purchase price	Cost basis	Unrealized Gain/loss
					09/24/03	17.000	18.796	319	133
					10/12/04	22.000	22.864	503	82
112	ADECCO SA SPON ADR	12.650	1,416.80	16	01/22/03	35.000	7.986	279	183
					01/24/03	40.000	7.850	314	192
					01/27/03	20.000	7.732	154	99
					01/28/03	10.000	7.687	76	50
					01/29/03	7.000	7.500	52	38
51	ASTRAZENECA PLC SPON ADR	36.390	1,855.69	43	03/02/00	28.000	34.166	956	62
					01/22/04	6.000	49.989	299	-80
					11/04/04	5.000	42.896	214	-32
					11/05/04	5.000	43.316	216	-34
					11/08/04	7.000	43.112	301	-46
115	BANCO BILBAO VIZCAYA ARGENTARIA S.A. SPON ADR	17.740	2,040.10	50	12/18/02	91.000	9.888	898	716
					04/07/03	10.000	9.582	95	82
					04/08/03	10.000	9.318	93	84
					04/09/03	4.000	9.507	38	32
120	BANCO SANTANDER CENTL HISPANO S.A SPON ADR	12.370	1,484.40	39	12/18/02	120.000	6.803	816	668
33	BANK OF IRELAND SPON ADR	66.740	2,202.42	72	12/18/02	20.000	40.298	805	529
					08/14/03	5.000	48.045	240	93
					08/21/03	3.000	48.076	144	58
					08/28/03	5.000	47.814	239	94
40	BARCLAYS PLC ADR ADR	45.680	1,827.20	62	01/18/02	20.000	31.863	637	276
					04/24/02	20.000	35.281	705	208
13	BCE INC CANADA	24.130	313.69	13	12/18/02	13.000	17.857	232	81
62	BNP PARIBAS SA ADR	36.224	2,245.88	40	12/18/02	62.000	20.352	1,261	984
31	BOC GROUP PLC SPON ADR	38.190	1,183.89	46	06/12/03	16.000	26.030	416	195
					11/12/03	10.000	29.094	290	91
					11/13/03	5.000	27.803	139	51
49	BP PLC SPON ADR	58.400	2,861.60	81	03/02/00	49.000	48.375	2,370	491
25	BT GROUP PLC SPON ADR	39.530	988.25	41	12/18/02	11.000	30.791	338	96
					04/08/03	14.000	28.019	392	161
46	CANON INC ADR JAPAN	54.260	2,495.96	26	03/06/00	24.000	39.125	939	363
					12/10/02	10.000	38.534	385	157

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Investment Account

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Statement Period: December 2004

Common stock - continued

Total shares	Description	Price	Current value	Est. annual income	Trade date	Shares purchased	Purchase price	Cost basis	Unrealized Gain/loss
					08/17/04	5.000	46.016	230	41
					08/18/04	5.000	46.371	231	40
					08/30/04	2.000	47.884	95	13
53	CREDIT SUISSE GROUP SPON ADR	42.190	2,236.07		05/20/04	20.000	33.766	675	168
					05/21/04	5.000	34.088	170	40
					05/24/04	5.000	34.577	172	38
					05/28/04	11.000	34.693	381	83
					10/12/04	5.000	33.032	165	45
					10/13/04	7.000	33.399	233	62
57	CRH PLC ADR IRELAND ADR	28.780	1,526.46	16	12/18/02	57.000	12.662	721	805
36	DANSKE BAN A/S SPON ADR	30.653	1,103.50	27	03/06/00	36.000	10.200	367	736
49	DIAGEO PLC NEW GB SPON ADR	57.880	2,836.12	99	06/19/03	13.000	47.021	611	141
					07/25/03	3.000	41.599	124	49
					09/24/03	12.000	44.096	529	165
					10/20/03	10.000	46.882	468	110
					10/21/03	5.000	46.362	231	58
					01/22/04	6.000	53.679	322	26
19	ENI SPA IT SPON ADR	125.840	2,390.96	63	03/06/00	19.000	48.761	926	1,464
108	FORTIS NL NEW "MANDATORY EXCHANGE 12/01" SPON ADR	27.661	2,987.38	89	06/12/03	43.000	18.150	780	409
					06/19/03	14.000	18.270	255	132
					07/16/03	25.000	17.662	441	250
					07/17/03	26.000	17.501	455	264
52	FRANCE TELECOM SA SPON ADR	33.080	1,720.18	15	06/12/03	28.000	23.930	670	258
					07/30/03	5.000	25.550	127	38
					08/20/03	2.000	26.248	52	14
					11/04/04	10.000	29.105	291	39
					11/05/04	5.000	29.155	145	20
					11/08/04	2.000	28.804	57	9
64	FUJI PHOTO FILM ADR NEW JAPAN	36.980	2,366.72	12	12/18/02	40.000	29.676	1,187	292
					04/22/03	5.000	25.580	127	57
					04/24/03	3.000	26.568	79	31
					11/12/03	16.000	27.589	441	150
39	GALLAHER GROUP PLC ADR	60.710	2,367.69	85	12/18/02	39.000	37.946	1,479	888

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Investment Account

Statement Period: December 2004

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Common stock - continued

Total shares	Description	Price	Current value	Est. annual income	Trade date	Shares purchased	Purchase price	Cost basis	Unrealized Gain/loss
36	GLAXO SMITHKLINE PLC ADR	47.390	1,658.65	56	03/13/00	16.000	53.738	859	-100
					02/12/02	15.000	50.280	754	-43
					09/30/03	4.000	42.360	169	20
25	HANSON PLC NEW (HOLDING CO) SPON ADR	42.930	1,073.25	38	04/28/03	11.000	26.950	296	176
					05/07/03	14.000	28.390	397	204
111	HONDA MOTOR CO ADR JAPAN	26.060	2,892.66	25	12/18/02	86.000	17.968	1,545	696
					05/20/03	5.000	17.005	85	45
					11/12/03	15.000	20.261	303	87
					11/13/03	5.000	20.120	100	30
17	HSBC HOLDINGS PLC NEW GB SPON ADR	85.140	1,447.38	44	01/17/02	2.000	57.885	115	55
					04/23/02	15.000	59.480	892	385
5	KAO CORP REPSTG 10 SHS COM SPON ADR	255.685	1,278.42	15	10/30/02	3.000	228.643	685	82
					05/27/03	1.000	194.000	194	61
					09/24/03	1.000	224.860	224	31
86	KINGFISHER PLC NEW SPON ADR	11.894	1,022.88	28	12/18/02	86.000	6.540	562	460
35	KONINKLIJKE PHILIPS EL N V NEW 2000 SPON ADR	26.500	927.50	13	12/18/02	35.000	17.526	613	314
51	MITSUBISHI CORP SPONS ADR ADR	25.842	1,317.94	11	12/18/02	42.000	12.279	515	570
					09/24/03	9.000	18.308	164	68
5	MITSUBISHI ESTATE LTD ADR ADR	117.107	585.53	3	12/18/02	3.000	70.857	212	139
					09/24/03	2.000	99.306	198	36
43	NATIONAL GRID TRANSCO PLC SPON ADR	47.990	2,063.57	79	12/18/02	24.000	33.826	811	340
					06/27/03	8.000	34.235	273	110
					11/12/03	10.000	32.381	323	156
					11/13/03	1.000	32.638	32	15
48	NESTLE S A SPONSORED ADR REPSTG REG SHS SWITZ ADR	65.408	3,139.58	56	03/02/00	26.000	43.000	1,118	582
					01/22/03	3.000	51.800	155	41
					05/07/03	5.000	52.270	261	66
					08/13/03	5.000	51.735	258	69
					08/14/03	9.000	51.980	467	121
3	NITTO DENKO CORP UNSPONS ADR	548.453	1,645.35	13	09/01/04	3.000	440.410	1,321	324
109	NOKIA CORP SPONS ADR FINLAND ADR	15.670	1,708.03	33	08/19/02	75.000	13.958	1,046	129
					07/16/03	5.000	17.582	87	-8
					07/17/03	10.000	14.620	146	10

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Investment Account

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Statement Period: December 2004

Common stock - continued

Total shares	Description	Price	Current value	Est. annual income	Trade date	Shares purchased	Purchase price	Cost basis	Unrealized Gain/loss
					09/05/03	19.000	16.641	316	-18
78	NOMURA HOLDINGS INC NEW SPON ADR	14.560	1,135.68	12	12/18/02	28.000	10.853	303	104
					04/25/03	25.000	9.500	237	127
					05/01/03	10.000	10.082	100	45
					05/02/03	15.000	9.963	149	69
100	NTT DOCOMO INC ECH REPSTG 1/200TH COM SHS SPON ADR	18.620	2,010.96	19	08/17/04	5.000	18.658	93	
					08/18/04	20.000	18.998	379	-8
					08/19/04	5.000	19.024	95	-1
					08/20/04	5.000	19.050	95	-1
					08/25/04	5.000	19.203	96	-2
					08/26/04	5.000	18.788	93	
					08/30/04	7.000	18.578	130	
					11/05/04	20.000	17.906	358	14
					11/08/04	20.000	17.550	351	21
					11/09/04	16.000	17.339	277	20
35	PORTUGAL TELECOM SGPS S.A. 1 ADR = 1 ORD SPON ADR	12.310	430.85	8	10/03/03	35.000	8.360	292	138
58	REED ELSEVIER NV REPSTG 2 ORD SHS SPON ADR	27.450	1,592.10	33	12/18/02	58.000	24.370	1,413	179
53	RENTOKIL INITIAL PLC SPON ADR	14.183	751.69	28	12/18/02	28.000	16.766	469	-71
					03/14/03	25.000	13.530	338	16
9	RIO TINTO PLC SPON ADR	119.210	1,072.89	24	12/18/02	9.000	77.531	697	375
22	ROCHE HOLDING LTD SPONS ADR SWITZERLAND	115.117	2,532.57	24	12/18/02	22.000	70.706	1,555	977
51	ROLLS ROYCE GROUP PLC SPON ADR	23.711	1,209.26	14	12/18/02	46.000	8.604	395	695
					04/02/03	5.000	6.410	32	86
10	ROYAL BANK OF CANADA	53.440	534.40	19	12/18/02	10.000	37.032	370	164
65	ROYAL BK SCOTTLAND GROUP ORD GBP 0.250 PV * UNITED KINGDOM	33.638	2,186.34		12/18/02	65.000	22.848	1,485	701
232	ROYAL KPN N.V. SPON ADR	9.560	2,217.92	41	11/05/04	60.000	8.255	495	78
					11/08/04	60.000	8.278	496	77
					11/09/04	70.000	8.323	582	87
					11/10/04	30.000	8.405	252	34

Continued on page 7

Investment Account

Statement Period: December 2004

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Common stock - continued

Total shares	Description	Price	Current value	Est. annual income	Trade date	Shares purchased	Purchase price	Cost basis	Unrealized Gain/loss
					11/11/04	12.000	8.498	101	13
54	SANOFI-AVENTIS SA SPON ADR	40.050	2,162.70	28	12/18/02	14.000	29.495	412	148
					08/25/04	40.000	35.185	1,407	195
100	SCOTTISH & STHN ENERGY PLC SPON ADR	16.751	1,675.10	92	12/18/02	70.000	10.429	730	442
					08/14/03	30.000	10.256	307	195
90	SEKISUI HOUSE LTD SPON ADR	11.652	1,048.68	12	06/26/03	30.000	7.720	231	118
					06/27/03	10.000	7.700	77	39
					06/30/03	15.000	7.729	115	59
					07/01/03	20.000	7.745	154	79
					07/02/03	5.000	7.893	39	19
					07/03/03	10.000	8.227	82	34
49	SHELL TRANS&TRADING PLC NEW YORK SHS NEW ADR	51.400	2,518.60	84	12/18/02	49.000	38.067	1,885	653
37	SVENSKA CELLS AKTBLGT SPONSORED ADR ADR	42.661	1,578.45	42	12/18/02	37.000	33.716	1,247	331
114	TESCO PLC SPONS ADR UNITED KINGDOM	18.632	2,112.64	41	01/22/03	5.000	9.225	46	46
					01/23/03	20.000	9.133	182	188
					01/24/03	15.000	9.050	135	142
					01/27/03	10.000	8.650	86	99
					01/28/03	10.000	8.470	84	101
					01/29/03	5.000	8.250	41	51
					01/30/03	5.000	8.400	42	60
					01/31/03	10.000	8.250	82	103
					02/03/03	5.000	8.650	43	49
					02/04/03	15.000	8.560	128	149
					05/07/03	14.000	9.693	135	124
30	TORONTO DOMINION BK NEW CANADA	41.680	1,250.40	36	06/19/03	10.000	27.939	279	137
					06/24/03	8.000	27.978	223	110
					07/16/03	5.000	27.380	136	72
					07/18/03	7.000	26.740	187	104
21	TOTAL S.A. FRANCE SPON ADR	109.840	2,306.64	78	03/02/00	21.000	66.500	1,396	910
32	TOYOTA MOTOR CORP NEW JAPAN SPON ADR	81.870	2,619.84	28	06/11/03	9.000	52.926	476	260
					07/24/03	8.000	52.599	420	234
					08/14/03	10.000	54.535	545	273

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Investment Account

Statement Period: December 2004

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Common stock - continued

Total shares	Description	Price	Current value	Est. annual income	Trade date	Shares purchased	Purchase price	Cost basis	Unrealized Gain/loss
					08/15/03	5.000	55.836	279	130
66	TPG NV SPON ADR	27.250	1,798.50	34	06/26/03	15.000	17.557	263	145
					06/30/03	10.000	17.149	171	101
					07/02/03	5.000	17.419	87	49
					07/07/03	10.000	17.467	174	98
					07/08/03	5.000	17.547	87	49
					07/09/03	9.000	17.439	156	89
					08/06/03	12.000	19.857	238	89
39	UPM KYMMENE CORP SPON ADR	22.320	870.48	30	12/18/02	39.000	16.737	652	218
44	VNU NV SPON ADR	29.536	1,299.58	22	12/18/02	29.000	25.474	738	118
					04/08/03	5.000	27.939	139	8
					04/09/03	10.000	27.457	274	21
123	VODAFONE GROUP PLC SPON ADR	27.380	3,367.74	68	12/18/02	90.000	18.018	1,621	843
					06/07/03	20.000	18.951	379	168
					08/13/03	13.000	19.137	248	107
40	WESTPAC BANKING LTD SPONSORED ADR AUSTRALIA ADR	76.000	3,040.00	125	12/18/02	40.000	39.534	1,581	1,459
	Total		**$ 106,397.89**	**2,353**				**74,668**	**31,654**

Money funds and other sweep options

Description	Opening balance	Closing balance	Closing share price	Average rate	Dividend/ Interest period	Days in period
RMA MONEY MKT. PORTFOLIO	4,444.03	4,679.68	1.00	1.56%	11/23 - 12/26	34
Total	**$ 4,444.03**	**$ 4,679.68**				

Notice of Availability

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Monthly activity

Cash flow

Date	Activity	Description	Amount
11/30		**Balance forward**	$ 28.91
12/02	FOREIGN DIVIDEND	NTT DOCOMO INC ECH REPSTG 1/200TH COM SHS SPON ADR PAID ON 52	5.02
12/02	FOREIGN TAX WITHHELD	NTT DOCOMO INC ECH REPSTG 1/200TH COM SHS SPON ADR	-.35
12/03	FOREIGN DIVIDEND	HONDA MOTOR CO ADR JAPAN PAID ON 111	15.03
12/03	FOREIGN TAX WITHHELD	HONDA MOTOR CO ADR JAPAN	-1.05
12/03	FOREIGN DIVIDEND	TESCO PLC SPONS ADR UNITED KINGDOM PAID ON 114	14.80
12/03	FEE	TESCO PLC SPONS ADR UNITED KINGDOM	-1.37
12/06	FOREIGN DIVIDEND	BP PLC SPON ADR PAID ON 49	20.87
12/06	FOREIGN DIVIDEND	TOYOTA MOTOR CORP NEW JAPAN SPON ADR PAID ON 32	15.49
12/06	FOREIGN TAX WITHHELD	TOYOTA MOTOR CORP NEW JAPAN SPON ADR	-1.08
12/08	FOREIGN DIVIDEND	KAO CORP REPSTG 10 SHS COM SPON ADR PAID ON 5	9.21
12/08	FOREIGN TAX WITHHELD	KAO CORP REPSTG 10 SHS COM SPON ADR	-.64
12/13	FOREIGN DIVIDEND	NOMURA HOLDINGS INC NEW SPON ADR PAID ON 78	7.53
12/13	FOREIGN TAX WITHHELD	NOMURA HOLDINGS INC NEW SPON ADR	-.53
12/14	FOREIGN DIVIDEND	NIPPON TELEG & TEL CORP SPON ADR PAID ON 49	7.11
12/14	FOREIGN TAX WITHHELD	NIPPON TELEG & TEL CORP SPON ADR	-.50
12/16	FOREIGN DIVIDEND	TOTAL S.A. FRANCE SPON ADR PAID ON 21	33.04
12/16	FOREIGN TAX WITHHELD	TOTAL S.A. FRANCE SPON ADR	-8.26
12/17	FOREIGN DIVIDEND	NITTO DENKO CORP UNSPONS ADR PAID ON 3	7.26
12/17	FOREIGN TAX WITHHELD	NITTO DENKO CORP UNSPONS ADR	-.51
12/17	FEE	NITTO DENKO CORP UNSPONS ADR	-.06
12/20	FOREIGN DIVIDEND	FUJI PHOTO FILM ADR NEW JAPAN PAID ON 64	7.72
12/20	FOREIGN TAX WITHHELD	FUJI PHOTO FILM ADR NEW JAPAN	-.54
12/20	FEE	FUJI PHOTO FILM ADR NEW JAPAN	-.83
12/20	FOREIGN DIVIDEND	MITSUBISHI CORP SPONS ADR ADR PAID ON 51	5.77

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Investment Account

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Statement Period: December 2004

Cash flow - continued

Date	Activity	Description	Amount
12/20	FOREIGN TAX WITHHELD	MITSUBISHI CORP SPONS ADR ADR	-.41
12/20	FEE	MITSUBISHI CORP SPONS ADR ADR	-.66
12/22	FOREIGN DIVIDEND	WESTPAC BANKING LTD SPONSORED ADR AUSTRALIA ADR PAID ON 40	68.37
12/27	DIVIDEND	RMA MONEY MKT. PORTFOLIO AS OF 12/23/04	6.66
12/27	FOREIGN DIVIDEND	MITSUBISHI ESTATE LTD ADR ADR PAID ON 5	1.68
12/27	FOREIGN TAX WITHHELD	MITSUBISHI ESTATE LTD ADR ADR	-.13
12/27	FEE	MITSUBISHI ESTATE LTD ADR ADR	-.10
		Funds used for money fund/sweep trans.	**$ -235.65**
12/31		**Closing balance**	**$ .00**

Money funds and other sweep options

Date	Activity	Money fund/sweep option	Amount
11/30		**Opening RMA Money Mkt. Portfolio**	**$ 4,444.03**
12/01	BOUGHT	RMA MONEY MKT. PORTFOLIO	28.91
12/03	BOUGHT	RMA MONEY MKT. PORTFOLIO	4.67
12/06	BOUGHT	RMA MONEY MKT. PORTFOLIO	27.41
12/07	BOUGHT	RMA MONEY MKT. PORTFOLIO	35.28
12/09	BOUGHT	RMA MONEY MKT. PORTFOLIO	8.57
12/14	BOUGHT	RMA MONEY MKT. PORTFOLIO	7.00
12/15	BOUGHT	RMA MONEY MKT. PORTFOLIO	6.61
12/17	BOUGHT	RMA MONEY MKT. PORTFOLIO	24.78
12/20	BOUGHT	RMA MONEY MKT. PORTFOLIO	6.69
12/21	BOUGHT	RMA MONEY MKT. PORTFOLIO	11.05
12/23	BOUGHT	RMA MONEY MKT. PORTFOLIO	66.37
12/27	BOUGHT	RMA MONEY MKT. PORTFOLIO AS OF 12/23/04	6.66
12/28	BOUGHT	RMA MONEY MKT. PORTFOLIO	1.65
12/31		**Closing RMA Money Mkt. Portfolio**	**$ 4,679.88**
		Sweep option purchased/deposited	**$ 235.65**

UBS Financial Services Inc.
307 SOUTH ASHLAND AVENUE
LEXINGTON, KY 40502

PZ8D00678D-X6 · 1204 - LC - 0

Investment Account

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Account Number:

Account Type: ACCESS

CYNTHIA DOYLE ROGERS LIVING TR
CYNTHIA D ROGERS TRUSTEE
DTD 08/20/01
LAZARD FRERES GL-IV

2004 Year-End Summary
Important Tax-Planning Information

Please keep this statement for use with your tax-reporting documents, e.g., 1099, 5498, etc.

Activity highlights

		Year-to-date
Withholdings and taxes paid	$	-229.64
Professional management fees and related services		-2,739.76
Miscellaneous charges and adjustments		546.02
Net change from activity listed above	**$**	**-2,423.38**

Portfolio summary

		Year-to-date
Opening value	$	97,039.08
Net change from Activity Highlights		-2,423.38
Net security earnings		2,704.28
Change in value of investments		13,757.59
Value on December 31	**$**	**111,077.57**

Earnings summary

		Paid in 2004
Taxable		
UBS Money fund dividends	$	34.68
Other dividends		2,659.47
Total taxable security earnings	**$**	**2,694.15**
Total current year security earnings	**$**	**2,694.15**
Prior year(s) adjustments		10.13
Net security earnings	**$**	**2,704.28**
Return of capital/principal		16.71

Important message:
Clients with account balances or activity in December should refer to the monthly statement following the Year-End Summary.

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2004 Realized gains and losses

Estimated 2004 gains and losses for transactions with trade dates through 12/31/04 have been incorporated into this statement. The oldest security lot is liquidated first to calculate gains/losses (first-in, first-out or FIFO accounting method) unless you specified which lot to close when you placed your order (a versus purchase or VSP order). An asterisk (*) indicates a UBS Financial Services adjustment to cost basis. The number "1" indicates cost basis information has been provided by a source other than UBS Financial Services. See the back of the first page for additional information. This information is provided for informational purposes only and should not be relied upon for tax-filing purposes. Rely only on year-end tax forms when preparing your tax return. Gains/losses may not be adjusted for all capital changes. Cost basis for tax-exempt and AMT eligible coupon municipal securities has been adjusted automatically for estimated amortization of bond premiums. Estimates in the "Unclassified" section can not be classified as short-term or long-term due to missing information or the product is one for which a gain/loss calculation is not provided.

Security description	Method	Quantity/ Face value	Purchase date	Sale date	Sale amount	Purchase amount	Loss	Gain	Net gain/loss
Short-term capital gains and losses:									
AVENTIS SPON ADR	FIFO	4.000	09/29/03	08/25/04	$ 340.83	$ 212.40		$ 128.43	
BAYER A G SPON ADR	FIFO	10.000	09/05/03	01/22/04	306.61	222.84		83.77	
	FIFO	9.000	09/09/03	01/22/04	275.94	202.81		73.13	
ISHARES MSCI EAFE INDEX FUND	FIFO	21.000	01/08/04	04/07/04	3,012.94	2,935.71		77.23	
Total					$ 3,936.32	$ 3,573.76		$ 362.56	$ 362.56
Long-term capital gains and losses:									
AVENTIS SPON ADR	FIFO	1.000	03/02/00	08/26/04	$ 83.93	$ 48.94		$ 34.99	
	FIFO	17.000	03/02/00	08/25/04	1,386.98	831.94		555.04	
	FIFO	3.000	03/14/03	08/25/04	246.82	134.85		111.97	
	FIFO	2.000	03/02/00	01/26/04	149.38	97.88		51.50	
	FIFO	10.000	03/02/00	01/23/04	730.91	489.38		241.53	
GLAXO SMITHKLINE PLC ADR	FIFO	9.000	03/13/00	11/08/04	396.77	483.65	-86.88		
	FIFO	10.000	03/13/00	11/05/04	443.69	537.39	-93.70		
	FIFO	5.000	03/13/00	11/04/04	221.31	268.69	-47.38		
NINTENDO LTD ADR NEW	FIFO	1.000	04/23/03	09/03/04	13.11	9.68		3.43	
JAPAN	FIFO	5.000	04/23/03	09/02/04	65.14	48.40		16.74	
	FIFO	5.000	04/22/03	08/31/04	66.73	49.85		16.88	
	FIFO	5.000	04/23/03	08/31/04	66.73	48.40		18.33	
	FIFO	5.000	04/22/03	08/27/04	67.34	49.85		17.49	
	FIFO	10.000	12/18/02	08/25/04	135.12	114.50		20.62	
	FIFO	15.000	12/18/02	08/23/04	201.58	171.75		29.83	
	FIFO	10.000	12/18/02	08/20/04	137.51	114.50		23.01	
	FIFO	20.000	12/18/02	08/19/04	275.41	229.00		46.41	
	FIFO	35.000	12/18/02	08/18/04	483.58	400.75		82.83	

2004 Realized gains and losses - continued

Security description	Method	Quantity/ Face value	Purchase date	Sale date	Sale amount	Purchase amount	Loss	Gain	Net gain/loss
NIPPON TELEG & TEL CORP SPON ADR	FIFO	4.000	07/24/03	11/09/04	87.88	85.45		2.43	
	FIFO	6.000	07/02/03	11/08/04	110.78	104.61		6.17	
	FIFO	2.000	07/03/03	11/08/04	44.31	44.17		.14	
	FIFO	6.000	07/24/03	11/08/04	177.25	170.89		6.36	
	FIFO	15.000	06/26/03	11/08/04	329.32	297.07		32.25	
	FIFO	10.000	06/27/03	11/05/04	219.54	199.59		19.95	
	FIFO	5.000	07/01/03	11/05/04	109.77	100.19		9.58	
NOVARTIS AG SPON ADR	FIFO	14.000	03/02/00	11/04/04	699.58	438.38		261.20	
SANOFI-AVENTIS SA SPON ADR	FIFO	9.000	12/18/02	01/23/04	333.32	265.46		67.86	
SWEDISH MATCH AB SPON ADR	FIFO	9.000	12/18/02	10/12/04	928.71	672.01		256.70	
TELEFONICA S A SPON ADR	FIFO	21.000	12/18/02	11/04/04	1,080.58	568.33		512.25	
	FIFO	5.000	04/07/03	11/04/04	257.28	155.49		101.79	
TOTAL S.A. FRANCE SPON ADR	FIFO	6.000	03/02/00	08/18/04	578.17	399.00		179.17	
	FIFO	5.000	03/02/00	08/17/04	479.19	332.50		146.69	
Total					$ 10,607.72	$ 7,962.54	$ -227.96	$ 2,873.14	$ 2,645.18
Net capital gains/losses:									$ 3,007.74

2004 Realized capital gain/loss summary

Short-term gains/losses:	$ 362.56
Long-term gains/losses:	$ 2,645.18
Net year-to-date capital gains/losses:	$ 3,007.74

UBS Financial Services Inc.
307 SOUTH ASHLAND AVENUE
LEXINGTON, KY 40502

UBS Financial Services Inc.

2004 Consolidated Form 1099

P11L029457-X1

IMPORTANT NOTICE:
This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.
UBS Financial Services Inc., 1285 Ave of Americas NY, NY 10019 (Federal ID 13-2638166)

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Only the forms applicable to your account are shown. Forms which are not applicable and therefore not shown include: Form 1099-OID, Form 1099-INT, Form 1099-MISC.

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Form 1099-DIV Dividends and Distributions *(OMB No 1545-0110)*

Line#	Category	Amount
1a	Ordinary dividends (includes lines 1b and 5)	$ 2,711.99
1b	Qualified dividends	2,529.03
2a	Total capital gain distributions (includes lines 2b, 2c, 2d)	0.00
2b	Unrecaptured section 1250 gain	0.00
2c	Section 1202 gain	0.00
2d	28% rate gain (Collectibles)	0.00
3	Nontaxable distributions	0.00
4	**Federal income tax withheld**	**0.00**
5	Investment expenses	0.00
6	Foreign tax paid	228.20
7	Foreign country or U.S. possession	
8	Liquidation distributions - cash	0.00
9	Liquidation distributions - non-cash (fair market value)	0.00

Form 1099-B Proceeds From Broker & Barter Exchange Transactions *(OMB No 1545-0715)*

Line#	Category	Amount
1a	Date of sale or exchange	Various
1b	CUSIP number	Various
2	Stocks, bonds, etc. reported to IRS (gross proceeds less commissions) $	14,544.09
3	Bartering	0.00
4	**Federal income tax withheld**	**0.00**
5	Number of shares exchanged	25
6	Classes of stock exchanged	Various
7	Description	See details

Details for Items Reported on Forms 1099

Dividends and Distributions Details Reported on Form 1099-DIV

Description	Additional Information	Ex Date	Payment Date	Tax year	Subject to AMT	Amount
Ordinary dividends (less lines 1b and 5)						
FORTIS NL NEW "MANDATORY EXCHANGE 12/01" SPON ADR		05/25/04	06/24/04	2004		$ 119.34
RMA MONEY MKT. PORTFOLIO			01/23/04	2004		1.02

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UBS Financial Services Inc.

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Dividends and Distributions Details Reported on Form 1099-DIV - continued

Description	Additional Information	Ex Date	Payment Date	Tax year	Subject to AMT		Amount
RMA MONEY MKT. PORTFOLIO			02/20/04	2004			.80
			03/24/04	2004			1.00
			04/23/04	2004			1.63
			05/21/04	2004			2.04
			06/23/04	2004			2.01
			07/23/04	2004			2.67
			08/24/04	2004			2.81
			09/23/04	2004			4.28
			10/22/04	2004			4.96
			11/22/04	2004			4.80
			12/23/04	2004			6.66
			12/31/04	2004			1.13
Sub Total: RMA MONEY MKT. PORTFOLIO						$	35.81
ROCHE HOLDING LTD SPONS ADR SWITZERLAND			05/25/04	2004		$	27.81
Total Line 1a Ordinary dividends (less lines 1b and 5)						$	182.96
Qualified dividends							
ABN AMRO HLDG NV SPONS NETHERLANDS ADR		04/28/04	06/01/04	2004			28.64
		08/05/04	09/08/04	2004			28.91
Sub Total: ABN AMRO HLDG NV SPONS						$	57.55
ADECCO SA SPON ADR		07/07/04	08/13/04	2004		$	15.70
ASTRAZENECA PLC SPON ADR		02/18/04	04/06/04	2004			18.36
		08/11/04	09/20/04	2004			10.03
Sub Total: ASTRAZENECA PLC SPON ADR						$	28.39
AVENTIS *PLAN REORGN EFF 12/04 SPON ADR		06/10/04	07/22/04	2004		$	25.31
BANCO BILBAO VIZCAYA ARGENTARIA S.A. SPON ADR		01/07/04	01/22/04	2004			13.16
		04/06/04	04/23/04	2004			15.55
		07/07/04	07/22/04	2004			14.15
		10/08/04	10/21/04	2004			14.17
Sub Total: BANCO BILBAO VIZCAYA						$	57.03
BANCO SANTANDER CENTL HISPANO S A SPON ADR		01/28/04	02/09/04	2004			11.52
		04/28/04	05/10/04	2004			10.07

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UBS Financial Services Inc.

2004 Consolidated Form 1099

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Dividends and Distributions Details Reported on Form 1099-DIV - continued

Description	Additional Information	Ex Date	Payment Date	Tax year	Subject to AMT	Amount
BANCO SANTANDER CENTL HISPANO S.A SPON ADR		07/28/04	08/09/04	2004		11.98
		10/27/04	11/08/04	2004		12.67
Sub Total: BANCO SANTANDER CENTL						$ 46.24
BANK OF IRELAND SPON ADR		12/03/03	01/16/04	2004		24.75
		06/16/04	07/26/04	2004		43.36
Sub Total: BANK OF IRELAND SPON ADR						$ 68.11
BARCLAYS PLC ADR ADR		02/25/04	04/30/04	2004		38.38
		08/18/04	10/01/04	2004		23.84
Sub Total: BARCLAYS PLC ADR ADR						$ 62.22
BCE INC CANADA		12/11/03	01/15/04	2004		3.00
		03/11/04	04/15/04	2004		2.89
		06/14/04	07/15/04	2004		2.94
		09/13/04	10/15/04	2004		3.10
Sub Total: BCE INC CANADA						$ 11.93
BNP PARIBAS SA ADR		06/08/04	07/12/04	2004		$ 53.77
BOC GROUP PLC SPON ADR		12/31/03	02/09/04	2004		17.44
		06/30/04	08/09/04	2004		27.64
Sub Total: BOC GROUP PLC SPON ADR						$ 45.08
BP PLC SPON ADR		02/18/04	03/15/04	2004		22.05
		05/12/04	06/07/04	2004		19.85
		08/11/04	09/07/04	2004		20.87
		11/09/04	12/06/04	2004		20.87
Sub Total: BP PLC SPON ADR						$ 83.64
BT GROUP PLC SPON ADR		12/26/03	02/17/04	2004		14.74
		08/04/04	09/14/04	2004		23.46
Sub Total: BT GROUP PLC SPON ADR						$ 38.20
CANON INC ADR JAPAN		12/26/03	04/06/04	2004		4.82
		12/29/03	04/07/04	2004		6.42
		06/27/04	09/03/04	2004		7.74
Sub Total: CANON INC ADR						$ 18.98
CREDIT SUISSE GROUP SPON ADR		07/07/04	07/19/04	2004		$ 16.71
CRH PLC ADR IRELAND ADR		03/10/04	05/17/04	2004		13.38

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UBS Financial Services Inc.

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Dividends and Distributions Details Reported on Form 1099-DIV - continued

Description	Additional Information	Ex Date	Payment Date	Tax year	Subject to AMT		Amount
CRH PLC ADR IRELAND ADR		09/08/04	11/15/04	2004			7.05
Sub Total: CRH PLC ADR IRELAND ADR						$	20.43
DANSKE BAN A/S SPON ADR		03/24/04	04/13/04	2004		$	38.15
DIAGEO PLC NEW GB SPON ADR		03/03/04	04/13/04	2004			37.85
		09/15/04	10/29/04	2004			61.00
Sub Total: DIAGEO PLC NEW GB SPON						$	98.85
ENI SPA IT SPON ADR		06/21/04	07/01/04	2004		$	85.79
FRANCE TELECOM SA SPON ADR		05/05/04	05/17/04	2004		$	10.32
FUJI PHOTO FILM ADR NEW JAPAN		03/26/04	07/16/04	2004			7.30
		09/27/04	12/20/04	2004			7.72
Sub Total: FUJI PHOTO FILM ADR NEW						$	15.02
GALLAHER GROUP PLC ADR		03/17/04	05/28/04	2004			55.79
		09/22/04	11/30/04	2004			28.91
Sub Total: GALLAHER GROUP PLC ADR						$	84.70
GLAXO SMITHKLINE PLC ADR		10/29/03	01/06/04	2004			17.71
		02/18/04	04/15/04	2004			30.68
		05/12/04	07/01/04	2004			20.82
		08/04/04	09/30/04	2004			21.59
Sub Total: GLAXO SMITHKLINE PLC ADR						$	90.80
HANSON PLC NEW (HOLDING CO) SPON ADR		04/21/04	05/21/04	2004			26.32
		08/25/04	09/24/04	2004			11.94
Sub Total: HANSON PLC NEW (HOLDING						$	38.26
HONDA MOTOR CO ADR JAPAN		03/26/04	07/01/04	2004			11.76
		09/27/04	12/03/04	2004			15.03
Sub Total: HONDA MOTOR CO ADR						$	26.78
HSBC HOLDINGS PLC NEW GB SPON ADR		11/23/04	01/20/04	2004			10.20
		03/17/04	05/05/04	2004			20.40
		05/19/04	07/07/04	2004			11.05
		08/18/04	10/06/04	2004			11.05
Sub Total: HSBC HOLDINGS PLC NEW GB						$	52.70

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UBS Financial Services Inc.

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Dividends and Distributions Details Reported on Form 1099-DIV - continued

Description	Additional Information	Ex Date	Payment Date	Tax year	Subject to AMT	Amount
KAO CORP REPSTG 10 SHS COM SPON ADR		03/26/04	07/08/04	2004		7.30
		09/27/04	12/08/04	2004		9.21
Sub Total: KAO CORP REPSTG 10 SHS						$ 16.51
KINGFISHER PLC NEW SPON ADR		03/31/04	06/21/04	2004		19.22
		09/22/04	11/22/04	2004		12.19
Sub Total: KINGFISHER PLC NEW SPON						$ 31.41
KONINKLIJKE PHILIPS EL N V NEW 2000 SPON ADR		03/26/04	04/05/04	2004		$ 15.40
MITSUBISHI CORP SPONS ADR ADR		03/26/04	07/06/04	2004		7.56
		09/27/04	12/20/04	2004		5.77
Sub Total: MITSUBISHI CORP SPONS						$ 13.33
MITSUBISHI ESTATE LTD ADR ADR		03/26/04	07/16/04	2004		1.82
		09/27/04	12/27/04	2004		1.88
Sub Total: MITSUBISHI ESTATE LTD						$ 3.70
NATIONAL GRID TRANSCO PLC SPON ADR		11/25/03	01/21/04	2004		28.77
		06/02/04	08/23/04	2004		45.15
Sub Total: NATIONAL GRID TRANSCO						$ 73.92
NESTLE S A SPONSORED ADR REPSTG REG SHS SWITZ ADR		04/16/04	05/24/04	2004		$ 65.95
NINTENDO LTD ADR NEW JAPAN		03/26/04	07/14/04	2004		$ 8.88
NIPPON TELEG & TEL CORP SPON ADR		03/26/04	07/08/04	2004		5.59
		09/27/04	12/14/04	2004		7.11
Sub Total: NIPPON TELEG & TEL CORP						$ 12.70
NITTO DENKO CORP UNSPONS ADR		09/29/04	12/17/04	2004		$ 7.26
NOKIA CORP SPONS ADR FINLAND ADR		03/26/04	04/23/04	2004		$ 39.05
NOMURA HOLDINGS INC NEW SPON ADR		03/26/04	06/11/04	2004		5.27
		09/27/04	12/13/04	2004		7.53
Sub Total: NOMURA HOLDINGS INC NEW						$ 12.80
NOVARTIS AG SPON ADR		02/24/04	03/30/04	2004		$ 10.94
NTT DOCOMO INC ECH REPSTG 1/200TH COM SHS SPON ADR		09/27/04	12/02/04	2004		$ 5.02

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UBS Financial Services Inc.

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Dividends and Distributions Details Reported on Form 1099-DIV - continued

Description	Additional Information	Ex Date	Payment Date	Tax year	Subject to AMT		Amount
PORTUGAL TELECOM SGPS S.A. 1 ADR = 1 ORD SPON ADR		04/27/04	05/10/04	2004		$	9.17
REED ELSEVIER NV REPSTG 2 ORD SHS SPON ADR			06/04/04	2004			30.44
		08/09/04	09/13/04	2004			12.60
Sub Total: REED ELSEVIER NV REPSTG						$	43.04
RENTOKIL INITIAL PLC SPON ADR		05/05/04	06/14/04	2004			21.17
		09/29/04	11/08/04	2004			9.35
Sub Total: RENTOKIL INITIAL PLC						$	30.52
RIO TINTO PLC SPON ADR		03/10/04	04/07/04	2004			12.24
		08/11/04	09/13/04	2004			11.52
Sub Total: RIO TINTO PLC SPON ADR						$	23.76
ROLLS ROYCE GROUP PLC SPON ADR		03/10/04	01/15/04	2004			14.54
		03/12/04	07/16/04	2004			23.31
Sub Total: ROLLS ROYCE GROUP PLC						$	37.85
ROYAL BANK OF CANADA		01/24/05	02/24/04	2004			3.44
		04/20/04	05/21/04	2004			3.78
		07/22/04	08/24/04	2004			3.88
		10/22/04	11/24/04	2004			4.40
Sub Total: ROYAL BANK OF CANADA						$	15.60
ROYAL BK SCOTTLAND GROUP ORD GBP 0.250 PV * UNITED KINGDOM			06/04/04	2004			47.45
			10/08/04	2004			21.77
Sub Total: ROYAL BK SCOTTLAND GROUP						$	69.22
SANOFI-AVENTIS SA SPON ADR		04/30/04	06/01/04	2004			8.12
		09/27/04	10/05/04	2004			.43
Sub Total: SANOFI-AVENTIS SA SPON						$	8.55
SANOFI-SYNTHELABO SA (TEMPORARY MARKET) *EXCHANGE AS OF 10/04*		09/27/04	10/05/04	2004		$	25.15
SCOTTISH & STHN ENERGY PLC SPON ADR		03/03/04	04/05/04	2004			20.76
		08/25/04	10/04/04	2004			47.44
Sub Total: SCOTTISH & STHN ENERGY						$	68.20

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UBS Financial Services Inc.

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Dividends and Distributions Details Reported on Form 1099-DIV - continued

Description	Additional Information	Ex Date	Payment Date	Tax year	Subject to AMT		Amount
SEKISUI HOUSE LTD SPON ADR		01/28/04	05/10/04	2004			7.35
		07/28/04	10/12/04	2004			7.33
Sub Total: SEKISUI HOUSE LTD SPON						$	14.68
SHELL TRANS&TRADING PLC NEW YORK SHS NEW ADR		03/31/04	05/12/04	2004			50.75
		08/11/04	09/21/04	2004			32.77
Sub Total: SHELL TRANS&TRADING PLC						$	83.52
SVENSKA CELLS AKTBLGT SPONSORED ADR ADR		04/02/04	04/23/04	2004		$	50.33
SWEDISH MATCH AB SPON ADR		04/27/04	05/14/04	2004		$	20.12
TELEFONICA S A SPON ADR		05/11/04	05/21/04	2004		$	18.40
TESCO PLC SPONS ADR UNITED KINGDOM		04/28/04	07/02/04	2004			29.74
		09/29/04	12/03/04	2004			14.80
Sub Total: TESCO PLC SPONS ADR						$	44.54
TORONTO DOMINION BK NEW CANADA		12/18/03	01/31/04	2004			7.15
		03/16/04	04/30/04	2004			7.42
		06/15/04	07/31/04	2004			7.64
		09/14/04	10/31/04	2004			8.82
Sub Total: TORONTO DOMINION BK NEW						$	31.03
TOTAL S.A. FRANCE SPON ADR		05/19/04	06/15/04	2004			89.90
		11/19/04	12/16/04	2004			33.04
Sub Total: TOTAL S.A. FRANCE SPON						$	122.94
TOYOTA MOTOR CORP NEW JAPAN SPON ADR		03/26/04	07/06/04	2004			14.76
		09/27/04	12/06/04	2004			15.49
Sub Total: TOYOTA MOTOR CORP NEW						$	30.25
TPG NV SPON ADR		04/15/04	04/28/04	2004			23.34
		08/09/04	08/18/04	2004			16.10
Sub Total: TPG NV SPON ADR						$	39.44
UPM KYMMENE CORP SPON ADR		03/25/04	04/12/04	2004		$	35.13
VNU NV SPON ADR		04/19/04	05/13/04	2004			22.83
		08/09/04	09/02/04	2004			6.36
Sub Total: VNU NV SPON ADR						$	29.19

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UBS Financial Services Inc.

2004 Consolidated Form 1099

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Dividends and Distributions Details Reported on Form 1099-DIV - continued

Description	Additional Information	Ex Date	Payment Date	Tax year	Subject to AMT		Amount
VODAFONE GROUP PLC SPON ADR		11/25/03	02/06/04	2004			21.00
		06/02/04	08/06/04	2004			24.56
Sub Total: VODAFONE GROUP PLC SPON						$	45.56
WESTPAC BANKING LTD SPONSORED ADR AUSTRALIA ADR		06/07/04	07/12/04	2004			58.93
		11/19/04	12/22/04	2004			66.37
Sub Total: WESTPAC BANKING LTD						$	125.30
Total Line 1b Qualified dividends						$	2,529.03
Foreign tax paid							
ABN AMRO HLDG NV SPONS NETHERLANDS ADR			06/01/04	2004			4.30
			09/08/04	2004			4.34
Sub Total: ABN AMRO HLDG NV SPONS						$	8.64
ADECCO SA SPON ADR			08/13/04	2004		$	2.36
AVENTIS *PLAN REORGN EFF 12/04 SPON ADR			07/22/04	2004		$	6.33
BANCO BILBAO VIZCAYA ARGENTARIA S.A. SPON ADR		01/07/04	01/22/04	2004			1.97
		04/06/04	04/23/04	2004			2.32
			07/22/04	2004			2.12
			10/21/04	2004			2.13
Sub Total: BANCO BILBAO VIZCAYA						$	8.54
BANCO SANTANDER CENTL HISPANO S.A SPON ADR		01/28/04	02/09/04	2004			1.73
		04/28/04	05/10/04	2004			1.51
			08/09/04	2004			1.80
			11/08/04	2004			1.90
Sub Total: BANCO SANTANDER CENTL						$	6.94
BCE INC CANADA		12/11/03	01/15/04	2004			.45
		03/11/04	04/15/04	2004			.43
			07/15/04	2004			.44
			10/15/04	2004			.46
Sub Total: BCE INC CANADA						$	1.78
BNP PARIBAS SA ADR			07/12/04	2004			13.44
			07/30/04	2004			-.30
Sub Total: BNP PARIBAS SA ADR						$	13.14

Continued on page 9

UBS Financial Services Inc.

2004 Consolidated Form 1099

P11L026465-X1

Dividends and Distributions Details Reported on Form 1099-DIV - continued

Description	Additional Information	Ex Date	Payment Date	Tax year	Subject to AMT		Amount
BP PLC SPON ADR		02/18/04	03/15/04	2004		$	2.21
CANON INC ADR JAPAN		12/26/03	04/08/04	2004			.34
		12/29/03	04/07/04	2004			.45
			09/03/04	2004			.54
Sub Total: CANON INC ADR						$	1.33
DANSKE BAN A/S SPON ADR		03/24/04	04/13/04	2004		$	10.68
ENI SPA IT SPON ADR			07/01/04	2004		$	23.16
FORTIS NL NEW "MANDATORY EXCHANGE 12/01" SPON ADR			06/24/04	2004		$	17.90
FUJI PHOTO FILM ADR NEW JAPAN			07/16/04	2004			.51
			12/20/04	2004			.54
Sub Total: FUJI PHOTO FILM ADR NEW						$	1.05
HONDA MOTOR CO ADR JAPAN			07/01/04	2004			.82
			12/03/04	2004			1.05
Sub Total: HONDA MOTOR CO ADR						$	1.87
KAO CORP REPSTG 10 SHS COM SPON ADR			07/08/04	2004			.51
			12/08/04	2004			.64
Sub Total: KAO CORP REPSTG 10 SHS						$	1.15
KONINKLIJKE PHILIPS EL N V NEW 2000 SPON ADR		03/26/04	04/05/04	2004		$	2.31
MITSUBISHI CORP SPONS ADR ADR			07/06/04	2004			.53
			12/20/04	2004			.41
Sub Total: MITSUBISHI CORP SPONS						$	0.94
MITSUBISHI ESTATE LTD ADR ADR			07/16/04	2004			.13
			12/27/04	2004			.13
Sub Total: MITSUBISHI ESTATE LTD						$	0.26
NESTLE S A SPONSORED ADR REPSTG REG SHS SWITZ ADR			05/24/04	2004		$	9.89
NINTENDO LTD ADR NEW JAPAN			07/14/04	2004		$	.67
NIPPON TELEG & TEL CORP SPON ADR			07/08/04	2004			.39
			12/14/04	2004			.50
Sub Total: NIPPON TELEG & TEL CORP						$	0.89

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UBS Financial Services Inc.

2004 Consolidated Form 1099

P11L028496-X1

Dividends and Distributions Details Reported on Form 1099-DIV - continued

Description	Additional Information	Ex Date	Payment Date	Tax year	Subject to AMT		Amount
NITTO DENKO CORP UNSPONS ADR			12/17/04	2004		$	.51
NOKIA CORP SPONS ADR FINLAND ADR		03/26/04	04/23/04	2004		$	5.86
NOMURA HOLDINGS INC NEW SPON ADR			06/11/04	2004			.37
			12/13/04	2004			.53
Sub Total: NOMURA HOLDINGS INC NEW						$	0.90
NOVARTIS AG SPON ADR		02/24/04	03/30/04	2004		$	1.64
NTT DOCOMO INC ECH REPSTG 1/200TH COM SHS SPON ADR			12/02/04	2004		$	.35
PORTUGAL TELECOM SGPS S.A. 1 ADR = 1 ORD SPON ADR		04/27/04	05/10/04	2004		$	1.14
REED ELSEVIER NV REPSTG 2 ORD SHS SPON ADR			06/04/04	2004			4.56
			09/13/04	2004			1.89
Sub Total: REED ELSEVIER NV REPSTG						$	6.45
ROCHE HOLDING LTD SPONS ADR SWITZERLAND			05/25/04	2004		$	4.17
ROYAL BANK OF CANADA			02/24/04	2004			.52
			05/21/04	2004			.57
			08/24/04	2004			.60
			11/24/04	2004			.66
Sub Total: ROYAL BANK OF CANADA						$	2.35
ROYAL BK SCOTTLAND GROUP ORD GBP 0.250 PV * UNITED KINGDOM			06/04/04	2004			4.75
			10/08/04	2004			2.18
Sub Total: ROYAL BK SCOTTLAND GROUP						$	6.93
SANOFI-AVENTIS SA SPON ADR			06/01/04	2004			2.03
			10/05/04	2004			.11
Sub Total: SANOFI-AVENTIS SA SPON						$	2.14
SANOFI-SYNTHELABO SA (TEMPORARY MARKET) "EXCHANGE AS OF 10/04"			10/05/04	2004		$	6.29
SEKISUI HOUSE LTD SPON ADR			05/10/04	2004			.51
			10/12/04	2004			.51
Sub Total: SEKISUI HOUSE LTD SPON						$	1.02

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UBS Financial Services Inc.

2004 Consolidated Form 1099

P11L028467-X1

Dividends and Distributions Details Reported on Form 1099-DIV - continued

Description	Additional Information	Ex Date	Payment Date	Tax year	Subject to AMT	Amount
SVENSKA CELLS AKTBLGT SPONSORED ADR ADR		04/02/04	04/23/04	2004		$ 7.55
SWEDISH MATCH AB SPON ADR		04/27/04	05/14/04	2004		$ 3.02
TELEFONICA S A SPON ADR			05/21/04	2004		$ 2.76
TORONTO DOMINION BK NEW CANADA		12/16/03	01/31/04	2004		1.10
		03/16/04	04/30/04	2004		1.11
			07/31/04	2004		1.15
			10/31/04	2004		1.32
Sub Total: TORONTO DOMINION BK NEW						$ 4.68
TOTAL S.A. FRANCE SPON ADR			06/15/04	2004		22.48
			12/16/04	2004		8.26
Sub Total: TOTAL S.A. FRANCE SPON						$ 30.74
TOYOTA MOTOR CORP NEW JAPAN SPON ADR			07/06/04	2004		1.03
			12/06/04	2004		1.08
Sub Total: TOYOTA MOTOR CORP NEW						$ 2.11
TPG NV SPON ADR		04/15/04	04/28/04	2004		3.50
			08/18/04	2004		2.41
Sub Total: TPG NV SPON ADR						$ 5.91
UPM KYMMENE CORP SPON ADR		03/25/04	04/12/04	2004		$ 5.27
VNU NV SPON ADR			05/13/04	2004		3.42
			09/02/04	2004		.95
Sub Total: VNU NV SPON ADR						$ 4.37
Total Line 6 Foreign tax paid						$ 228.20

Proceeds from Broker Transactions Details Reported on Form 1099-B

"Net proceeds" is the amount reported to the IRS. Accrued interest is reported on Form 1099-INT and details are also included in that Form's detail section

Date of sale or activity (Line #1a)	Activity	Quantity/ Face value/ Shares exchanged	Description (Line #7)	Class of stock exchanged (Line #6)	Cusip number (Line #1b)	Net proceeds (Line #2)	Accrued interest	Federal income tax withheld (Line #4)
01/23/04	Sell	10	AVENTIS *PLAN REORGN EFF 12/04 SPON ADR		053561106	730.91	.00	.00
01/26/04	Sell	2	AVENTIS *PLAN REORGN EFF 12/04 SPON ADR		053561106	149.38	.00	.00

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UBS Financial Services Inc.

2004 Consolidated Form 1099

P11L028469-X1

Proceeds from Broker Transactions Details Reported on Form 1099-B - continued

"Net proceeds" is the amount reported to the IRS. Accrued interest is reported on Form 1099-INT and details are also included in that Form's detail section.

Date of sale or activity (Line #1a)	Activity	Quantity/ Face value/ Shares exchanged	Description (Line #7)	Class of stock exchanged (Line #6)	Cusip number (Line #1b)	Net proceeds (Line #2)	Accrued interest	Federal income tax withheld (Line #4)
08/26/04	Tender	1	AVENTIS *PLAN REORGN EFF 12/04 SPON ADR		053561106	83.93	.00	.00
08/27/04	Taxable Exchange FMV	25	AVENTIS *PLAN REORGN EFF 12/04 SPON ADR	Other	053561106	1,407.40	.00	.00
01/22/04	Sell	19	BAYER A G SPON ADR		072730302	582.55	.00	.00
11/04/04	Sell	5	GLAXO SMITHKLINE PLC ADR		37733W105	221.31	.00	.00
11/05/04	Sell	10	GLAXO SMITHKLINE PLC ADR		37733W105	443.69	.00	.00
11/08/04	Sell	8	GLAXO SMITHKLINE PLC ADR		37733W105	396.77	.00	.00
04/07/04	Sell	21	ISHARES MSCI EAFE INDEX FUND		464287465	3,012.84	.00	.00
08/18/04	Sell	35	NINTENDO LTD ADR NEW JAPAN		654445303	483.58	.00	.00
08/19/04	Sell	20	NINTENDO LTD ADR NEW JAPAN		654445303	275.41	.00	.00
08/20/04	Sell	10	NINTENDO LTD ADR NEW JAPAN		654445303	137.51	.00	.00
08/23/04	Sell	15	NINTENDO LTD ADR NEW JAPAN		654445303	201.58	.00	.00
08/25/04	Sell	10	NINTENDO LTD ADR NEW JAPAN		654445303	135.12	.00	.00
08/27/04	Sell	5	NINTENDO LTD ADR NEW JAPAN		654445303	67.34	.00	.00
08/31/04	Sell	10	NINTENDO LTD ADR NEW JAPAN		654445303	133.46	.00	.00
09/02/04	Sell	5	NINTENDO LTD ADR NEW JAPAN		654445303	65.14	.00	.00
09/03/04	Sell	1	NINTENDO LTD ADR NEW JAPAN		654445303	13.11	.00	.00
11/05/04	Sell	30	NIPPON TELEG & TEL CORP SPON ADR		654624105	658.83	.00	.00
11/08/04	Sell	15	NIPPON TELEG & TEL CORP SPON ADR		654624105	332.34	.00	.00
11/09/04	Sell	4	NIPPON TELEG & TEL CORP SPON ADR		654624105	87.88	.00	.00
11/04/04	Sell	14	NOVARTIS AG SPON ADR		66987V109	698.58	.00	.00

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UBS Financial Services Inc.

2004 Consolidated Form 1099

P11L028468-X1

Proceeds from Broker Transactions Details Reported on Form 1099-B - continued

"Net proceeds" is the amount reported to the IRS. Accrued interest is reported on Form 1099-INT and details are also included in that Form's detail section.

Date of sale or activity (Line #1a)	Activity	Quantity/ Face value/ Shares exchanged	Description (Line #7)	Class of stock exchanged (Line #8)	Cusip number (Line #1b)	Net proceeds (Line #2)	Accrued interest	Federal income tax withheld (Line #4)
01/23/04	Sell	9	SANOFI-AVENTIS SA SPON ADR		80105N105	333.32	.00	.00
08/27/04	Sell		SANOFI-SYNTHELABO SA (TEMPORARY MARKET) *EXCHANGE AS OF 10/04*		80105N204	.03	.00	.00
10/12/04	Sell	9	SWEDISH MATCH AB SPON ADR		870309507	928.71	.00	.00
11/04/04	Sell	26	TELEFONICA S A SPON ADR		879382208	1,337.86	.00	.00
08/27/04	Exchange		TENDER AVENTIS *PLAN REORGN EFF 12/04 SPON ADR		053PRO984	567.25	.00	.00
08/17/04	Sell	5	TOTAL S.A. FRANCE SPON ADR		89151E109	479.19	.00	.00
08/18/04	Sell	6	TOTAL S.A. FRANCE SPON ADR		89151E109	578.17	.00	.00
			Total			$ 14,544.09	$ 0.00	$ 0.00

UBS Financial Services Inc.
307 SOUTH ASHLAND AVENUE
LEXINGTON, KY 40502

Investment Account

PZB0008798-X6
7056841 - 000021

December 2004

CYNTHIA DOYLE ROGERS LIVING TR
CYNTHIA DOYLE ROGERS TRUSTEE
DTD 08/20/01
REGENT FIXED INC

This month at a glance

Value on November 30	$	201,298.26
Value on December 31	$	**202,836.99**
Money fund and other sweep option balance in 12/31 value	$	10,827.01

Earnings summary

Earnings below are classified as taxable or non-taxable based on the tax status for the specific security on which the earnings are received and does not attempt to reflect your account's tax status or reporting requirements. Use only official tax reporting documents (e.g. 1099) for tax reporting purposes. The classification of private investment distributions can only be determined by referring to the official year-end tax reporting document provided by the issuer.

		Current period		Year-to-date
Taxable				
UBS Money fund dividends	$	19.56	$	111.43
Interest		416.25		8,657.44
Accrued interest received		127.37		1,142.41
Less accrued interest paid		-377.99		-1,032.77
Total taxable security earnings	$	185.19	$	8,878.51
Total current year security earnings	$	185.19	$	8,878.51
Prior year(s) adjustments		.00		1.50
Net security earnings	$	185.19	$	8,880.01

Investment objectives

The following return objective and risk profile(s) describe overall goals for this account. For each account held, you choose one return objective and one primary risk profile, and if applicable, a secondary risk profile. A full description of the alternatives is included on the back of the first page. The return objective and risk profile listed should be consistent with those reflected on your managed account documents. The risk profile is based on our analysis of several answers to your managed profile questionnaire. If the information below does not correctly reflect the information you provided or if you have any questions regarding these objectives, disagree with or wish to change them, please notify your Financial Advisor or Branch Manager at your branch office, in writing or by telephone.

Return objective: Current income & capital appreciation

Risk profile: Primary: Conservative Secondary: None selected

Account Instructions
Your ACCESS account is managed by
ALLIANCEBERNSTEIN REGENT.

Bulletin Board
VISIT OUR WEB SITE AT WWW.UBS.COM.

Asset summary

Refer to the disclosure on the back of the first page for information on assets excluded from this summary.

	% of portfolio		Value
Corporate bonds and notes	39.45		80,018.36
Money funds/sweep options	5.34		10,827.01
U.S. Government securities	55.21		111,991.62
Net invested assets	**100.00**	$	**202,836.99**
Value on December 31		$	**202,836.99**

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Investment Account

PZ8D008799-X8
7056841 - 000022

Statement Period: December 2004

Activity highlights

	Current period	Year-to-date
Withdrawals	$ .00	$ -50,000.00
Professional management fees and related services	.00	-2,642.88
Net change from activity listed above	**$ .00**	**$ -52,642.88**

Portfolio summary

	Current period	Year-to-date
Opening value	$ 201,298.26	$ 245,470.31
Net change from Activity Highlights	.00	-52,642.88
Net security earnings	185.19	8,880.01
Change in value of investments	1,353.54	1,129.55
Value on December 31	**$ 202,836.99**	**$ 202,836.99**

Asset portfolio

When available, prices, income and current values may be approximate and thus gains/losses may not be accurately reflected. An asterisk (*) indicates the calculation of unrealized gains/losses based upon a UBS Financial Services adjustment to cost basis. The number "1" indicates cost basis information has been provided by a source other than UBS Financial Services. See the back of the first page for additional information. Gains/losses are not calculated for zero coupon investments. "Pending adjustments" or "P/A" indicates cost basis has not yet been allocated as a result of a spin-off or taxable exchange

Corporate bonds and notes

Prices are obtained from independent quotation bureaus that use computerized valuation formulas to calculate current values. Actual market values may vary and thus gains/losses may not be accurately reflected.

)

Coupon corporates

Total face value at maturity	Description	Price	Current value	Est. annual income	Trade date	Face value purchased	Purchase price	Cost basis	Unrealized Gain/loss
2,000	DOMINION RESOURCES INC MW+16BP NTS B RATE 07.625% MATURES 07/15/05	102.374	2,047.48	153	06/14/01	2,000	105.789	2,115	-67
4,000	US BANK NA MINN NTS RATE 02.850% MATURES 11/15/06	99.158	3,966.32	114	11/05/03	4,000	99.825	3,993	-28
4,000	BANK OF AMER CORP NTS RATE 03.875% MATURES 01/15/08	100.780	4,031.20	155	12/11/02	4,000	100.083	4,003	28
4,000	HOUSEHOLD FIN CORP NOTES RATE 04.625% MATURES 01/15/08	102.393	4,095.72	185	02/10/03	3,000	102.181	3,065	6
					09/18/03	1,000	104.115	1,041	-17

Continued on page 3

December 04/ LC 04981 25

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Coupon corporates - continued

Total face value at maturity	Description	Price	Current value	Est. annual income	Trade date	Face value purchased	Purchase price	Cost basis	Unrealized Gain/loss
6,000	CITIGROUP INC NTS	99.554	5,973.24	210	01/28/03	6,000	99.009	5,940	33
	RATE 03.500% MATURES 02/01/08								
5,000	LEHMAN BROS HOLDINGS INC	98.816	4,940.80	175	07/23/03	3,000	99.557	2,986	-21
	NTS				09/10/03	1,000	98.881	988	
	RATE 03.500% MATURES 08/07/08				12/23/04	1,000	99.292	992	-3
4,000	CIT GROUP INC NTS	99.199	3,967.96	165	10/27/03	4,000	99.439	3,977	-9
	RATE 03.875% MATURES 11/03/08								
3,000	FORD MOTOR CREDIT GLOBAL	102.214	3,066.42	174	04/27/04	3,000	102.201	3,066	
	SR NOTES BE								
	RATE 05.800% MATURES 01/12/09								
2,000	WASH MUTUAL INC NTS	99.700	1,994.00	80	10/27/03	2,000	99.604	1,992	2
	RATE 04.000% MATURES 01/15/09								
2,000	BELLSOUTH CORP MW +15BP	100.261	2,005.22	84	09/08/04	2,000	100.619	2,012	-6
	NTS B/E								
	RATE 04.200% MATURES 09/15/09								
	INTEREST EARNED FROM 09/13/04								
	1ST INTEREST PAYMENT 03/15/05								
2,000	COMCAST CORP NEW NTS	107.197	2,143.94	117	01/08/03	2,000	100.517	2,010	133
	MW@+30BP								
	RATE 05.850% MATURES 01/15/10								
2,000	GENERAL MTRS ACCEP CORP	102.479	2,049.58	138	09/29/04	2,000	105.248	2,104	-54
	NTS								
	RATE 06.875% MATURES 09/15/11								
3,000	KRAFT FOODS INC NTS	109.777	3,293.31	188	05/16/02	2,000	99.959	1,999	196
	RATE 06.250% MATURES 06/01/12				11/14/03	1,000	108.811	1,088	9
4,000	GENL ELEC CAP CORP NTS	109.004	4,360.16	240	06/24/02	4,000	100.843	4,033	327
	RATE 06.000% MATURES 06/15/12								
6,000	GOLDMAN SACHS GROUP INC	106.002	6,360.12	342	01/30/04	4,000	105.923	4,236	4
	RATE 05.700% MATURES 09/01/12				05/20/04	2,000	101.067	2,021	99
4,000	CONOCOPHILLIPS MW+15BP	101.958	4,078.32	190	03/19/03	1,000	100.004	1,000	19
	RATE 04.750% MATURES 10/15/12				09/18/03	3,000	100.187	3,005	53

Continued on page 4

Investment Account

Statement Period: December 2004

PZ8D008801-X6
7056841 - 000024

Coupon corporates - continued

Total face value at maturity	Description	Price	Current value	Est. annual income	Trade date	Face value purchased	Purchase price	Cost basis	Unrealized Gain/loss
3,000	J P MORGAN CHASE & CO	105.967	3,179.01	173	07/25/03	2,000	105.032	2,100	19
	NTS				11/14/03	1,000	106.176	1,061	-1
	RATE 06.750% MATURES 01/02/13								
2,000	BOEING CO NOTES	103.447	2,068.94	103	07/20/04	2,000	100.789	2,015	53
	MW + 20BP								
	RATE 05.125% MATURES 02/15/13								
6,000	WAL MART STORES INC NTS	100.938	6,056.28	273	04/22/03	6,000	100.100	6,006	50
	RATE 04.550% MATURES 05/01/13								
2,000	GENL DYNAMICS CORP	97.929	1,958.58	85	05/13/03	1,000	100.332	1,003	-23
	MW+25BP NTS				05/20/04	1,000	92.636	926	53
	RATE 04.250% MATURES 05/15/13								
4,000	SBC COMMUNICATIONS	100.929	4,037.16	204	10/28/04	4,000	100.229	4,009	28
	@M/W+15BP B/E								
	RATE 05.100% MATURES 09/15/14								
	INTEREST EARNED FROM 11/03/04								
	1ST INTEREST PAYMENT 03/15/05								
2,000	SPRINT CAPITAL CORP	109.479	2,189.58	138	05/03/04	2,000	98.450	1,969	220
	M-W+25BP								
	RATE 06.875% MATURES 11/15/28								
2,000	TIME WARNER INC	107.751	2,155.02	133	03/27/03	2,000	92.897	1,857	298
	MW + 20P								
	RATE 06.625% MATURES 05/15/29								
78,000	Total	$	80,018.36	3,809				78,612	1,403

Money funds and other sweep options

Description	Opening balance	Closing balance	Closing share price	Average rate	Dividend/ interest period	Days in period
RMA MONEY MKT. PORTFOLIO	9,364.23	10,827.01	1.00	1.56%	11/23 - 12/26	34
Total	$ 9,364.23	$ 10,827.01				

Investment Account

Statement Period: December 2004

PZ8D008802-X6
7056841 - 000025

U.S. Government securities

Prices are obtained from independent quotation bureaus that use computerized valuation formulas to calculate current values. Actual market values may vary and thus gains/losses may not be accurately reflected.

Coupon governments

Total face value at maturity	Description	Price	Current value	Est. annual income	Trade date	Face value purchased	Purchase price	Cost basis	Unrealized Gain/loss
6,000	U S TREASURY NOTE	98.820	5,929.20	120	07/02/03	1,000	101.156	1,011	-22
	RATE 2.0000% MATURES 05/15/06				11/02/04	5,000	99.386	4,969	-28
4,000	FNMA	102.000	4,080.00	175	11/27/02	4,000	103.824	4,152	-72
	RATE 4.3750% MATURES 10/15/06								
12,000	U S TREASURY NOTE	100.844	12,101.28	420	10/08/03	8,000	103.781	8,302	-234
	RATE 3.5000% MATURES 11/15/06				11/14/03	1,000	103.376	1,033	-24
					05/03/04	1,000	102.094	1,020	-11
					12/23/04	2,000	101.000	2,020	-3
14,000	FNMA	98.906	13,846.84	368	03/01/04	5,000	101.039	5,051	-105
	RATE 2.6250% MATURES 11/15/06				11/02/04	5,000	99.660	4,982	-38
					11/15/04	4,000	99.110	3,964	-7
5,000	FNMA	99.438	4,971.90	163	11/21/02	4,000	98.483	3,939	38
	RATE 3.2500% MATURES 11/15/07				05/20/04	1,000	98.767	987	7
6,000	FNMA	98.688	5,921.28	195	09/10/03	1,000	98.968	989	-2
	RATE 3.2500% MATURES 08/15/08				03/02/04	5,000	100.502	5,025	-90
4,000	FNMA - CALLABLE	99.531	3,981.24	150	09/11/03	4,000	99.719	3,988	-6
	RATE 3.7500% MATURES 09/15/08								
12,000	U S TREASURY NOTE	99.684	11,962.08	405	12/01/04	12,000	99.554	11,946	16
	RATE 3.3750% MATURES 12/15/08								
12,000	U S TREASURY NOTE	100.289	12,034.68	435	12/01/04	11,000	99.964	10,996	35
	RATE 3.6250% MATURES 07/15/09				12/23/04	1,000	100.531	1,005	-2
2,000	US TSY INFL PROT NOTE	103.500	2,138.31	41	11/03/04	2,000	103.937	2,147	-8
	RATE 2.0000% DUE 01/15/14								
	CURRENT PAR VALUE 2,066								
8,000	U S TREASURY BOND	125.172	10,013.76	580	10/03/01	1,000	121.390	1,213	38
	RATE 7.2500% MATURES 05/15/16				07/01/02	4,000	117.750	4,710	296
					06/20/03	1,000	134.750	1,347	-95
					11/14/03	1,000	125.093	1,250	1
					05/20/04	1,000	119.750	1,197	54
15,000	U S TREASURY BOND	127.605	19,140.75	1,069	05/10/02	5,000	115.875	5,793	587
	RATE 7.1250% MATURES 02/15/23				11/27/02	4,000	123.343	4,933	171

Continued on page 6

Investment Account

PZ8D008803-X6
7056941 - 000026

Statement Period: December 2004

Coupon governments - continued

Total face value at maturity	Description	Price	Current value	Est annual income	Trade date	Face value purchased	Purchase price	Cost basis	Unrealized Gain/loss
					06/20/03	1,000	136.093	1,360	-83
					09/10/03	1,000	123.875	1,238	38
					11/14/03	2,000	125.343	2,506	46
					05/20/04	2,000	119.593	2,391	161
5,000	UNITED STATES T RATE 6.1250% MATURES 08/15/29	117.406	5,870.30	306	06/10/03	1,000	127.375	1,273	-98
					09/10/03	1,000	112.156	1,121	53
					07/06/04	2,000	111.531	2,230	118
					09/29/04	1,000	117.019	1,170	4
105,000	**Total**		**$ 111,991.62**	**4,427**				**111,258**	**737**

Notice of Availability

Independent, third-party research on certain companies covered by UBS Research is available to customers of UBS in the United States at no cost. Customers can access this research at www.ubs.com/independentresearch or can call 1-877-208-5700 to request that a copy of this research be sent to them.

Monthly activity

Cash flow

Date	Activity	Description	Amount
11/30		**Balance forward**	**$ .00**
12/01	INTEREST	KRAFT FOODS INC NTS 06.250% 060112 DTD052002 FC120102 PAID ON 3000	93.75
12/15	INTEREST	GENL ELEC CAP CORP NTS 06.000% 061512 DTD060702 FC121502 PAID ON 4000	120.00
12/15	INTEREST	US TSY NOTE 03.375 % DUE 12/15/08 DTD 12/15/03 FC 06/15/04 PAID ON 12000	202.50

Date	Activity	Description	Amount
12/27	DIVIDEND	RMA MONEY MKT. PORTFOLIO AS OF 12/23/04	19.56
		Proceeds from security transactions	**$ 28,365.88**
		Funds used for security transactions	**$ -27,338.91**
		Proceeds from money fund/sweep trans.	**$ 4,056.41**
		Funds used for money fund/sweep trans.	**$ -5,519.19**
12/31		**Closing balance**	**$ .00**

Security transactions

Refer to the disclosure on the back of the first page regarding the Price/Value presented for restricted securities.

Date	Activity	Description	Quantity	Price / Value	Amount
12/01	SOLD	FHLMC 01.875 % DUE 011505 DTD 011603 FC 07152003 AGENCY SALE LOT 1 OF 1 23460 YTM = 2.424 ACCRUED INT RECD $35.42	-5,000.000	99.9310000	$ 5,031.97
12/02	SOLD	UNITED STATES TREAS BOND 06.125 % DUE 08/15/29 DTD 08/15/99 FC 02/15/00 AGENCY SALE LOT 1 OF 1 35984 YTM = 5.093 ACCRUED INT RECD $54.43	-3,000.000	114.4062500	3,486.62
12/02	SOLD	US TSY NOTE 02.000 % DUE 05/15/06 DTD 05/15/03 FC 11/15/03 AGENCY SALE LOT 1 OF 1 33830 YTM = 2.803 ACCRUED INT RECD $9.39	-10,000.000	98.8632810	9,895.72
12/02	SOLD	US TSY NOTE 01.625 % DUE 09/30/05 DTD 09/30/03 FC 03/31/04 AGENCY SALE LOT 1 OF 1 34931 YTM = 2.565 ACCRUED INT RECD $28.13	-10,000.000	99.2343750	9,951.57
12/02	BOUGHT	US TSY NOTE 03.375 % DUE 12/15/08 DTD 12/15/03 FC 06/15/04 AGENCY PURCHASE LOT 1 OF 1 36839 YTM = 3.494 ACCRUED INT PAID $188.11	12,000.000	99.5546880	12,134.67
12/02	BOUGHT	US TSY NOTE 03.625 % DUE 07/15/09 DTD 07/15/04 FC 01/15/05 AGENCY PURCHASE LOT 1 OF 1 32613 YTM = 3.633 ACCRUED INT PAID $151.70	11,000.000	99.9648440	11,147.83
12/27	BOUGHT	US TSY NOTE 03.500 % DUE 11/15/06 DTD 11/15/01 FC 05/15/02 AGENCY PURCHASE LOT 1 OF 1 39810 YTM = 2.949 ACCRUED INT PAID $8.12	2,000.000	101.0000000	2,028.12

Continued on page 8

Investment Account

PZBD006805-X6
7055841 - 000028

Statement Period: December 2004

Security transactions - continued

Date	Activity	Description	Quantity	Price / Value		Amount
12/27	BOUGHT	US TSY NOTE 03.625 % DUE 07/15/09	1,000.000	100.5312500	$	1,021.56
		DTD 07/15/04 FC 01/15/05 AGENCY PURCHASE				
		LOT 1 OF 1 39989 YTM = 3.497				
		ACCRUED INT PAID $16.25				
12/29	BOUGHT	LEHMAN BROS HOLDINGS INC 03.500% 080708 DTD072803	1,000.000	99.2920000		1,006.73
		FC020704 NTS YTM = 3.711				
		ACCRUED INT PAID $13.81				
		Securities purchased			$	**27,338.91**
		Securities sold			$	**28,365.88**

Money funds and other sweep options

Date	Activity	Money fund/sweep option		Amount
11/30		**Opening RMA Money Mkt. Portfolio**	**$**	**9,354.23**
12/02	BOUGHT	RMA MONEY MKT. PORTFOLIO		5,125.72
12/03	BOUGHT	RMA MONEY MKT. PORTFOLIO		51.41
12/16	BOUGHT	RMA MONEY MKT. PORTFOLIO		322.50
12/27	BOUGHT	RMA MONEY MKT. PORTFOLIO		19.58
		AS OF 12/23/04		

Date	Activity	Money fund/sweep option		Amount
12/27	SOLD	RMA MONEY MKT. PORTFOLIO		-3,049.68
12/29	SOLD	RMA MONEY MKT. PORTFOLIO		-1,006.73
12/31		**Closing RMA Money Mkt. Portfolio**	**$**	**10,827.01**
		Sweep option purchased/deposited	**$**	**5,519.19**
		Sweep option sold/withdrawn	**$**	**-4,056.41**

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UBS Financial Services Inc.
307 SOUTH ASHLAND AVENUE
LEXINGTON, KY 40502

PZ8D008793-X6 - 1204 - LC - 0

Investment Account

PZ8D008793-X6
7058941 - 000016

2004 Year-End Summary
Important Tax-Planning Information

Please keep this statement for use with your tax-reporting documents, e.g., 1099, 5498, etc.

CYNTHIA DOYLE ROGERS LIVING TR
CYNTHIA DOYLE ROGERS TRUSTEE
DTD 08/20/01
RECENT FIXED INC

Activity highlights

		Year-to-date
Withdrawals	$	-50,000.00
Professional management fees and related services		-2,642.88
Net change from activity listed above	**$**	**-52,642.88**

Portfolio summary

		Year-to-date
Opening value	$	248,470.31
Net change from Activity Highlights		-52,642.88
Net security earnings		8,880.01
Change in value of investments		1,129.55
Value on December 31	**$**	**202,836.99**

Earnings summary

		Paid in 2004
Taxable		
UBS Money fund dividends	$	111.43
Interest		8,657.44
Accrued interest received		1,142.41
Less accrued interest paid		-1,032.77
Total taxable security earnings	**$**	**8,878.51**
Total current year security earnings	**$**	**8,878.51**
Prior year(s) adjustments		1.50
Net security earnings	**$**	**8,880.01**

Important message:
Clients with account balances or activity in December should refer to the monthly statement following the Year-End Summary.

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2004 Realized gains and losses

Estimated 2004 gains and losses for transactions with trade dates through 12/31/04 have been incorporated into this statement. The oldest security lot is liquidated first to calculate gains/losses (first-in, first-out or FIFO accounting method) unless you specified which lot to close when you placed your order (a versus purchase or VSP order). An asterisk (*) indicates a UBS Financial Services adjustment to cost basis. The number "1" indicates cost basis information has been provided by a source other than UBS Financial Services. See the back of the first page for additional information. This information is provided for informational purposes only and should not be relied upon for tax-filing purposes. Rely only on year-end tax forms when preparing your tax return. Gains/losses may not be adjusted for all capital changes. Cost basis for tax-exempt and AMT eligible coupon municipal securities has been adjusted automatically for estimated amortization of bond premiums. Estimates in the "Unclassified" section can not be classified as short-term or long-term due to missing information or the product is one for which a gain/loss calculation is not provided.

Security description	Method	Quantity/ Face value	Purchase date	Sale date	Sale amount	Purchase amount	Loss	Gain	Net gain/loss
Short-term capital gains and losses:									
CIT GROUP INC NTS	FIFO	1,000.000	10/27/03	03/12/04	$ 1,025.74	$ 994.39		$ 31.35	
03.875% 110308 DTD110303									
FC050304									
CONOCOPHILLIPS MW+15BP	FIFO	1,000.000	03/19/03	03/12/04	1,033.08	1,000.04		33.04	
04.750% 101612 DTD100902									
FC041503									
FORD MOTOR CREDIT CORP	FIFO	2,000.000	09/16/03	04/27/04	2,039.96	1,992.60		47.36	
05.625% 100108 DTD092303	FIFO	1,000.000	04/22/04	04/27/04	1,019.98	1,020.61	-.63		
FC040104	FIFO	1,000.000	09/16/03	03/12/04	1,030.86	996.30		34.56	
GENL DYNAMICS CORP	FIFO	1,000.000	05/13/03	03/12/04	997.78	1,003.32	-5.54		
04.250% 051513 DTD051503									
FC111503 MW+25BP NTS									
GENL MOTORS GLOBAL NTS	FIFO	1,000.000	06/20/04	09/29/04	1,063.45	1,032.52		30.93	
08.375% 071533 DTD070303	FIFO	1,000.000	06/25/03	03/12/04	1,118.46	988.97		129.49	
FC011504 CALL @ MW+50BP									
GOLDMAN SACHS GROUP INC	FIFO	1,000.000	01/30/04	03/12/04	1,083.49	1,059.23		24.26	
05.700% 090112 DTD082702									
FC030103									
J P MORGAN CHASE & CO	FIFO	1,000.000	07/25/03	03/12/04	1,093.78	1,050.32		43.46	
05.750% 010213 DTD112502									
FC070203 NTS									
LEHMAN BROS HOLDINGS INC	FIFO	2,000.000	07/23/03	03/12/04	2,028.62	1,991.15		37.47	
03.500% 080708 DTD072803									
FC020704 NTS									
SPRINT CAP CORP MW+20BP	FIFO	2,000.000	11/05/03	05/03/04	2,140.14	2,101.38		38.76	
06.125% 111508 DTD111698									
FC051599 MW+20BP									

2004 Realized gains and losses - continued

Security description	Method	Quantity/ Face value	Purchase date	Sale date	Sale amount	Purchase amount	Loss	Gain	Net gain/loss
US BANK NA MINN NTS 02.850% 111508 DTD111203 FC051504	FIFO	1,000.000	11/05/03	03/12/04	1,017.20	998.25		18.95	
WAL MART STORES INC NTS 04.550% 050113 DTD042903 FC110103	FIFO	1,000.000	04/22/03	03/12/04	1,019.46	1,001.00		18.46	
FNMA 03.250 % DUE 081508 DTD 072503 FC 08162003	FIFO	1,000.000	07/23/03	03/12/04	1,017.50	998.54		18.96	
FNMA 02.625 % DUE 111508 DTD 102403 FC 05152004	FIFO	1,000.000	03/01/04	03/12/04	1,014.69	1,010.39		4.30	
FNMA - CALLABLE 03.750 % DUE 091508 DTD 091503 FC 03152004	FIFO	1,000.000	09/11/03	04/22/04	995.88	997.19	-1.33		
	FIFO	1,000.000	09/11/03	03/12/04	1,016.25	997.19		19.06	
UNITED STATES TREAS BOND 06.125 % DUE 08/15/29 DTD 08/15/99 FC 02/15/00	FIFO	2,000.000	06/10/03	03/12/04	2,391.25	2,547.50	-156.25		
US TSY NOTE 6.5000% DUE 05/15/05 DTD 05/15/95 FC 11/15/95	FIFO	1,000.000	06/20/03	03/01/04	1,062.58	1,100.94	-38.36		
US TSY NOTE 01.625 % DUE 09/30/05 DTD 09/30/03 FC 03/31/04	FIFO	2,000.000	05/20/04	12/01/04	1,984.69	1,988.13	-3.44		
	FIFO	4,000.000	10/08/03	03/12/04	4,017.50	4,000.94		16.56	
	FIFO	5,000.000	10/08/03	03/02/04	5,010.94	5,001.17		9.77	
US TSY NOTE 02.000 % DUE 05/15/06 DTD 05/15/03 FC 11/15/03	FIFO	2,000.000	07/02/03	03/12/04	2,016.88	2,023.13	-6.25		
US TSY NOTE 03.500 % DUE 11/15/06 DTD 11/15/01 FC 05/15/02	FIFO	3,000.000	10/08/03	03/12/04	3,129.38	3,113.44		15.94	
US TSY NOTE 04.375 % DUE 08/15/12 DTD 08/15/02 FC 02/15/03	FIFO	2,000.000	11/05/03	09/29/04	2,065.47	2,021.48		43.99	

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2004 Realized gains and losses - continued

Security description	Method	Quantity/ Face value	Purchase date	Sale date	Sale amount	Purchase amount	Loss	Gain	Net gain/loss
Total					$ 43,434.99	$ 43,030.12	$ -211.80	$ 616.67	$ 404.87
Long-term capital gains and losses:									
BANK OF AMER CORP NTS 03.875% 011508 DTD112602 FC071503	FIFO	1,000.000	12/11/02	03/12/04	$ 1,037.73	$ 1,000.83		$ 36.90	
CITIGROUP INC NTS 03.500% 020108 DTD013103 FC080103	FIFO	1,000.000	01/28/03	03/12/04	1,023.65	990.09		33.56	
DOMINION RESOURCES INC 07.625% 071505 DTD071300 FC011501 MW+15BP NTS B	FIFO	2,000.000	06/14/01	09/09/04	2,083.42	2,115.38	-31.96		
GENL DYNAMICS CORP 04.250% 051513 DTD051503 FC111503 MW+25BP NTS	FIFO	3,000.000	05/13/03	07/20/04	2,844.33	3,009.96	-165.63		
GENL ELEC CAP CORP NTS 06.000% 061512 DTD060702 FC121502	FIFO	1,000.000	06/24/02	03/12/04	1,118.63	1,008.44		110.19	
GENL MOTORS GLOBAL NTS	FIFO	1,000.000	08/25/03	09/29/04	1,063.45	988.97		74.48	
08.375% 071533 DTD070303 FC011504 CALL@MW+50BP	FIFO	2,000.000	08/25/03	07/08/04	2,084.30	1,977.94		106.36	
GOLDMAN SACHS GROUP INC 06.875% 011511 DTD011601 FC071501	FIFO	6,000.000	05/04/01	01/30/04	6,712.00	6,016.85		695.15	
KRAFT FOODS INC NTS 06.250% 060112 DTD052002 FC120102	FIFO	1,000.000	05/16/02	03/12/04	1,123.28	999.60		123.68	
VERIZON VA INC MW+25BP	FIFO	2,000.000	03/11/03	10/28/04	1,962.90	1,996.68	-33.76		
04.625% 031513 DTD031403 FC091503 NTS	FIFO	2,000.000	03/26/03	10/28/04	1,962.90	1,958.88		4.02	
FHLMC	FIFO	1,000.000	03/11/03	03/12/04	994.72	998.33	-3.61		
01.875 % DUE 011505	FIFO	3,000.000	03/05/03	11/30/04	2,997.93	3,019.32	-21.39		
DTD 011603 FC 07152003	FIFO	1,000.000	05/20/03	11/30/04	999.31	1,010.66	-11.35		
	FIFO	1,000.000	06/20/03	11/30/04	999.31	1,010.91	-11.60		

2004 Realized gains and losses - continued

Security description	Method	Quantity/ Face value	Purchase date	Sale date	Sale amount	Purchase amount	Loss	Gain	Net gain/loss
	FIFO	9,000.000	03/05/03	11/02/04	$ 8,994.60	$ 9,057.96	-63.36		
	FIFO	5,000.000	03/05/03	03/12/04	5,028.13	5,032.20	-4.07		
FNMA	FIFO	1,000.000	11/27/02	03/12/04	1,058.75	1,038.24		20.51	
04.375 % DUE 101508									
DTD 102501 FC 04152002									
FNMA	FIFO	2,000.000	11/21/02	03/12/04	2,052.50	1,969.66		82.84	
03.250 % DUE 111507									
DTD 111602 FC 05152003									
UNITED STATES TREAS BOND	FIFO	3,000.000	06/10/03	12/01/04	3,432.19	3,821.25	-389.06		
06.125 % DUE 08/15/29									
DTD 08/15/99 FC 02/15/00									
US TSY BOND 7.1250%	FIFO	2,000.000	08/01/01	05/03/04	2,434.06	2,362.50		71.56	
DUE 02/15/23	FIFO	5,000.000	12/14/00	03/12/04	8,553.12	5,964.06		589.06	
DTD 02/15/93 FC 08/15/93	FIFO	1,000.000	07/10/01	03/12/04	1,310.63	1,152.34		158.29	
US TSY BOND 7.2500%	FIFO	1,000.000	10/03/01	11/03/04	1,263.71	1,213.91		49.80	
DUE 05/15/16	FIFO	1,000.000	05/01/01	03/12/04	1,297.81	1,150.31		147.50	
DTD 05/15/86 FC 11/15/86	FIFO	3,000.000	10/03/01	03/12/04	3,893.44	3,641.72		251.72	
US TSY NOTE 6.5000%	FIFO	1,000.000	04/12/01	03/01/04	1,062.58	1,065.78	-3.20		
DUE 05/15/05	FIFO	1,000.000	05/01/01	03/01/04	1,062.58	1,063.13	-.55		
DTD 05/15/95 FC 11/15/95	FIFO	2,000.000	02/01/02	03/01/04	2,125.16	2,166.25	-41.09		
US TSY NOTE 01.625 %	FIFO	2,000.000	10/08/03	12/01/04	1,984.69	2,000.47	-15.78		
DUE 09/30/05	FIFO	5,000.000	10/28/03	12/01/04	4,961.72	4,981.25	-19.53		
DTD 09/30/03 FC 03/31/04	FIFO	1,000.000	11/14/03	12/01/04	992.34	998.13	-5.79		
US TSY NOTE 02.000 %	FIFO	10,000.000	07/02/03	12/01/04	9,886.33	10,115.63	-229.30		
DUE 05/15/06									
DTD 05/15/03 FC 11/15/03									
Total					$ 87,402.20	$ 85,897.61	$ -1,051.03	$ 2,555.62	$ 1,504.59
Net capital gains/losses:									$ 1,909.46

2004 Realized capital gain/loss summary

Short-term gains/losses:	$ 404.87
Long-term gains/losses:	$ 1,504.59
Net year-to-date capital gains/losses:	$ 1,909.46

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UBS Financial Services Inc.
307 SOUTH ASHLAND AVENUE
LEXINGTON, KY 40502

UBS Financial Services Inc.

2004 Consolidated Form 1099

P11L026471-X1

IMPORTANT NOTICE:
This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.
UBS Financial Services Inc., 1285 Ave of Americas, NY, NY 10019 (Federal ID 13-2638166)

CYNTHIA DOYLE ROGERS LIVING TR
CYNTHIA DOYLE ROGERS TRUSTEE
DTD 08/20/01
REGENT FIXED INC

00008211

Only the forms applicable to your account are shown. Forms which are not applicable and therefore not shown include. Form 1099-MISC.

Form 1099-DIV Dividends and Distributions (OMB No 1545-0110)

Line#	Category	Amount
1a	Ordinary dividends (includes lines 1b and 5)	$ 114.13
1b	Qualified dividends	0.00
2a	Total capital gain distributions (includes lines 2b, 2c, 2d)	0.00
2b	Unrecaptured section 1250 gain	0.00
2c	Section 1202 gain	0.00
2d	28% rate gain (Collectibles)	0.00
3	Nontaxable distributions	0.00
4	Federal income tax withheld	0.00
5	Investment expenses	0.00
6	Foreign tax paid	0.00
7	Foreign country or U.S. possession	
8	Liquidation distributions - cash	0.00
9	Liquidation distributions - non-cash (fair market value)	0.00

Form 1099-OID Original Issue Discount (OMB No 1545-0117)

Line#	Category	Amount
1*	Original issue discount for 2004	$ 0.00
2	Other periodic interest	0.00
3	Early withdrawal penalty	0.00
4	Federal income tax withheld	0.00
5	Description	See details
6	Original issue discount on U.S. Treasury obligations	14.72
7	Investment expenses	0.00

*This may not be the correct figure to report on your income tax return. See enclosure for instructions.

Form 1099-INT Interest Income (OMB No 1545-0112)

Line#	Category	Amount
1	Interest income (not included on line 3)	$ 5,934.73
2	Early withdrawal penalty	0.00
3	Interest on U.S. Savings Bonds and Treasury obligations	3,865.12
4	Federal income tax withheld	0.00
5	Investment expenses (included on line 1)	0.00
6	Foreign tax paid	0.00
7	Foreign country or U.S. possession	

Form 1099-B Proceeds From Broker & Barter Exchange Transactions (OMB No. 1545-0715)

Line#	Category	Amount
1a	Date of sale or exchange	Various
1b	CUSIP number	Various
2	Stocks, bonds, etc. reported to IRS (gross proceeds less commissions)	$ 130,837.18
3	Bartering	0.00
4	Federal income tax withheld	0.00
5	Number of shares exchanged	0
6	Classes of stock exchanged	Various
7	Description	See details

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UBS Financial Services Inc.

2004 Consolidated Form 1099

P11L026472-X1

Details for Items Reported on Forms 1099

Dividends and Distributions Details Reported on Form 1099-DIV

Description	Additional Information	Ex Date	Payment Date	Tax year	Subject to AMT	Amount
Ordinary dividends (less lines 1b and 5)						
RMA MONEY MKT. PORTFOLIO			01/23/04	2004		4.89
			02/20/04	2004		5.60
			03/24/04	2004		7.71
			04/23/04	2004		8.58
			05/21/04	2004		7.50
			06/23/04	2004		5.05
			07/23/04	2004		6.54
			08/24/04	2004		8.17
			09/23/04	2004		10.82
			10/22/04	2004		14.39
			11/22/04	2004		12.62
			12/23/04	2004		19.56
			12/31/04	2004		2.70
Sub Total: RMA MONEY MKT. PORTFOLIO						$ 114.13
Total Line 1a Ordinary dividends (less lines 1b and 5)						$ 114.13

Original Issue Discount Details Reported on Form 1099-OID

"Amortized acquisition premium" is provided for informational purposes only and is not reported to the IRS. See enclosure under the heading "Original Issue Discount" for more information.

Description (Line #5)	Cusip number	Days held	Quantity/Face amount	Amortized acquisition premium	Subject to AMT	Amount
US TSY INFL PROT NOTE WHEN ISSUED DUE 01/15/14	912828BW9	58	2,000			14.72
Total Line 6 Original issue discount on US Treasury Obligations						$ 14.72

Interest Income Details Reported on Form 1099-INT

For clients holding REMICs / Other CDOs: you may receive a corrected Consolidated Form 1099 in March. This Form will contain a Supplemental Information Statement comprised of rate accruals provided to us by the issuer(s) agents. This is information that is not available in January and is necessary for individual tax filing purposes. See enclosure for more information.

Description	Additional Information	Payment Date	Tax year	Subject to AMT	Amount
BANK OF AMER CORP NTS 03.875% 011508 DTD112802 FC071503		01/15/04	2004		96.88
	Accrued interest	03/12/04	2004		6.87
		07/15/04	2004		77.50
Sub Total: BANK OF AMER CORP NTS					$ 181.05

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UBS Financial Services Inc.

2004 Consolidated Form 1099

P11L026473-X1

Interest Income Details Reported on Form 1099-INT - continued

For clients holding REMICs / Other CDOs: you may receive a corrected Consolidated Form 1099 in March. This Form will contain a Supplemental Information Statement comprised of rate accruals provided to us by the Issuer(s) agents. This is information that is not available in January and is necessary for individual tax filing purposes. See enclosure for more information.

Description	Additional Information	Payment Date	Tax year	Subject to AMT	Amount
BOEING CO NOTES 05.125% 021513 DTD021103 FC081503 MW + 20BP		08/15/04	2004		$ 51.25
CIT GROUP INC NTS 03.875% 110308 DTD110303 FC050304	Accrued interest	03/12/04	2004		14.42
		05/03/04	2004		77.50
		11/03/04	2004		77.50
Sub Total: CIT GROUP INC NTS					$ 169.42
CITIGROUP INC NTS 03.500% 020108 DTD013103 FC080103		02/01/04	2004		122.50
	Accrued interest	03/12/04	2004		4.47
		08/01/04	2004		105.00
Sub Total: CITIGROUP INC NTS					$ 231.97
COMCAST CORP NEW NTS 05.850% 011510 DTD011003 FC071503 MW@ +30BP		01/15/04	2004		58.50
		07/15/04	2004		58.50
Sub Total: COMCAST CORP NEW NTS					$ 117.00
CONOCOPHILLIPS MW+15BP 04.750% 101512 DTD100902 FC041503	Accrued interest	03/12/04	2004		20.06
		04/15/04	2004		95.00
		10/15/04	2004		95.00
Sub Total: CONOCOPHILLIPS MW+15BP					$ 210.06
DOMINION RESOURCES INC 07.625% 071505 DTD071300 FC011501 MW+15BP NTS B		01/15/04	2004		152.50
		07/15/04	2004		152.50
	Accrued interest	09/09/04	2004		24.99
Sub Total: DOMINION RESOURCES INC					$ 329.99
FHLMC 01.875 % DUE 011505 DTD 011603 FC 07152003		01/15/04	2004		178.13
	Accrued interest	03/12/04	2004		15.63
		07/15/04	2004		131.25
	Accrued interest	11/02/04	2004		50.63
	Accrued interest	11/30/04	2004		35.42
Sub Total: FHLMC					$ 411.06

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UBS Financial Services Inc.

2004 Consolidated Form 1099

P11L026474-X1

Interest Income Details Reported on Form 1099-INT - continued

For clients holding REMICs / Other CDOs: you may receive a corrected Consolidated Form 1099 in March. This Form will contain a Supplemental Information Statement comprised of rate accruals provided to us by the issuer(s) agents. This is information that is not available in January and is necessary for individual tax filing purposes. See enclosure for more information.

Description	Additional Information	Payment Date	Tax year	Subject to AMT	Amount
FNMA 04.375 % DUE 101508 DTD 102501 FC 04152002	Accrued interest	03/12/04	2004		18.23
		04/15/04	2004		87.50
		10/15/04	2004		87.50
Sub Total: FNMA					$ 193.23
FNMA 03.250 % DUE 111507 DTD 111802 FC 05152003	Accrued interest	03/12/04	2004		21.67
		05/15/04	2004		65.00
		11/15/04	2004		81.25
Sub Total: FNMA					$ 167.92
FNMA 03.250 % DUE 081508 DTD 072503 FC 08152003		02/15/04	2004		32.50
	Accrued interest	03/12/04	2004		2.71
		08/15/04	2004		97.50
Sub Total: FNMA					$ 132.71
FNMA 02.625 % DUE 111506 DTD 102403 FC 05152004	Accrued interest	03/12/04	2004		10.28
		05/15/04	2004		73.28
		11/15/04	2004		131.25
Sub Total: FNMA					$ 214.81
FNMA - CALLABLE 03.750 % DUE 091508 DTD 091503 FC 03152004		03/15/04	2004		112.50
	Accrued interest	04/22/04	2004		4.38
		09/15/04	2004		75.00
Sub Total: FNMA - CALLABLE					$ 191.88
FORD MOTOR CREDIT GLOBAL 05.800% 011209 DTD011299 FC071299 SR NOTES BE		07/12/04	2004		$ 87.00
FORD MOTOR CREDIT CORP 05.625% 100108 DTD092303 FC040104	Accrued interest	03/12/04	2004		27.19
		04/01/04	2004		58.75
	Accrued interest	04/27/04	2004		13.59
Sub Total: FORD MOTOR CREDIT CORP					$ 99.53

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UBS Financial Services Inc.

2004 Consolidated Form 1099

P11L026475-X1

Interest Income Details Reported on Form 1099-INT - continued

For clients holding REMICs / Other CDOs: you may receive a corrected Consolidated Form 1099 in March. This Form will contain a Supplemental Information Statement comprised of rate accruals provided to us by the issuer(s) agents. This is information that is not available in January and is necessary for individual tax filing purposes. See enclosure for more information.

Description	Additional Information	Payment Date	Tax year	Subject to AMT	Amount
GENL DYNAMICS CORP 04.250% 051513 DTD051503 FC111503 MW+25BP NTS	Accrued interest	03/12/04	2004		14.40
		05/15/04	2004		85.00
	Accrued interest	07/20/04	2004		24.08
		11/15/04	2004		42.50
Sub Total: GENL DYNAMICS CORP				$	**165.98**
GENL ELEC CAP CORP NTS 06.000% 061512 DTD060702 FC121502	Accrued interest	03/12/04	2004		15.33
		06/15/04	2004		120.00
		12/15/04	2004		120.00
Sub Total: GENL ELEC CAP CORP NTS				$	**255.33**
GENL MOTORS GLOBAL NTS 08.375% 071533 DTD070303 FC011504 CALL@MW+50BP		01/15/04	2004		178.67
	Accrued interest	03/12/04	2004		14.42
	Accrued interest	07/06/04	2004		80.96
		07/15/04	2004		83.75
	Accrued interest	09/29/04	2004		36.76
Sub Total: GENL MOTORS GLOBAL NTS				$	**394.56**
GOLDMAN SACHS GROUP INC 06.875% 011511 DTD011601 FC071501		01/15/04	2004		171.88
	Accrued interest	01/30/04	2004		18.14
Sub Total: GOLDMAN SACHS GROUP INC				$	**190.02**
GOLDMAN SACHS GROUP INC 05.700% 090112 DTD082702 FC030103		03/01/04	2004		142.50
	Accrued interest	03/12/04	2004		2.53
		09/01/04	2004		171.00
Sub Total: GOLDMAN SACHS GROUP INC				$	**316.03**
HOUSEHOLD FIN CORP 04.625% 011508 DTD011403 FC071503 NOTES		01/15/04	2004		92.50
		07/15/04	2004		92.50
Sub Total: HOUSEHOLD FIN CORP				$	**185.00**

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UBS Financial Services Inc.

2004 Consolidated Form 1099

P11L029478-X1

Interest Income Details Reported on Form 1099-INT - continued

For clients holding REMICs / Other CDOs: you may receive a corrected Consolidated Form 1099 in March. This Form will contain a Supplemental Information Statement comprised of rate accruals provided to us by the issuer(s) agents. This is information that is not available in January and is necessary for individual tax filing purposes. See enclosure for more information.

Description	Additional Information	Payment Date	Tax year	Subject to AMT	Amount
J P MORGAN CHASE & CO 05.750% 010213 DTD112502 FC070203 NTS		01/02/04	2004		115.00
	Accrued interest	03/12/04	2004		11.98
		07/02/04	2004		86.25
Sub Total: J P MORGAN CHASE & CO				$	213.23
KRAFT FOODS INC NTS 06.250% 080112 DTD052002 FC120102	Accrued interest	03/12/04	2004		18.40
		06/01/04	2004		93.75
		12/01/04	2004		93.75
Sub Total: KRAFT FOODS INC NTS				$	205.90
LEHMAN BROS HOLDINGS INC 03.500% 080708 DTD072803 FC020704 NTS		02/07/04	2004		110.25
	Accrued interest	03/12/04	2004		7.78
		08/07/04	2004		70.00
Sub Total: LEHMAN BROS HOLDINGS INC				$	188.03
SPRINT CAP CORP MW+20BP 06.125% 111508 DTD111898 FC051599 MW+20BP	Accrued interest	05/03/04	2004	$	58.19
SPRINT CAPITAL CORP 06.875% 111528 DTD111698 FC051599 M-W+25BP		05/15/04	2004		68.75
		11/15/04	2004		68.75
Sub Total: SPRINT CAPITAL CORP				$	137.50
TIME WARNER INC 06.625% 051528 DTD112398 FC051599 MW + 20P		05/15/04	2004		66.25
		11/15/04	2004		66.25
Sub Total: TIME WARNER INC				$	132.50
US BANK NA MINN NTS 02.850% 111506 DTD111203 FC051504	Accrued interest	03/12/04	2004		9.90
		05/17/04	2004		57.95
		11/15/04	2004		57.00
Sub Total: US BANK NA MINN NTS				$	124.85

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UBS Financial Services Inc.

2004 Consolidated Form 1099

P11L026477-X1

Interest Income Details Reported on Form 1099-INT - continued

For clients holding REMICs / Other CDOs: you may receive a corrected Consolidated Form 1099 in March. This Form will contain a Supplemental Information Statement comprised of rate accruals provided to us by the issuer(s) agents. This is information that is not available in January and is necessary for individual tax filing purposes. See enclosure for more information.

Description	Additional Information	Payment Date	Tax year	Subject to AMT	Amount
VERIZON VA INC MW+25BP 04.625% 031513 DTD031403 FC081503 NTS	Accrued interest	03/12/04	2004		.26
		03/15/04	2004		115.63
		09/15/04	2004		92.50
	Accrued interest	10/28/04	2004		24.15
Sub Total: VERIZON VA INC MW+25BP					$ 232.54
WAL MART STORES INC NTS 04.550% 050113 DTD042903 FC110103	Accrued interest	03/12/04	2004		17.19
		05/01/04	2004		136.50
		11/01/04	2004		136.50
Sub Total: WAL MART STORES INC NTS					$ 290.19
WASH MUTUAL INC NTS 04.000% 011508 DTD110303 FC071504		07/15/04	2004		$ 58.00
Total Line 1 Interest Income (lines line 3)					$ 5,934.73
UNITED STATES TREAS BOND 06.125 % DUE 08/15/29 DTD 08/15/99 FC 02/15/00		02/15/04	2004		214.38
	Accrued interest	03/12/04	2004		9.76
		08/15/04	2004		214.38
	Accrued interest	12/01/04	2004		54.43
Sub Total: UNITED STATES TREAS BOND					$ 492.95
US TSY BOND 7.1250% DUE 02/15/23 DTD 02/15/93 FC 08/15/93		02/15/04	2004		748.13
	Accrued interest	03/12/04	2004		34.08
	Accrued interest	05/03/04	2004		31.71
		08/15/04	2004		534.38
Sub Total: US TSY BOND 7.1250%					$ 1,348.28
US TSY BOND 7.2500% DUE 05/15/16 DTD 05/15/86 FC 11/15/86	Accrued interest	03/12/04	2004		96.40
		05/15/04	2004		290.00
	Accrued interest	11/03/04	2004		34.08
		11/15/04	2004		290.00
Sub Total: US TSY BOND 7.2500%					$ 710.48

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UBS Financial Services Inc.

2004 Consolidated Form 1099

P11L026479-X1

Interest Income Details Reported on Form 1099-INT - continued

For clients holding REMICs / Other CDOs: you may receive a corrected Consolidated Form 1099 in March. This Form will contain a Supplemental Information Statement comprised of rate accruals provided to us by the issuer(s) agents. This is information that is not available in January and is necessary for individual tax filing purposes. See enclosure for more information.

Description	Additional Information	Payment Date	Tax year	Subject to AMT	Amount
US TSY NOTE 04.375 % DUE 08/15/12 DTD 08/15/02 FC 02/15/03		02/15/04	2004		43.75
		08/15/04	2004		43.75
	Accrued interest	09/29/04	2004		10.94
Sub Total: US TSY NOTE 04.375 %				$	**98.44**
US TSY NOTE 6.5000% DUE 05/15/05 DTD 05/15/95 FC 11/15/95	Accrued interest	03/01/04	2004	$	**96.43**
US TSY NOTE 03.500 % DUE 11/15/08 DTD 11/15/01 FC 05/15/02	Accrued interest	03/12/04	2004		34.90
		05/15/04	2004		175.00
		11/15/04	2004		175.00
Sub Total: US TSY NOTE 03.500 %				$	**384.90**
US TSY NOTE 02.000 % DUE 05/15/06 DTD 05/15/03 FC 11/15/03	Accrued interest	03/12/04	2004		13.30
		05/15/04	2004		110.00
		11/15/04	2004		160.00
	Accrued interest	12/01/04	2004		9.39
Sub Total: US TSY NOTE 02.000 %				$	**292.69**
US TSY NOTE 01.625 % DUE 09/30/05 DTD 09/30/03 FC 03/31/04	Accrued interest	03/02/04	2004		34.41
	Accrued interest	03/12/04	2004		29.86
		03/31/04	2004		85.00
		09/30/04	2004		81.25
	Accrued interest	12/01/04	2004		28.13
Sub Total: US TSY NOTE 01.625 %				$	**238.45**
US TSY NOTE 03.375 % DUE 12/15/08 DTD 12/15/03 FC 06/15/04		12/15/04	2004	$	**202.50**
Total Line 3 Interest on U.S. Savings Bonds and Treasury obligations				$	**3,665.12**

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UBS Financial Services Inc.

2004 Consolidated Form 1099

P11U026479-X1

Proceeds from Broker Transactions Details Reported on Form 1099-B

"Net proceeds" is the amount reported to the IRS. Accrued interest is reported on Form 1099-INT and details are also included in that Form's detail section.

Date of sale or activity (Line #1a)	Activity	Quantity/ Face value/ Shares exchanged	Description (Line #7)	Class of stock exchanged (Line #6)	Cusip number (Line #1b)	Net proceeds (Line #2)	Accrued interest	Federal income tax withheld (Line #4)
03/12/04	Sell	1,000	BANK OF AMER CORP NTS 03.875% 011508 DTD112602 FC071503		060505AW4	1,037.73	6.67	.00
03/12/04	Sell	1,000	CIT GROUP INC NTS 03.875% 110308 DTD110303 FC050304		125577AP1	1,025.74	14.42	.00
03/12/04	Sell	1,000	CITIGROUP INC NTS 03.500% 020108 DTD013103 FC080103		172967BS9	1,023.65	4.47	.00
03/12/04	Sell	1,000	CONOCOPHILLIPS MW+15BP 04.750% 101512 DTD100902 FC041503		20825CAE4	1,033.08	20.06	.00
09/09/04	Sell	2,000	DOMINION RESOURCES INC 07.625% 071505 DTD071300 FC011501 MW+15BP NTS B		25746UAB5	2,083.42	24.99	.00
03/12/04	Sell	5,000	FHLMC 01.875 % DUE 011505 DTD 011603 FC 07152003		3134A4RV8	5,028.13	15.63	.00
11/02/04	Sell	9,000	FHLMC 01.875 % DUE 011505 DTD 011603 FC 07152003		3134A4RV8	8,994.60	50.63	.00
11/30/04	Sell	5,000	FHLMC 01.875 % DUE 011505 DTD 011603 FC 07152003		3134A4RV8	4,998.55	35.42	.00
03/12/04	Sell	1,000	FNMA 04.375 % DUE 101506 DTD 102501 FC 04152002		31359MLH4	1,058.75	18.23	.00
03/12/04	Sell	2,000	FNMA 03.250 % DUE 111507 DTD 111802 FC 05152003		31359MPZ0	2,052.50	21.67	.00
03/12/04	Sell	1,000	FNMA 03.250 % DUE 081508 DTD 072503 FC 08152003		31359MSQ7	1,017.50	2.71	.00

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UBS Financial Services Inc.

2004 Consolidated Form 1099

P11L028480-X1

Proceeds from Broker Transactions Details Reported on Form 1099-B - continued

"Net proceeds" is the amount reported to the IRS. Accrued interest is reported on Form 1099-INT and details are also included in that Form's detail section

Date of sale or activity (Line #1a)	Activity	Quantity/ Face value/ Shares exchanged	Description (Line #7)	Class of stock exchanged (Line #6)	Cusip number (Line #1b)	Net proceeds (Line #2)	Accrued interest	Federal income tax withheld (Line #4)
03/12/04	Sell	1,000	FNMA 02.625 % DUE 111508 DTD 102403 FC 05152004		31359MTN3	1,014.69	10.28	.00
03/12/04	Sell	1,000	FNMA - CALLABLE 03.750 % DUE 091508 DTD 091503 FC 03152004		31359MTF0	1,016.25	.00	.00
04/22/04	Sell	1,000	FNMA - CALLABLE 03.750 % DUE 091508 DTD 091503 FC 03152004		31359MTF0	995.88	4.38	.00
03/12/04	Sell	1,000	FORD MOTOR CREDIT CORP 05.625% 100108 DTD092303 FC040104		345397UA9	1,030.88	27.19	.00
04/27/04	Sell	3,000	FORD MOTOR CREDIT CORP 05.625% 100108 DTD092303 FC040104		345397UA9	3,059.94	13.59	.00
03/12/04	Sell	1,000	GENL DYNAMICS CORP 04.250% 051513 DTD051503 FC111503 MW+25BP NTS		369550AK4	997.78	14.40	.00
07/20/04	Sell	3,000	GENL DYNAMICS CORP 04.250% 051513 DTD051503 FC111503 MW+25BP NTS		369550AK4	2,844.33	24.08	.00
03/12/04	Sell	1,000	GENL ELEC CAP CORP NTS 08.000% 061512 DTD060702 FC121502		36962GYY4	1,118.63	15.33	.00
03/12/04	Sell	1,000	GENL MOTORS GLOBAL NTS 08.375% 071533 DTD070303 FC011504 CALL@MW+50BP		370442BT1	1,118.46	14.42	.00
07/08/04	Sell	2,000	GENL MOTORS GLOBAL NTS 08.375% 071533 DTD070303 FC011504 CALL@MW+50BP		370442BT1	2,084.30	80.96	.00
09/29/04	Sell	2,000	GENL MOTORS GLOBAL NTS 08.375% 071533 DTD070303 FC011504 CALL@MW+50BP		370442BT1	2,126.90	36.76	.00

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UBS Financial Services Inc.

2004 Consolidated Form 1099

P11L026491-X1

Proceeds from Broker Transactions Details Reported on Form 1099-B - continued

"Net proceeds" is the amount reported to the IRS. Accrued interest is reported on Form 1099-INT and details are also included in that Form's detail section

Date of sale or activity (Line #1a)	Activity	Quantity/ Face value/ Shares exchanged	Description (Line #7)	Class of stock exchanged (Line #6)	Cusip number (Line #1b)	Net proceeds (Line #2)	Accrued interest	Federal income tax withheld (Line #4)
01/30/04	Sell	5,000	GOLDMAN SACHS GROUP INC 06.875% 011511 DTD011601 FC071501		38141GAZ7	5,712.00	18.14	.00
03/12/04	Sell	1,000	GOLDMAN SACHS GROUP INC 05.700% 090112 DTD082702 FC030103		38141GCG7	1,083.49	2.53	.00
03/12/04	Sell	1,000	J P MORGAN CHASE & CO 05.750% 010213 DTD112502 FC070203 NTS		46625HAT7	1,093.78	11.98	.00
03/12/04	Sell	1,000	KRAFT FOODS INC NTS 06.250% 060112 DTD052002 FC120102		50075NAH7	1,123.28	18.40	.00
03/12/04	Sell	2,000	LEHMAN BROS HOLDINGS INC 03.500% 080708 DTD072803 FC020704 NTS		524908JA9	2,028.62	7.78	.00
05/03/04	Sell	2,000	SPRINT CAP CORP MW+20BP 06.125% 111508 DTD111698 FC051588 MW+20BP		852060AC6	2,140.14	58.19	.00
03/12/04	Sell	2,000	UNITED STATES TREAS BOND 06.125 % DUE 08/15/29 DTD 08/15/99 FC 02/15/00		912810FJ2	2,391.25	9.76	.00
12/01/04	Sell	3,000	UNITED STATES TREAS BOND 06.125 % DUE 08/15/29 DTD 08/15/99 FC 02/15/00		912810FJ2	3,432.19	54.43	.00
03/12/04	Sell	1,000	US BANK NA MINN NTS 02.850% 111506 DTD111203 FC051504		90331HHW6	1,017.20	9.90	.00
03/12/04	Sell	6,000	US TSY BOND 7.1250% DUE 02/15/23 DTD 02/15/93 FC 08/15/93		912810EP9	7,863.75	34.08	.00
05/03/04	Sell	2,000	US TSY BOND 7.1250% DUE 02/15/23 DTD 02/15/93 FC 08/15/93		912810EP9	2,434.06	31.71	.00

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UBS Financial Services Inc.

2004 Consolidated Form 1099

P11L026482-X1

Proceeds from Broker Transactions Details Reported on Form 1099-B - continued

"Net proceeds" is the amount reported to the IRS. Accrued interest is reported on Form 1099-INT and details are also included in that Form's detail section.

Date of sale or activity (Line #1a)	Activity	Quantity/ Face value/ Shares exchanged	Description (Line #7)	Class of stock exchanged (Line #6)	Cusip number (Line #1b)	Net proceeds (Line #2)	Accrued interest	Federal income tax withheld (Line #4)
03/12/04	Sell	4,000	US TSY BOND 7.2500% DUE 05/15/16 DTD 05/15/86 FC 11/15/86		912810DW5	5,191.25	96.40	.00
11/03/04	Sell	1,000	US TSY BOND 7.2500% DUE 05/15/16 DTD 05/15/86 FC 11/15/86		912810DW5	1,263.71	34.08	.00
09/29/04	Sell	2,000	US TSY NOTE 04.375 % DUE 08/15/12 DTD 08/15/02 FC 02/15/03		912828AJ9	2,065.47	10.94	.00
03/01/04	Sell	5,000	US TSY NOTE 6.5000% DUE 05/15/05 DTD 05/15/95 FC 11/15/95		912827T85	5,312.89	96.43	.00
03/12/04	Sell	3,000	US TSY NOTE 03.500 % DUE 11/15/06 DTD 11/15/01 FC 05/15/02		912827F3	3,129.38	34.90	.00
03/12/04	Sell	2,000	US TSY NOTE 02.000 % DUE 05/15/06 DTD 05/15/03 FC 11/15/03		912828AY6	2,016.88	13.30	.00
12/01/04	Sell	10,000	US TSY NOTE 02.000 % DUE 05/15/06 DTD 05/15/03 FC 11/15/03		912828AY6	9,886.33	9.39	.00
03/02/04	Sell	5,000	US TSY NOTE 01.625 % DUE 09/30/05 DTD 09/30/03 FC 03/31/04		912828BL3	5,010.94	34.41	.00
03/12/04	Sell	4,000	US TSY NOTE 01.625 % DUE 09/30/05 DTD 09/30/03 FC 03/31/04		912828BL3	4,017.50	29.66	.00
12/01/04	Sell	10,000	US TSY NOTE 01.625 % DUE 09/30/05 DTD 09/30/03 FC 03/31/04		912828BL3	9,923.44	28.13	.00
03/12/04	Sell	1,000	VERIZON VA INC MW+25BP 04.625% 031513 DTD031403 FC091503 NTS		92345NAA8	994.72	.26	.00

Continued on page 13

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UBS Financial Services Inc.

2004 Consolidated Form 1099

P11L026483-X1

Proceeds from Broker Transactions Details Reported on Form 1099-B - continued

"Net proceeds" is the amount reported to the IRS. Accrued interest is reported on Form 1099-INT and details are also included in that Form's detail section

Date of sale or activity (Line #1e)	Activity	Quantity/ Face value/ Shares exchanged	Description (Line #7)	Class of stock exchanged (Line #6)	Cusip number (Line #1b)	Net proceeds (Line #2)	Accrued interest	Federal income tax withheld (Line #4)
10/28/04	Sell	4,000	VERIZON VA INC MW+ 25BP 04.625% 031513 DTD031403 FC091503 NTS		92345NAA8	3,925.80	24.15	.00
03/12/04	Sell	1,000	WAL MART STORES INC NTS 04.550% 050113 DTD042903 FC110103		931142BT9	1,019.46	17.19	.00
			Total			$ 130,837.18	$ 1,142.41	$ 0.00

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UBS Financial Services Inc.
307 SOUTH ASHLAND AVENUE
LEXINGTON, KY 40502

PZ8D008833-X6 - 1204 - LC - 0

Investment Account

B

Account Number:

CYNTHIA DOYLE ROGERS LIVING TR
CYNTHIA DOYLE ROGERS TRUSTEE
DTD 08/20/01
RORER EQ-IV

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2004 Year-End Summary
Important Tax-Planning Information

Please keep this statement for use with your tax-reporting documents, e.g., 1099, 5498, etc.

Activity highlights

		Year-to-date
Deposits	$	2.55
Withdrawals		-76,505.38
Professional management fees and related services		-1,302.51
Net change from activity listed above	$	**-77,805.34**

Portfolio summary

		Year-to-date
Opening value	$	80,603.03
Net change from Activity Highlights		-77,805.34
Change in value of investments		-2,797.69
Value on December 31	$	.00

Earnings summary

		Paid in 2004
Taxable		
UBS Money fund dividends	$	16.05
Other dividends		815.17
Total taxable security earnings	$	**831.22**
Total current year security earnings	$	**831.22**
Prior year(s) adjustments		.42
Net security earnings	$	**831.64**

Important message:
Clients with account balances or activity in December should refer to the monthly statement following the Year-End Summary.

2004 Realized gains and losses

Estimated 2004 gains and losses for transactions with trade dates through 12/31/04 have been incorporated into this statement. The oldest security lot is liquidated first to calculate gains/losses (first-in, first-out or FIFO accounting method) unless you specified which lot to close when you placed your order (a versus purchase or VSP order). An asterisk (*) indicates a UBS Financial Services adjustment to cost basis. The number '1' indicates cost basis information has been provided by a source other than UBS Financial Services. See the back of the first page for additional information. This information is provided for informational purposes only and should not be relied upon for tax filing purposes. Rely only on year-end tax forms when preparing your tax return. Gains/losses may not be adjusted for all capital changes. Cost basis for tax-exempt and AMT eligible coupon municipal securities has been adjusted automatically for estimated amortization of bond premiums. Estimates in the "Unclassified" section can not be classified as short-term or long-term due to missing information or the product is one for which a gain/loss calculation is not provided.

Security description	Method	Quantity/ Face value	Purchase date	Sale date	Sale amount	Purchase amount	Loss	Gain	Net gain/loss
Short-term capital gains and losses:									
ABBOTT LABS	FIFO	20.000	10/20/03	08/02/04	$ 786.98	$ 783.74		$ 3.24	
	FIFO	15.000	11/10/03	08/02/04	590.23	581.94		8.29	
	FIFO	20.000	04/29/04	08/02/04	786.98	834.63	-47.65		
BANK OF N Y CO INC	FIFO	45.000	12/11/03	08/02/04	1,292.82	1,447.17	-154.35		
BEST BUY CO INC	FIFO	15.000	06/17/04	08/02/04	720.88	784.76	-63.88		
BIOGEN IDEC INC	FIFO	30.000	01/16/04	05/04/04	1,726.11	1,288.31		437.80	
COCA COLA CO COM	FIFO	25.000	08/18/03	07/29/04	1,079.78	1,122.91	-43.13		
	FIFO	25.000	01/12/04	07/29/04	1,079.78	1,247.16	-167.38		
CVS CORP	FIFO	25.000	11/24/03	08/02/04	1,046.97	921.44		125.53	
DANAHER CORP	FIFO	10.000	08/18/03	04/30/04	929.66	765.83		163.83	
DEVON ENERGY CORP NEW	FIFO	15.000	11/03/03	08/02/04	1,038.13	719.78		318.35	
EMC CORP MASS	FIFO	75.000	08/13/03	05/12/04	806.12	855.41	-47.29		
	FIFO	55.000	04/23/03	03/09/04	733.92	516.68		217.24	
FISERV INC	FIFO	35.000	05/20/04	08/02/04	1,193.12	1,278.07	-84.95		
GOLDMAN SACHS GROUP INC	FIFO	15.000	06/25/04	08/02/04	1,316.66	1,411.41	-94.75		
HOSPIRA INC	FIFO	2.000	10/20/03	05/18/04	53.21	51.99		1.22	
	FIFO	1.000	11/10/03	05/18/04	26.60	25.73		.87	
	FIFO	2.000	04/29/04	05/18/04	53.21	55.37	-2.16		
	FIFO	.499	04/29/04	05/03/04	13.21	12.87		.34	
INTEL CORP	FIFO	50.000	03/24/04	08/02/04	1,201.47	1,329.52	-128.05		
INTL BUSINESS MACH	FIFO	15.000	09/15/03	08/02/04	1,303.01	1,340.34	-37.33		
	FIFO	10.000	09/19/03	08/02/04	868.68	933.54	-64.86		
JOHNSON & JOHNSON COM	FIFO	25.000	04/29/04	08/02/04	1,374.97	1,348.42		26.55	
JPMORGAN CHASE & CO	FIFO	30.000	11/04/03	08/02/04	1,116.87	1,096.43		20.44	
LUCENT TECHNOLOGIES INC	FIFO	205.000	01/14/04	07/29/04	638.09	824.35	-186.26		
	FIFO	160.000	01/28/04	07/29/04	498.02	710.02	-212.00		
	FIFO	255.000	06/03/04	07/29/04	793.72	864.20	-70.48		

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2004 Realized gains and losses - continued

Security description	Method	Quantity/ Face value	Purchase date	Sale date	Sale amount	Purchase amount	Loss	Gain	Net gain/loss
MCDONALDS CORP	FIFO	45.000	02/19/04	08/02/04	1,229.37	1,230.45	-1.08		
	FIFO	40.000	03/10/04	08/02/04	1,092.77	1,163.54	-70.77		
OMNICOM GROUP	FIFO	15.000	12/17/03	08/02/04	1,080.27	1,254.54	-174.27		
	FIFO	15.000	03/17/04	08/02/04	1,080.27	1,141.41	-61.14		
PFIZER INC	FIFO	20.000	11/05/03	08/02/04	635.38	630.15		5.23	
QUEST DIAGNOSTICS INC	FIFO	15.000	06/03/04	08/02/04	1,229.82	1,326.84	-97.02		
ST PAUL TRAVELERS COS	FIFO	30.000	12/10/03	08/02/04	1,113.57	1,141.19	-27.62		
INC	FIFO	30.000	01/08/04	08/02/04	1,113.57	1,180.66	-67.09		
TARGET CORP	FIFO	15.000	09/02/03	07/08/04	610.10	618.72	-8.62		
TEXAS INSTRUMENTS	FIFO	55.000	03/24/04	06/24/04	1,323.85	1,505.49	-181.84		
	FIFO	30.000	05/25/04	06/24/04	721.99	749.14	-27.15		
TIME WARNER INC	FIFO	45.000	08/14/03	08/02/04	755.98	695.86		60.12	
	FIFO	45.000	09/02/03	08/02/04	755.98	741.51		14.47	
	FIFO	50.000	09/19/03	08/02/04	839.98	812.27		27.71	
Total					$ 35,653.90	$ 37,343.79	$ -2,121.12	$ 1,431.23	$ -689.89

Long-term capital gains and losses:

AMER INTL GROUP INC	FIFO	10.000	03/03/00	08/02/04	$ 706.48	$ 581.67		$ 124.81	
	FIFO	22.000	03/10/00	08/02/04	1,554.26	1,245.31		308.95	
	FIFO	5.000	03/03/00	06/15/04	362.24	290.83		71.41	
BANK OF N Y CO INC	FIFO	25.000	07/24/03	06/02/04	718.23	755.48	-37.25		
CARDINAL HEALTH INC	FIFO	15.000	02/05/02	01/23/04	949.32	978.62	-29.30		
	FIFO	10.000	03/25/02	01/23/04	632.88	690.46	-57.58		
	FIFO	15.000	05/02/02	01/23/04	949.32	1,063.11	-113.79		
CHEVRONTEXACO CORP	FIFO	10.000	03/20/03	08/02/04	956.47	658.46		298.01	
CISCO SYS INC	FIFO	5.000	08/28/02	08/02/04	102.90	69.74		33.16	
	FIFO	60.000	10/24/02	06/02/04	1,234.76	700.60		534.16	
	FIFO	60.000	08/28/02	03/09/04	1,330.70	836.88		493.82	
CITIGROUP INC	FIFO	15.000	03/03/00	08/02/04	653.23	566.83		86.40	
	FIFO	15.000	05/25/01	08/02/04	653.23	734.04	-80.81		
	FIFO	15.000	08/23/02	08/02/04	653.23	511.25		141.98	
CONOCOPHILLIPS	FIFO	15.000	03/23/01	08/02/04	1,181.52	814.38		367.14	
	FIFO	15.000	07/09/01	08/02/04	1,181.52	854.01		327.51	

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2004 Realized gains and losses - continued

Security description	Method	Quantity/ Face value	Purchase date	Sale date	Sale amount	Purchase amount	Loss	Gain	Net gain/loss
CVS CORP	FIFO	40.000	02/20/03	08/02/04	1,675.16	1,017.46		657.70	
DEVON ENERGY CORP NEW	FIFO	15.000	06/12/03	08/02/04	1,038.13	825.67		212.46	
EXXON MOBIL CORP	FIFO	40.000	03/03/00	08/02/04	1,851.55	1,495.00		356.55	
GENL DYNAMICS CORP	FIFO	10.000	03/21/01	08/02/04	988.28	658.28		330.00	
	FIFO	10.000	09/25/02	08/02/04	988.28	821.36		166.92	
	FIFO	5.000	03/21/01	06/15/04	491.33	329.14		162.19	
GENL ELECTRIC CO	FIFO	25.000	07/29/02	08/02/04	824.98	754.31		70.67	
	FIFO	20.000	08/28/02	08/02/04	659.98	627.62		32.36	
	FIFO	20.000	12/02/02	08/02/04	659.98	544.62		115.36	
HEWLETT PACKARD CO	FIFO	70.000	05/08/02	08/02/04	1,397.87	1,380.05		17.82	
	FIFO	40.000	07/08/02	08/02/04	798.78	638.30		160.48	
	FIFO	30.000	08/01/02	08/02/04	599.09	412.88		186.21	
INTEL CORP	FIFO	25.000	08/17/03	08/02/04	600.73	540.37		60.36	
JOHNSON & JOHNSON COM	FIFO	20.000	03/29/01	08/02/04	1,099.97	877.38		222.59	
JPMORGAN CHASE & CO	FIFO	35.000	04/24/03	08/02/04	1,303.02	993.46		309.56	
LOWES COMPANIES INC	FIFO	8.000	03/04/03	08/02/04	387.51	301.03		86.48	
	FIFO	15.000	04/09/03	08/02/04	726.58	645.90		80.68	
	FIFO	25.000	06/06/03	08/02/04	1,210.97	1,101.19		109.78	
MARSH & MCLENNAN COS INC	FIFO	55.000	03/03/00	08/02/04	2,440.84	2,213.75		227.09	
MBNA CORP	FIFO	90.000	03/03/00	04/28/04	2,209.93	1,383.75		826.18	
MICROSOFT CORP	FIFO	40.000	07/17/01	03/22/04	965.33	1,421.70	-456.37		
	FIFO	30.000	10/03/01	03/22/04	724.00	824.85	-100.85		
	FIFO	30.000	06/08/02	03/22/04	724.00	817.89	-93.89		
NEXTEL COMMUNICATIONS	FIFO	70.000	10/23/02	01/12/04	1,974.09	717.98		1,256.11	
INC CL A	FIFO	35.000	12/18/02	01/12/04	987.04	453.57		533.47	
PFIZER INC	FIFO	25.000	07/12/01	08/02/04	794.23	952.18	-157.95		
	FIFO	20.000	09/20/01	08/02/04	635.38	741.19	-105.81		
PROCTER & GAMBLE CO	FIFO	20.000	02/11/02	08/02/04	1,053.98	819.87		234.11	
	FIFO	20.000	03/21/02	08/02/04	1,053.98	900.01		153.97	
	FIFO	5.000	02/11/02	06/15/04	556.13	409.93		146.20	
SOUTHWEST AIRLINES CO	FIFO	45.000	03/03/00	08/02/04	641.68	543.75		97.93	
	FIFO	30.000	06/29/02	08/02/04	427.79	517.88	-90.09		
	FIFO	25.000	06/10/03	08/02/04	356.49	413.66	-57.17		

2004 Realized gains and losses - continued

Security description	Method	Quantity/ Face value	Purchase date	Sale date	Sale amount	Purchase amount	Loss	Gain	Net gain/loss
TARGET CORP	FIFO	20.000	10/17/02	07/08/04	813.47	593.54		219.93	
	FIFO	20.000	12/05/02	07/08/04	813.47	693.37		120.10	
UNTD TECHNOLOGIES CORP	FIFO	10.000	12/19/01	08/02/04	932.48	642.33		290.15	
	FIFO	20.000	04/09/03	08/02/04	1,864.96	1,234.59		630.38	
	FIFO	5.000	02/21/01	08/15/04	446.63	399.79		46.84	
US BANCORP DEL (NEW)	FIFO	30.000	03/04/03	08/02/04	843.88	617.41		226.47	
	FIFO	60.000	04/23/03	08/02/04	1,687.78	1,371.84		315.92	
VIACOM INC B NON VTG	FIFO	75.000	03/03/00	08/02/04	2,501.19	4,176.56	-1,675.37		
Total					$ 56,571.20	$ 45,177.08	$ -3,056.23	$ 11,450.35	$ 8,394.12
Net capital gains/losses:									$ 7,704.23

UBS Financial Services Inc.
307 SOUTH ASHLAND AVENUE
LEXINGTON, KY 40502

UBS Financial Services Inc.

2004 Consolidated Form 1099

P11L026493-X1

IMPORTANT NOTICE!
This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.
UBS Financial Services Inc., 1285 Ave of Americas, NY, NY 10019 (Federal ID 13-2638166)

CYNTHIA DOYLE ROGERS LIVING TR
CYNTHIA DOYLE ROGERS TRUSTEE
DTD 08/20/01
RORER EQ-IV

00008513

Only the forms applicable to your account are shown. Forms which are not applicable and therefore not shown include: Form 1099-OID, Form 1099-INT, Form 1099-MISC.

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Form 1099-DIV Dividends and Distributions *(OMB No 1545-0110)*

Lines	Category	Amount
1a	Ordinary dividends (includes lines 1b and 5)	$ 780.06
1b	Qualified dividends	764.01
2a	Total capital gain distributions (includes lines 2b, 2c, 2d)	0.00
2b	Unrecaptured section 1250 gain	0.00
2c	Section 1202 gain	0.00
2d	28% rate gain (Collectibles)	0.00
3	Nontaxable distributions	0.00
4	Federal income tax withheld	0.00
5	Investment expenses	0.00
6	Foreign tax paid	
7	Foreign country or U.S. possession	
8	Liquidation distributions - cash	0.00
9	Liquidation distributions - non-cash (fair market value)	0.00

Form 1099-B Proceeds From Broker & Barter Exchange Transactions *(OMB No 1545-0715)*

Lines	Category	Amount
1a	Date of sale or exchange	Various
1b	CUSIP number	Various
2	Stocks, bonds, etc. reported to IRS (gross proceeds less commissions)	$ 93,263.01
3	Bartering	0.00
4	Federal income tax withheld	0.00
5	Number of shares exchanged	0
6	Classes of stock exchanged	Various
7	Description	See details

Details for Items Reported on Forms 1099

Dividends and Distributions Details Reported on Form 1099-DIV

Description	Additional Information	Ex Date	Payment Date	Tax year	Subject to AMT	Amount
Ordinary dividends (less lines 1b and 5)						
RMA MONEY MKT. PORTFOLIO			01/23/04	2004		1.23
			02/20/04	2004		1.37
			03/24/04	2004		1.31
			04/23/04	2004		1.09

Continued on page 2

UBS Financial Services Inc.

2004 Consolidated Form 1099

P11L028494-X1

Dividends and Distributions Details Reported on Form 1099-DIV - continued

Description	Additional Information	Ex Date	Payment Date	Tax year	Subject to AMT		Amount
RMA MONEY MKT. PORTFOLIO			05/21/04	2004			1.30
			06/23/04	2004			1.18
			07/23/04	2004			2.20
			08/04/04	2004			.85
Sub Total: RMA MONEY MKT. PORTFOLIO						$	10.53
UBS CASHFUND INC			08/11/04	2004		$	5.52
Total Line 1a Ordinary dividends (less lines 1b and 5)						$	16.05
Qualified dividends							
ABBOTT LABS		01/13/04	02/15/04	2004			8.58
		04/13/04	05/15/04	2004			9.10
		07/13/04	08/15/04	2004			14.30
Sub Total: ABBOTT LABS						$	31.98
AMER INTL GROUP INC		03/03/04	03/19/04	2004			2.41
		06/02/04	06/18/04	2004			2.41
Sub Total: AMER INTL GROUP INC						$	4.82
BANK OF N Y CO INC		01/21/04	02/05/04	2004			13.30
		04/22/04	05/06/04	2004			14.00
		07/21/04	08/05/04	2004			14.00
Sub Total: BANK OF N Y CO INC						$	41.30
BEST BUY CO INC		07/02/04	07/28/04	2004		$	1.50
CARDINAL HEALTH INC		12/29/03	01/15/04	2004		$	1.20
CHEVRONTEXACO CORP		02/13/04	03/10/04	2004			7.30
		05/17/04	06/10/04	2004			7.30
Sub Total: CHEVRONTEXACO CORP						$	14.60
CITIGROUP INC		01/29/04	02/27/04	2004			18.00
		04/29/04	05/28/04	2004			18.00
		07/29/04	08/27/04	2004			18.00
Sub Total: CITIGROUP INC						$	54.00
COCA COLA CO COM		03/11/04	04/01/04	2004			12.50
		06/14/04	07/01/04	2004			12.50
Sub Total: COCA COLA CO COM						$	25.00

Continued on page 3

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UBS Financial Services Inc.

2004 Consolidated Form 1099

P11L026495-X1

Dividends and Distributions Details Reported on Form 1099-DIV - continued

Description	Additional information	Ex Date	Payment Date	Tax year	Subject to AMT	Amount
CONOCOPHILLIPS		02/18/04	03/01/04	2004		12.90
		05/13/04	06/01/04	2004		12.90
		07/28/04	09/01/04	2004		12.90
Sub Total: CONOCOPHILLIPS						$ 38.70
CVS CORP		01/20/04	02/03/04	2004		4.31
		04/21/04	05/03/04	2004		4.31
		07/20/04	08/02/04	2004		4.31
Sub Total: CVS CORP						$ 12.93
DANAHER CORP		12/23/03	01/30/04	2004		.25
		03/24/04	04/30/04	2004		.25
Sub Total: DANAHER CORP						$ 0.50
DEVON ENERGY CORP NEW		03/11/04	03/31/04	2004		3.00
		06/14/04	06/30/04	2004		3.00
Sub Total: DEVON ENERGY CORP NEW						$ 6.00
EXXON MOBIL CORP		02/09/04	03/10/04	2004		10.00
		05/11/04	06/10/04	2004		10.80
Sub Total: EXXON MOBIL CORP						$ 20.80
GENL DYNAMICS CORP		01/14/04	02/06/04	2004		8.00
		04/06/04	05/07/04	2004		9.00
		06/30/04	08/06/04	2004		7.20
Sub Total: GENL DYNAMICS CORP						$ 24.20
GENL ELECTRIC CO		12/29/03	01/26/04	2004		13.00
		02/26/04	04/26/04	2004		13.00
		06/24/04	07/26/04	2004		13.00
Sub Total: GENL ELECTRIC CO						$ 39.00
GOLDMAN SACHS GROUP INC		07/23/04	08/26/04	2004		$ 3.75
HEWLETT PACKARD CO		12/15/03	01/07/04	2004		11.20
		03/15/04	04/07/04	2004		11.20
		06/14/04	07/07/04	2004		11.20
Sub Total: HEWLETT PACKARD CO						$ 33.60
INTEL CORP		02/04/04	03/01/04	2004		1.00
		05/05/04	06/01/04	2004		3.00
Sub Total: INTEL CORP						$ 4.00

Continued on page 4

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UBS Financial Services Inc.

2004 Consolidated Form 1099

P11L026498-X1

Dividends and Distributions Details Reported on Form 1099-DIV - continued

Description	Additional information	Ex Date	Payment Date	Tax year	Subject to AMT	Amount
INTL BUSINESS MACH		02/06/04	03/10/04	2004		4.00
		05/06/04	06/10/04	2004		4.50
Sub Total: INTL BUSINESS MACH						$ 8.50
JOHNSON & JOHNSON COM		02/12/04	03/09/04	2004		4.80
		05/14/04	06/08/04	2004		12.83
Sub Total: JOHNSON & JOHNSON COM						$ 17.63
JPMORGAN CHASE & CO		01/02/04	01/31/04	2004		22.10
		04/02/04	04/30/04	2004		22.10
		07/01/04	07/31/04	2004		22.10
Sub Total: JPMORGAN CHASE & CO						$ 66.30
LOWES COMPANIES INC		01/14/04	01/30/04	2004		1.44
		04/14/04	04/30/04	2004		1.44
		04/14/04	04/30/04	2004		.02
		07/14/04	07/30/04	2004		1.92
Sub Total: LOWES COMPANIES INC						$ 4.82
MARSH & MCLENNAN COS INC		12/31/03	02/13/04	2004		17.05
		04/06/04	05/14/04	2004		17.05
		07/02/04	08/13/04	2004		18.70
Sub Total: MARSH & MCLENNAN COS INC						$ 52.80
MBNA CORP		12/11/03	01/01/04	2004		9.00
		03/11/04	04/01/04	2004		10.80
Sub Total: MBNA CORP						$ 19.80
OMNICOM GROUP		12/23/03	01/06/04	2004		3.00
		03/03/04	04/02/04	2004		3.38
		06/04/04	07/01/04	2004		6.75
Sub Total: OMNICOM GROUP						$ 13.13
PFIZER INC		02/11/04	03/05/04	2004		11.05
		05/12/04	06/04/04	2004		11.05
Sub Total: PFIZER INC						$ 22.10
PROCTER & GAMBLE CO		01/21/04	02/17/04	2004		11.38
		04/21/04	05/14/04	2004		12.50
		07/21/04	08/16/04	2004		10.00
Sub Total: PROCTER & GAMBLE CO						$ 33.88

Continued on page 5

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UBS Financial Services Inc.

2004 Consolidated Form 1099

P11L025497-X1

Dividends and Distributions Details Reported on Form 1099-DIV - continued

Description	Additional Information	Ex Date	Payment Date	Tax year	Subject to AMT		Amount
QUEST DIAGNOSTICS INC		07/02/04	07/21/04	2004		$	2.25
SOUTHWEST AIRLINES CO		12/03/03	01/06/04	2004			.45
		03/02/04	03/25/04	2004			.45
		06/08/04	06/30/04	2004			.45
Sub Total: SOUTHWEST AIRLINES CO						$	1.35
ST PAUL TRAVELERS COS INC		12/29/03	01/16/04	2004			8.70
		03/29/04	04/16/04	2004			17.40
		04/02/04	05/14/04	2004			12.60
		06/08/04	06/30/04	2004			13.20
Sub Total: ST PAUL TRAVELERS COS						$	51.90
TARGET CORP		02/18/04	03/10/04	2004			3.85
		05/18/04	06/10/04	2004			3.85
Sub Total: TARGET CORP						$	7.70
TEXAS INSTRUMENTS		04/28/04	05/17/04	2004		$	1.17
UNTD TECHNOLOGIES CORP		02/18/04	03/10/04	2004			12.25
		05/19/04	06/10/04	2004			12.25
Sub Total: UNTD TECHNOLOGIES CORP						$	24.50
US BANCORP DEL (NEW)		12/29/03	01/15/04	2004			21.60
		03/29/04	04/15/04	2004			21.60
		06/28/04	07/15/04	2004			21.60
Sub Total: US BANCORP DEL (NEW)						$	64.80
VIACOM INC B NON VTG		12/04/03	01/01/04	2004			4.50
		02/25/04	04/01/04	2004			4.50
		05/27/04	07/01/04	2004			4.50
Sub Total: VIACOM INC B NON VTG						$	13.50
Total Line 1b Qualified dividends						$	764.01

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UBS Financial Services Inc.

2004 Consolidated Form 1099

P11L028499-X1

Proceeds from Broker Transactions Details Reported on Form 1099-B

"Net proceeds" is the amount reported to the IRS. Accrued interest is reported on Form 1099-INT and details are also included in that Form's detail section

Date of sale or activity (Line #1a)	Activity	Quantity/ Face value/ Shares exchanged	Description (Line #7)	Class of stock exchanged (Line #5)	Cusip number (Line #1b)	Net proceeds (Line #2)	Accrued interest	Federal income tax withheld (Line #4)
08/02/04	Sell	55	ABBOTT LABS		002824100	2,164.18	.00	.00
06/15/04	Sell	5	AMER INTL GROUP INC		026874107	362.24	.00	.00
08/02/04	Sell	32	AMER INTL GROUP INC		026874107	2,260.74	.00	.00
08/02/04	Sell	70	BANK OF N Y CO INC		064057102	2,011.05	.00	.00
08/02/04	Sell	15	BEST BUY CO INC		086516101	720.88	.00	.00
05/04/04	Sell	30	BIOGEN IDEC INC		09062X103	1,726.11	.00	.00
01/23/04	Sell	40	CARDINAL HEALTH INC		14149Y108	2,531.52	.00	.00
08/02/04	Sell	10	CHEVRONTEXACO CORP		166764100	956.47	.00	.00
03/09/04	Sell	60	CISCO SYS INC		17275R102	1,330.70	.00	.00
08/02/04	Sell	65	CISCO SYS INC		17275R102	1,337.66	.00	.00
08/02/04	Sell	45	CITIGROUP INC		172967101	1,959.70	.00	.00
07/29/04	Sell	50	COCA COLA CO COM		191216100	2,159.56	.00	.00
08/02/04	Sell	30	CONOCOPHILLIPS		20825C104	2,363.04	.00	.00
08/02/04	Sell	65	CVS CORP		126650100	2,722.13	.00	.00
04/30/04	Sell	10	DANAHER CORP		235851102	929.66	.00	.00
08/02/04	Sell	30	DEVON ENERGY CORP NEW		25179M103	2,076.25	.00	.00
03/09/04	Sell	55	EMC CORP MASS		268648102	733.92	.00	.00
05/12/04	Sell	75	EMC CORP MASS		268648102	808.12	.00	.00
08/02/04	Sell	40	EXXON MOBIL CORP		30231G102	1,851.55	.00	.00
08/02/04	Sell	35	FISERV INC		337738108	1,193.12	.00	.00
06/15/04	Sell	5	GENL DYNAMICS CORP		369550108	491.33	.00	.00
08/02/04	Sell	20	GENL DYNAMICS CORP		369550108	1,976.55	.00	.00
08/02/04	Sell	65	GENL ELECTRIC CO		369604103	2,144.94	.00	.00
08/02/04	Sell	15	GOLDMAN SACHS GROUP INC		38141G104	1,316.66	.00	.00
08/02/04	Sell	140	HEWLETT PACKARD CO		428236103	2,795.73	.00	.00
05/12/04	Cash in Lieu		HOSPIRA INC		441060100	13.21	.00	.00
05/18/04	Sell	5	HOSPIRA INC		441060100	133.02	.00	.00
08/02/04	Sell	75	INTEL CORP		458140100	1,802.20	.00	.00
08/02/04	Sell	25	INTL BUSINESS MACH		459200101	2,171.69	.00	.00
08/02/04	Sell	45	JOHNSON & JOHNSON COM		478160104	2,474.94	.00	.00
08/02/04	Sell	65	JPMORGAN CHASE & CO		46625H100	2,419.89	.00	.00

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UBS Financial Services Inc.

2004 Consolidated Form 1099

P11L026499-X1

Proceeds from Broker Transactions Details Reported on Form 1099-B - continued

"Net proceeds" is the amount reported to the IRS. Accrued interest is reported on Form 1099-INT and details are also included in that Form's detail section.

Date of sale or activity (Line #1a)	Activity	Quantity/ face value/ Shares exchanged	Description (Line #7)	Class of stock exchanged (Line #6)	Cusip number (Line #1b)	Net proceeds (Line #2)	Accrued interest	Federal income tax withheld (Line #4)
08/02/04	Sell	48	LOWES COMPANIES INC		548661107	2,325.06	.00	.00
07/29/04	Sell	620	LUCENT TECHNOLOGIES INC		549463107	1,929.82	.00	.00
08/02/04	Sell	55	MARSH & MCLENNAN COS INC		571748102	2,440.84	.00	.00
04/28/04	Sell	90	MBNA CORP		55262L100	2,209.93	.00	.00
08/02/04	Sell	85	MCDONALDS CORP		580135101	2,322.14	.00	.00
03/22/04	Sell	100	MICROSOFT CORP		594918104	2,413.32	.00	.00
01/12/04	Sell	105	NEXTEL COMMUNICATIONS INC CL A		65332V103	2,861.13	.00	.00
08/02/04	Sell	30	OMNICOM GROUP		681919106	2,160.54	.00	.00
08/02/04	Sell	65	PFIZER INC		717081103	2 065.00	.00	.00
01/15/04	Cash In Lieu		PIPER JAFFRAY COS		724078100	37.95	.00	.00
06/15/04	Sell	5	PROCTER & GAMBLE CO		742718109	556.13	.00	.00
08/02/04	Sell	40	PROCTER & GAMBLE CO		742718109	2,107.95	.00	.00
08/02/04	Sell	15	QUEST DIAGNOSTICS INC		74834L100	1,229.82	.00	.00
08/02/04	Sell	100	SOUTHWEST AIRLINES CO		844741108	1,425.96	.00	.00
08/02/04	Sell	60	ST PAUL TRAVELERS COS INC		792860108	2,227.14	.00	.00
07/08/04	Sell	55	TARGET CORP		87612E106	2,237.04	.00	.00
06/24/04	Sell	85	TEXAS INSTRUMENTS		882508104	2,045.64	.00	.00
08/02/04	Sell	140	TIME WARNER INC		887317105	2,351.94	.00	.00
06/15/04	Sell	5	UNTD TECHNOLOGIES CORP		913017109	446.63	.00	.00
08/02/04	Sell	30	UNTD TECHNOLOGIES CORP		913017109	2,797.43	.00	.00
08/02/04	Sell	90	US BANCORP DEL (NEW)		902973304	2,531.64	.00	.00
08/02/04	Sell	75	VIACOM INC B NON VTG		925524308	2,501.19	.00	.00
			Total			$ 93,263.01	$ 0.00	$ 0.00

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UBS Financial Services Inc.
307 SOUTH ASHLAND AVENUE
LEXINGTON, KY 40502

PZBD008827-X8 - 1204 - LC - 0

Investment Account

PZBD008827-X8
7056841 - 000050

Account Number:

CYNTHIA DOYLE ROGERS LIVING TR
CYNTHIA DOYLE ROGERS TRUSTEE
DTD 08/20/01

2004 Year-End Summary
Important Tax-Planning Information

Please keep this statement for use with your tax-reporting documents, e.g., 1099, 5498, etc.

Activity highlights

		Year-to-date
Withholdings and taxes paid	$	-2.96
Withdrawals		-68,296.80
Professional management fees and related services		-1,154.12
Net change from activity listed above	$	**-69,453.88**

Portfolio summary

		Year-to-date
Opening value	$	70,894.41
Net change from Activity Highlights		-69,453.88
Change in value of investments		-1,440.53
Value on December 31	$	**.00**

Earnings summary

		Paid in 2004
Taxable		
UBS Money fund dividends	$	10.27
Other dividends		519.20
Total taxable security earnings	$	**529.47**
Total current year security earnings	$	**529.47**
Prior year(s) adjustments		.14
Net security earnings	$	**529.61**

Important message:
Clients with account balances or activity in December should refer to the monthly statement following the Year-End Summary.

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2004 Realized gains and losses

Estimated 2004 gains and losses for transactions with trade dates through 12/31/04 have been incorporated into this statement. The oldest security lot is liquidated first to calculate gains/losses (first-in, first-out or FIFO accounting method) unless you specified which lot to close when you placed your order (a versus purchase or VSP order). An asterisk (*) indicates a UBS Financial Services adjustment to cost basis. The number "1" indicates cost basis information has been provided by a source other than UBS Financial Services. See the back of the first page for additional information. This information is provided for informational purposes only and should not be relied upon for tax filing purposes. Rely only on year-end tax forms when preparing your tax return. Gains/losses may not be adjusted for all capital changes. Cost basis for tax-exempt and AMT eligible coupon municipal securities has been adjusted automatically for estimated amortization of bond premiums. Estimates in the "Unclassified" section can not be classified as short-term or long-term due to missing information or the product is one for which a gain/loss calculation is not provided.

Security description	Method	Quantity/ Face value	Purchase date	Sale date	Sale amount	Purchase amount	Loss	Gain	Net gain/loss
Short-term capital gains and losses:									
AMGEN INC	FIFO	5.000	03/25/04	08/02/04	$ 283.99	$ 295.16	$ -11.17		
ANHEUSER BUSCH COS INC	FIFO	10.000	10/07/03	08/02/04	518.49	509.13		9.36	
	FIFO	15.000	03/03/04	08/02/04	777.73	804.33	-26.60		
BED BATH & BEYOND INC	FIFO	10.000	07/06/04	08/02/04	353.89	377.71	-23.82		
CISCO SYS INC	FIFO	20.000	08/19/03	08/02/04	411.59	370.83		40.76	
CITIGROUP INC	FIFO	5.000	09/08/03	08/02/04	217.74	223.84	-6.10		
DELL INC	FIFO	10.000	08/19/03	08/02/04	352.69	329.44		23.25	
	FIFO	10.000	03/04/04	08/02/04	352.69	330.71		21.98	
GANNETT CO	FIFO	10.000	08/06/03	08/02/04	828.98	773.96		55.02	
	FIFO	3.000	08/19/03	08/02/04	248.69	233.03		15.66	
	FIFO	5.000	11/06/03	08/02/04	414.49	424.21	-9.72		
GOLDMAN SACHS GROUP INC	FIFO	4.000	08/09/03	05/06/04	379.08	351.96		27.12	
	FIFO	6.000	07/02/03	05/06/04	568.62	514.48		54.16	
	FIFO	6.000	06/09/03	03/04/04	650.35	527.94		122.41	
INTEL CORP	FIFO	10.000	08/19/03	08/02/04	240.29	262.46	-22.17		
	FIFO	15.000	08/20/03	08/02/04	360.44	394.37	-33.93		
	FIFO	20.000	03/29/04	08/02/04	480.59	551.21	-70.62		
KOHLS CORP	FIFO	10.000	05/02/03	02/13/04	486.13	553.45	-67.32		
	FIFO	10.000	05/16/03	02/13/04	486.13	532.53	-46.40		
	FIFO	5.000	05/19/03	02/13/04	243.07	260.75	-17.68		
	FIFO	5.000	11/06/03	02/13/04	243.07	264.78	-21.69		
LILLY ELI & CO	FIFO	15.000	05/07/04	08/02/04	955.77	1,138.33	-182.56		
LOWES COMPANIES INC	FIFO	15.000	06/30/04	08/02/04	726.58	782.97	-56.39		
MEDTRONIC INC	FIFO	5.000	03/05/04	08/02/04	249.49	244.40		5.09	
MORGAN STANLEY	FIFO	20.000	08/05/03	08/02/04	973.97	922.07		51.90	
	FIFO	5.000	07/28/04	08/02/04	243.49	240.91		2.58	
	FIFO	5.000	07/29/04	08/02/04	243.49	247.96	-4.47		

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Investment Account

Statement Period: 2004 Year-End Summary

P28D006829-X8
7056841 - 000052

2004 Realized gains and losses - continued

Security description	Method	Quantity/ Face value	Purchase date	Sale date	Sale amount	Purchase amount	Loss	Gain	Net gain/loss
OMNICOM GROUP	FIFO	9.000	05/19/04	08/02/04	648.16	724.94	-76.78		
ORACLE CORP	FIFO	30.000	08/19/03	08/02/04	311.39	363.09	-51.70		
	FIFO	55.000	07/29/04	08/02/04	570.88	570.63		.25	
PFIZER INC	FIFO	10.000	11/19/03	08/02/04	317.69	344.61	-26.92		
PROCTER & GAMBLE CO	FIFO	6.000	10/07/03	08/02/04	316.19	283.14		33.05	
STRYKER CORP	FIFO	12.000	09/08/03	08/02/04	568.30	443.56		124.74	
	FIFO	18.000	09/08/03	08/29/04	985.04	665.34		319.70	
UNTD TECHNOLOGIES CORP	FIFO	9.000	03/05/04	08/02/04	839.23	811.44		27.79	
WAL MART STORES INC	FIFO	10.000	07/08/04	08/02/04	530.19	520.61		9.58	
	FIFO	5.000	07/09/04	08/02/04	265.09	261.03		4.06	
WELLS FARGO & CO NEW	FIFO	20.000	03/15/04	08/02/04	1,146.97	1,159.68	-12.71		
	FIFO	12.000	08/29/04	08/02/04	688.18	687.90		.28	
Total					$ 19,476.84	$ 19,296.85	$ -768.75	$ 948.74	$ 179.99

Long-term capital gains and losses:

AFLAC INC	FIFO	10.000	07/18/02	08/02/04	$ 395.39	$ 279.39		$ 116.00	
	FIFO	10.000	10/23/02	08/02/04	395.39	316.48		78.91	
	FIFO	10.000	05/05/03	08/02/04	395.39	328.20		67.19	
AMER INTL GROUP INC	FIFO	47.000	03/02/00	08/02/04	3,320.47	2,740.42		580.05	
AMGEN INC	FIFO	5.000	03/23/00	08/02/04	283.99	289.76	-5.77		
	FIFO	15.000	05/22/00	08/02/04	851.98	851.62		.36	
	FIFO	15.000	10/25/00	08/02/04	851.98	1,053.39	-201.41		
ANHEUSER BUSCH COS INC	FIFO	10.000	02/04/03	08/02/04	518.49	482.15		36.34	
BED BATH & BEYOND INC	FIFO	10.000	02/12/02	08/02/04	353.89	340.63		13.26	
	FIFO	15.000	04/17/02	08/02/04	530.84	522.00		8.84	
CISCO SYS INC	FIFO	10.000	03/02/00	08/02/04	205.79	666.88	-461.09		
	FIFO	10.000	01/12/01	08/02/04	205.79	381.96	-176.17		
	FIFO	15.000	01/16/01	08/02/04	308.69	571.15	-262.46		
	FIFO	20.000	08/13/02	08/02/04	411.59	276.44		135.15	
	FIFO	30.000	03/02/00	01/30/04	771.31	2,000.63	-1,229.32		
CITIGROUP INC	FIFO	15.000	01/23/02	08/02/04	653.23	690.91	-37.68		
	FIFO	10.000	02/22/02	08/02/04	435.49	391.21		44.28	
	FIFO	15.000	04/17/02	08/02/04	653.23	667.69	-14.46		

Investment Account

Statement Period: 2004 Year-End Summary

PZBD008830-X6
7056841 - 000053

2004 Realized gains and losses - continued

Security description	Method	Quantity/ Face value	Purchase date	Sale date	Sale amount	Purchase amount	Loss	Gain	Net gain/loss
	FIFO	15.000	05/05/03	08/02/04	$ 653.23	$ 596.69		56.54	
COCA COLA CO COM	FIFO	15.000	05/02/02	03/08/04	751.07	859.33	-108.26		
	FIFO	5.000	05/10/02	03/08/04	250.36	282.38	-32.02		
COLGATE PALMOLIVE CO	FIFO	25.000	03/02/00	08/02/04	1,330.21	1,322.95		7.26	
DELL INC	FIFO	35.000	06/13/02	08/02/04	1,234.42	924.81		309.61	
FIRST DATA CORP	FIFO	20.000	11/06/02	08/02/04	670.98	737.69		133.29	
	FIFO	5.000	12/09/02	08/02/04	217.74	172.13		45.61	
	FIFO	15.000	05/29/03	08/02/04	653.23	619.51		33.72	
GENL ELECTRIC CO	FIFO	105.000	03/02/00	08/02/04	3,464.91	4,720.63	-1,255.72		
INTEL CORP	FIFO	30.000	07/02/03	08/02/04	720.88	655.58		65.30	
	FIFO	15.000	05/05/03	07/19/04	343.91	288.18		55.73	
	FIFO	5.000	07/02/03	07/19/04	114.84	109.26		5.58	
INTL BUSINESS MACH	FIFO	20.000	03/02/00	08/02/04	1,737.35	2,072.50	-335.15		
	FIFO	5.000	05/05/03	08/02/04	521.21	525.37	-4.16		
JOHNSON & JOHNSON COM	FIFO	5.000	08/08/01	08/02/04	274.99	268.98		6.01	
	FIFO	5.000	08/09/01	08/02/04	274.99	269.59		5.40	
	FIFO	10.000	10/03/01	08/02/04	549.99	539.29		10.70	
	FIFO	5.000	03/22/02	08/02/04	274.99	324.00	-49.01		
	FIFO	5.000	05/02/02	08/02/04	274.99	319.06	-44.07		
	FIFO	5.000	12/09/02	08/02/04	274.99	279.36	-4.37		
LOWES COMPANIES INC	FIFO	5.000	10/25/02	08/02/04	242.19	218.11		24.08	
	FIFO	15.000	12/09/02	08/02/04	726.58	598.78		127.80	
MARSH & MCLENNAN COS INC	FIFO	10.000	07/17/02	08/02/04	443.79	424.38		19.41	
	FIFO	5.000	07/18/02	08/02/04	221.89	204.52		17.37	
	FIFO	10.000	12/09/02	08/02/04	443.79	471.77	-27.98		
MBNA CORP	FIFO	7.000	06/22/00	08/02/04	172.55	122.67		49.88	
	FIFO	37.000	04/02/01	08/02/04	912.03	810.28		101.75	
	FIFO	18.000	10/12/01	08/02/04	394.39	303.94		90.45	
MEDTRONIC INC	FIFO	40.000	03/02/00	08/02/04	1,995.95	1,962.50		33.45	
MICROSOFT CORP	FIFO	60.000	03/02/00	08/02/04	1,696.76	2,810.63	-1,113.87		
	FIFO	40.000	09/11/00	08/02/04	1,131.17	1,383.08	-251.91		
NOKIA CORP SPONS ADR	FIFO	5.000	06/16/00	06/30/04	72.23	293.66	-221.43		
NUVEEN ADR	FIFO	25.000	01/16/01	06/30/04	361.17	985.67	-624.50		

2004 Realized gains and losses - continued

Security description	Method	Quantity/ Face value	Purchase date	Sale date	Sale amount	Purchase amount	Loss	Gain	Net gain/loss
	FIFO	5.000	06/15/00	06/29/04	$ 70.51	$ 287.77	-217.26		
	FIFO	20.000	06/16/00	06/29/04	282.05	1,174.65	-892.60		
ORACLE CORP	FIFO	50.000	03/02/00	08/02/04	518.99	1,714.06	-1,195.07		
PEPSICO INC	FIFO	20.000	09/28/01	08/02/04	995.37	964.05		31.32	
	FIFO	15.000	03/22/02	08/02/04	746.53	763.65	-17.12		
PFIZER INC	FIFO	85.000	03/02/00	08/02/04	2,700.38	2,714.69	-14.31		
PROCTER & GAMBLE CO	FIFO	20.000	05/02/02	08/02/04	1,053.97	931.63		122.34	
	FIFO	10.000	08/13/02	08/02/04	526.99	457.14		69.85	
SLM CORP VTG	FIFO	1.000	08/21/01	05/07/04	37.74	27.65		10.09	
	FIFO	15.000	03/22/02	05/07/04	566.08	480.75		85.33	
STATE STREET CORP	FIFO	15.000	03/02/00	07/08/04	733.15	542.81		190.34	
	FIFO	15.000	03/02/00	07/07/04	735.84	542.81		193.03	
SYSCO CORP	FIFO	10.000	08/24/01	08/02/04	344.49	284.01		60.48	
	FIFO	15.000	03/22/02	08/02/04	516.74	444.60		72.14	
	FIFO	10.000	04/18/02	08/02/04	344.49	287.05		57.44	
	FIFO	20.000	08/24/01	03/30/04	788.11	568.01		220.10	
TARGET CORP	FIFO	5.000	05/11/01	08/02/04	219.24	198.49		20.75	
	FIFO	15.000	05/29/03	08/02/04	657.73	530.98		126.75	
	FIFO	5.000	05/30/03	08/02/04	219.24	179.78		39.46	
	FIFO	15.000	05/11/01	03/26/04	669.14	595.47		73.67	
TEXAS INSTRUMENTS	FIFO	15.000	08/04/00	08/02/04	316.79	825.25	-508.46		
	FIFO	20.000	11/13/00	08/02/04	422.39	840.45	-418.06		
	FIFO	5.000	11/14/00	08/02/04	105.60	224.49	-118.89		
UNITED PARCEL SERVICE INC CL B	FIFO	5.000	07/18/02	08/02/04	359.79	307.20		52.59	
	FIFO	5.000	08/13/02	08/02/04	359.79	321.93		37.86	
	FIFO	5.000	12/09/02	08/02/04	359.79	319.56		40.23	
	FIFO	5.000	05/19/03	08/02/04	359.79	309.03		50.76	
	FIFO	5.000	06/10/03	08/02/04	359.79	316.95		42.84	
UNITEDHEALTH GROUP INC	FIFO	6.000	10/23/02	08/02/04	372.29	297.03		75.26	
	FIFO	6.000	10/31/02	08/02/04	372.29	270.85		101.44	
	FIFO	18.000	11/15/02	08/02/04	1,116.87	831.80		285.07	
WAL MART STORES INC	FIFO	15.000	03/02/00	08/02/04	795.28	763.13		32.15	
	FIFO	15.000	04/02/01	08/02/04	795.28	753.81		41.47	

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Investment Account

PZB00883Z-X8
Statement Period: 2004 Year-End Summary 7058841 - 000055

2004 Realized gains and losses - continued

Security description	Method	Quantity/ Face value	Purchase date	Sale date	Sale amount	Purchase amount	Loss	Gain	Net gain/loss
	FIFO	5.000	04/03/01	08/02/04	$ 265.09	$ 248.38		16.71	
WALGREEN CO	FIFO	10.000	03/02/00	08/02/04	361.69	247.50		114.19	
	FIFO	40.000	04/20/00	08/02/04	1,446.76	1,112.67		334.09	
3M CO	FIFO	2.000	05/02/02	08/02/04	164.60	126.80		37.80	
	FIFO	10.000	07/18/02	08/02/04	822.97	587.49		235.48	
	FIFO	12.000	05/02/02	07/20/04	1,013.08	760.77		252.31	
Total					$ 58,043.21	$ 62,483.33	$ -9,842.58	$ 5,402.46	$ -4,440.12
Net capital gains/losses:									$ -4,260.13

UBS Financial Services Inc.
307 SOUTH ASHLAND AVENUE
LEXINGTON, KY 40502

UBS Financial Services Inc.

2004 Consolidated Form 1099

P11L026495-X1

IMPORTANT NOTICE:
This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.
UBS Financial Services Inc., 1285 Ave of Americas, NY, NY 10019 (Federal ID 13-2638166)

CYNTHIA DOYLE ROGERS LIVING TR
CYNTHIA DOYLE ROGERS TRUSTEE
DTD 08/20/01
RITTENHOUSE EQ-IV

00008212

Only the forms applicable to your account are shown. Forms which are not applicable and therefore not shown include: Form 1098-OID, Form 1099-INT, Form 1099-MISC.

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Form 1099-DIV Dividends and Distributions *(OMB No. 1545-0110)*

Line#	Category	Amount
1a	Ordinary dividends (includes lines 1b and 5)	$ 529.47
1b	Qualified dividends	519.20
2a	Total capital gain distributions (includes lines 2b, 2c, 2d)	0.00
2b	Unrecaptured section 1250 gain	0.00
2c	Section 1202 gain	0.00
2d	28% rate gain (Collectibles)	0.00
3	Nontaxable distributions	0.00
4	**Federal income tax withheld**	**0.00**
5	Investment expenses	0.00
6	Foreign tax paid	2.96
7	Foreign country or U.S. possession	
8	Liquidation distributions - cash	0.00
9	Liquidation distributions - non-cash (fair market value)	0.00

Form 1099-B Proceeds From Broker & Barter Exchange Transactions *(OMB No. 1545-0715)*

Line#	Category	Amount
1a	Date of sale or exchange	Various
1b	CUSIP number	Various
2	Stocks, bonds, etc. reported to IRS (gross proceeds less commissions)	$ 77,522.07
3	Bartering	0.00
4	**Federal income tax withheld**	**0.00**
5	Number of shares exchanged	0
6	Classes of stock exchanged	Various
7	Description	See details

Details for Items Reported on Forms 1099

Dividends and Distributions Details Reported on Form 1099-DIV

Description	Additional Information	Ex Date	Payment Date	Tax year	Subject to AMT	Amount
Ordinary dividends (less lines 1b and 5)						
RMA MONEY MKT. PORTFOLIO			01/23/04	2004		.45
			02/20/04	2004		.50
			03/24/04	2004		1.03
			04/23/04	2004		.81

Continued on page 2

UBS Financial Services Inc.

2004 Consolidated Form 1099

P11L028488-X1

Dividends and Distributions Details Reported on Form 1099-DIV - continued

Description	Additional Information	Ex Date	Payment Date	Tax year	Subject to AMT		Amount
RMA MONEY MKT. PORTFOLIO			05/21/04	2004			.68
			06/23/04	2004			.82
			07/23/04	2004			1.01
			08/04/04	2004			.53
Sub Total: RMA MONEY MKT. PORTFOLIO						$	5.63
UBS CASHFUND INC			08/09/04	2004		$	4.64
Total Line 1a Ordinary dividends (lesss lines 1b and 5)						$	10.27
Qualified dividends							
AFLAC INC		02/11/04	03/01/04	2004			2.85
		05/12/04	06/01/04	2004			2.85
Sub Total: AFLAC INC						$	5.70
AMER INTL GROUP INC		03/03/04	03/19/04	2004			3.06
		06/02/04	06/18/04	2004			3.06
Sub Total: AMER INTL GROUP INC						$	6.12
ANHEUSER BUSCH COS INC		02/05/04	03/09/04	2004			4.40
		05/06/04	06/09/04	2004			7.70
Sub Total: ANHEUSER BUSCH COS INC						$	12.10
CITIGROUP INC		01/29/04	02/27/04	2004			24.00
		04/29/04	05/28/04	2004			24.00
		07/29/04	08/27/04	2004			24.00
Sub Total: CITIGROUP INC						$	72.00
COLGATE PALMOLIVE CO		01/22/04	02/13/04	2004			6.00
		04/22/04	05/14/04	2004			6.00
		07/22/04	08/16/04	2004			6.00
Sub Total: COLGATE PALMOLIVE CO						$	18.00
FIRST DATA CORP		12/30/03	01/12/04	2004			.80
		03/30/04	04/12/04	2004			.80
		06/29/04	07/12/04	2004			.80
Sub Total: FIRST DATA CORP						$	2.40
GANNETT CO		12/10/03	01/02/04	2004			4.50
		03/03/04	04/01/04	2004			4.50

Continued on page 3

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UBS Financial Services Inc.

2004 Consolidated Form 1099

P11L029487-X1

Dividends and Distributions Details Reported on Form 1099-DIV - continued

Description	Additional Information	Ex Date	Payment Date	Tax year	Subject to AMT	Amount
GANNETT CO		06/02/04	07/01/04	2004		4.50
Sub Total: GANNETT CO						$ 13.50
GENL ELECTRIC CO		12/29/03	01/26/04	2004		21.00
		02/26/04	04/26/04	2004		21.00
		06/24/04	07/26/04	2004		21.00
Sub Total: GENL ELECTRIC CO						$ 63.00
GOLDMAN SACHS GROUP INC		01/23/04	02/26/04	2004		4.00
		04/23/04	05/27/04	2004		2.50
Sub Total: GOLDMAN SACHS GROUP INC						$ 6.50
INTEL CORP		02/04/04	03/01/04	2004		3.00
		05/05/04	06/01/04	2004		3.80
Sub Total: INTEL CORP						$ 6.80
INTL BUSINESS MACH		02/06/04	03/10/04	2004		4.16
		05/06/04	06/10/04	2004		4.68
Sub Total: INTL BUSINESS MACH						$ 8.84
JOHNSON & JOHNSON COM		02/12/04	03/09/04	2004		8.40
		05/14/04	06/08/04	2004		9.98
Sub Total: JOHNSON & JOHNSON COM						$ 18.38
LILLY ELI & CO		05/12/04	06/10/04	2004		$ 5.33
LOWES COMPANIES INC		01/14/04	01/30/04	2004		.60
		04/14/04	04/30/04	2004		.60
		04/14/04	04/30/04	2004		.01
		07/14/04	07/30/04	2004		1.40
Sub Total: LOWES COMPANIES INC						$ 2.61
MARSH & MCLENNAN COS INC		12/31/03	02/13/04	2004		7.75
		04/06/04	05/14/04	2004		7.75
		07/02/04	08/13/04	2004		8.50
Sub Total: MARSH & MCLENNAN COS INC						$ 24.00
MBNA CORP		12/11/03	01/01/04	2004		6.00
		03/11/04	04/01/04	2004		7.20
		06/10/04	07/01/04	2004		7.20
Sub Total: MBNA CORP						$ 20.40

Continued on page 4

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UBS Financial Services Inc.

2004 Consolidated Form 1099

P11L026488-X1

Dividends and Distributions Details Reported on Form 1099-DIV - continued

Description	Additional Information	Ex Date	Payment Date	Tax year	Subject to AMT	Amount
MEDTRONIC INC		12/30/03	01/23/04	2004		2.90
		03/31/04	04/30/04	2004		3.26
		06/30/04	07/30/04	2004		3.77
Sub Total: MEDTRONIC INC						$ 9.93
MORGAN STANLEY		01/07/04	01/30/04	2004		5.00
		04/06/04	04/30/04	2004		5.00
		07/07/04	07/30/04	2004		5.00
Sub Total: MORGAN STANLEY						$ 15.00
NOKIA CORP SPONS ADR FINLAND ADR		03/28/04	04/23/04	2004		$ 19.70
OMNICOM GROUP		06/04/04	07/01/04	2004		$ 2.03
PEPSICO INC		12/10/03	01/02/04	2004		5.80
		03/10/04	03/31/04	2004		5.80
		06/09/04	06/30/04	2004		8.05
Sub Total: PEPSICO INC						$ 19.25
PFIZER INC		02/11/04	03/05/04	2004		16.15
		05/12/04	06/04/04	2004		16.15
Sub Total: PFIZER INC						$ 32.30
PROCTER & GAMBLE CO		01/21/04	02/17/04	2004		8.19
		04/21/04	05/14/04	2004		9.00
		07/21/04	08/16/04	2004		9.00
Sub Total: PROCTER & GAMBLE CO						$ 26.19
SLM CORP VTG		03/03/04	03/19/04	2004		$ 2.72
STATE STREET CORP		12/30/03	01/15/04	2004		4.50
		03/30/04	04/15/04	2004		4.50
		06/28/04	07/15/04	2004		4.80
Sub Total: STATE STREET CORP						$ 13.80
STRYKER CORP		12/29/03	01/30/04	2004		$ 2.10
SYSCO CORP		12/30/03	01/23/04	2004		7.15
		03/31/04	04/23/04	2004		4.55
		06/30/04	07/23/04	2004		4.55
Sub Total: SYSCO CORP						$ 16.25
TARGET CORP		02/18/04	03/10/04	2004		2.80

Continued on page 5

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UBS Financial Services Inc.

2004 Consolidated Form 1099

P11L020409-X1

Dividends and Distributions Details Reported on Form 1099-DIV - continued

Description	Additional Information	Ex Date	Payment Date	Tax year	Subject to AMT		Amount
TARGET CORP		05/18/04	06/10/04	2004			1.75
Sub Total: TARGET CORP						$	4.55
TEXAS INSTRUMENTS		01/28/04	02/09/04	2004			.85
		04/28/04	05/17/04	2004			.85
		07/28/04	08/16/04	2004			.85
Sub Total: TEXAS INSTRUMENTS						$	2.55
UNITED PARCEL SERVICE INC CL B		11/20/03	01/05/04	2004			6.25
		02/19/04	03/09/04	2004			7.00
		05/13/04	06/02/04	2004			7.00
Sub Total: UNITED PARCEL SERVICE						$	20.25
UNITEDHEALTH GROUP INC		03/30/04	04/16/04	2004		$	.90
UNTD TECHNOLOGIES CORP		05/19/04	06/10/04	2004		$	3.15
WAL MART STORES INC		12/17/03	01/05/04	2004			3.15
		03/17/04	04/05/04	2004			4.55
		05/19/04	06/07/04	2004			4.55
Sub Total: WAL MART STORES INC						$	12.25
WALGREEN CO		02/17/04	03/12/04	2004			2.16
		05/19/04	06/12/04	2004			2.16
Sub Total: WALGREEN CO						$	4.32
WELLS FARGO & CO NEW		05/05/04	06/01/04	2004		$	8.00
3M CO		02/18/04	03/12/04	2004			8.64
		05/19/04	06/12/04	2004			8.64
Sub Total: 3M CO						$	17.28
Total Line 1b Qualified dividends						$	519.20
oreign tax paid							
NOKIA CORP SPONS ADR FINLAND ADR		03/26/04	04/23/04	2004		$	2.96
Total Line 6 Foreign tax paid						$	2.96

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UBS Financial Services Inc.

2004 Consolidated Form 1099

P11L038490 X1

Proceeds from Broker Transactions Details Reported on Form 1099-B

"Net proceeds" is the amount reported to the IRS. Accrued interest is reported on Form 1099 INT and details are also included in that form's detail section.

Date of sale or activity (Line 8b)	Activity	Quantity/ Face value/ Shares exchanged	Description (Line 8f)	Class of stock exchanged (Line 8g)	Cusip number (Line 8h)	Net proceeds (Line 8d)	Accrued interest	Federal income tax withheld (Line 8k)
08/02/04	Sell	30	AFLAC INC		001055102	1,180 17	00	00
08/02/04	Sell	47	AMER INTL GROUP INC		026874107	3,320 47	00	00
08/02/04	Sell	40	AMGEN INC		031162100	2,271 94	00	00
08/02/04	Sell	35	ANHEUSER BUSCH COS INC		035229103	1,814 70	00	00
08/02/04	Sell	35	BED BATH & BEYOND INC		075896100	1,238 62	00	00
01/30/04	Sell	30	CISCO SYS INC		17275R102	771 31	00	00
08/02/04	Sell	75	CISCO SYS INC		17275R102	1,543 46	00	00
08/02/04	Sell	60	CITIGROUP INC		172967101	2,612 93	00	00
03/08/04	Sell	20	COCA COLA CO COM		191216100	1,001 43	00	00
08/02/04	Sell	25	COLGATE PALMOLIVE CO		194162103	1,330 21	00	00
08/02/04	Sell	55	DELL INC		24702R101	1,939 80	00	00
08/02/04	Sell	40	FIRST DATA CORP		319963104	1,741 95	00	00
08/02/04	Sell	18	GANNETT CO		364730101	1,492 16	00	00
08/02/04	Sell	105	GENL ELECTRIC CO		369604103	3,464 91	00	00
03/04/04	Sell	8	GOLDMAN SACHS GROUP INC		38141G104	650 35	00	00
05/06/04	Sell	10	GOLDMAN SACHS GROUP INC		38141G104	947 70	00	00
07/19/04	Sell	20	INTEL CORP		458140100	458 54	00	00
08/02/04	Sell	75	INTEL CORP		458140100	1,802 20	00	00
08/02/04	Sell	20	INTL BUSINESS MACH		459200101	2,258 58	00	00
08/02/04	Sell	35	JOHNSON & JOHNSON COM		478160104	1,974 95	00	00
02/13/04	Sell	30	KOHLS CORP		500255104	1,458 39	00	00
08/02/04	Sell	15	LILLY ELI & CO		532457108	955 77	00	00
08/02/04	Sell	35	LOWES COMPANIES INC		548661107	1,895 38	00	00
08/02/04	Sell	25	MARSH & MCLENNAN COS INC		571748102	1,109 47	00	00
08/02/04	Sell	60	MBNA CORP		55262L100	1,478 96	00	00
08/02/04	Sell	45	MEDTRONIC INC		585055106	2,245 44	00	00
08/02/04	Sell	100	MICROSOFT CORP		594918104	2,827 93	00	00
08/02/04	Sell	30	MORGAN STANLEY		617446448	1,460 96	00	00
07/29/04	Sell	25	NOKIA CORP SPONS ADR FINLAND ADR		654902204	352 58	00	00

Continued on page 7

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UBS Financial Services Inc.

2004 Consolidated Form 1099

P11L026491-X1

Proceeds from Broker Transactions Details Reported on Form 1099-B - continued

"Net proceeds" is the amount reported to the IRS. Accrued interest is reported on Form 1099-INT and details are also included in that Form's detail section

Date of sale or activity (Line #1a)	Activity	Quantity/ Face value/ Shares exchanged	Description (Line #7)	Class of stock exchanged (Line #6)	Cusip number (Line #1b)	Net proceeds (Line #2)	Accrued interest	Federal income tax withheld (Line #4)
06/30/04	Sell	30	NOKIA CORP SPONS ADR FINLAND ADR		654902204	433.40	.00	.00
08/02/04	Sell	9	OMNICOM GROUP		681919106	648.16	.00	.00
08/02/04	Sell	135	ORACLE CORP		68389X105	1,401.26	.00	.00
08/02/04	Sell	35	PEPSICO INC		713448108	1,741.90	.00	.00
08/02/04	Sell	95	PFIZER INC		717081103	3,018.07	.00	.00
08/02/04	Sell	36	PROCTER & GAMBLE CO		742718109	1,897.15	.00	.00
05/07/04	Sell	16	SLM CORP VTG		78442P106	603.62	.00	.00
07/07/04	Sell	15	STATE STREET CORP		857477103	735.84	.00	.00
07/08/04	Sell	15	STATE STREET CORP		857477103	733.15	.00	.00
06/29/04	Sell	18	STRYKER CORP		863667101	985.04	.00	.00
08/02/04	Sell	12	STRYKER CORP		863667101	568.30	.00	.00
03/30/04	Sell	20	SYSCO CORP		871829107	788.11	.00	.00
08/02/04	Sell	35	SYSCO CORP		871829107	1,205.72	.00	.00
03/26/04	Sell	15	TARGET CORP		87612E106	669.14	.00	.00
08/02/04	Sell	25	TARGET CORP		87612E106	1,098.22	.00	.00
08/02/04	Sell	40	TEXAS INSTRUMENTS		882508104	844.78	.00	.00
08/02/04	Sell	25	UNITED PARCEL SERVICE INC CL B		911312106	1,798.95	.00	.00
08/02/04	Sell	30	UNITEDHEALTH GROUP INC		91324P102	1,861.45	.00	.00
08/02/04	Sell	9	UNTD TECHNOLOGIES CORP		913017109	839.23	.00	.00
08/02/04	Sell	50	WAL MART STORES INC		931142103	2,650.93	.00	.00
08/02/04	Sell	50	WALGREEN CO		931422109	1,808.45	.00	.00
08/02/04	Sell	32	WELLS FARGO & CO NEW		949746101	1,835.15	.00	.00
07/20/04	Sell	12	3M CO		88579Y101	1,013.08	.00	.00
8/02/04	Sell	12	3M CO		88579Y101	987.57	.00	.00
			Total			$ 77,522.07	$ 0.00	$ 0.00

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UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2004

FORM A
For use by Members, officers, and employees

2005 MAY -5 PM 1:28
U.S. HOUSE OF REPRESENTATIVES

Michael Dennis Rogers
(Full Name)

51 Pawnee Drive, Anniston AL 36206
(Mailing Address)

(256) 236-5655
Daytime Telephone

HAND DELIVERED
(Office Use Only)

Filer Status	☒ Member of the U.S. House of Representatives — State: AL District: 3	☐ Officer or Employee	Employing Office:
Report Type	☒ Annual (May 15)	☐ Amendment	☐ Termination — Termination Date:

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes ☒	No ☐	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $285 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes ☐	No ☒
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes ☐	No ☒	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $285 from one source)? **If yes, complete and attach Schedule VII.**	Yes ☐	No ☒
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes ☒	No ☐	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes ☒	No ☐
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes ☒	No ☐	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes ☒	No ☐
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes ☒	No ☐	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**		

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes ☐	No ☒
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes ☐	No ☒

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (See 5 U.S.C. app. 4, § 104 and 18 U.S.C. § 1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	Michael D. Rogers	5-3-05

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Name Michael D. Ryan | Page 1 of 9

SCHEDULE I — EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

	Source	Type	Amount
Examples:	Keene State	Approved Teaching Fee	$6,000
	State of Maryland	Legislative Pension	$9,000
	Civil War Roundtable (Oct. 2nd)	Spouse Speech	$1,000
	Ontario County Board of Education	Spouse Salary	NA
	Avery Auto Sales, Inc. (Standard's committee approved)	Consulting Fee	$21,600
	Oxford Auto Finance, Inc.	Spouse Salary	$36,000

For payments to charity in lieu of honoraria, use Schedule II.

SCHEDULE II — PAYMENTS IN LIEU OF HONORARIA MADE TO CHARITY

List the source, activity (i.e., speech, appearance, or article), date, and amount of any payment made by the sponsor of an event to a charitable organization in lieu of an honorarium. A separate confidential list of charities receiving such payments must be filed directly with the Committee on Standards of Official Conduct. An envelope for transmitting the list is included in each Member's filing package.

Source	Activity	Date	Amount
Examples: Association of American Associations, Wash., D.C.	Speech	Feb. 2, 2004	$2,000
XYZ Magazine	Article	Aug. 13, 2004	$500
NONE			

This page may be copied if more space is required.

Name Michael D. Rogers | Page 3 of 9

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self-directed IRA (i.e., one where you have the power to select the specific investments), provide information on each asset in the account that exceeds the reporting threshold, and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see the instruction booklet for the reporting year.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left

BLOCK B — Value of Asset

at close of reporting year.
If you use a valuation method other than fair market value, please specify the method used.
If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

BLOCK D — Amount of Income

For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income

BLOCK E — Transaction

Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.

SP, DC, JT	Block A	Block B	Block C	Block D	Block E
		A None; B $1 – $1,000; C $1,001 – $15,000; D $15,001 – $50,000; E $50,001 – $100,000; F $100,001 – $250,000; G $250,001 – $500,000; H $500,001 – $1,000,000; I $1,000,001 – $5,000,000; J $5,000,001 – $25,000,000; K $25,000,001 – $50,000,000; L Over $50,000,000	DIVIDENDS; RENT; INTEREST; CAPITAL GAINS; EXCEPTED TRUST; QUALIFIED BLIND TRUST; Other Type of Income (Specify: For Example, Partnership Income or Farm Income)	I None; II $1 – $200; III $201 – $1,000; IV $1,001 – $2,500; V $2,501 – $5,000; VI $5,001 – $15,000; VII $15,001 – $50,000; VIII $50,001 – $100,000; IX $100,001 – $1,000,000; X $1,000,001 – $5,000,000; XI Over $5,000,000	P, S, E
SP *Examples*	Mega Corp Stock	E $50,001 – $100,000	DIVIDENDS: X	IV $1,001 – $2,500: X	P
DC *Examples*	Simon & Schuster	Indefinite	Royalties	IX $100,001 – $1,000,000: X	
JT *Examples*	1st Bank of Paducah, KY accounts	F $100,001 – $250,000	INTEREST: X	VI $5,001 – $15,000: X	
	Venture Properties, LLC	G $250,001 – $500,000	Partner Income	VII $15,001 – $50,000: X	
	Avery Auto Sales, Inc.	G $250,001 – $500,000	DIVIDENDS: X; INTEREST: X	VII $15,001 – $50,000: X	
	Initial Investments, LLC	G $250,001 – $500,000	Partner Income	VII $15,001 – $50,000: X	
	Capital Development, LLC	G $250,001 – $500,000	I $1 – $200: X		
Sp	Oxford Auto Finance, Inc.	G $250,001 – $500,000	DIVIDENDS: X; INTEREST: X	VIII $50,001 – $100,000: X	
	Young, Wellington, Jackson, Whittington, Robinson & Russell, LLC	E $50,001 – $100,000	Buy-out agreement	VII $15,001 – $50,000: X	

For additional assets and unearned income, use next page.

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name Michael D. Rogers

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset	BLOCK C Type of Income	BLOCK D Amount of Income	BLOCK E Transaction
		A None; B $1 – $1,000; C $1,001 – $15,000; D $15,001 – $50,000; E $50,001 – $100,000; F $100,001 – $250,000; G $250,001 – $500,000; H $500,001 – $1,000,000; I $1,000,001 – $5,000,000; J $5,000,001 – $25,000,000; K $25,000,001 – $50,000,000; L Over $50,000,000	DIVIDENDS; RENT; INTEREST; CAPITAL GAINS; EXCEPTED TRUST; QUALIFIED BLIND TRUST; Other Type of Income (Specify)	I None; II $1 – $200; III $201 – $1,000; IV $1,001 – $2,500; V $2,501 – $5,000; VI $5,001 – $15,000; VII $15,001 – $50,000; VIII $50,001 – $100,000; IX $100,001 – $1,000,000; X $1,000,001 – $5,000,000; XI Over $5,000,000	P, S, E
	Retirement Systems of Ma	E	Other: N/A	I	
	Centennial Mesa, Akt. Tr.	B		I	
	Coca Cola	C, E		I	
	Exxon	B		I	
	Lucent Tech. Inc.	C		I	
	Microsoft	C		I	
	Pfizer	C		I	
	Putnam Europe Growth Fund	C		I	
	Putnam Fund for Growth	C		I	
	Putnam Inv. Funds Mass Value	C		I	
	Putnam New Opportunities	C		I	
	Putnam Vista Fund	C		I	
	Putnam Voyager Fund	C		I	
	Walt Disney Co.	B		I	
	General Electric	C		I	
	Sun Microsystems	C		I	
	Charles Financial Group	C		III	
	U.S. Govt. Securities Fd	C		I	
	Bond Fund of America	C		I	
	Franklin Dynatec Corp.	C		I	
	Franklin Biotech Discovery Fd	C		I	
	New Perspective Fund	C		I	

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SCHEDULE III — ASSETS AND "UNEARNED" INCOME
Continuation Sheet (if needed)

Name Michael D. Rogers

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset: A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	BLOCK C Type of Income: DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify)	BLOCK D Amount of Income: I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	BLOCK E Transaction: P, S, E
	Small Cap World Fund			X																	X											
	Washington Mutual Inv. Fund			X																	X											
	Compass Link Inv. Acct.			X																	X											
	Arizona College Adv. Man				X																X											
	Expedition Money Mkt Inv Fund			X																	X											
	Note Recievable: Oxford Inv					X										X										X						
	Note Recievable: Avery Auto						X									X										X						
Sp.	UBS Paine Webber (Retirement Acct)						X														X											
	AIM Midcap Gr. Equity Fd.		X																		X											
	Calvert Income Fund A		X																		X											
	Avery Appreciation Fund		X																		X											
	Franklin Mut. Discovery Fd.		X																		X											
	Gartmore Money Mkt. Fd.			X																	X											
	Invesco Financial Ser. Fd.		X																		X											
	Invesco Health Science Fd		X																		X											
	One Group Sm. Cap Growth		X																		X											
	One Cap. App Fund Class A		X																		X											
	Oppenheimer Capital Inc. Fund		X																		X											
	Oppenheimer Global Opp. Fund		X																		X											
	Van Kamper Equity & Inc.		X																		X											
	Van Kamper Emerging Growth		X																		X											
	Southern Co.			X																	X											

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SCHEDULE IV — TRANSACTIONS

Name Michael D. Rogers

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction: PURCHASE	SALE	EXCHANGE	Date (MO/DAY/YR)	Amount of Transaction: B $1,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
SP	*Example:* Mega Corporation Common Stock	X			10-12-04		X								
Sp.	Sale of Rental House in Anniston, AL		X		Oct. 2004			X							
JT	Purchase of Farm Property near Anniston AL	X			Sept. 2004					X					

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SCHEDULE V — LIABILITIES

Report liabilities of over $10,000 owed to any one creditor ***at any time*** during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. **Exclude:** Any mortgage on your personal residence (unless it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report ***revolving charge accounts*** only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	B $10,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
Example	First Bank of Wilmington, Delaware	Mortgage on 123 Main St., Dover, Del.				X						
JT.	Farmers & Merchants Bank	Mortgage on farm Property				X						

SCHEDULE VI — GIFTS

Report the source, a brief description, and the value of all gifts totalling more than $285 received by you, your spouse, or a dependent child from any source during the year.

Exclude: Gifts from relatives, gifts of personal hospitality of an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of $114 or less need not be added towards the $285 disclosure threshold.

Note: The gift rule (House Rule 25, clause 5) prohibits acceptance of gifts except as specifically provided in the rule.

Source	Description	Value
Example: Mr. Joseph H. Smith, Anytown, Anystate	Silver Platter (determination on personal friendship received from Committee on Standards)	$290
NONE		

Use additional sheets if more space is required.

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SCHEDULE VII —TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $285 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor.

Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

	Source	Date(s)	Point of Departure—Destination—Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Any time not at sponsor's expense
Examples:	Chicago Chamber of Commerce	Mar. 2	Wash., D.C.—Chicago—Wash., D.C.	N	N	N	N
	Roycroft Corporation	Aug. 6–11	Wash., D.C.—Los Angeles—Cleveland	Y	Y	Y	2 Days
	~~None~~						
	Heritage Foundation	1-21-04 thru 1-23-04	Wash. DC, - Cambridge MD. Wash DC.	Y	Y	N	N

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SCHEDULE VIII — POSITIONS

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States.

Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
Manager - Member	Ventura Properties, LLC
Manager - Member	Initial Investments, LLC
Member	Capital Development, LLC

SCHEDULE IX — AGREEMENTS

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement
12-31-02	Young, Wollstein, Jackson, Whittington, Robinson & Russell, LLC	Compensation from Standards approved buy-out agreement from former Law Firm for services rendered before entering Congress. Payments are being paid out over a 36 month period, and the unearned income is being recognized on Schedule III.

GPO: 2005 98-729 (mac)

Use additional sheets if more space is required.

MIKE ROGERS
3RD DISTRICT, ALABAMA

514 CANNON HOUSE OFFICE BUILDING
WASHINGTON, DC 20515-0103
PHONE: (202) 225-3261
FAX: (202) 226-8485

www.house.gov/mike-rogers

Congress of the United States
House of Representatives
Washington, DC 20515-0103

ARMED SERVICES COMMITTEE
READINESS
STRATEGIC FORCES

AGRICULTURE COMMITTEE
LIVESTOCK AND HORTICULTURE
SPECIALTY CROPS AND FOREIGN AGRICULTURE PROGRAMS
CONSERVATION, CREDIT, RURAL DEVELOPMENT AND RESEARCH

June 2, 2005

LEGISLATIVE RESOURCE CENTER
2005 JUN 15 AM 11:39
U.S. HOUSE OF REPRESENTATIVES

INSIDE MAIL

Mr. Jeff Trandahl
Clerk of the House Information Resources
c/o Legislative Resource Center
B-106 Cannon House Office Building
Washington, DC 20515

MC

Dear Mr. Trandahl:

Upon further review of my 2005 personal financial disclosure, I realized there were some items that need further explanation and revision:

1) Schedule III, Capitol Development, LLC is a partnership based in Anniston that owns land in residential development in Calhoun County, Alabama.

2) Also concerning Schedule III, I mistakenly have omitted in previous PFD's that my wife and her sister equally owned a rental house that was purchased in 2000 for $59,000.00 and sold in 2004 for $65,000.00 and had an annual gross rental income of $4,500.00 ($375.00 per month for 12 months).

Please let me know if you have any questions. With kindest regards, I am

Very truly yours,

Mike Rogers,
Member of Congress

MDR/slr

1129 NOBLE STREET
104 FEDERAL BUILDING
ANNISTON, ALABAMA 36201
PHONE: (256) 236-5655
FAX: (256) 237-9203

2210 EXECUTIVE PARK DRIVE
OPELIKA, ALABAMA 36801
PHONE: (334) 745-6221
FAX: (334) 742-0109

7550 HALCYON SUMMIT DRIVE
MONTGOMERY, ALABAMA 36117
PHONE: (334) 277-4210
FAX: (334) 277-4257

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2004

FORM A
For use by Members, officers, and employees

LEGISLATIVE RESOURCE CENTER
2005 MAY 13 PM 12:59

HAND DELIVERED
(Office Use Only)

Michael John Rogers
(Full Name)

6899 Corrigan Dr. Brighton, MI 48116
(Mailing Address)

202.225.4872
Daytime Telephone:

Filer Status	[X] Member of the U.S. House of Representatives — State: MI District: 08	[ ] Officer or Employee	Employing Office:
Report Type	[X] Annual (May 15)	[ ] Amendment	[ ] Termination — Termination Date:

A *$200 penalty* shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes [ ]	No [X]
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes [ ]	No [X]
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes [X]	No [ ]
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes [ ]	No [X]
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes [X]	No [ ]
VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $285 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes [ ]	No [X]
VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $285 from one source)? **If yes, complete and attach Schedule VII.**	Yes [ ]	No [X]
VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes [ ]	No [X]
IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes [ ]	No [X]

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes [ ]	No [X]
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes [ ]	No [X]

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, § 104 and 18 U.S.C. § 1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.		5/12/05

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SCHEDULE I — EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

	Source	Type	Amount
Examples:	Keene State	Approved Teaching Fee	$6,000
	State of Maryland	Legislative Pension	$9,000
	Civil War Roundtable (Oct. 2nd)	Spouse Speech	$1,000
	Ontario County Board of Education	Spouse Salary	NA
	Yazaki North America, Inc.	Spouse Salary	N/A

For payments to charity in lieu of honoraria, use Schedule II.

SCHEDULE II — PAYMENTS IN LIEU OF HONORARIA MADE TO CHARITY

List the source, activity (i.e., speech, appearance, or article), date, and amount of any payment made by the sponsor of an event to a charitable organization in lieu of an honorarium. A separate confidential list of charities receiving such payments must be filed directly with the Committee on Standards of Official Conduct. An envelope for transmitting the list is included in each Member's filing package.

	Source	Activity	Date	Amount
Examples	Association of American Associations, Wash., D.C.	Speech	Feb. 2, 2004	$2,000
	XYZ Magazine	Article	Aug. 13, 2004	$500
	N/A			

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SCHEDULE III — ASSETS AND "UNEARNED" INCOME

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self-directed IRA (i.e., one where you have the power to select the specific investments), provide information on *each asset* in the account that exceeds the reporting threshold, and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see the instruction booklet for the reporting year.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Asset at close of reporting year.
If you use a valuation method other than fair market value, please specify the method used.
If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

BLOCK D — Amount of Income
For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.

BLOCK E — Transaction
Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.

	Block A	Block B	Block C	Block D	Block E
SP	SP Mega Corp. Stock	E	DIVIDENDS	IV $1,001 – $2,500	P
DC	Examples: Simon & Schuster	F Indefinite	Other Type of Income: Royalties	IX $100,001 – $1,000,000	
JT	1st Bank of Paducah, KY accounts	G	RENT, INTEREST	VI $5,001 – $15,000	
	802 Meadowlark Howell, MI	E	DIVIDENDS	VI $5,001 – $15,000	
	Condominium, Washington, DC	G		I None	
	Standard Federal Accts. Brighton, MI	D	INTEREST	II $1 – $200	
	Star One Credit Acct Sunny Vale, CA	D	INTEREST	II $1 – $200	
	Interactual Software San Jose, CA	C	DIVIDENDS	VII $15,001 – $50,000	S

	A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000
SP					X							
DC												
JT						X						
802 Meadowlark Howell, MI					X							
Condominium, Washington, DC							X					
Standard Federal Accts. Brighton, MI				X								
Star One Credit Acct Sunny Vale, CA				X								
Interactual Software San Jose, CA			X									

	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify, For Example, Partnership Income or Farm Income)
SP	X						
DC							Royalties
JT			X				
802 Meadowlark Howell, MI	X						
Condominium, Washington, DC							
Standard Federal Accts. Brighton, MI			X				
Star One Credit Acct Sunny Vale, CA			X				
Interactual Software San Jose, CA	X						

	I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000
SP				X							
DC									X		
JT						X					
802 Meadowlark Howell, MI						X					
Condominium, Washington, DC	X										
Standard Federal Accts. Brighton, MI			X								
Star One Credit Acct Sunny Vale, CA			X								
Interactual Software San Jose, CA							X				

	P, S, E
SP	
DC	P
JT	
802 Meadowlark Howell, MI	
Condominium, Washington, DC	
Standard Federal Accts. Brighton, MI	
Star One Credit Acct Sunny Vale, CA	
Interactual Software San Jose, CA	S

For additional assets and unearned income, use next page.

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SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name Michael J Rogers

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset											BLOCK C Type of Income							BLOCK D Amount of Income											BLOCK E Transaction
		A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify)	I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	P, S, E
	Fidelity Mutual Fund			X										X							X											
	Fidelity Mutual Fund			X										X							X											

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SCHEDULE IV — TRANSACTIONS

Name Michael J. Rogers

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction: PURCHASE	SALE	EXCHANGE	Date (MO/DAY/YR)	Amount of Transaction: B $1,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
SP	*Example:* Mega Corporation Common Stock	X			10-12-04		X								
	Interactual Software San Jose, CA		X			X									
SP	Interactual Software San Jose, CA		X			X									
DC	Interactual Software San Jose, CA		X			X									
DC	Interactual Software San Jose, CA		X			X									

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Name Michael J. Rogers | Page 7 of 9

SCHEDULE V — LIABILITIES

Report liabilities of over $10,000 owed to any one creditor ***at any time*** during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. **Exclude:** Any mortgage on your personal residence (unless it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report ***revolving charge accounts*** only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability									
			B $10,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
Example:	First Bank of Wilmington, Delaware	Mortgage on 123 Main St., Dover, Del.				X						
JT	Brighton Commerce Bank, MI	line of credit			X							

SCHEDULE VI — GIFTS

Report the source, a brief description, and the value of all gifts totalling more than $285 received by you, your spouse, or a dependent child from any source during the year.

Exclude: Gifts from relatives, gifts of personal hospitality of an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of $114 or less need not be added towards the $285 disclosure threshold.

Note: The gift rule (House Rule 25, clause 5) prohibits acceptance of gifts except as specifically provided in the rule.

Source	Description	Value
Example: Mr. Joseph H. Smith, Anytown, Anystate	Silver Platter (determination on personal friendship received from Committee on Standards)	$290
N/A		

Use additional sheets if more space is required.

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SCHEDULE VII —TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $285 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor.

Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. § 7342); political travel that is required to be reported under the Federal Election Campaign Act, travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

	Source	Date(s)	Point of Departure—Destination—Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Any time not at sponsor's expense
Examples:	Chicago Chamber of Commerce	Mar. 2	Wash., D.C.—Chicago—Wash., D.C	N	N	N	N
	Roycroft Corporation	Aug 6–11	Wash., D.C.—Los Angeles—Cleveland	Y	Y	Y	2 Days
	N/A						

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SCHEDULE VIII — POSITIONS

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States.

Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I

Position	Name of Organization
N/A	

SCHEDULE IX — AGREEMENTS

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement
	N/A	

GPO: 2005 98-729 (mac)

Use additional sheets if more space is required.

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2004

FORM A
For use by Members, officers, and employees

LEGISLATIVE RESOURCE CENTER
2005 MAY 12 PM 2:12
U.S. HOUSE OF REPRESENTATIVES
HAND DELIVERED
HC
(Office Use Only)

DANA TYRONE ROHRABACHER
(Full Name)

2338 RAYBURN HOUSE OFFICE BUILDING WASHINGTON DC 21515
(Mailing Address)

Daytime Telephone: (202)225-2415

Filer Status ☐ Member of the U.S. House of Representatives — State: California District: 46th ☐ Officer or Employee — Employing Office:

Report Type ☒ Annual (May 15) ☐ Amendment ☐ Termination — Termination Date:

A *$200 penalty* shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes ☒	No ☐	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $285 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes ☐	No ☒
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes ☐	No ☒	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $285 from one source)? **If yes, complete and attach Schedule VII.**	Yes ☒	No ☐
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes ☒	No ☐	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes ☐	No ☒
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes ☐	No ☒	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes ☐	No ☒
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes ☒	No ☐	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**		

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes ☐	No ☒
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes ☐	No ☒

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, § 104 and 18 U.S.C. § 1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	Dana T Rohrabacher	May 12, 2005

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SCHEDULE I — EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

	Source	Type	Amount
Examples:	Keene State	Approved Teaching Fee	$6,000
	State of Maryland	Legislative Pension	$9,000
	Civil War Roundtable (Oct. 2nd)	Spouse Speech	$1,000
	Ontario County Board of Education	Spouse Salary	NA
	COMMITTEE TO REELECT DANA ROHRABACHER	SPOUSE SALARY	$36,055.92

For payments to charity in lieu of honoraria, use Schedule II.

4262

Name DANA T ROHRABACHER

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self-directed IRA (i.e., one where you have the power to select the specific investments), provide information on *each asset* in the account that exceeds the reporting threshold, and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see the instruction booklet for the reporting year.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Asset

at close of reporting year.
If you use a valuation method other than fair market value, please specify the method used.
If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

BLOCK D — Amount of Income

For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.

BLOCK E — Transaction

Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.

	BLOCK A		BLOCK B											BLOCK C							BLOCK D											BLOCK E
			A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify: For Example, Partnership Income or Farm Income)	I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	P, S, E
SP, DC, JT	Examples:	SP	Mega Corp. Stock				X								X										X							P
			Simon & Schuster			Indefinite															Royalties								X			
			1st Bank of Paducah, KY accounts					X									X									X						
	MOBIUS CORPORATION					XX												XX				XX										
	MORGAN STANLEY RETIREMENT ACCOUNT						XX														IRA				N.A.							

For additional assets and unearned income, use next page.

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SCHEDULE V — LIABILITIES

Report liabilities of over $10,000 owed to any one creditor ***at any time*** during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. **Exclude:** Any mortgage on your personal residence (unless it is rented out), loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report ***revolving charge accounts*** only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability: B $10,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
Example	First Bank of Wilmington, Delaware	Mortgage on 123 Main St., Dover, Del.				X						
	BANK OF AMERICA, Phoenix AR	Credit Card Debt	XX									
	CITIBANK, South Hackensack, NJ	Credit Card Debt		XX								
	CONGRESSIONAL CREDIT UNION, Wash DC	Auto Loan	XXX									
	CONGRESSIONAL CREDIT UNION, Wash DC	Overdraft Reserve	XX									

SCHEDULE VI — GIFTS

Report the source, a brief description, and the value of all gifts totalling more than $285 received by you, your spouse, or a dependent child from any source during the year.

Exclude: Gifts from relatives, gifts of personal hospitality of an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of $114 or less need not be added towards the $285 disclosure threshold.

Note: The gift rule (House Rule 25, clause 5) prohibits acceptance of gifts except as specifically provided in the rule.

Source	Description	Value
Example Mr. Joseph H. Smith, Anytown, Anystate	Silver Platter (determination on personal friendship received from Committee on Standards)	$290

Use additional sheets if more space is required.

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SCHEDULE VII —TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $285 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor.

Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

	Source	Date(s)	Point of Departure—Destination—Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Any time not at sponsor's expense
Examples.	Chicago Chamber of Commerce	Mar 2	Wash., D.C.—Chicago—Wash., D.C.	N	N	N	N
	Roycroft Corporation	Aug. 6–11	Wash., D.C.—Los Angeles—Cleveland	Y	Y	Y	2 Days
	Airbus Corporation	Aug15-25	LAX-Paris-Toulouse-Paris LAX	Y	Y	Y	6 days

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UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2004

FORM A
For use by Members, officers, and employees

HAND DELIVERED

LEGISLATIVE RESOURCE CENTER
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(Office Use Only)

mc

DANA TYRONE ROHRABACHER
(Full Name)

2338 RAYBURN HOUSE OFFICE BUILDING WASHINGTON DC 21515
(Mailing Address)

Daytime Telephone: (202) 225-2415

Filer Status: [ ] Member of the U.S. House of Representatives — State California District 46th; [ ] Officer or Employee — Employing Office

Report Type: [ ] Annual (May 15); [X] Amendment; [ ] Termination — Termination Date

A *$200 penalty* shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes [X]	No [ ]	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $285 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes [ ]	No [X]
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes [ ]	No [X]	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $285 from one source)? **If yes, complete and attach Schedule VII.**	Yes [X]	No [ ]
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes [X]	No [ ]	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes [ ]	No [X]
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes [ ]	No [X]	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes [ ]	No [X]
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes [X]	No [ ]	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**		

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes [ ]	No [X]
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes [ ]	No [X]

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, § 104 and 18 U.S.C. § 1001)

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	Dana Rohrabacher	June 15, 05

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Name	DANA T ROHRABACHER	Page 2 of 5

SCHEDULE I — EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000

Source	Type	Amount
Examples: Keene State	Approved Teaching Fee	$6,000
State of Maryland	Legislative Pension	$9,000
Civil War Roundtable (Oct. 2nd)	Spouse Speech	$1,000
Ontario County Board of Education	Spouse Salary	NA
COMMITTEE TO REELECT DANA ROHRABACHER	SPOUSE SALARY	$36,055.92

For payments to charity in lieu of honoraria, use Schedule II.

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Name DANA T ROHRABACHER

Page 3 of 5

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self-directed IRA (i.e., one where you have the power to select the specific investments), provide information on *each asset* in the account that exceeds the reporting threshold, and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see the instruction booklet for the reporting year.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Asset

at close of reporting year.
If you use a valuation method other than fair market value, please specify the method used.
If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

BLOCK D — Amount of Income

For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.

BLOCK E — Transaction

Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year

	Block A	Block B	Block C	Block D	Block E
		A None; B $1 – $1,000; C $1,001 – $15,000; D $15,001 – $50,000; E $50,001 – $100,000; F $100,001 – $250,000; G $250,001 – $500,000; H $500,001 – $1,000,000; I $1,000,001 – $5,000,000; J $5,000,001 – $25,000,000; K $25,000,001 – $50,000,000; L Over $50,000,000	DIVIDENDS; RENT; INTEREST; CAPITAL GAINS; EXCEPTED TRUST; QUALIFIED BLIND TRUST; Other Type of Income (Specify: For Example, Partnership Income or Farm Income)	I None; II $1 – $200; III $201 – $1,000; IV $1,001 – $2,500; V $2,501 – $5,000; VI $5,001 – $15,000; VII $15,001 – $50,000; VIII $50,001 – $100,000; IX $100,001 – $1,000,000; X $1,000,001 – $5,000,000; XI Over $5,000,000	P, S, E
SP, DC, JT	Examples: Mega Corp Stock	X	X	X	P
SP	Simon & Schuster	Indefinite	Royalties		
	1st Bank of Paducah, KY accounts	X	X	X	
	MOBIUS CORPORATION	XX	XX	XX	
	MORGAN STANLEY				
	RETIREMENT ACCOUNT	XX	IRA	N.A.	
	(see attached)				

For additional assets and unearned income, use next page

Morgan Stanley

RETIREMENT ACCOUNT

FOR MONTH ENDING DECEMBER 31, 2004

Your Financial Advisor
MORRIS/ROBERTSON
MORGAN STANLEY
27720 JEFFERSON AVE SUITE 100C
TEMECULA, CA 92590
(951) 506-7320

DUPLICATE

For Account Of:
DANA ROHRABACHER

DANA ROHRABACHER
2338 RAYBURN HOB
WASHINGTON DC 20515-0001

001844

240

Account Number
[redacted]

IRA Standard
Dated 10/18/82
Householding Anniv. Date:
02/03/2003

Access Your Account at:
www.morganstanley.com/online

Asset Summary

	Value	% of Assets
Money Market Funds	$1,718.24	2.1%
Stocks	72,219.78	90.6
Municipal Bonds	0.00	0.0
Corporate Fixed Income	5,790.00	7.3
Government Securities	0.00	0.0
Mutual Funds	0.00	0.0
Unit Investment Trusts	0.00	0.0
Certificates of Deposit	0.00	0.0
Annuities/Insurance	0.00	0.0
Other	0.00	0.0
Asset Value	$79,728.02	100.0%
Cash	-5.00	
Total Asset Value	$79,723.02	

Income Summary

	This Month	Year-To-Date
Money Market Funds	$2.18	$47.66
Stocks	92.38	1,100.59
Municipal Bonds	0.00	0.00
Corporate Fixed Income	0.00	159.45
Government Securities	0.00	0.00
Mutual Funds	0.00	0.00
Unit Investment Trusts	0.00	0.00
Certificates of Deposit	0.00	0.00
Other	0.00	0.00
Total Income	$94.56	$1,307.70

Activity Summary

Total Asset Value November 30 2004		$78,516.46
Cash/Money Market Activity for December		
Closing Balance 11/30	$1,670.67	
Credits To Your Account		
Dividends and Interest	94.56	
Deposits	0.00	
Sales Proceeds/Redemptions	0.00	
Other Credits	79.00	
Total Credits	173.56	
Debits To Your Account		
Withdrawals	0.00	
Funds to Purchase Securities	0.00	
Other Debits	-130.99	
Total Debits	-130.99	
Closing Balance 12/31	1,713.24	
Net Change Cash/Money Market Activity		42.57
Changes in Asset Value for December		
Value of Priced Assets 11/30	$76,845.79	
Securities Bought	0.00	
Securities Received	0.00	
Securities Sold/Redeemed	0.00	
Securities Delivered	0.00	
Transactions at Fund Company	0.00	
Change in Value of Priced Assets	1,163.99	
Value of Priced Assets 12/31	78,009.78	
Net Change in Asset Value		1,163.99
Total Asset Value as of December 31 2004		$79,723.02

Please see page 5 for your Account's Retirement Recap.

Investments and services are offered through Morgan Stanley DW Inc.

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DANA ROHRABACHER
2338 RAYBURN HOB

DUPLICATE

Account Number [redacted]

ASSET DETAILS

Cash and Money Market Funds	Amount	Pct of Assets	Est Yrly Income
CASH	-5.00	---	---
LIQUID ASSET FUND	1,718.24	2.1%	27
Total Cash and Money Market Funds	$1,713.24	2.1%	$27
Net Change Since Last Statement	$42.37		

Annualized 30 Day Yields	
Liquid Asset Fund	1.60%
U.S. Gov't Money Market Tr.	1.47%

Stocks		Current Price	Value	Pct of Assets	Est Yrly Income	Dividend Yield	Symbol	Additional Information
Common Stocks								
100	ALTRIA GROUP INC	61.10	6,110.00	7.7%	292	4.77%	MO	Next Dividend Payable 01/10/05
75	ANHEUSER BUSCH COS INC	50.73	3,804.75	4.8%	73	1.93%	BUD	Next Dividend Payable 03/05
200	DELL INC	42.14	8,428.00	10.6%	0	0.00%	DELL	
93	DUKE ENERGY CORP	25.33	2,355.69	3.0%	102	4.34%	DUK	Next Dividend Payable 03/05
100	ERICSSON LM TEL ADR CL B NEW	31.49	3,149.00	3.9%	0	0.00%	ERICY	
200	NEWMONT MINING CORP (NEW)	44.41	8,882.00	11.1%	80	.90%	NEM	Next Dividend Payable 03/05
100	NORTHROP GRUMMAN CP(HLDG CO)	54.36	5,436.00	6.8%	92	1.69%	NOC	Next Dividend Payable 03/05
103	PETE AND RES CP MARYLAND	25.78	2,655.34	3.3%	57	2.17%	PEO	Next Dividend Payable 03/05
100	PFIZER INC	26.89	2,689.00	3.4%	76	2.82%	PFE	Next Dividend Payable 03/05
300	SBC COMMUNICATIONS	25.77	7,731.00	9.7%	397	5.14%	SBC	Next Dividend Payable 02/05
500	TEMECULA VALLEY BANCORP	17.75	8,875.00	11.1%	0	0.00%	TMCV	
200	TIME WARNER INC NEW	19.45	3,890.00	4.9%	0	0.00%	TWX	
300	VODAFONE GP PLC ADS	27.38	8,214.00	10.3%	166	2.02%	VOD	Next Dividend Payable 02/04/05
Total Stocks			$72,219.78	90.6%	$1,326	1.83%		
Net Change Since Last Statement			$1,173.03					

Corporate Fixed Income		Current Price	Value	Pct of Assets	Est Yrly Income	Current Yield	Accruing Interest	Additional Information
Corporate Bonds								
1	GENL MOTORS ACCEPT CORP DEBENTURES UNIT ZERO COUPON DUE 12/01/12 CALLABLE @100.00 ON 02/02/05	579.000	5,790.00	7.3%	0	0.00%	0	MOODY BAA1 S&P BBB- ISSUE 12/09/82
Total Corporate Fixed Income			$5,790.00	7.3%	0	0.00%	0	

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Morgan Stanley

RETIREMENT ACCOUNT

FOR MONTH ENDING DECEMBER 31, 2004

DANA ROHRABACHER
2338 RAYBURN HOB

DUPLICATE

Account Number

Corporate Fixed Income	Current Price	Value	Pct of Assets	Est Yrly Income	Current Yield	Accruing Interest	Additional Information
Net Change Since Last Statement		-10.00					

Asset Summary	Value	Est Yrly Income
Total Asset Value	$79,723.02	$11,354
Total Net Change In Priced Assets Since Last Statement	$1,165.99	

CREDITS TO YOUR ACCOUNT

Dividends and Interest	Date	Activity	Description	Amount	Income Category
	12-03	Dividend	PFIZER INC	17.00	Stocks
	12-09	Dividend	ANHEUSER BUSCH COS INC	18.38	Stocks
	12-11	Dividend	NORTHROP GRUMMAN CP(HLDG CO)	23.00	Stocks
	12-21	Dividend	NEWMONT MINING CORP (NEW)	20.00	Stocks
	12-27	Dividend	PETE AND RES CP MARYLAND	14.00	Stocks
	12-31	Dividend	LIQUID ASSET FUND	2.18	Money Market Funds
			Total Dividends and Interest	94.56	

See Income Summary on page 1 for the month-to-date and year-to-date amounts per income category.

Other Credits	Date	Activity	Description	Amount	Additional Information	
	12-27	L/T Cap. Gains Dist.	PETE AND RES CP MARYLAND	79.00		
			Total Other Credits	$79.00	Year-To-Date	$90.13
Total Credits To Your Account				$173.56	Year-To-Date	$11,064.98

Investments and services are offered through Morgan Stanley DW Inc. Member SIPC

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Morgan Stanley

RETIREMENT ACCOUNT
FOR MONTH ENDING DECEMBER 31, 2004

DANA ROHRABACHER
2338 RAYBURN HOB

DUPLICATE

Account Number [redacted]

DEBITS TO YOUR ACCOUNT

Other Debits

Date	Activity	Description	Amount	Additional Information
12-15	Charge	ANNUAL MAINTENANCE FEE	-50.00	
12-27	Journal Out	PETE AND RES CP MARYLAND	-75.99	OPTIONAL DIVIDEND PURCHASE
12-30	Charge	MULTIPLE STATEMENT FEE	-5.00	
		Total Other Debits	-130.99	Year-To-Date -185.99

Total Debits To Your Account -130.99 Year-To-Date -18,855.99

ADDITIONAL ACCOUNT INFORMATION

Money Market

Date	Activity	Description	Amount	Additional Information
		Closing Balance 11/30	1,675.67	
12-01	Automatic Redemption	LIQUID ASSET FUND	-5.00	
12-06	Automatic Investment	LIQUID ASSET FUND	17.00	
12-10	Automatic Investment	LIQUID ASSET FUND	18.38	
12-15	Automatic Redemption	LIQUID ASSET FUND	-27.00	
12-22	Automatic Investment	LIQUID ASSET FUND	20.00	
12-30	Automatic Investment	LIQUID ASSET FUND	17.01	
12-31	Dividend Reinvestment	LIQUID ASSET FUND	2.18	
		Closing Balance 12/31	1,718.24	

Securities Received

Date	Activity	Quantity	Description	Price	Amount	Additional Information
12-27	Stock Dividend	3	PETE AND RES CP MARYLAND			
			Total Securities Received		0.00	Year-To-Date 0.00

Morgan Stanley Fund Summary

	Ytd Dividends	Ytd Cap Gains	Ytd Taxes	Features
LIQUID ASSET FUND	47.66	0.00	0.00	Reinvest Dividends

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Morgan Stanley

RETIREMENT ACCOUNT
FOR MONTH ENDING DECEMBER 31, 2004

DANA ROHRABACHER
2338 RAYBURN HOB

DUPLICATE

Account Number [redacted]

Morgan Stanley Fund Summary	Ytd Dividends	Ytd Cap Gains	Ytd Taxes	Features
Totals	$47.66	$0.00	$0.00	

LIQUID ASSET FUND

Following are the Fund's 30-day average annualized yields during the past quarter: October 1.22%, November 1.39%, and December 1.60%.

Retirement Recap	2004	2003
Account Valuation	79,723.02	71,756.91

Messages

Consolidated Statement of Financial Condition (in thousands of dollars):
At 11/30/04, Morgan Stanley DW Inc. had net capital of $1,129,792, which exceeded the SEC's minimum requirement by $1,037,728.
A copy of the Morgan Stanley DW Inc. Consolidated Statement of Financial Condition at 11/30/04 can be viewed online at the Morgan Stanley Web site http://www.morganstanley.com/aboutfirl/shareholder/morganstanley_dw_inc.pdf, or may be mailed to you at no cost by calling toll free 1(866)270-4498, after February 14, 2005.

Your Financial Advisor
MORRIS/ROBERTSON
MORGAN STANLEY
27720 JEFFERSON AVE SUITE 100C
TEMECULA CA 92590
(951) 506-7320

DUPLICATE

For Account Of:
DANA ROHRABACHER

DANA ROHRABACHER
2338 RAYBURN HOB
WASHINGTON DC 20515-0001

Account Number
[redacted]

IRA Standard
Dated 10/16/82
Householding Anniv. Date.
02/03/2003

Access Your Account at:
www.morganstanley.com/online

GAIN AND LOSS SUMMARY

The Gain and Loss information is provided for informational purposes only. We recommend that you contact your tax advisor to determine the appropriate information to be used in the preparation of your tax return. This Gain and Loss Summary is not a substitute 1099 form (or any other appropriate tax form) and should not be filed with your taxes.

For most securities purchased after 1985 through Morgan Stanley DW Inc., the necessary data, including cost basis (purchase price), is provided to estimate gain or loss. Please note that although Morgan Stanley DW Inc. makes every effort to adjust the cost basis for such securities' capital changes, it does not adjust the cost basis for all events, including amortization of non-municipal bond premiums; exercise of stock options; securities deemed to have been sold and simultaneously repurchased; receipt of cash in lieu of fractional shares; or the net effect of wash sales and/or short sales against the box.

For securities not purchased through Morgan Stanley DW Inc., for example, securities purchased elsewhere and later transferred to it, you will need to supply the relevant information either to your Financial Advisor or directly onto ClientServ, independently of Morgan Stanley DW Inc. In either case, it is your responsibility to ensure the accuracy of this information, since Morgan Stanley DW Inc. has no knowledge of such assets' cost basis. To correct any information supplied by Morgan Stanley DW Inc., please inform your Financial Advisor; to correct any information supplied by you, you may either inform your Financial Advisor or input the data directly yourself through ClientServ.

For Managed Futures funds, these funds may typically be redeemed only once monthly, at the fund's final net asset value ("NAV") as of each month's end. The fund's final NAV for each month - and therefore the exact amount of any investor's gain or loss realized that month- becomes available, however, only midway into the following month. Separately, all unrealized gain and loss figures are only estimates. Morgan Stanley DW Inc. does not guarantee nor will it independently verify the accuracy of the information in the Gain and Loss Summary.

Unrealized Gain/(Loss)	Quantity	Date Acquired	Unit Cost	Adjusted Total Cost	Market Value	Unrealized Gain/(Loss)	Additional Information
Stocks							
Common Stocks							
ALTRIA GROUP INC	100	08-16-04	48.5950	4,859.50	6,110.00	1,250.50	Short Term
ANHEUSER BUSCH COS INC	75	07-29-02	50.5501	3,791.26	3,804.75	13.49	Long Term

This summary is not part of your account statement. It is for information purposes only and should not be used for tax preparation.

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Morgan Stanley

RETIREMENT ACCOUNT
FOR MONTH ENDING DECEMBER 31, 2004

DANA ROHRABACHER
2338 RAYBURN HOB

DUPLICATE

Account Number [redacted]

Unrealized Gain/(Loss)	Quantity	Date Acquired	Unit Cost	Adjusted Total Cost	Market Value	Unrealized Gain/(Loss)	*Additional Information*
DELL INC	200	01-27-00	38.4577	7,691.54	8,428.00	736.46	Long Term
DUKE ENERGY CORP	93	11-16-04		please provide	2,355.69		
ERICSSON LM TEL ADR CL B NEW	100	12-22-00	112.3935	11,239.35	3,149.00	(8,090.35)	Long Term
NEWMONT MINING CORP (NEW)	200	08-16-04	42.7750	8,555.00	8,882.00	327.00	Short Term
NORTHROP GRUMMAN CP(HLDG CO)	100	08-16-04	52.5550	5,255.50	5,436.00	180.50	Short Term
PETE AND RES CP MARYLAND	50	07-29-02	20.2774	1,013.87	1,289.00	275.13	Long Term
	41	07-29-02	20.3272	833.02	1,056.98	223.56	Long Term
DIVIDEND REINVESTMENT	9	12-27-02	20.5522	184.97	232.02	47.05	Long Term
DIVIDEND REINVESTMENT	3	12-27-04	25.3300	75.99	77.34	1.35	Short Term
	103		20.4684	2,108.25	2,655.34	547.09	
PFIZER INC	100	02-15-00	36.4759	3,647.59	2,689.00	(958.59)	Long Term
SBC COMMUNICATIONS	300	04-02-97		please provide	7,731.00		
TEMECULA VALLEY BANCORP	200	04-06-00	6.2778	1,255.57	3,550.00	2,294.43	Long Term
	300	12-31-03		please provide	5,325.00		
	500			N/A	8,875.00	N/A	
TIME WARNER INC NEW	200	01-27-00	62.2067	12,441.33	3,890.00	(8,551.35)	Long Term
VODAFONE GP PLC ADS	300	06-30-99		please provide	8,214.00		
Corporate Fixed Income							
Corporate Bonds							
GMAC DFD INT UNIT .000 12-01-12	1	06-14-01	475.0000	4,752.35	5,790.00	1,037.65	Long Term
Totals for positions with cost data available				$65,597.26	$54,384.09	($11,213.17)	
Total Market Value for all positions					$78,009.78		

The "Total Cost" and "Unit Cost" for Fixed Income Unit Trusts, Mortgage-Backed Securities, and Zero Coupon Bonds has been adjusted to reflect any partial return of principal or capital that may have been paid to you, or accreted interest earned, since your purchase date. In the event that the accumulated total return of principal or capital is greater than the provided original cost, the adjusted cost will be "0.00".

This summary is not part of your account statement. It is for information purposes only and should not be used for tax preparation.

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Morgan Stanley

RETIREMENT ACCOUNT
FOR MONTH ENDING DECEMBER 31, 2004

DANA ROHRABACHER
2338 RAYBURN HOB

DUPLICATE

Account Number [redacted]

Realized Gain/(Loss)

		Quantity	Date Acquired	Date Sold	Adjusted Total Cost	Proceeds	Realized Gain/(Loss)	Additional Information
Short Term								
DUKE ENERGY CORP			11-16-04	11-16-04	0.00	11.79	11.79	Cash in Lieu
Sub Total Short Term						$11.79	$11.79	
Long Term								
DUKE ENERGY CP B	8.000 11-16-04	150	07-29-02	11-16-04	please provide	.15		
LYONDELL CHEMICAL COMPANY		50	07-29-02	03-18-04	718.19	750.40	32.21	Long Term
LYONDELL CHEMICAL COMPANY		50	07-29-02	03-18-04	720.68	750.40	29.72	Long Term
LYONDELL CHEMICAL COMPANY		50	07-29-02	03-18-04	720.68	752.90	32.22	Long Term
PETE AND RES CP MARYLAND		59	07-29-02	08-17-04	1,199.30	1,342.98	143.68	Long Term
TEMECULA VALLEY BANCORP		100	04-06-00	08-17-04	427.78	1,484.95	1,057.17	Long Term
VODAFONE GP PLC ADS		200	06-30-99	08-16-04	please provide	4,373.59		
Sub Total Long Term					$3,986.63	$5,281.63	$1,295.00	
Totals for closing transactions with cost data available					$3,986.63	$5,293.42	$1,306.79	
Total Proceeds						$9,467.16		

Summary

	Short Term	Long Term	Total
Unrealized gain	$1,759.35	$4,627.77	$6,387.12
Unrealized (loss)	$0.00	($17,600.29)	($17,600.29)
Realized gain YTD	$11.79	$1,295.00	$1,306.79
Realized (loss) YTD	$0.00	$0.00	$0.00

DANA ROHRABACHER
2338 RAYBURN HOB

DUPLICATE

Account Number
[redacted]

INDIVIDUAL EQUITY RATINGS

DESCRIPTION	QUANTITY	CURRENT-PRICE	MARKET-VALUE	MORGAN STANLEY RESEARCH RATING	INDUSTRY VIEW	VOLATILITY	ADDITIONAL RESEARCH NAME	RATING
ALTRIA GROUP INC	100	61.10	6,110.00	Overweight	Attractive	-	S&P	4-STARS (Accum)
ANHEUSER BUSCH COS INC	75	50.73	3,804.75	Overweight	In-Line	-	S&P	4-STARS (Accum)
DELL INC	200	42.14	8,428.00	Overweight	In-Line	-	Argus	BUY
DUKE ENERGY CORP	93	25.33	2,355.69	Equal-Weight	Cautious	V	S&P	3-STARS (Hold)
NORTHROP GRUMMAN CP(HLDG CO)	100	54.36	5,436.00	Equal-Weight	Attractive	-	S&P	1-STARS (Sell)
PFIZER INC	100	26.89	2,689.00	Equal-Weight	Attractive	-	S&P	3-STARS (Hold)
SBC COMMUNICATIONS	300	25.77	7,731.00	Equal-Weight	In-Line	-	S&P	2-STARS (Avoid)
TIME WARNER INC NEW	200	19.45	3,890.00	Equal-Weight	Attractive	V	S&P	4-STARS (Accum)

Independent, third-party research on equity securities of certain companies covered by Morgan Stanley Equity Research is available to U.S. customers of Morgan Stanley at no cost. Customers can access this research by visiting www.morganstanley.com/equityresearch, by calling 800-624-2863 or by contacting a Morgan Stanley representative to request that a copy of this research be sent to them.

Research does not provide individually tailored investment advice. Research reports are prepared without regard to the individual financial circumstances and objectives of persons who receive them. The securities and other financial instruments discussed in any report may not be suitable for or available to all investors. An investor's decision to buy or sell a stock should depend on individual circumstances (such as the investor's investment objectives, financial situation, investment horizon and existing holdings) and other considerations. Morgan Stanley recommends that investors independently evaluate particular investments and strategies, and encourages investors to seek the views of a financial adviser. The appropriateness of a particular investment or strategy will depend on an investor's individual circumstances and objectives as well as market conditions at the time. For additional information, please contact a Morgan Stanley representative.

RESEARCH RATINGS DEFINITIONS

Morgan Stanley Stock Ratings

Overweight (O) : The stock's total return is expected to exceed the average total return of the analyst's industry (or industry team's) coverage universe, on a risk-adjusted basis, over the next 12-18 months.

Equal-Weight (E) : The stock's total return is expected to be in line with the average total return of the analyst's industry (or industry team's) coverage universe, on a risk-adjusted basis, over the next 12-18 months.

Underweight (U) : The stock's total return is expected to be below the average total return of the analyst's industry (or industry team's) coverage universe, on a risk-adjusted basis, over the next 12-18 months.

SCHEDULE V — LIABILITIES

Name DANA T ROHRABACHER | Page 4 of 5

Report liabilities of over $10,000 owed to any one creditor ***at any time*** during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. **Exclude:** Any mortgage on your personal residence (unless it is rented out); loans secured by automobiles, household furniture, or appliances, and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report ***revolving charge accounts*** only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability									
			B	C	D	E	F	G	H	I	J	K
			$10,001 – $15,000	$15,001 – $50,000	$50,001 – $100,000	$100,001 – $250,000	$250,001 – $500,000	$500,001 – $1,000,000	$1,000,001 – $5,000,000	$5,000,001 – $25,000,000	$25,000,001 – $50,000,000	Over $50,000,000
	Example First Bank of Wilmington, Delaware	Mortgage on 123 Main St., Dover, Del.				X						
	BANK OF AMERICA, Phoenix AR	Credit Card Debt	XX									
	CITIBANK, South Hackensack, NJ	Credit Card Debt		XX								
	CONGRESSIONAL CREDIT UNION, Wash DC	Auto Loan	XXX									
	CONGRESSIONAL CREDIT UNION, Wash DC	Overdraft Reserve	XX									

SCHEDULE VI — GIFTS

Report the source, a brief description, and the value of all gifts totalling more than $285 received by you, your spouse, or a dependent child from any source during the year.

Exclude: Gifts from relatives, gifts of personal hospitality of an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of $114 or less need not be added towards the $285 disclosure threshold.

Note: The gift rule (House Rule 25, clause 5) prohibits acceptance of gifts except as specifically provided in the rule.

Source	Description	Value
Example Mr. Joseph H. Smith, Anytown, Anystate	Silver Platter (determination on personal friendship received from Committee on Standards)	$290

SCHEDULE VII —TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totaling more than $285 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor.

Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	Point of Departure—Destination—Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Any time not at sponsor's expense
Examples: Chicago Chamber of Commerce	Mar 2	Wash., D.C.—Chicago—Wash., D.C.	N	N	N	N
Examples: Boycroft Corporation	Aug 6–11	Wash., D.C.—Los Angeles—Cleveland	Y	Y	Y	2 Days
Airbus Corporation	Aug15-25	LAX-Paris-Toulouse-Paris LAX	Y	Y	Y	6 days

4279

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2004

FORM A
For use by Members, officers, and employees

HAND DELIVERED

ILEANA ROS-LEHTINEN
(Full Name)

2160 Rayburn Building
(Mailing Address)
Wa-hington, DC 20515

(202) 225-3931
Daytime Telephone

LEGISLATIVE RESOURCE CENTER 5
2005 MAY 16 PM 3:46
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES
MC

Filer Status	[X] Member of the U.S. House of Representatives — State: ___ District: ___	[ ] Officer or Employee	Employing Office: ___
Report Type	[X] Annual (May 15)	[ ] Amendment	[ ] Termination — Termination Date: ___

A *$200 penalty* shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes [X]	No [ ]
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes [ ]	No [X]
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes [X]	No [ ]
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes [ ]	No [X]
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes [ ]	No [X]
VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $285 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes [ ]	No [X]
VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $285 from one source)? **If yes, complete and attach Schedule VII.**	Yes [X]	No [ ]
VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes [ ]	No [X]
IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes [ ]	No [X]

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes [ ]	No [X]
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes [ ]	No [X]

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, § 104 and 18 U.S.C. § 1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	Ileana Ros-Lehtinen	5-16-05

4280

SCHEDULE I — EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

	Source	Type	Amount
Examples:	Keene State	Approved Teaching Fee	$6,000
	State of Maryland	Legislative Pension	$9,000
	Civil War Roundtable (Oct. 2nd)	Spouse Speech	$1,000
	Ontario County Board of Education	Spouse Salary	NA
	Dexter Lehtinen, Attorney at Law - Various law firm clients	Spouse's law practice	N/A

For payments to charity in lieu of honoraria, use Schedule II.

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Name: Ileana Ros-Lehtinen

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self-directed IRA (i.e., one where you have the power to select the specific investments), provide information on *each asset* in the account that exceeds the reporting threshold, and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information see the instruction booklet for the reporting year.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Asset

at close of reporting year.

If you use a valuation method other than fair market value, please specify the method used.

If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

BLOCK D — Amount of Income

For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.

BLOCK E — Transaction

Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.

Block A	Block B	Block C	Block D	Block E
	A None; B $1 – $1,000; C $1,001 – $15,000; D $15,001 – $50,000; E $50,001 – $100,000; F $100,001 – $250,000; G $250,001 – $500,000; H $500,001 – $1,000,000; I $1,000,001 – $5,000,000; J $5,000,001 – $25,000,000; K $25,000,001 – $50,000,000; L Over $50,000,000	DIVIDENDS; RENT; INTEREST; CAPITAL GAINS; EXCEPTED TRUST; QUALIFIED BLIND TRUST; Other Type of Income (Specify: For Example, Partnership Income or Farm Income)	I None; II $1 – $200; III $201 – $1,000; IV $1,001 – $2,500; V $2,501 – $5,000; VI $5,001 – $15,000; VII $15,001 – $50,000; VIII $50,001 – $100,000; IX $100,001 – $1,000,000; X $1,000,001 – $5,000,000; XI Over $5,000,000	P, S, E
SP, DC, JT — *Examples*: SP Mega Corp. Stock; Simon & Schuster; 1st Bank of Paducah, KY accounts	Indefinite; X		Royalties	
SP Mega Corp. Stock	X	DIVIDENDS: X	IV: X	P
JT 1st Bank of Paducah, KY accounts	F: X	INTEREST: X	VI: X; IX: X	
JT Bank Atlantic, Ft Lauderdale FL	B: X	INTEREST: X	II: X	
SP Commerical Bank of FL, Miami	E: X	INTEREST: X	III: X	
FL State Retirement Plan	C: X	Other Type of Income: N/A	I: X	
SP Fl State Retirement Plan	C: X	Other Type of Income: N/A	I: X	

For additional assets and unearned income, use next page.

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name: Ileana Ros-Lehtinen

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset	BLOCK C Type of Income	BLOCK D Amount of Income	BLOCK E Transaction
		A None; B $1 – $1,000; C $1,001 – $15,000; D $15,001 – $50,000; E $50,001 – $100,000; F $100,001 – $250,000; G $250,001 – $500,000; H $500,001 – $1,000,000; I $1,000,001 – $5,000,000; J $5,000,001 – $25,000,000; K $25,000,001 – $50,000,000; L Over $50,000,000	DIVIDENDS; RENT; INTEREST; CAPITAL GAINS; EXCEPTED TRUST; QUALIFIED BLIND TRUST; Other Type of Income (Specify)	I None; II $1 – $200; III $201 – $1,000; IV $1,001 – $2,500; V $2,501 – $5,000; VI $5,001 – $15,000; VII $15,001 – $50,000; VIII $50,001 – $100,000; IX $100,001 – $1,000,000; X $1,000,001 – $5,000,000; XI Over $5,000,000	P, S, E
SP	Mega Bank Stock, Miami, FL	B	DIVIDENDS	I	
	(2IRA's) Community Bank ~~Homestead, FL~~	C	INTEREST	II	
	Community Bank of Homestead	C	INTEREST	II	
DC	Commercial Bank of Florida	C	INTEREST	III	
DC	Commercial Bank of Florida	C	INTEREST	III	
DC	Alliance Premier Growth Fund	D	CAPITAL GAINS	IV	
JT	Nokia Corp. Stock	C	DIVIDENDS	II	
JT	Royal West Properties Loan	D	INTEREST	II	

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SCHEDULE VII —TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $285 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor.

Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

	Source	Date(s)	Point of Departure—Destination—Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Any time not at sponsor's expense
Examples:	Chicago Chamber of Commerce	Mar. 2	Wash., D.C.—Chicago—Wash., D.C.	N	N	N	N
	Roycroft Corporation	Aug. 6–11	Wash., D.C.—Los Angeles—Cleveland	Y	Y	Y	2 Days
	Project Interchange - American Jewish Committee	Nov.6-12-04	Miami, Fl - Amman,Jordan - Tel-Aviv - Miami	Y	Y	Y	N

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HAND DELIVERED 7

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U.S. HOUSE OF REPRESENTATIVES
(Office Use Only)

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2004

FORM A
For use by Members, officers, and employees

Michael Avery Ross
(Full Name)

P.O. Box 374, Prescott, AR 71857
(Mailing Address)

(202) 225-3772
Daytime Telephone

Filer Status	☑ Member of the U.S. House of Representatives — State: AR District: 04	☐ Officer or Employee	Employing Office:
Report Type	☑ Annual (May 15)	☐ Amendment	☐ Termination — Termination Date:

A *$200 penalty* shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes ☑	No ☐
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes ☐	No ☑
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes ☑	No ☐
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes ☐	No ☐
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes ☑	No ☐
VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $285 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes ☐	No ☑
VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $285 from one source)? **If yes, complete and attach Schedule VII.**	Yes ☑	No ☐
VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes ☑	No ☐
IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes ☐	No ☑

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes ☐	No ☑
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes ☐	No ☑

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, § 104 and 18 U.S.C. § 1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	Michael Avery Ross	5/15/05

4285

SCHEDULE I — EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honorana; list only the source for other spouse earned income exceeding $1,000.

	Source	Type	Amount
Examples:	Keene State	Approved Teaching Fee	$6,000
	State of Maryland	Legislative Pension	$9,000
	Civil War Roundtable (Oct. 2nd)	Spouse Speech	$1,000
	Ontario County Board of Education	Spouse Salary	NA
	Ross Pharmacy, Inc, Prescott, AR	Spouse Salary	NA

For payments to charity in lieu of honoraria, use Schedule II.

Name Michael Avery Ross

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self-directed IRA (i.e., one where you have the power to select the specific investments), provide information on *each asset* in the account that exceeds the reporting threshold, and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see the instruction booklet for the reporting year.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left

BLOCK B — Value of Asset at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

BLOCK D — Amount of Income For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income

BLOCK E — Transaction Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.

	Block A	Block B												Block C							Block D											Block E
		A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify: For Example, Partnership Income or Farm Income)	I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	P, S, E
SP, DC, JT	*Examples:* SP Mega Corp. Stock					X								X										X								P
	Simon & Schuster			Indefinite																Royalties									X			
	1st Bank of Paducah, KY accounts						X									X									X							
JT	Commercial Building 1430 W 1st N, Prescott, AR						X								X												X					
JT	Commercial Building 114 E Elm, Prescott, AR			X											X											X						
JT	Ross Pharmacy, Inc 1470 W 1st N, Prescott, AR								X											Ownership	X											
JT	Bank of Prescott Prescott, AR			X												X						X										
SP	Simple IRA Fidelity Mickson Stock			X										X								X										
SP	Simple IRA Fidelity Growth + Income					X								X										X								

For additional assets and unearned income, use next page.

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name Michael Avery Ross

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset: A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	BLOCK C Type of Income: DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify)	BLOCK D Amount of Income: I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	BLOCK E Transaction P, S, E
	Rollover IRA Fidality McKesson Stock			X										X								X										
	Rollover IRA Fidality MAGE HAN					X								X									X									
	Rollover IRA Fidality Growth & Income					X								X										X								
	Simple IRA Fidality Dividend			X										X								X										
	Simple IRA Fidality Growth & Income			X										X								X										
	Simple IRA Fidality Value			X										X									X									
	Simple IRA Fidality Diversified International			X										X								X										
	Simple IRA Fidality Growth Company			X										X								X										
JT	1/2 Interest Commercial Lot Hwy 67 N, Pinsett, AR			X																Ownership	X											

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SCHEDULE V — LIABILITIES

Report liabilities of over $10,000 owed to any one creditor ***at any time*** during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. **Exclude:** Any mortgage on your personal residence (unless it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report ***revolving charge accounts*** only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	B $10,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
Example:	First Bank of Wilmington, Delaware	Mortgage on 123 Main St., Dover, Del				X						
ST	Bank of Prescott, Prescott, AR	1430 W 1st N/ Commercial Prescott, AR / Building Mortgage			X							
ST	Citi Cards, The Lake, NV	Revolving Charge Account paid off 1-12-05		X								

SCHEDULE VI — GIFTS

Report the source, a brief description, and the value of all gifts totalling more than $285 received by you, your spouse, or a dependent child from any source during the year.

Exclude: Gifts from relatives, gifts of personal hospitality of an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of $114 or less need not be added towards the $285 disclosure threshold

Note: The gift rule (House Rule 25, clause 5) prohibits acceptance of gifts except as specifically provided in the rule.

Source	Description	Value
Example Mr. Joseph H. Smith, Anytown, Anystate	Silver Platter (determination on personal friendship received from Committee on Standards)	$290
NA		

Use additional sheets if more space is required.

SCHEDULE VII —TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $285 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor.

Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

	Source	Date(s)	Point of Departure—Destination—Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Any time not at sponsor's expense
Examples:	Chicago Chamber of Commerce	Mar. 2	Wash., D.C.—Chicago—Wash., D.C.	N	N	N	N
	Roycroft Corporation	Aug. 6–11	Wash., D.C.—Los Angeles—Cleveland	Y	Y	Y	2 Days
	New York Stock Exchange	Jan. 29-30	DC – NY – Little Rock	Y	Y	Y	N
	American Australian Assoc.	Nov. 6-14	AR – Sydney, Australia – AR	N	N	Y	N

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SCHEDULE VIII — POSITIONS

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States.

Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
Director	Ross Pharmacy, Inc., Prescott, AR

SCHEDULE IX — AGREEMENTS

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer

Date	Parties To	Terms of Agreement
	NA	

Use additional sheets if more space is required.

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UNITED STATES HOUSE OF REPRESENTATIVES
FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2004

FORM A
For use by Members, officers, and employees

2005 MAY 13 AM 9:01

HAND DELIVERED
(Office Use Only)

Steven R. Rothman
(Full Name)

P.O. Box 650 Fair Lawn, NJ 07410
(Mailing Address)

202/225-5061
Daytime Telephone:

Filer Status	☑ Member of the U.S. House of Representatives	State: NJ District: 09	☐ Officer Or Employee — Employing Office:
Report Type	☑ Annual (May 15)	Amendment	☐ Termination — Termination Date:

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I.	Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes ☑	No ☐
II.	Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes ☐	No ☑
III.	Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes ☑	No ☐
IV.	Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes ☑	No ☐
V.	Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes ☐	No ☑
VI.	Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $285 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes ☐	No ☑
VII.	Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $285 from one source)? **If yes, complete and attach Schedule VII.**	Yes ☐	No ☑
VIII.	Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes ☑	No ☐
IX.	Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes ☐	No ☑

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

Trusts--	Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes ☐	No ☑
Exemptions--	Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes ☐	No ☑

CERTIFICATION -- THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and wilfully fails to file this report may be subject to civil penalties and criminal sanctions (See 5 U.S.C. app. 4, § 104 and U.S.C. § 1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	S R Rothman	May 11, 2005

SCHEDULE I - EARNED INCOME

Name Steven R. Rothman

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

Source	Type	Amount
Twinks Company, LLC, Fair Lawn, NJ	Manager's FEE	$23,205

SCHEDULE II - PAYMENTS IN LIEU OF HONORARIA MADE TO CHARITY

Name Steven R. Rothman, M.C.

List the source, activity (i.e., speech, appearance, or article), date, and amount of any payment made by the sponsor of an event to a charitable organization in lieu of an honorarium. A separate confidential list of charities receiving such payments must be filed directly with the Committee on Standards of Official Conduct. An envelope for transmitting the list is included in each Member's filing package.

Source	Activity	Date	Amount

* * * N O N E * * *

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Steven R. Rothman

BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self directed IRA (i.e., one where you have the power to select the specific investments) provide information on each asset in the account that exceeds the reporting threshold and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see instruction booklet for the reporting year. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.		BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership income or Farm Income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.	BLOCK E **Transaction** Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.
IRA	N.M. Gen. Motors. Accept B	$1,001 - $15,000	INTEREST	$201 - $1,000	N/A
IRA	N.M. Fed Natl. Mtg. Assoc.	$1,001 - $15,000	INTEREST	$201 - $1,000	N/A
I	40 Ruta Court, So. Hackensack, NJ (33 1/3%)	$100,001 - $250,000	RENT	$15,001 - $50,000	N/A
I	600 Hollister Road Teterboro (33 1/3%)	$50,001 - $100,000	RENT	$15,001 - $50,000	N/A
DC Karen	NM Fedl. Natl. Mtg. Assoc.	$15,001 - $50,000	INTEREST	$201 - $1,000	N/A
DC Karen	NM Gen Motors Accept B	$15,001 - $50,000	INTEREST	$201 - $1,000	N/A

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SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Steven R. Rothman

I	GMAC Smartnote	$50,001 - $100,000	INTEREST	$2,501 - $5,000	N/A
I	Ford Motor Credit	$15,001 - $50,000	INTEREST	$201 - $1,000	S
I	GMAC Smartnote	$15,001 - $50,000	INTEREST	$1,001 - $2,500	N/A
I	Ford Motor Credit Company NTA	$15,001 - $50,000	INTEREST	$201 - $1,000	N/A
I	Israel St. 7th Dev. Curr	$1,001 - $15,000	INTEREST	$1 - $200	N/A
DC John	N.M. Ford Motor Credit Co.	$15,001 - $50,000	INTEREST	$1,001 - $2,500	N/A
DC John	NM Fedl. Natl. Mtg. Assoc.	$15,001 - $50,000	INTEREST	$1,001 - $2,500	N/A
DC John	NM Gen Motors Accpt B	$15,001 - $50,000	INTEREST	$1,001 - $2,500	N/A
I	CPI Indexed Housing Bonds IBH	$50,001 - $100,000	INTEREST	$15,001 - $50,000	N/A
I	American Cent. 20th Century Ultra (Public Employee Benefits Co)	None	DIVIDENDS	NONE	E
I	Dreyfus NJ Money Market Fund	$1 - $1,000	INTEREST	$1 - $200	N/A
I	Turbochef	$1,001 - $15,000	INTEREST	NONE	N/A
I	250 North Street Englewood, NJ (33% owner)	$100,001 - $250,000	RENT	$15,001 - $50,000	N/A

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Steven R. Rothman

I	4 E. Forest Avenue Englewood, NJ (33 1/3% owner)	$100,001 - $250,000	RENT	$5,001 - $15,000	N/A
I	175 Louis Street Hackensack, NJ; Sustar, LLC (Partial Owner)	$100,001 - $250,000	RENT	$15,001 - $50,000	N/A
I	30 Ruta Court, S. Hackensack, NJ (33% owner)	$100,001 - $250,000	RENT	$5,001 - $15,000	N/A
I	538-540 Huyler Street, South Hackensack, NJ (16 2/3% owner)	$15,001 - $50,000	RENT	$5,001 - $15,000	N/A
I	550 Huyler Street, South Hackensack, NJ (16 2/3 % owner)	$250,001 - $500,000	RENT	$5,001 - $15,000	N/A
I	125 Louis Street, South Hackensack, NJ (5.7% owner)	$100,001 - $250,000	RENT	$5,001 - $15,000	N/A
I	Oppenheimer Global Fund (Public Employees Benefits Co.)	$15,001 - $50,000	DIVIDENDS	$201 - $1,000	E
I	425 Victoria Terrace, Ridgefield, NJ (16 2/3% owner)	$100,001 - $250,000	RENT	$15,001 - $50,000	N/A
I	80 Wesley Street, South Hackensack, NJ; RNN LLC (33 % owner)	$100,001 - $250,000	RENT	$201 - $1,000	N/A
I	150 Louis Street, South Hackensack, NJ (16 2/3% owner)	$100,001 - $250,000	RENT	$15,001 - $50,000	N/A
Partner	Dreyfus, NJ Money Mkt. fund (1/3 owner)	$15,001 - $50,000	DIVIDENDS	$201 - $1,000	N/A
Partner	Fidelity Mun. Money Mkt. Fund (1/3 owner)	$50,001 - $100,000	DIVIDENDS	$2,501 - $5,000	N/A

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Steven R. Rothman

Partner	Citibank Savings Account (1/3 owner)	$1,001 - $15,000	INTEREST	$201 - $1,000	N/A
Partner	Roan Mun. Money Mkt Fund (5.7% owner)	$15,001 - $50,000	DIVIDENDS	$1,001 - $2,500	N/A
Partner	17793 Southwick Way, Boca Raton, FL (1/3 owner)	$100,001 - $250,000	N/A	NONE	N/A
I	Partner - Summit Checking A/C (1/3 owner)	$1,001 - $15,000	N/A	NONE	N/A
DC Karen	125 Louis Street, South Hackensack, NJ (5.5% owner)	$15,001 - $50,000	RENT	$5,001 - $15,000	N/A
DC Karen	45 Ruta Court, S. Hackensack, NJ (6% owner)	$15,001 - $50,000	RENT	$2,501 - $5,000	N/A
DC John	125 Louis Street, S. Hackensack, NJ (5.5% owner)	$15,001 - $50,000	RENT	$5,001 - $15,000	N/A
DC Karen	Bear Stearns	$15,001 - $50,000	DIVIDENDS	$201 - $1,000	S
* DC John	Bear Stearns	$15,001 - $50,000	INTEREST	$201 - $1,000	S
DC John	45 Ruta Court, S. Hackensack, NJ (6% owner)	$1,001 - $15,000	RENT	$2,501 - $5,000	N/A
DC Karen	Steven R. Rothman Irrev. Life Ins. Trust	$1,001 - $15,000	N/A	NONE	P
DC John	Steven R. Rothman Irrev. Life Ins. Trust	$1,001 - $15,000	N/A	NONE	P
I	Year End USA Port Mutual Fund	$1,001 - $15,000	DIVIDENDS	$1 - $200	N/A
I	NJ Transit Corp. Cap Gains	$15,001 - $50,000	INTEREST	$201 - $1,000	S

* Inadvertently omitted from 2002 Financial Disclosure Form 1/15/02

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Steven R. Rothman

I	NJ HSG Mtg. Fin. Agy. HME	$15,001 - $50,000	INTEREST	$1,001 - $2,500	N/A
I	NewJersey St. Gen Obl. Ser. D	$1,001 - $15,000	INTEREST	$201 - $1,000	N/A
I	Mercury Int'l fd Cl. C	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	N/A
I	New Jersey ST Ed/FACs Ser C Rev	$15,001 - $50,000	DIVIDENDS	$201 - $1,000	S
I	Passaic Cnty NJ Utils A Rv FSA OID	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	S
I	New Jersey St. Ed/FACS Princeton	$1,001 - $15,000	INTEREST	$201 - $1,000	S
I	Essex Cty, NJ Util Auth	$15,001 - $50,000	INTEREST	$201 - $1,000	S
I	Essex Cty, NJ SRA Ambac	$15,001 - $50,000	INTEREST	$1,001 - $2,500	N/A
I	New Jersey Envir Infras	$15,001 - $50,000	INTEREST	$201 - $1,000	N/A
DC Karen	NJ State Transp. Tr	$15,001 - $50,000	INTEREST	$1,001 - $2,500	S
DC Karen	NJ State Transp. Tr	$1,001 - $15,000	INTEREST	$1 - $200	S
DC Karen	NJ HCFF at RVS	$1,001 - $15,000	INTEREST	$1 - $200	S
DC John	NJ StateTransp. Tr	$15,001 - $50,000	INTEREST	$1,001 - $2,500	S
DC John	NJ EDA Mkt FAC	$1,001 - $15,000	INTEREST	$201 - $1,000	S

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Steven R. Rothman

IRA	Conseco Bank	$15,001 - $50,000	INTEREST	$201 - $1,000	N/A
I	Trenton NJ FGIC	$15,001 - $50,000	INTEREST	$201 - $1,000	S
I	Columbia Bank Acct	$1,001 - $15,000	NONE	NONE	N/A
DC Karen	Columbia Bank Acct	$1,001 - $15,000	INTEREST	$1 - $200	N/A
DC John	Columbia Bank Acct	$1,001 - $15,000	INTEREST	$1 - $200	N/A
Partner	Twinks Checking Account - Columbia Bank	$1,001 - $15,000	INTEREST	$1 - $200	N/A
Partner	Dreyfus NJ Money Mkt	$15,001 - $50,000	DIVIDENDS	$201 - $1,000	N/A
DC Karen	Dreyfus NJ Money Mkt Fund	$15,001 - $50,000	DIVIDENDS	$201 - $1,000	N/A
DC John	Dreyfus NJ Money Mkt Fund	$15,001 - $50,000	DIVIDENDS	$201 - $1,000	N/A
Partner	Twinks LLC Columbia Bank Acct.	$15,001 - $50,000	INTEREST	$201 - $1,000	N/A
Partner	RNN LLC Columbia Bank Acct.	$1,001 - $15,000	INTEREST	$201 - $1,000	N/A
Partner	Sustar LLC Columbia Bank Acct.	$1,001 - $15,000	INTEREST	$201 - $1,000	N/A
I	NJ Higher Ed Asstn	$15,001 - $50,000	INTEREST	$201 - $1,000	S

Inadvertently omitted from 2000 Financial Disclosure Form - Purchased 11/14/00

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Steven R. Rothman

I	NJ Higher Ed Asstn.	$1,001 - $15,000	INTEREST	$201 - $1,000	S
I	Passaic Valley NJ Sewer	$15,001 - $50,000	INTEREST	$201 - $1,000	S
IRA	CD Sky Bank	$15,001 - $50,000	INTEREST	$201 - $1,000	PS
IRA	India Fund, Inc.	$15,001 - $50,000	DIVIDENDS	$201 - $1,000	P
IRA	CD MBNA America Bank	$15,001 - $50,000	INTEREST	$201 - $1,000	S
DC Karen	NM Daimler Chrysler NAH	$15,001 - $50,000	INTEREST	$201 - $1,000	P
DC Karen	CD Flagstar Bank FSB	$15,001 - $50,000	INTEREST	$201 - $1,000	P
DC Karen	CD Sky Bank	$15,001 - $50,000	INTEREST	$201 - $1,000	P
DC Karen	CD BMW Bk North America	$1,001 - $15,000	INTEREST	$201 - $1,000	P
DC Karen	India Fd. Inc. Non Tr. RTS	$15,001 - $50,000	DIVIDENDS	$1 - $200	P
DC John	NM DaimlerChrysler NAH	$15,001 - $50,000	INTEREST	$201 - $1,000	P
DC John	CD Flagstar Bank FSB	$15,001 - $50,000	INTEREST	$201 - $1,000	P
DC John	CD FNB Bar Harbor	$1,001 - $15,000	INTEREST	$201 - $1,000	P
DC John	CD Sky Bank	$15,001 - $50,000	INTEREST	$201 - $1,000	P

Inadvertently omitted from 2000 Financial Disclosure Form - Purchased 11/14/00

* Inadvertently omitted from 2003 Financial Disclosure Form - Purchased 7/8/03

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Steven R. Rothman

DC John	CD BMW Bk North America	$1,001 - $15,000	INTEREST	$201 - $1,000	P
DC John	India Fd Inc. Non Tr RTS	$15,001 - $50,000	DIVIDENDS	$1 - $200	P
I	Georgia B + T, US	$15,001 - $50,000	INTEREST	$201 - $1,000	P
I	CB+T Bank AL US	$15,001 - $50,000	INTEREST	$201 - $1,000	P
I	India Fund Inc.	$15,001 - $50,000	DIVIDENDS	$201 - $1,000	P
I	New Jersey Health Care FAC	$1,001 - $15,000	INTEREST	$201 - $1,000	P
I	Puerto Rico HSG Fin Auth	$15,001 - $50,000	INTEREST	$201 - $1,000	P
I	Brick Twp NJ Mun Utils	$1,001 - $15,000	INTEREST	$201 - $1,000	P

SCHEDULE IV - TRANSACTIONS

Name Steven R. Rothman

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
I	Ford Motor Credit	S	11/22/04	$15,001 - $50,000
I	Oppenheimer Global Fund (Public Employees Benefits Co.)	E	12/13/04	$15,001 - $50,000
DC Karen	Bear Stearns	S	1/15/04	$1,001 - $15,000
DC John	Bear Stearns	S	1/15/04	$15,001 - $50,000
DC Karen	Steven R. Rothman Irrev. Life Ins. Trust	P	3/5/04	$1,001 - $15,000
DC John	Steven R. Rothman Irrev. Life Ins. Trust	P	3/5/04	$1,001 - $15,000
I	NJ Transit Corp. Cap Gains	S	2/1/04	$15,001 - $50,000
I	New Jersey ST Ed/FACs Ser C	S	7/1/04	$15,001 - $50,000
I	Passaic Cnty NJ Utils A Rv FSA OID	S	3/1/04	$1,001 - $15,000
I	New Jersey St. Ed/FACS Princeton	S	7/1/04	$1,001 - $15,000
I	Essex Cty, NJ Util Auth	S	10/01/04	$15,001 - $50,000
DC Karen	NJ State Transp. Tr	S	6/15/04	$1,001 - $15,000

SCHEDULE IV - TRANSACTIONS

Name Steven R. Rothman

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
DC Karen	NJ State Transp. Tr	S	6/15/04	$15,001 - $50,000
DC Karen	NJ HCFF at RVS	S	7/1/04	$1,001 - $15,000
DC John	NJ StateTransp. Tr	S	6/15/04	$15,001 - $50,000
DC John	NJ EDA Mkt FAC	S	7/1/04	$1,001 - $15,000
IRA	CD MBNA America Bank	S	6/7/04	$15,001 - $50,000
I	Trenton NJ FGIC	S	2/16/04	$15,001 - $50,000
I	NJ Higher Ed Asstn	S	7/1/04	$15,001 - $50,000
I	NJ Higher Ed Asstn.	S	4/23/04	$1,001 - $15,000
I	Passaic Valley NJ Sewer	S	12/01/04	$15,001 - $50,000
IRA	CD Sky Bank	PS	6/16/04 12/8/04	$15,001 - $50,000
IRA	India Fund, Inc.	P	12/8/04	$15,001 - $50,000
DC Karen	NM Daimler Chrysler NAH	P	1/20/04	$15,001 - $50,000

SCHEDULE IV - TRANSACTIONS

Name Steven R. Rothman

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
DC Ka	CD Flagstar Bank FSB	P	4/14/04	$15,001 - $50,000
DC Karen	CD Sky Bank	P	6/16/04	$15,001 - $50,000
DC Karen	CD BMW Bk North America	P	6/29/04	$1,001 - $15,000
DC Karen	India Fd. Inc. Non Tr. RTS	P	12/28/04	$15,001 - $50,000
DC John	NM DaimlerChrysler NAH	P	1/20/04	$15,001 - $50,000
DC John	CD Flagstar Bank FSB	P	4/14/04	$15,001 - $50,000
DC John	CD FNB Bar Harbor	P	4/21/04	$1,001 - $15,000
DC John	CD Sky Bank	P	6/16/04	$15,001 - $50,000
DC John	CD BMW Bk North America	P	6/29/04	$1,001 - $15,000
DC John	India Fd Inc. Non Tr RTS	P	12/28/04	$15,001 - $50,000
I	Georgia B + T, US	P	2/11/04	$15,001 - $50,000
I	CB+T Bank AL US	P	12/9/04	$15,001 - $50,000

SCHEDULE IV - TRANSACTIONS

Name Steven R. Rothman

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
I	India Fund Inc.	P	11/30/04	$15,001 - $50,000
I	New Jersey Health Care FAC	P	5/13/04	$1,001 - $15,000
I	Puerto Rico HSG Fin Auth	P	6/2/04	$15,001 - $50,000
I	Brick Twp NJ Mun Utils	P	11/9/04	$1,001 - $15,000
I	American Cent. 20th Century Ultra (Public Employee Benefits Co)	E	12/13/04	$15,001 - $50,000

SCHEDULE V - LIABILITIES

Name Steven R. Rothman, M.C.

Report liabilities of over $10,000 owed to any one creditor at any time during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. Exclude: Any mortgage on your personal residence (unless all or part of it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of your or your spouse. Report "revolving charge accounts" only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability

* * * N O N E * * *

SCHEDULE VI - GIFTS

Name Steven R. Rothman, M.C.

Report the source, a brief description, and the value of all gifts totalling more than $285 received by you, your spouse, or a dependent child from any source during the year. Exclude: Gifts from relatives, gifts of personal hospitality of an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of $114 or less need not be added towards the $285 disclosure threshold. Note: The gift rule (House Rule 25, clause 5) prohibits acceptance of gifts except as specifically provided in the rule.

Source	Description	Value

* * * NONE * * *

SCHEDULE VII - TRAVEL PAYMENTS AND REIMBURSEMENTS

Name Steven R. Rothman, M.C.

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $285 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor. Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C § 7342), political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	Point of Departure--Destination--Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Any time not at sponsor's expense
			Y	Y	Y	

* * * NONE * * *

SCHEDULE VIII - POSITIONS

Name Steven R. Rothman

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or any business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States. Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
Trustee	John Abraham Rothman 1989 Trust
Trustee	Karen Bena Rothman 1991 Trust
Partner	Twinks Company, LLC
Partner	Roan Partnership, LP
Twinks Company, LLC is a tenant in common in these two organizations	Folman Company; Rofol Company
Partner	Sustar, LLC
Partner	RNN, LLC

SCHEDULE IX - AGREEMENTS

Name Steven R. Rothman, M.C.

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement

*** N O N E ***

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2004

FORM A
For use by Members, officers, and employees

HAND DELIVERED

2005 MAY 16 PM 6:39

Lucille Roybal-Allard
(Full Name)

2330 Rayburn House Office Building
(Mailing Address)

Washington, DC 20515
(Mailing Address)

202/225-1766
Daytime Telephone

(Office Use Only) MC

Filer Status	[x] Member of the U.S. House of Representatives — State: CA District: 34	[ ] Officer or Employee	Employing Office:
Report Type	[x] Annual (May 15)	[ ] Amendment	[ ] Termination — Termination Date

A *$200 penalty* shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes [x]	No [ ]	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $285 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes [ ]	No [x]
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes [ ]	No [x]	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $285 from one source)? **If yes, complete and attach Schedule VII.**	Yes [ ]	No [x]
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes [x]	No [ ]	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes [x]	No [ ]
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes [ ]	No [x]	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes [ ]	No [x]
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes [ ]	No [x]	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**		

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes [ ]	No [x]
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes [ ]	No [x]

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, § 104 and 18 U.S.C. § 1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	Lucille Roybal-Allard	5/16/05

4312

SCHEDULE I — EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

	Source	Type	Amount
Examples:	Keene State	Approved Teaching Fee	$6,000
	State of Maryland	Legislative Pension	$9,000
	Civil War Roundtable (Oct. 2nd)	Spouse Speech	$1,000
	Ontario County Board of Education	Spouse Salary	NA
	Roybal Foundation	Spouse Salary	NA

For payments to charity in lieu of honoraria, use Schedule II.

Name Lucille Roybal-Allard | Page 3 of 9

SCHEDULE II — PAYMENTS IN LIEU OF HONORARIA MADE TO CHARITY

List the source, activity (i.e., speech, appearance, or article), date, and amount of any payment made by the sponsor of an event to a charitable organization in lieu of an honorarium. A separate confidential list of charities receiving such payments must be filed directly with the Committee on Standards of Official Conduct. An envelope for transmitting the list is included in each Member's filing package

Source	Activity	Date	Amount
Examples: Association of American Associations, Wash., D.C.	Speech	Feb. 2, 2004	$2,000
XYZ Magazine	Article	Aug. 13, 2004	$500
NA			

This page may be copied if more space is required.

Name Lucille Roybal-Allard | Page 1 of 1

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self-directed IRA (i.e., one where you have the power to select the specific investments), provide information on *each asset* in the account that exceeds the reporting threshold, and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see the instruction booklet for the reporting year.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT). In the optional column on the far left

BLOCK B — Value of Asset

at close of reporting year.

If you use a valuation method other than fair market value, please specify the method used.

If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

BLOCK D — Amount of Income

For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.

BLOCK E — Transaction

Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.

Examples		A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify: For Example, Partnership Income or Farm Income)	I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	P, S, E
SP:	SP Mega Corp. Stock					X								X										X								P
DC:	Simon & Schuster			Indefinite																Royalties									X			
JT	1st Bank of Paducah, KY accounts						X									X									X							
JT	Congressional Federal Credit Union (Checking)						x									x							x									
SP	Bank of America (SEP)					x										N/A							N/A									
SP	Navy Federal Credit Union (SEP)					x										N/A							N/A									
SP	Navy Federal Credit Union (Savings)			x												x							x									
SP	Wells Fargo Banking (Checking)			x												x						x										
SP	United Way Credit Union		x													x						x										

For additional assets and unearned income, use next page.

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name Lucille Roybal-Allard

Page 5 of 9

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset	BLOCK C Type of Income	BLOCK D Amount of Income	BLOCK E Transaction (P, S, E)
SP	United Way Retirement Fund	E $50,001 – $100,000	RENT: N/A	II $201 – $1,000	
SP	United Way LA Retire Fund	C $15,001 – $50,000	RENT: N/A	II $201 – $1,000	
SP	Pentagon Federal Credit Union	B $1,001 – $15,000	INTEREST: x	III $1,001 – $2,500	
SP	Prudential (Hill Retirement)	D $15,001 – $50,000	RENT: N/A	II $201 – $1,000	

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SCHEDULE IV — TRANSACTIONS

Name Lucille Roybal-Allard | Page 6 of 9

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.		Type of Transaction			Date	Amount of Transaction									
SP, DC, JT	Asset	PURCHASE	SALE	EXCHANGE	(MO/DAY/YR)	B $1,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
SP	*Example:* Mega Corporation Common Stock	X			10-12-04		X								
	N/A														

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Name Lucille Roybal-Allard | Page 7 of 9

SCHEDULE V — LIABILITIES

Report liabilities of over $10,000 owed to any one creditor ***at any time*** during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. **Exclude:** Any mortgage on your personal residence (unless it is rented out), loans secured by automobiles, household furniture, or appliances, and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report ***revolving charge accounts*** only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability										
			B $10,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
Example:	First Bank of Wilmington, Delaware	Mortgage on 123 Main St., Dover, Del				X						
	N/A											

SCHEDULE VI — GIFTS

Report the source, a brief description, and the value of all gifts totalling more than $285 received by you, your spouse, or a dependent child from any source during the year.

Exclude: Gifts from relatives, gifts of personal hospitality of an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of $114 or less need not be added towards the $285 disclosure threshold.

Note: The gift rule (House Rule 25, clause 5) prohibits acceptance of gifts except as specifically provided in the rule.

Source	Description	Value
Example: Mr. Joseph H. Smith, Anytown, Anystate	Silver Platter (determination on personal friendship received from Committee on Standards)	$290
N/A		

Use additional sheets if more space is required.

4318

SCHEDULE VII —TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $285 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor.

Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

	Source	Date(s)	Point of Departure—Destination—Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Any time not at sponsor's expense
Examples:	Chicago Chamber of Commerce	Mar. 2	Wash., D.C.—Chicago—Wash., D.C.	N	N	N	N
	Roycroft Corporation	Aug. 6–11	Wash., D.C.—Los Angeles—Cleveland	Y	Y	Y	2 Days

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SCHEDULE VIII — POSITIONS

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States.

Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
Board Member Edward T. Allard III	US Capitol Historical Society

SCHEDULE IX — AGREEMENTS

Identify the date, parties to, and general terms of any agreement or arrangement with respect to future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement

Use additional sheets if more space is required.

Congresswoman Lucille Roybal-Allard
BOARDS AND ADVISORY COUNCILS
2004

-Angelus Plaza Activity Center Advisory Council

-Art Share Los Angeles Advisory Board

-Arthritis Foundation Southern California Chapter's Spanish Language Arthritis Empowerment Program Advisory Committee

-Azusa Street Memorial Committee Advisory Board

-Belmont Community Adult School Advisory Council

-California State University, Los Angeles
Edward R. Roybal Center for Applied Gerontology Advisory Board

-Congressional Hispanic Caucus Institute Board

-Downtown Arts Development Association Advisory Board

-East LA Occupational Center Advisory Council

-Gage Middle Healthy Start Collaborative Executive Advisory Committee

-HIV/AIDS Community Advisory Council

-Human Services Association Board of Directors

-Huntington Park-Bell-Gage Community Adult School Advisory Council

-Japanese American National Museum Honorary Board of Governors

-Korean American Coalition Honorary Board Member

-Las Madrinas of Ramona High School

-L.A. County /USC Medical Center Advisory Council

-NALEO Educational Fund Board

-Neighborhood Music Settlement Advisory Council

-Pacific Asian Consortium for Employment (PACE) Youth Build Advisory Committee

-Para Los Ninos Advisory Board

-Rio Hondo Boys & Girls Club Advisory Council

-Roosevelt Community Adult School Advisory Council

-Padres Contra Cancer Advisory Council

-USC Military Advisory Council/Department of Military Science

UNITED STATES HOUSE OF REPRESENTATIVES
FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2004

FORM A For use by Members, officers, and employees

HAND DELIVERED

LEGISLATIVE RESOURCE CENTER
2005 MAY 16 PM 3:01 MC
(Office Use Only)

Edward Randall Royce
(Full Name)

2202 Rayburn House Office Building Washington, DC 20515
(Mailing Address)

202-225-4111
Daytime Telephone

Filer Status: ☑ Member of the U.S. House of Representatives — State: CA District: 40 ☐ Officer Or Employee — Employing Office:

Report Type: ☑ Annual (May 15) ☐ Amendment ☐ Termination — Termination Date:

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I.	Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes ☑ No ☐	VI.	Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $285 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes ☐ No ☑
II.	Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes ☐ No ☑	VII.	Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $285 from one source)? **If yes, complete and attach Schedule VII.**	Yes ☑ No ☐
III.	Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes ☑ No ☐	VIII.	Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes ☐ No ☑
IV.	Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes ☑ No ☐	IX.	Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes ☐ No ☑
V.	Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes ☐ No ☑		**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**	

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

Trusts-	Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes ☐ No ☑
Exemptions--	Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes ☐ No ☑

CERTIFICATION -- THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (See 5 U.S.C. app. 4, § 104 and U.S.C. § 1001)

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	Edward R Royce	5/14/05

4323

SCHEDULE I - EARNED INCOME

Name Edward Randall Royce

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

Source	Type	Amount
STATE of CALIFORNIA, Educator, Cal Poly Pomona	Spouse Salary	N/A
LUCENT TECHNOLOGIES	Spouse Salary	N/A

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Edward Randall Royce

	BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self directed IRA (i.e., one where you have the power to select the specific investments) provide information on each asset in the account that exceeds the reporting threshold and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see instruction booklet for the reporting year. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.	BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership Income or Farm Income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.	BLOCK E **Transaction** Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.
SP	CANCERVAX (Spouse IRA)	$1,001 - $15,000		NONE	
SP	CISCO (Spouse IRA)	$1,001 - $15,000		NONE	
SP	EMC (Spouse IRA)	$1,001 - $15,000		NONE	
SP	MCI (Spouse IRA)	$1,001 - $15,000		NONE	P
SP	MICROSOFT (Spouse IRA)	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
SP	PROCTER AND GAMBLE (Spouse IRA)	$15,001 - $50,000	DIVIDENDS	$201 - $1,000	P

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Edward Randall Royce

SP	QUALCOM (Spouse IRA)	$1,001 - $15,000		NONE	P
SP	DOW JONES INDEX i SHARE (Spouse IRA)	$15,001 - $50,000	DIVIDENDS	$1 - $200	P
SP	TIME WARNER (formery AOL) (Spouse IRA)	None		NONE	S
SP	GENERAL ELECTRIC (Spouse IRA)	None	DIVIDENDS	$1 - $200	S
SP	SEMI CONDUCTOR I SHARE (Spouse IRA)	None	DIVIDENDS	$1 - $200	S
SP	NOVELLUS (Spouse IRA)	None		NONE	S
SP	CASH/MONEY FUND (Spouse IRA)	$1,001 - $15,000	INTEREST	$1 - $200	

SCHEDULE IV - TRANSACTIONS

Name *Edward Randall Royce*

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
SP	GENERAL ELECTRIC (Spouse IRA)	S	11-04-04	$1,001 - $15,000
SP	NOVELLUS (Spouse IRA)	S	11-04-04	$1,001 - $15,000
SP	SEMI CONDUCTOR I SHARES (Spouse IRA)	S	05-14-04	$1,001 - $15,000
SP	TIME WARNER (formerly AOL) (Spouse IRA)	S	11-04-04	$1,001 - $15,000
SP	MCI (Spouse IRA)	P	11-23-04	$1,001 - $15,000
SP	MICROSOFT (Spouse IRA)	P	11-05-04	$1,001 - $15,000
SP	PROCTER AND GAMBLE (Spouse IRA)	P	06-25-04 & 08-24-04	$15,001 - $50,000
SP	DOW JONES INDEX I SHARE (Spouse IRA)	P	01-05-04 & 08-24-04 & 10-01-04 & 12-31-04	$15,001 - $50,000
SP	QUALCOM (Spouse IRA)	P	11-02-04	$1,001 - $15,000

SCHEDULE VII - TRAVEL PAYMENTS AND REIMBURSEMENTS

Name Edward Randall Royce

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $285 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor. Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	Point of Departure--Destination--Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Any time not at sponsor's expense
NASDAQ	03-29-04	Washington, D.C. - New York, NY - Washington, D.C.	N	N	N	N/A
NEW YORK STOCK EXCHANGE	07-18-04 - 07-19-04	Washington, D.C. - New York, NY - Washington, D.C.	Y	Y	N	N/A
CENTER FOR THE STUDY OF POPULAR CULTURE	11-11-04 - 11-14-04	Washington, D.C. - Boca Raton, FL - Washington, D.C.	Y	Y	Y	N/A

UNITED STATES HOUSE OF REPRESENTATIVES
FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2004

FORM A For use by Members, officers, and employees

HAND DELIVERED

2005 MAY 16 PM 5:43

MC

4329

Charles Albert Dutch Ruppersberger
(Full Name)

10 Highfield Court Cockeysville, MD 21030
(Mailing Address)

202-225-3061
Daytime Telephone

(Office Use Only)

Filer Status	☑ Member of the U.S House of Representatives	State: MD District: 02	☐ Officer Or Employee — Employing Office:
Report Type	☑ Annual (May 15)	☐ Amendment	☐ Termination — Termination Date:

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION – ANSWER EACH OF THESE QUESTIONS

I.	Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? If yes, complete and attach Schedule I.	Yes ☑ No ☐	VI.	Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $285 and not otherwise exempt)? If yes, complete and attach Schedule VI.	Yes ☐ No ☑
II.	Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? If yes, complete and attach Schedule II.	Yes ☐ No ☑	VII.	Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $285 from one source)? If yes, complete and attach Schedule VII.	Yes ☐ No ☑
III.	Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? If yes, complete and attach Schedule III.	Yes ☑ No ☐	VIII.	Did you hold any reportable positions on or before the date of filing in the current calendar year? If yes, complete and attach Schedule VIII.	Yes ☑ No ☐
IV.	Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? If yes, complete and attach Schedule IV.	Yes ☑ No ☐	IX.	Did you have any reportable agreement or arrangement with an outside entity? If yes, complete and attach Schedule IX.	Yes ☑ No ☐
V.	Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? If yes, complete and attach Schedule V.	Yes ☐ No ☑		**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**	

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION – ANSWER EACH OF THESE QUESTIONS

Trusts–	Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes ☐ No ☑
Exemptions–	Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes ☐ No ☑

CERTIFICATION – THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and wilfully fails to file this report may be subject to civil penalties and criminal sanctions (See 5 U.S.C. app. 4, § 104 and U.S.C. § 1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	C. A. Dutch Ruppersberger	5/16/05

SCHEDULE I - EARNED INCOME

Name Charles Albert Dutch Ruppersberger

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

Source	Type	Amount
Baltimore County	Retirement Pension	$82,038.60

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Charles Albert Dutch Ruppersberger

	BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self directed IRA (i.e., one where you have the power to select the specific investments) provide information on each asset in the account that exceeds the reporting threshold and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see instruction booklet for the reporting year. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.	BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership Income or Farm Income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.	BLOCK E **Transaction** Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.
JT	Summer Beach 608 Ocean City, MD	$250,001 - $500,000	RENT	$5,001 - $15,000	
	Rupp & Assoc. Inc Timonium, MD (TRUST)	$100,001 - $250,000	(TRUST)	$100,001 - 250,000	
JT	Bank of America	$50,001 - $100,000	DIVIDENDS/INTEREST	$201 - $1,000	
JT	First Mariner	$1,001 - $15,000	INTEREST	$201 - $1,000	
	Legg Mason Value Trust (IRA)	$100,001 - $250,000	CAPITAL GAINS	NA	
	Legg Mason Special Investment Trust (IRA)	$250,001 - $500,000	CAPITAL GAINS	NA	

4331

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Charles Albert Dutch Ruppersberger

SP	MFS Sun Life Financial Annuities (IRA)	$15,001 - $50,000	CAPITAL GAINS	NA	
	Neu Ber Genesis Fund	$1,001 - $15,000	CAPITAL GAINS	NA	
	MFS Sun Life Financial Annuities (IRA)	$15,001 - $50,000	CAPITAL GAINS	NA	
	Brwn Cap Mgmt Sml Co Fd	$1,001 - $15,000	CAPITAL GAINS	NA	
	Fid Equity Income Fnd	$1,001 - $15,000	CAPITAL GAINS	NA	
	Fid Magellan Fnd	$1,001 - $15,000	CAPITAL GAINS	NA	
	Gartmore Money Market Fund	$15,001 - $50,000	INTEREST	$1 - $200	
	Gartmore Nationwide Fund	$1,001 - $15,000	CAPITAL GAINS	NA	
	Fid Contrafund	$15,001 - $50,000	CAPITAL GAINS	NA	
	Putnam Voyager Fund	$15,001 - $50,000	CAPITAL GAINS	NA	
	MFS High Incm Fund	$1,001 - $15,000	CAPITAL GAINS	NA	
	GVITSM Cap Value	$15,001 - $50,000	CAPITAL GAINS	NA	P
	OPPNHMR Cap Appr	$15,001 - $50,000	CAPITAL GAINS	NA	P
SP	Legg Mason Opp Trust (IRA)	$15,001 - $50,000	CAPITAL GAINS	NA	INHERITED

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Charles Albert Dutch Ruppersberger

SP	Legg Mason Inc (IRA)	$15,001 - $50,000	CAPITAL GAINS	NA	INHERITED
SP	Time Warner Inc	$1,001 - $15,000	CAPITAL GAINS	NA	INHERITED
SP	John J. Murphy Trust (Ferris Baker Watt)	$100,001 - $250,000	DIVIDENDS	$100,001 - $250,000	INHERITED
SP	MBNA Corporation	$15,001 - $50,000	DIVIDENDS	$201 - $1,000	INHERITED

SCHEDULE IV - TRANSACTIONS

Name Charles Albert Dutch Ruppersberger

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
JT	Smith Barney Citigroup Bank Deposit Program	CLOSE	3-04	$1,001 - $15,000
JT	Gateway Long Term Professional Fund	S	3-04	$15,001 - $50,000

SCHEDULE VIII - POSITIONS

Name Charles Albert Dutch Ruppersberger

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or any business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States. Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
Board Member	University of Baltimore Law School Advisory Council
Board Member	University of MD Medical Systems Shock Traum
Board Member	Maryland State Fair
Board Member	Any Soldier, Inc.

SCHEDULE IX - AGREEMENTS

Name Charles Albert Dutch Ruppersberger

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement
8-24-75	Baltimore County Employment Retirement System	Baltimore County Pension Plan & Deferred Compensation Plan (Effective 8/24/75)

UNITED STATES HOUSE OF REPRESENTATIVES
FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2004

FORM A
For use by Members, officers, and employees

8

HAND DELIVERED
CLERK'S OFFICE
2005 MAY 17 PM 5:12
U.S. HOUSE OF REPRESENTATIVES

MC ✓

Charles Albert Dutch Ruppersberger
(Full Name)

10 Highfield Court Cockeysville, MD 21030
(Mailing Address)

202-225-3061
Daytime Telephone

Office Use Only

Filer Status: ☑ Member of the U.S. House of Representatives — State: MD — District: 02 ☐ Officer Or Employee — Employing Office:

Report Type: ☐ Annual (May 15) ☑ Amendment ☐ Termination — Termination Date

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I.	Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? If yes, complete and attach Schedule I.	Yes ☑ No ☐	
II.	Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? If yes, complete and attach Schedule II.	Yes ☐ No ☑	
III.	Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? If yes, complete and attach Schedule III.	Yes ☑ No ☐	
IV.	Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? If yes, complete and attach Schedule IV.	Yes ☑ No ☐	
V.	Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? If yes, complete and attach Schedule V.	Yes ☐ No ☑	
VI.	Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $285 and not otherwise exempt)? If yes, complete and attach Schedule VI.	Yes ☐ No ☑	
VII.	Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $285 from one source)? If yes, complete and attach Schedule VII.	Yes ☐ No ☑	
VIII.	Did you hold any reportable positions on or before the date of filing in the current calendar year? If yes, complete and attach Schedule VIII.	Yes ☑ No ☐	
IX.	Did you have any reportable agreement or arrangement with an outside entity? If yes, complete and attach Schedule IX.	Yes ☑ No ☐	

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

Trusts-	Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes ☐	No ☑
Exemptions--	Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes	No ✓

CERTIFICATION -- THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (See 5 U.S.C. app. 4, § 104 and U.S.C. § 1001)

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	C.A. Ruppersberger	5-17-05

4337

SCHEDULE I - EARNED INCOME

Name Charles Albert Dutch Ruppersberger

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

Source	Type	Amount
Baltimore County	Retirement Pension	$82,038.60

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Charles Albert Dutch Ruppersberger

BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self directed IRA (i.e., one where you have the power to select the specific investments) provide information on each asset in the account that exceeds the reporting threshold and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see instruction booklet for the reporting year. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.		BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership income or Farm income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.	BLOCK E **Transaction** Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.
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SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Charles Albert Dutch Ruppersberger

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	Gartmore Nationwide Fund	$1,001 - $15,000	CAPITAL GAINS	NA	
	Fid Contrafund	$15,001 - $50,000	CAPITAL GAINS	NA	
	Putnam Voyager Fund	$15,001 - $50,000	CAPITAL GAINS	NA	
	MFS High Incm Fund	$1,001 - $15,000	CAPITAL GAINS	NA	
	GVITSM Cap Value	$15,001 - $50,000	CAPITAL GAINS	NA	P
	OPPNHMR Cap Appr	$15,001 - $50,000	CAPITAL GAINS	NA	P
SP	Legg Mason Opp Trust (IRA)	$15,001 - $50,000	CAPITAL GAINS	NA	INHERITED

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Charles Albert Dutch Ruppersberger

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SCHEDULE IV - TRANSACTIONS

Name Charles Albert Dutch Ruppersberger

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
JT	Smith Barney Citigroup Bank Deposit Program	CLOSE	3-04	$1,001 - $15,000
JT	Gateway Long Term Professional Fund	S	3-04	$15,001 - $50,000
	GVITSM Cap Value	P	3-04	$15,001 - $50,000
	OPPNHMR Cap Appr	P	3-04	$15,001 - $50,000

SCHEDULE VIII - POSITIONS

Name Charles Albert Dutch Ruppersberger

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or any business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States. Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
Board Member	University of Baltimore Law School Advisory Council
Board Member	University of MD Medical Systems Shock Traum
Board Member	Maryland State Fair
Board Member	Any Soldier, Inc.

SCHEDULE IX - AGREEMENTS

Name Charles Albert Dutch Ruppersberger

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement
8-24-75	Baltimore County Employment Retirement System	Baltimore County Pension Plan & Deferred Compensation Plan (Effective 8/24/75)

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2004

FORM A
For use by Members, officers, and employees

HAND DELIVERED

LEGISLATIVE RESOURCE CENTER
2005 JUN 14 PM 5:57
U.S. HOUSE OF REPRESENTATIVES

Bobby Lee Rush
(Full Name)
3534 So. Calumet Ave
(Mailing Address)
Chicago, Ill 60653
202-225-4372
Daytime Telephone

(Office Use Only)

Filer Status	[X] Member of the U.S. House of Representatives — State: ____ District: ____	[ ] Officer or Employee	Employing Office:
Report Type	[ ] Annual (May 15)	[ ] Amendment	[ ] Termination — Termination Date:

A *$200 penalty* shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes [X]	No [ ]	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $285 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes [ ]	No [X]
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes [ ]	No [X]	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $285 from one source)? **If yes, complete and attach Schedule VII.**	Yes [ ]	No [X]
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes [ ]	No [X]	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes [X]	No [ ]
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes [ ]	No [X]	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes [ ]	No [X]
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes [ ]	No [X]	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**		

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes [ ]	No [X]
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes [ ]	No [X]

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, § 104 and 18 U.S.C. § 1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	Bobby L Rush	6/14/05

4345

SCHEDULE I — EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

	Source	Type	Amount
Examples:	Keene State	Approved Teaching Fee	$6,000
	State of Maryland	Legislative Pension	$9,000
	Civil War Roundtable (Oct. 2nd)	Spouse Speech	$1,000
	Ontario County Board of Education	Spouse Salary	NA
	TREASURER'S Office, State of Illinois	Spouse Salary	N/A

For payments to charity in lieu of honoraria, use Schedule II.

Name Bobby L. Rush | Page 3 of 4

SCHEDULE VII —TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $285 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor.

Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

	Source	Date(s)	Point of Departure—Destination—Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Any time not at sponsor's expense
Examples:	Chicago Chamber of Commerce	Mar. 2	Wash., D.C.—Chicago—Wash., D.C.	N	N	N	N
	Roycroft Corporation	Aug. 6–11	Wash., D.C.—Los Angeles—Cleveland	Y	Y	Y	2 Days
	ASPEN Institute (The Congressional Program)	6/27 – 7/2	CHICAGO – Lausanne Switzerland CHICAGO	Y	Y	Y	~~Y~~
	ASPEN Institute (the Congressional Program)	4/13 – 4/18	CHICAGO – Great Exuma Island, Bahamas – Chicago	Y	Y	Y	Y

SCHEDULE VIII — POSITIONS

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States.

Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
Member, Bd of Directors	Rebirth of Englewood Local Development Corp
Member, Bd of Directors	Beloved Community Family Services Corp

SCHEDULE IX — AGREEMENTS

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement

HAND DELIVERED

LEGISLATIVE RESOURCE CENTER

2005 JUN -2 PM 4:36

U.S. HOUSE OF REPRESENTATIVES

mc

4349

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2004

FORM A Page 1 of 14

For use by Members, officers, and employees

PAUL D. RYAN
(Full Name)

P.O BOX 2194 JANESVILLE, WI 53547-2194
(Mailing Address)

202.225.3031
Daytime Telephone:

(Office Use Only)

Filer Status ☑ Member of the U.S. House of Representative — State: WI District: 1 — ☐ Officer Or Employee — Employing Office:

Report Type ☑ Annual (May 15) ☐ Amendment ☐ Termination — Termination Date:

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I.	Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes ☐ No ☑	
II.	Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes ☐ No ☑	
III.	Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes ☑ No ☐	
IV.	Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes ☑ No ☐	
V.	Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes ☐ No ☑	
VI.	Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $285 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes ☐ No ☑	
VII.	Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $285 from one source)? **If yes, complete and attach Schedule VII.**	Yes ☑ No ☐	
VIII.	Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes ☑ No ☐	
IX.	Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes ☐ No ☑	

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

Trusts--	Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes ☐ No ☑
Exemptions--	Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes ☐ No ☑

CERTIFICATION -- THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (See 5 U.S.C. app. 4, § 104 and U.S.C. § 1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief	Paul D. Ryan	5/29/05

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name PAUL D. RYAN

	BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self directed IRA (i.e., one where you have the power to select the specific investments) provide information on each asset in the account that exceeds the reporting threshold and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see instruction booklet for the reporting year. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.	BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership Income or Farm Income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.	BLOCK E **Transaction** Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.
SP	AMERICAN INTERNATIONAL GROUP STOCK	$15,001 - $50,000	DIVIDENDS	$1 - $200	
SP	AVA O LIMITED CO., MINING, MADILL, OK (7.69% INTEREST)	$15,001 - $50,000	Other: PARTNERSHIP INCOME	$1,001 - $2,500	
SP	BAXTER INTERNATIONAL, INC. STOCK	$15,001 - $50,000	DIVIDENDS	$201 - $1,000	
SP	DUKE REALTY CORP. STOCK	$1,001 - $15,000	DIVIDENDS/CAPITAL GAINS	$201 - $1,000	
SP	EDWARD JONES MONEY MARKET	$1,001 - $15,000	DIVIDENDS	$1 - $200	
SP	EDWARDS LIFESCIENCES CORP. STOCK	$1,001 - $15,000	DIVIDENDS	NONE	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name PAUL D. RYAN

SP	FIDELITY CONTRAFUND FUND	$50,001 - $100,000	DIVIDENDS	$1 - $200	
	GENERAL ELECTRIC CO. STOCK	$1,001 - $15,000	DIVIDENDS	$1 - $200	
SP	HARTFORD CAPITAL APPRECIATION FUND B	$1,001 - $15,000	DIVIDENDS	NONE	
SP	HARTFORD DISCIPLINED EQUITY FUND B	$1,001 - $15,000	DIVIDENDS	$1 - $200	
SP	HARTFORD GLOBAL HEALTH FUND B	$1,001 - $15,000	CAPITAL GAINS	$1 - $200	
SP	HARTFORD GLOBAL LEADERS FUND B	$1,001 - $15,000	DIVIDENDS	NONE	
SP	HARTFORD GLOBAL TECHNOLOGY FUND B	$1,001 - $15,000	DIVIDENDS	NONE	
SP	HARTFORD MIDCAP FUND B	$1,001 - $15,000	CAPITAL GAINS	$201 - $1,000	
SP	HARTFORD STOCK FUND B	$1,001 - $15,000	DIVIDENDS	NONE	
SP	INTEL CORP. STOCK	$1,001 - $15,000	DIVIDENDS	$1 - $200	
	JANUS WORLDWIDE FUND	$1,001 - $15,000	DIVIDENDS	$1 - $200	
SP	JANUS WORLDWIDE FUND	$15,001 - $50,000	DIVIDENDS/CAPITAL GAINS	$201 - $1,000	
JT	JOHNSON BANK - CHECKING ACCOUNT	$1,001 - $15,000	INTEREST	NONE	
JT	JOHNSON BANK - MONEY MARKET ACCOUNT	$15,001 - $50,000	INTEREST	$1 - $200	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name PAUL D. RYAN

SP	JP MORGAN CHASE & CO. STOCK	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	E
SP	LANDMARK BANK SAVINGS ACCOUNT	$1,001 - $15,000	INTEREST	$1 - $200	
SP	LOCL - (LAND OIL CO., LTD.), INVESTMENT, MADILL, OK (0.80843% INTEREST, INDIVIDUAL HOLDINGS FOLLOW)	$50,001 - $100,000	Other: PARTNERSHIP INCOME	$5,001 - $15,000	
SP	LOCL-ALLIANCE BERNSTEIN CAPITAL RESERVES MONEY MARKET FUND	$1,001 - $15,000	DIVIDENDS	$1 - $200	
SP	LOCL-GRAND RIVER DAM AUTHORITY (OK) BONDS	$1,001 - $15,000	INTEREST	$201 - $1,000	
SP	LOCL-INTEREST IN COURAGE SPECIAL SITUATION FUND, LP, INVESTMENT, NASHVILLE, TN	$15,001 - $50,000	Other: PARTNERSHIP INCOME	$1,001 - $2,500	
SP	LOCL-INTEREST IN RED RIVER PINE LIMITED CO., TIMBER, MADILL, OK	$1,001 - $15,000	Other: PARTNERSHIP INCOME	$1,001 - $2,500	
SP	LOCL-LANDMARK BANK CHECKING ACCOUNT	$1,001 - $15,000	INTEREST	$1 - $200	
SP	LOCL-NOTE RECEIVABLE FROM J.B. COLEMAN, KINGSTON, OK	$1,001 - $15,000	INTEREST	NONE	
SP	LOCL-OK STATE MUNICIPAL POWER AUTHORITY POWER SUPPLY SYSTEM BONDS	$1,001 - $15,000	INTEREST	$1 - $200	
SP	LOCL-REAL ESTATE IN ADA, OK	$1,001 - $15,000	RENT	$1 - $200	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name PAUL D. RYAN

SP	MELLON FINANCIAL CORP. STOCK	$15,001 - $50,000	DIVIDENDS	$201 - $1,000	
SP	PBHG GROWTH FUND	$15,001 - $50,000	DIVIDENDS/CAPITAL GAINS	NONE	
SP	RED RIVER PINE LIMITED CO., TIMBER, MADILL, OK (7.41 % INTEREST)	$15,001 - $50,000	Other: PARTNERSHIP INCOME	$15,001 - $50,000	
	RHIP - (RYAN-HUTTER INVESTMENT PARTNERSHIP), INVESTMENT, FT. LAUDERDALE, FL (20% INTEREST, INDIVIDUAL HOLDINGS FOLLOW)	$250,001 - $500,000	Other: PARTNERSHIP INCOME	$5,001 - $15,000	
	RHIP-BANK OF AMERICA CORP. STOCK	$15,001 - $50,000	DIVIDENDS	$201 - $1,000	
	RHIP-BANK OF NEW YORK CO., INC. STOCK	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	
	RHIP-BRISTOL MYERS SQUIBB CO. STOCK	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	
	RHIP-FANNIE MAE VOTING STOCK	$15,001 - $50,000	DIVIDENDS	$201 - $1,000	
	RHIP-GENERAL ELECTRIC CO. STOCK	$1,001 - $15,000	DIVIDENDS	$1 - $200	
	RHIP-H&R BLOCK FINANCIAL ADVISORS MONEY MARKET	$15,001 - $50,000	INTEREST	$1 - $200	
	RHIP-HOME DEPOT, INC. STOCK	$100,001 - $250,000	DIVIDENDS	$1,001 - $2,500	
	RHIP-IBM CORP. STOCK	$1,001 - $15,000	DIVIDENDS	$1 - $200	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name PAUL D. RYAN

RHIP-PROCTER & GAMBLE CO. STOCK	$15,001 - $50,000	DIVIDENDS	$201 - $1,000	
RHIP-WELLS FARGO & CO. STOCK	$15,001 - $50,000	DIVIDENDS	$1,001 - $2,500	
RHIP-ZIMMER HOLDINGS, INC. STOCK	$1,001 - $15,000	DIVIDENDS	NONE	
RLP - (RYAN LIMITED PARTNERSHIP), INVESTMENT, MADISON, WI (20% INTEREST, INDIVIDUAL HOLDINGS FOLLOW)	$100,001 - $250,000	Other: PARTNERSHIP INCOME	$2,501 - $5,000	
RLP-3M CO. STOCK	$1,001 - $15,000	DIVIDENDS	$1 - $200	
RLP-ACCENTURE, LTD. STOCK	$1,001 - $15,000	DIVIDENDS	NONE	
RLP-ALTRIA GROUP, INC. STOCK	$1,001 - $15,000	DIVIDENDS	$1 - $200	
RLP-APACHE CORP. STOCK	$1,001 - $15,000	DIVIDENDS	$1 - $200	
RLP-BARD C R, INC. STOCK	$1,001 - $15,000	DIVIDENDS	$1 - $200	
RLP-BBH INFLATION INDEXED SECURITIES FUND N	$1,001 - $15,000	DIVIDENDS/CAPITAL GAINS	$1 - $200	P
RLP-BEMIS, INC. STOCK	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
RLP-DELL, INC. STOCK	$1,001 - $15,000	DIVIDENDS	NONE	
RLP-FEDEX CORP. STOCK	$1,001 - $15,000	DIVIDENDS	$1 - $200	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name PAUL D. RYAN

RLP-FIRST AMERICAN INTERMEDIATE TERM BOND FUND Y	$1,001 - $15,000	DIVIDENDS/CAPITAL GAINS	$201 - $1,000	
RLP-FIRST AMERICAN MID CAP GROWTH FUND Y	$1,001 - $15,000	CAPITAL GAINS	$1,001 - $2,500	
RLP-FIRST AMERICAN PRIME OBLIGATION FUND Y	$1,001 - $15,000	INTEREST	$1 - $200	
RLP-FIRST AMERICAN SMALL CAP INDEX FUND Y	$1,001 - $15,000	DIVIDENDS/CAPITAL GAINS	$201 - $1,000	P
RLP-FIRST AMERICAN SMALL CAP SELECT FUND Y	$1,001 - $15,000	CAPITAL GAINS	$201 - $1,000	
RLP-GENERAL ELECTRIC CO. STOCK	$1,001 - $15,000	DIVIDENDS	$1 - $200	
RLP-INTUIT, INC. STOCK	$1,001 - $15,000	DIVIDENDS	NONE	P
RLP-JOHNSON & JOHNSON STOCK	$1,001 - $15,000	DIVIDENDS	$1 - $200	
RLP-LAUDER ESTEE COS. INC. A STOCK	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
RLP-LEGG MASON, INC. STOCK	$1,001 - $15,000	DIVIDENDS	$1 - $200	
RLP-M B N A CORP. STOCK	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
RLP-MARSHALL & ILLSLEY CORP. STOCK	$1,001 - $15,000	DIVIDENDS	$1 - $200	
RLP-MCGRAW-HILL, INC. STOCK	$1,001 - $15,000	DIVIDENDS	$1 - $200	
RLP-OAKMARK GLOBAL FUND	$1,001 - $15,000	DIVIDENDS/CAPITAL GAINS	$1 - $200	P

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name PAUL D. RYAN

RLP-PEPSICO, INC. STOCK	$1,001 - $15,000	DIVIDENDS	$1 - $200	
RLP-PFIZER, INC. STOCK	$1,001 - $15,000	DIVIDENDS	$1 - $200	
RLP-PRAXAIR, INC. STOCK	$1,001 - $15,000	DIVIDENDS	$1 - $200	
RLP-PROCTER & GAMBLE CO. STOCK	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
RLP-REPUBLIC SERVICES, INC. STOCK	$1,001 - $15,000	DIVIDENDS	$1 - $200	
RLP-SCHLUMBERGER, LTD. STOCK	$1,001 - $15,000	DIVIDENDS	$1 - $200	
RLP-SCHWAB ONE MONEY MARKET	$1,001 - $15,000	INTEREST	$1 - $200	
RLP-SECTOR SPDR ENERGY SELECT FUND	$1,001 - $15,000	DIVIDENDS	$1 - $200	
RLP-SYSCO CORP. STOCK	$1,001 - $15,000	DIVIDENDS	$1 - $200	
RLP-US TREASURY I BOND (1/15/07)	$1,001 - $15,000	INTEREST	$1 - $200	
RLP-US TREASURY NOTE (11/15/04)	None	INTEREST	$201 - $1,000	MATUR
RLP-VANGUARD GNMA FUND	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	
RLP-VARIAN MEDICAL SYSTEMS, INC. STOCK	$1,001 - $15,000	DIVIDENDS	NONE	P
RLP-WYETH STOCK	$1,001 - $15,000	DIVIDENDS	$1 - $200	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name PAUL D. RYAN

	RLP-ZIMMER HOLDINGS, INC. STOCK	$1,001 - $15,000	DIVIDENDS	NONE
	SCHWAB MONEY MARKET FUND	$1,001 - $15,000	DIVIDENDS	$1 - $200
SP	SOUTHERN CO. STOCK	$1,001 - $15,000	DIVIDENDS	$201 - $1,000
DC	STRONG FUNDS EDVEST 529 COLLEGE SAVINGS PLAN AGED BASED PORTFOLIO FOR DAUGHTER ELIZABETH	$100,001 - $250,000	DIVIDENDS/CAPITAL GAINS	NONE
DC	STRONG FUNDS EDVEST 529 COLLEGE SAVINGS PLAN AGED BASED PORTFOLIO FOR SON CHARLES	$50,001 - $100,000	DIVIDENDS/CAPITAL GAINS	NONE
SP	T. ROWE PRICE EQUITY INCOME FUND	$15,001 - $50,000	DIVIDENDS/CAPITAL GAINS	$1,001 - $2,500
SP	T. ROWE PRICE NEW HORIZONS FUND	$15,001 - $50,000	DIVIDENDS/CAPITAL GAINS	NONE
SP	TENET HEALTHCARE STOCK	$1,001 - $15,000	DIVIDENDS	NONE
SP	TXU CORP. STOCK	$15,001 - $50,000	DIVIDENDS	$1 - $200
SP	VERIZON COMMUNICATIONS STOCK	$1,001 - $15,000	DIVIDENDS	$201 - $1,000

SCHEDULE IV - TRANSACTIONS

Name PAUL D. RYAN

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
SP	BANK ONE CORP. STOCK EXCHANGED FOR JP MORGAN CHASE & CO. STOCK AS RESULT OF MERGER	E	7-1-04	$1,001 - $15,000
	RLP-OAKMARK GLOBAL FUND	P	3-26 AND 12-9-04	$1,001 - $15,000
	RLP-BBH INFLATION INDEXED SECURITIES FUND N	P	5-26-04	$1,001 - $15,000
	RLP-FIRST AMERICAN SMALL CAP GROWTH FUND Y	S	3-29-04	$1,001 - $15,000
	RLP-MERCK & CO., INC. STOCK	S	11-5-04	$1,001 - $15,000
	RLP-US TREASURY NOTE (11/15/04)	MATUR	11-15-04	$1,001 - $15,000
	RLP-BEMIS, INC. STOCK	P	5-6 AND 5-7-04	$1,001 - $15,000
	RLP-FIRST AMERICAN SMALL CAP INDEX FUND Y	P	3-30-04	$1,001 - $15,000
	RLP-INTUIT, INC. STOCK	P	8-27 AND 11-23-04	$1,001 - $15,000
	RLP-LAUDER ESTEE COS., INC. A	P	8-26-04	$1,001 - $15,000
	RLP-M B N A CORP. STOCK	P	2-9, 8-10 AND 12-17-04	$1,001 - $15,000

SCHEDULE IV - TRANSACTIONS

Name PAUL D. RYAN

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
	RLP-PROCTOR & GAMBLE CO. STOCK	P	8-10 AND 12-7-04	$1,001 - $15,000
	RLP-VARIAN MEDICAL SYSTEMS, INC. STOCK	P	6-3 AND 7-1-04	$1,001 - $15,000
	RLP-WAL-MART STORES, INC. STOCK	S	8-5-04	$1,001 - $15,000

SCHEDULE VII - TRAVEL PAYMENTS AND REIMBURSEMENTS

Name PAUL D. RYAN

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $285 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor. Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	Point of Departure--Destination--Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Any time not at sponsor's expense
AMERICAN ENTERPRISE INSTITUTE FOR PUBLIC POLICY RESEARCH	FEB. 26-27	WASHINGTON, D.C.	Y	Y	N	NO
THE TAX COUNCIL	MAR. 5-9	DC - FT. LAUDERDALE, FL - DC	Y	Y	Y	NO
ISLAMIC FREE MARKET INSTITUTE FOUNDATION	APR. 2-9	DC - QATAR - BAHRAIN - UAE - DC	Y	Y	Y	4 DAYS

SCHEDULE VIII - POSITIONS

Name PAUL D. RYAN

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or any business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States. Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
LIMITED PARTNER	RYAN LIMITED PARTNERSHIP
GENERAL PARTNER	RYAN-HUTTER INVESTMENT PARTNERSHIP

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2004

FORM A
For use by Members, officers, and employees

HAND DELIVERED

5

CLERK, U.S. HOUSE OF REPRESENTATIVES

2005 MAY 16 PM 6:08

(Office Use Only) MC

Tim Ryan
(Full Name)

1504 Taft Ave,
(Mailing Address)

Niles, OH 44446

Daytime Telephone 202 225-5261

Filer Status	[X] Member of the U.S. House of Representatives	State: OH District: 17	[ ] Officer or Employee	Employing Office
Report Type	[X] Annual (May 15)	[ ] Amendment	[ ] Termination	Termination Date:

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes [X]	No [ ]	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $285 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes [ ]	No [X]
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes [ ]	No [X]	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $285 from one source)? **If yes, complete and attach Schedule VII.**	Yes [X]	No [ ]
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? ***If yes, complete and attach Schedule III.***	Yes [X]	No [ ]	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes [ ]	No [X]
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes [ ]	No [ ]	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes [ ]	No [X]
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes [ ]	No [X]	***Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.***		

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. *Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?*	Yes [ ]	No [X]
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes [ ]	No [X]

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, § 104 and 18 U.S.C. § 1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	Tim Ryan	5/16/05

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Name: TIM RYAN

SCHEDULE I — EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria, list only the source for other spouse earned income exceeding $1,000.

	Source	Type	Amount
Examples	Keene State	Approved Teaching Fee	$6,000
	State of Maryland	Legislative Pension	$9,000
	Civil War Roundtable (Oct. 2nd)	Spouse Speech	$1,000
	Ontario County Board of Education	Spouse Salary	NA
	AKRON-CANTON REGIONAL FOOD	SPOUSE SALARY	NA

For payments to charity in lieu of honoraria, use Schedule II.

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SCHEDULE III — ASSETS AND "UNEARNED" INCOME

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self-directed IRA (i.e., one where you have the power to select the specific investments), provide information on *each asset* in the account that exceeds the reporting threshold, and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For *additional information, see the instruction* booklet for the reporting year.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you *by your spouse, or by your or your spouse's* child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; *any financial interest in or income derived from* U.S. Government retirement programs

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Asset

at close of reporting year.

If you use a valuation method other than fair market value, please specify the method used.

If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

BLOCK D — Amount of Income

For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. *Dividends, even if reinvested, should* be listed as income.

BLOCK E — Transaction

Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.

	Block A	A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify: For Example, Partnership Income or Farm Income)	I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	P, S, E
SP, DC, JT	Examples. SP Mega Corp Stock					X								X										X								P
	Simon & Schuster		Indefinite																	Royalties									X			
	1st Bank of Paducah, KY accounts						X									X									X							
JT	CISCO STOCK			X										X									X									S
JT	PANERA STOCK			X										X									X									S
JT	MORGAN STANLEY MONEY MARKET		X	X										X								X	X									P
JT	WRIGHT PATMAN CFCU		X	X												X					X											
JT	THRIFT SAVINGS				X											X								X								

For additional assets and unearned income, use next page.

4364

SCHEDULE IV — TRANSACTIONS

Name Tim Ryan

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction: PURCHASE	SALE	EXCHANGE	Date (MO/DAY/YR)	Amount of Transaction: B $1,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
SP	*Example* Mega Corporation Common Stock	X			10 12–04		X								
JT	CISCO Stock		X		10-04	X									
JT	PANERA Stock		X		10-04	X									
JT	MORGAN STANLEY MONEY MARKET	X			10-04		X								

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SCHEDULE VII —TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $285 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor.

Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

	Source	Date(s)	Point of Departure—Destination—Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Any time not at sponsor's expense
Examples:	Chicago Chamber of Commerce	Mar. 2	Wash., D.C.—Chicago—Wash., D.C.	N	N	N	N
	Roycroft Corporation	Aug. 6–11	Wash., D.C.—Los Angeles—Cleveland	Y	Y	Y	2 Days
	MONSTER.COM - DIVERSITY LEADERSHIP SPEECH	7-10 to 7-11	CLEVELAND, OH - ATHENS, GA, RETURN	Y	N	N	N

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HAND DELIVERED

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UNITED STATES HOUSE OF REPRESENTATIVES
FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2004

FORM A
For use by Members, officers, and employees

Page 1 of 1

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(Office Use Only)

MC

Jim Ryun
(Full Name)

5815 Sagamore Ct Lawrence, KS 66047
(Mailing Address)

202-225-6601
Daytime Telephone:

Filer Status	☑ Member of the U.S. House of Representatives	State: KS District: 02	☐ Officer Or Employee — Employing Office:
Report Type	☑ Annual (May 15)	☐ Amendment	☐ Termination — Termination Date:

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I.	Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? If yes, complete and attach Schedule I.	Yes ☑ No ☐	VI.	Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $285 and not otherwise exempt)? If yes, complete and attach Schedule VI.	Yes ☐ No ☑
II.	Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? If yes, complete and attach Schedule II.	Yes ☐ No ☑	VII.	Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $285 from one source)? If yes, complete and attach Schedule VII.	Yes ☑ No ☐
III.	Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? If yes, complete and attach Schedule III.	Yes ☑ No ☐	VIII.	Did you hold any reportable positions on or before the date of filing in the current calendar year? If yes, complete and attach Schedule VIII.	Yes ☐ No ☑
IV.	Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? If yes, complete and attach Schedule IV.	Yes ☑ No ☐	IX.	Did you have any reportable agreement or arrangement with an outside entity? If yes, complete and attach Schedule IX.	Yes ☐ No ☑
V.	Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? If yes, complete and attach Schedule V.	Yes ☐ No ☑		**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**	

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

Trusts--	Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes ☐ No ☑
Exemptions--	Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes ☐ No ☑

CERTIFICATION -- THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (See 5 U.S.C. app. 4, § 104 and U.S.C. § 1001)

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	Jim Ryun	5/16/05

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SCHEDULE I - EARNED INCOME

Name Jim Ryun

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

Source	Type	Amount
Jim Ryun Ministries	Spouse salary	N/A
American Academy of Audiology	Spouse Speech	$1,500

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Jim Ryun

BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self directed IRA (i.e., one where you have the power to select the specific investments) provide information on each asset in the account that exceeds the reporting threshold and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see instruction booklet for the reporting year. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.	BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership Income or Farm Income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.	BLOCK E **Transaction** Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year
Congressional Federal Credit Union Account	$50,001 - $100,000	INTEREST	$201 - $1,000	
Fidelity Spartan US Equity Index	$15,001 - $50,000	DIVIDENDS	$201 - $1,000	P
Harbor Capital Appreciation Equity Fund	$15,001 - $50,000	DIVIDENDS	$1 - $200	
Russell Special Growth Equity Fund	$15,001 - $50,000	CAPITAL GAINS	$2,501 - $5,000	P
Managers Special Equity Fund	None	CAPITAL GAINS	$5,001 - $15,000	S
TRowe Price Equity Fund	$15,001 - $50,000	CAPITAL GAINS/DIVIDENDS	$201 - $1,000	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Jim Ryun

	Cohen & Steers Realty Fund	$15,001 - $50,000	DIVIDENDS/CAPITAL GAINS	$1,001 - $2,500	PS
	Blackrock Low Duration Class A Bond Fund	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
	PIMCO Total Return Bond D Fund	$1,001 - $15,000	DIVIDENDS/CAPITAL GAINS	$201 - $1,000	PS
	Western Asset Core Plus Bond Fund	$1,001 - $15,000	DIVIDENDS/CAPITAL GAINS	$201 - $1,000	P
	Fidelity Cash Reserves	$1,001 - $15,000	INTEREST	$1 - $200	S
	EuroPacific Growth F International Equity Fund	$15,001 - $50,000	DIVIDENDS	$201 - $1,000	S
	Destiny Image Publishing	Indefinite	Royalties/Book Income	$2,501 - $5,000	
SP	Congressional Federal Credit Union Roth IRA	$1,001 - $15,000	NA		
	Congressional Federal Credit Union Roth IRA	$1,001 - $15,000	NA		

SCHEDULE IV - TRANSACTIONS

Name Jim Ryun

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
	Russell Special Growth Equity Fund	P	4-6-04	$15,001 - $50,000
	Managers Special Equity Fund	S	4-6-04	$15,001 - $50,000
	PIMCO Low Duration Bond D Fund	S	4-6-04	$1,001 - $15,000
	Blackrock Low Duration Class A Bond Fund	P	4-6-04	$1,001 - $15,000
	Fidelity Spartan US Equity Index	P	quarterly	$1,001 - $15,000
	Russell Special Growth Equity Fund	P	12-17-04	$1,001 - $15,000
	Cohen & Steers Realty Fund	S	4-6-04	$1,001 - $15,000
	PIMCO Total Return Bond D Fund	S	4-6-04	$1,001 - $15,000
	Western Asset Core Plus Bond Fund	P	monthly	$1,001 - $15,000
	Fidelity Cash Reserves	S	quarterly	$1,001 - $15,000
	EuroPacific Growth F International Equity Fund	S	4-6-04	$1,001 - $15,000
	Cohen & Steers Realty Fund	P	quarterly	$1,001 - $15,000

SCHEDULE IV - TRANSACTIONS

Name Jim Ryun

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
	PIMCO Total Return Bond D Fund	P	monthly	$1,001 - $15,000

SCHEDULE VII - TRAVEL PAYMENTS AND REIMBURSEMENTS

Name Jim Ryun

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $285 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor. Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	Point of Departure--Destination--Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Any time not at sponsor's expense
Puerto Rico Society of CPAs	Jan. 15-19	Wash, DC - San Juan PR - Wash, DC	Y	Y	Y	N/A
International Network of Children's Ministry	Feb 1-3	Kansas City - San Diego, CA - Kansas City	Y	Y	Y	N/A
American Academy of Audiology	Apr 2- 4	Wash, DC - Salt Lake City, UT - Kansas City	Y	Y	N	N/A
Fellowship of Christian Athletes	Nov 10-12	Wash, DC - Dallas, TX - Wash, DC	Y	Y	Y	N/A

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